

# NEW YORK STATE



## MID-YEAR UPDATE TO THE FINANCIAL PLAN FOR FISCAL YEAR 2013

PROJECTIONS FOR FY 2013 THROUGH FY 2016

**ANDREW M. CUOMO, GOVERNOR**  
**ROBERT L. MEGNA, BUDGET DIRECTOR**

**NOVEMBER 2012**



**Superstorm Sandy (the “Storm”) struck the East Coast of the United States on October 29, 2012. The Storm caused extensive infrastructure damage and economic losses within the State, with widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Major disaster response and recovery activities are still underway. The State has requested \$41.9 billion in Federal aid to offset the damage inflicted by the Storm, including \$32.8 billion for repair and recovery costs and \$9.1 billion for prevention and mitigation efforts.**

**The Storm’s adverse impact on the State’s finances remains highly uncertain and subject to a number of factors, including the scope and timing of federal aid, which are not possible to predict reliably at this time. Accordingly, this Mid-Year Update to the Financial Plan does not reflect an assessment of the Storm’s impact on the State’s multi-year financial projections. DOB expects to update the State’s multi-year financial projections in January 2013 with the Executive Budget Financial Plan for FY 2014, at which time it will provide a comprehensive assessment of the Storm. The Storm assessment may result in material and adverse changes to the Financial Plan projections set forth in this Mid-Year Update.**



**TABLE OF CONTENTS**

**INTRODUCTION** ..... 1

**FINANCIAL PLAN OVERVIEW** ..... 5

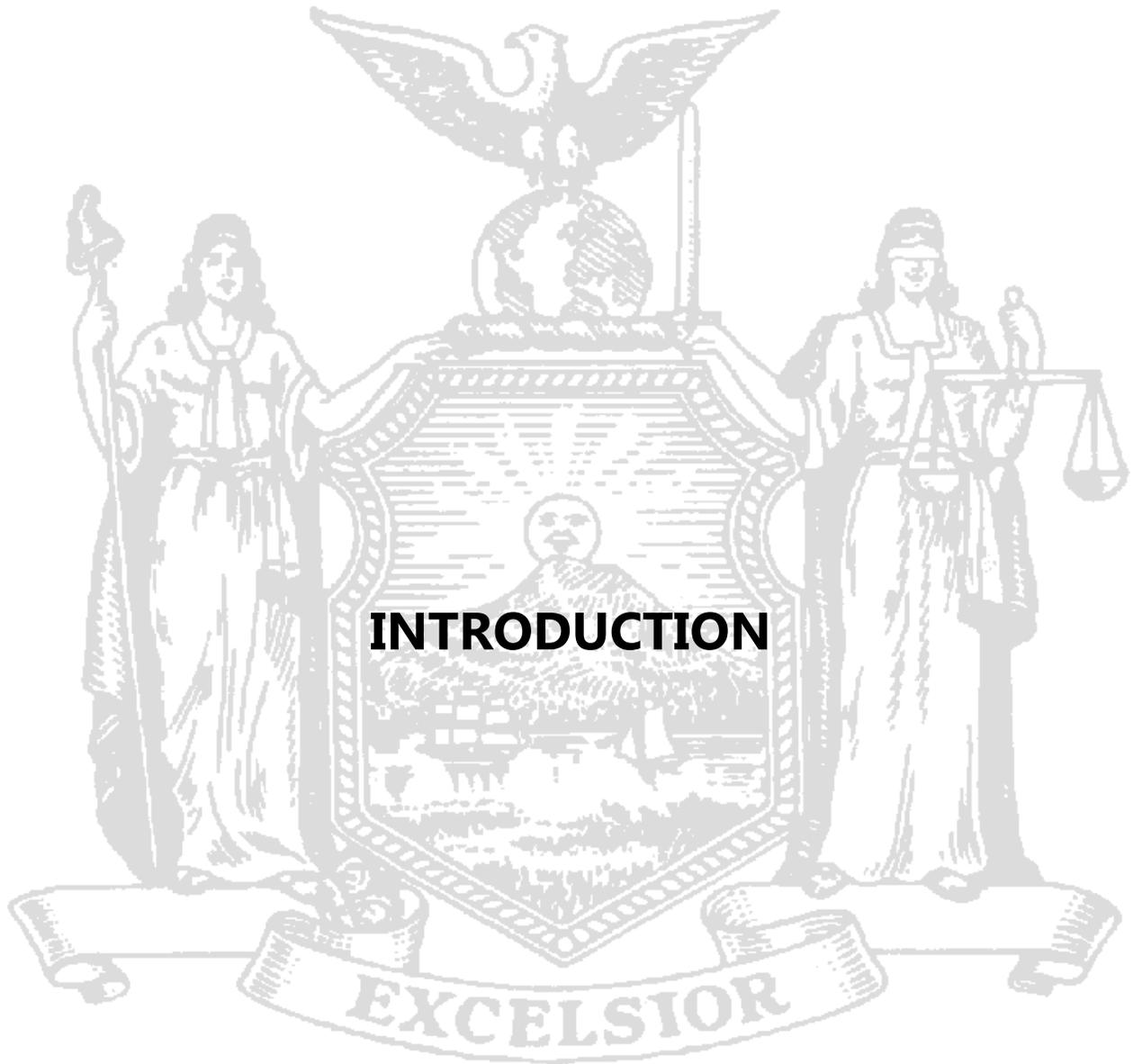
**MULTI-YEAR FINANCIAL PLAN PROJECTIONS** ..... 21

**FY 2013 SIX-MONTH OPERATING RESULTS** ..... 69

**GLOSSARY OF ACRONYMS** ..... 79

**FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**..... 83





**INTRODUCTION**



# INTRODUCTION

This is the Mid-Year Update to the Financial Plan (the “Mid-Year Financial Plan” or “Updated Financial Plan”) for FY 2013. Except for the specific revisions described in the First Quarterly Update and herein, the projections (and the assumptions upon which they are based) in the Updated Financial Plan are consistent with the projections set forth in the Enacted Budget Financial Plan. Readers should refer to the Enacted Budget Financial Plan for a complete explanation of the receipts and disbursements projections for FYs 2013 through 2016.<sup>1</sup> The State’s fiscal year began on April 1, 2012 and ends on March 31, 2013. DOB<sup>2</sup> expects to next update the Financial Plan projections in January 2013 with the FY 2014 Executive Budget.

The State’s General Fund — the fund that receives the majority of State taxes and all income not earmarked for a particular program or activity — is required to be balanced on a cash basis of accounting. The State Constitution and State Finance Law do not define budget balance. In practice, the General Fund is considered balanced on a cash basis of accounting if sufficient resources are expected to be available during the fiscal year for the State to (a) make all required payments, including PIT refunds, without the issuance of deficit notes or bonds, and (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began.

The General Fund is typically the financing source of last resort for the State’s other major funds, including HCRA funds, the DHBTF, the STAR Fund, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State’s budget discussion is often weighted toward the General Fund.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category. The State also reports disbursements and receipts activity by two other broad measures: State Operating Funds, which includes the General Fund and funds specified for dedicated purposes, but excludes Federal Funds and Capital Projects Funds; and All Governmental Funds (“All Funds”), which includes both State and Federal Funds and provides the most comprehensive view of the financial operations of the State.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; Capital Projects Funds, which account for costs incurred in the construction, rehabilitation, and related expenses of infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and its public authorities.

---

<sup>1</sup> DOB’s Financial Plan information is available at [www.budget.ny.gov](http://www.budget.ny.gov)

<sup>2</sup> Please see “Glossary of Acronyms” at the end of this document for the definitions of commonly used acronyms and abbreviations that appear in the text.

## **INTRODUCTION**

---

The factors affecting the State's financial condition are complex. This Updated Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time they were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Updated Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Updated Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected.

Such risks and uncertainties include, among others, general economic and business conditions, extreme weather events, changes in political, social and economic conditions, political, legal, or administrative impediments to the implementation of gap-closing actions, regulatory initiatives and compliance with governmental regulations, litigation and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date they were prepared.



**FINANCIAL PLAN OVERVIEW**



# FINANCIAL PLAN OVERVIEW

## SUMMARY

### SUPERSTORM SANDY

Superstorm Sandy (the “Storm”) struck the East Coast of the United States on October 29, 2012. The Storm caused significant infrastructure damage and economic losses within the State, with widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. On October 30, President Obama granted Governor Cuomo’s request for a Federal disaster declaration for seven counties: Bronx, Kings, Nassau, New York, Richmond, Queens and Suffolk. On November 2, the Governor announced that an additional two counties, Rockland and Westchester, were approved to receive Federal disaster assistance as a result of the Storm. On November 12, another four counties were added to the Federal disaster declaration: Putnam, Orange, Sullivan, and Ulster. The State has requested \$41.9 billion in Federal aid to offset the damage inflicted by the Storm, including \$32.8 billion for repair and recovery costs and \$9.1 billion for prevention and mitigation efforts.

The Storm’s impact on the State’s economy and finances remains highly uncertain and subject to a number of factors, including the scope and timing of federal aid, which are not possible to predict reliably at this time. Accordingly, this Mid-Year Update to the Financial Plan does not reflect an assessment of the Storm’s impact on the State’s multi-year financial projections. DOB expects to update the State’s multi-year financial projections in January 2013 with the Executive Budget Financial Plan for FY 2014, at which time it will provide a comprehensive assessment of the Storm. The Storm assessment may result in material and adverse changes to the Financial Plan projections set forth in this Mid-Year Update.

### FINANCIAL PLAN UPDATE

Based on review of operating results through the first half of FY 2013 and updated data on State programs and activities, DOB estimates that the General Fund will remain balanced in the current year. The budget gaps for future years are projected at \$982 million in FY 2014, \$3.59 billion in FY 2015, and \$4.37 billion in FY 2016. However, the projections are subject to a number of risks, the most significant of which is the potential impact of the Storm on State tax receipts and cash outlays for disaster assistance.

General Fund receipts, including transfers from other funds, are now expected to total \$59.1 billion in FY 2013, an increase of \$174 million from the First Quarterly Update forecast. (See “Multi-Year Financial Plan Projections – All Funds Receipts Projections” herein.) The change reflects unanticipated proceeds from a settlement between the Department of Financial Services (“DFS”) and Standard Chartered Bank and a modest increase in other miscellaneous receipts, offset by minor adjustments to tax receipts. General Fund disbursements, including transfers to other funds, are expected to total \$59.4 billion in the current year, an increase of \$174 million

## **FINANCIAL PLAN OVERVIEW**

---

from the First Quarterly Update. The increase reflects, among other things, a downward revision in the level of HCRA resources available to offset General Fund costs and increases in expected costs for General State Charges.

The General Fund is expected to end FY 2013 with a closing balance of \$1.5 billion, unchanged from the First Quarterly Update. As noted above, the estimate of the closing balance does not account for the potential impact of the Storm.

### **OPERATING RESULTS THROUGH SEPTEMBER 2012**

Operating results through the first six months of FY 2013 were positive in comparison to the estimate in the First Quarterly Update. (See “FY 2013 Six-Month Operating Results” herein.) General Fund receipts, including transfers from other funds, totaled \$29.4 billion through September 2012, \$337 million above the First Quarterly Update forecast. The positive variance is due almost entirely to the settlement between DFS and Standard Chartered Bank. Total State tax collections through September (including taxes dedicated to other funds) were approximately \$213 million below the level estimated in the First Quarterly Update. Results through October 2012 were comparable, with total tax collections \$170 million below the last public plan. DOB will continue to monitor the uncertainties and risks regarding the economic and receipts forecast. (See Multi-Year Financial Projections – All Funds Receipts Projections” herein.)

General Fund disbursements, including transfers to other funds, totaled \$26.9 billion through September 2012, approximately \$153 million above the level estimated in the First Quarterly Update. The higher spending in the General Fund was due mainly to routine timing variances related to reimbursement from other funds for employee fringe benefit costs. Through October 2012, this pattern reversed itself, with disbursements falling approximately \$325 million below the level in the First Quarterly Update, mainly due to routine variances in cash disbursements across months. After adjusting for these timing-related variances, disbursements to date appeared to be generally consistent with the First Quarterly Update forecast, except for the specific revisions described in the section titled “Multi-Year Financial Plan Revisions.”

The Financial Plan is subject to a number of risks, including the impact of the Storm, the strength and duration of the economic recovery, and the execution of specific transactions. (See “Other Matters Affecting the Financial Plan” herein.)

### MULTI-YEAR FINANCIAL PLAN REVISIONS

DOB has made a number of revisions to the projections included in the First Quarterly Update to the Financial Plan. The changes, which do not reflect an assessment of the impact of the Storm on State finances, result in no net impact to the operating projections in the First Quarterly Update. The most significant revisions include the following:

- **Tax Receipts Forecast:** Estimated tax collections have been revised downward in the current fiscal year to reflect technical adjustments in the share of tax receipts retained by the State versus New York City. The revisions to tax receipts, as noted above, have not been adjusted for the potential impact of the Storm.
- **Standard Chartered Settlement:** In September 2012, the State received an unanticipated payment pursuant to a settlement between DFS and Standard Chartered Bank.
- **HCRA: Medicaid Offset:** HCRA resources expected to be available to finance General Fund Medicaid costs have been reduced as a result of downward revisions to cigarette tax collections and other receipt collections, based on experience to date. Program spending, mainly for CHP due to growth in enrollment has been revised upward. The decline in HCRA resources results in Medicaid costs reverting to the General Fund.
- **Mental Hygiene:** Spending has been lowered in all years of the Financial Plan period to reflect updated program data indicating lower costs associated with residential development, and revised estimates for operational costs.
- **Federal TANF:** Under the TANF program, Federal funds are allocated to New York State to assist families on limited incomes including child care subsidies. In addition to the standard annual TANF block grant, the State periodically receives supplemental TANF funding. Additional Federal TANF funds totaling \$144 million will be used to offset FY 2014 General Fund spending for child care subsidies.

In addition, the State's projected costs for public assistance have been reduced by \$144 million annually across the plan period to reflect current caseload levels and the distribution of cases between the Family Assistance and Safety Net programs.

- **Human Services COLA:** The scheduled cost-of-living adjustments for human services providers have been updated based on the formula in State law.
- **General State Charges:** Costs have been revised upward due to litigation against the State, reduced escrow payments from State agencies to offset General Fund costs, and increases in Workers' Compensation payments.
- **Other Revisions:** DOB has revised its multi-year spending projections across a number of agencies and programs to account for recent trends and experience. Significant changes include: the write-down of amounts expected to be received from other funds,

## FINANCIAL PLAN OVERVIEW

based on an assessment of transaction risks; lower estimated spending for the GPHW program based on the continued trend of reduced claims submitted by counties; and higher anticipated costs for, among things, preschool special education, the State takeover of Medicaid administration, and ESDC local assistance commitments.

### GENERAL FUND CLOSING BALANCES

DOB estimates that the General Fund will end FY 2013 with a balance of \$1.5 billion, unchanged from the First Quarterly Update. The estimate of the closing balance does not account for the potential impact of the Storm. The following table summarizes the change in balances within the General Fund from the prior fiscal year.

<b>GENERAL FUND ESTIMATED CLOSING BALANCES</b> (millions of dollars)			
	<b>FY 2012</b>		<b>FY 2013</b>
	<b>Results</b>	<b>Change</b>	<b>Updated</b>
<b>Projected Fund Balance</b>	<b>1,787</b>	<b>(313)</b>	<b>1,474</b>
<b>Statutory Reserves:</b>			
Tax Stabilization Reserve Fund	1,131	0	1,131
Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	102	(45)	57
<b>Reserved for:</b>			
Prior Year Labor Agreements (2007-2011)	283	(206)	77
Undesignated Fund Balance	75	(62)	13

The Current Financial Plan includes the use of \$62 million of the undesignated fund balance for gap-closing purposes in FY 2013. The remaining \$13 million is expected to be available for debt management, consistent with prior years.

The Community Projects Fund, which finances discretionary (“member item”) grants allocated by the Legislature and Governor, is expected to decrease by \$45 million, reflecting the spend-down of the balance and no additional deposits.

The closing balance includes the remaining reserve to cover the costs of potential retroactive labor settlements with unions that have not agreed to terms for prior contract periods. The amount of the reserve is based on the general salary increases for prior periods agreed to by the State’s largest unions for the same period. Reserves will be reduced when labor agreements for prior periods are reached.

Balances in the State’s principal reserve funds are expected to remain unchanged in FY 2013.

### OTHER MATTERS AFFECTING THE FINANCIAL PLAN

#### GENERAL

The Updated Financial Plan is subject to many complex economic, natural, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Updated Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan.

The Updated Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impact of: national and international events, such as the Euro-zone financial crisis, consumer confidence, oil supplies and oil prices; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments on bonus income and capital gains realizations; and household debt reduction on consumer spending and State tax collections.

Among other factors, the Updated Financial Plan is subject to various other uncertainties and contingencies relating to: the extent, if any, to which wage increases for State employees exceed the annual wage costs assumed; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid contemplated by the Updated Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these specific issues are described in more detail herein. The projections and assumptions contained in the Updated Financial Plan are subject to revisions which may involve substantial change. No assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.

# **FINANCIAL PLAN OVERVIEW**

---

## **BUDGET RISKS AND UNCERTAINTIES**

There can be no assurance that the General Fund budget gaps will not increase materially from current projections. If such events were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the action of the Governor.

State law grants the Executive certain powers to achieve the Medicaid savings assumed in the Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated in the Updated Financial Plan. In addition, savings are dependent upon timely Federal approvals, appropriate amendments to existing systems and processes, revenue performance in the State's HCRA fund, which provides support for approximately one-third of the DOH State-share of Medicaid costs, and the participation of health care industry stakeholders. In particular, funding resources that are expected to be generated through health care surcharges and other provider assessments may decline as a result of Medicaid Redesign initiatives which reduce expenditures and unnecessary utilization, as well as from the continued shift of fee-for-service delivery models to managed care. An inability to achieve these planned savings would reduce the available funding support for Medicaid from HCRA, and could potentially require a commensurate level of additional General Fund support in order to meet program needs.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous receipts at the levels expected in the Financial Plan, including payments pursuant to the Tribal-State Compact that have failed to materialize in prior years; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year or future years.

DOB continues to assess the immediate and long-term fiscal issues caused by the Storm, including cash outlays for public and private assistance, costs to repair damaged infrastructure, and measures that may be needed to mitigate hazards caused by climate change. The Financial Plan estimates do not reflect an assessment of the Storm's impact.

The Storm highlighted vulnerabilities in the State's infrastructure, including mass transit systems, power transmission and distribution systems, and other critical lifelines, to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, states, and municipalities may be needed to adapt existing infrastructure to the risks posed by climate change.

### **HEALTH INSURANCE COMPANY CONVERSIONS**

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a "health care conversion"), subject to a number of terms, conditions, and approvals. Under State law, the State is entitled to proceeds from a health care conversion, and such must be used for health care-related expenses. The Updated Financial Plan continues to count on proceeds of \$250 million in FY 2013 and \$300 million annually in FYs 2014, 2015, and 2016, which would be deposited into the HCRA account. If a conversion does not occur on the timetable or at the levels assumed in the Updated Financial Plan, the State will be required to take other actions to increase available resources, reduce planned spending in HCRA, or finance additional expenses in the General Fund.

### **STATUS OF CURRENT LABOR NEGOTIATIONS**

The State has multi-year labor contracts with its two largest employee unions, CSEA and PEF, as well as NYSPBA (representing the APSU bargaining unit, formerly ALES), NYSCOPBA, and Council 82. Most of the contracts provide for no general salary increases for FY 2012 through FY 2014, substantial increases to employee health insurance contributions, and a temporary reduction in employee compensation through a deficit reduction program ("DRP"). Employees will receive a \$1,000 lump sum payment (\$775 paid in FY 2014 and \$225 paid in FY 2015); a 2 percent salary increase in both FY 2015 and FY 2016; and be repaid the value of FY 2013 deficit reduction adjustments at the end of their contract term. The PEF and NYSPBA contracts generally mirror the provisions for the other unions, but cover a four-year period. PEF and NYSPBA-represented employees will receive a 2 percent salary increase in FY 2015. PEF-represented employees will be repaid all DRP adjustments at the end of their contract in lieu of the \$1,000 lump sum payment contracts.

Employees in the unions that have reached settlements with the State have contingent layoff protection for FY 2013 and continuing protection for the full term of the agreements. Reductions in force due to management decisions to close or restructure facilities authorized by legislation, SAGE Commission recommendations, or material or unanticipated changes in the State's fiscal circumstances are not covered by this protection.

The State is in negotiations with its other unions, the largest of which is UUP, which represents faculty and non-teaching professional staff within the State University system.

## **FINANCIAL PLAN OVERVIEW**

---

### **LABOR SETTLEMENTS FOR PRIOR CONTRACT PERIODS**

The Updated Financial Plan continues to include a planned reserve to cover the costs of a pattern settlement with unions that have not agreed to contracts for prior contract periods. The amount of the reserve is based on the general salary increases agreed to by the State's largest unions for the same period. There can be no assurance that actual settlements for prior periods will not exceed the amounts reserved. In addition, the State's ability to fund the amounts reserved in FY 2014 and beyond depends on the achievement of balanced budgets in those years.

In August 2011, a judicial compensation commission authorized a multi-year plan to provide salary increases for judges beginning in FY 2013, which has now been implemented. The Updated Financial Plan assumes salary increases in the Judiciary's current budget projections.

### **CASH-FLOW PROJECTIONS**

The State authorizes the General Fund to borrow resources temporarily from available funds in STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other moneys belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

In FY 2012, the General Fund used STIP to meet certain payment obligations during April 2011, and repaid such amounts by the end of the same month.

Based on current information, DOB expects that the State will have sufficient liquidity to make payments as they become due throughout the remainder of FY 2013, but that the General Fund may, from time to time, need to borrow resources temporarily from other funds in STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants.

The following table provides actual month-end balances through October 2012 and estimated balances for the remaining months in FY 2013.

## FINANCIAL PLAN OVERVIEW

<b>ALL FUNDS MONTH-END CASH BALANCES</b>			
<b>FY 2013</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Other Funds</b>	<b>All Funds</b>
<b>April (Results)</b>	5,637	2,349	7,986
<b>May (Results)</b>	2,018	2,831	4,849
<b>June (Results)</b>	2,935	2,070	5,005
<b>July (Results)</b>	2,404	3,542	5,946
<b>August (Results)</b>	1,546	3,914	5,460
<b>September (Results)</b>	4,282	1,727	6,009
<b>October (Results)</b>	3,649	2,199	5,848
<b>November (Est.)</b>	2,481	2,476	4,957
<b>December (Est.)</b>	3,947	753	4,700
<b>January (Est.)</b>	6,064	1,664	7,728
<b>February (Est.)</b>	6,499	1,579	8,078
<b>March (Est.)</b>	1,474	1,750	3,224

### FEDERAL ACTIONS

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes. Any reduction in Federal funding levels could have a materially adverse impact on the State's Updated Financial Plan.

The Federal Budget Control Act ("BCA") of 2011 imposed annual caps on Federal discretionary spending over a ten-year period. The specific spending reductions necessary for Congress to live within the caps will be decided through the annual Federal budget process, so the magnitude of impact on Federal funds for the State has yet to be determined. Further, if additional deficit reduction is not enacted, the BCA directs that savings be achieved through sequestration of FY 2013 funding, with across-the-board cuts to Federal programs scheduled for January 2013, and lower discretionary caps in the following eight years. In a report to Congress, the Federal Office of Management and Budget estimated that Federal non-defense discretionary programs would face an across-the-board reduction of approximately 8.2 percent in January 2013. If the sequester is implemented, DOB estimates that New York State and local governments could lose approximately \$5 billion in Federal funding over nine years, beginning in FY 2013, from these additional Federal deficit reduction measures. DOB expects to make adjustments to the Financial Plan as more definitive information becomes available.

## **FINANCIAL PLAN OVERVIEW**

---

The State expects to receive a substantial amount of Federal aid for costs related to the Storm. There can be no assurance that the Federal government will approve aid at the levels or on the timetable requested by the State.

In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government, and, although no official audit has commenced, questions have been raised with respect to the reimbursement methodology used for New York State OPWDD-delivered developmental center services. The rates paid for these services are established in full accordance with the methodology set forth in New York's federally-approved State Plan. While New York State continues to work collaboratively with its Federal partners to resolve these concerns, adverse action by the Federal government relative to these claims could jeopardize a significant amount of Federal financial participation in the State Medicaid program.

### **LITIGATION**

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the State's Financial Plan.

### **OTHER POST-EMPLOYMENT BENEFITS**

State employees become eligible for post-employment benefits (health insurance) if they reach retirement while working for the State with at least ten years of service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a PAYGO basis as required by law.

In accordance with GASB Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2012, the ARC represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated with interest as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2012, an actuarial valuation of OPEB liabilities was performed as of April 1, 2010. The valuation calculated the present value of the actuarial accrued total liability for benefits as of April 1, 2010 at \$72.1 billion (\$59.7 billion for the State and \$12.4 billion for SUNY), determined using the Frozen Entry Age actuarial cost method, and is amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The net OPEB liability for FY 2012 totaled \$3.9 billion (\$3.1 billion for the State and \$0.8 billion for SUNY) under the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. This was \$2.5 billion (\$1.9 billion for the State and \$0.6 billion for SUNY) above the payments for retiree costs made by the State in FY 2012. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASB Statement 45 reduced the State's currently positive net asset condition at the end of FY 2012 by \$2.5 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the current Financial Plan to pre-fund OPEB liabilities. If such liabilities were pre-funded, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

### **BOND MARKET**

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be affected adversely. The success of projected public sales is subject to prevailing market conditions. Future developments in the financial markets generally, as well as future developments concerning the State, may affect the market for outstanding State-supported and State-related debt.

### **DEBT REFORM ACT LIMIT**

The Debt Reform Act of 2000 restricts the issuance of State-supported debt to capital purposes only and limits such debt to a maximum term of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued on and after April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001 and was fully phased in at 4 percent of personal income during FY 2011, while the cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001 and will increase until it is fully phased in at 5 percent during FY 2014.

The statute requires that the limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at this time, State-supported debt may continue to be issued.

## FINANCIAL PLAN OVERVIEW

However, if either the debt outstanding or the debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For FY 2012, the cumulative debt outstanding and debt service caps are 4.00 and 4.65 percent, respectively. As shown in the table below, the actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2012 the State has issued new debt resulting in \$35.8 billion of debt outstanding applicable to the debt reform cap. This is about \$4.0 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$3.5 billion in FY 2012 – or roughly \$2.7 billion below the statutory debt service limitation.

DEBT OUTSTANDING CAP (millions of dollars)	
New Debt Outstanding	\$35,803
Personal Income (CY 2011)	\$995,185
Debt Outstanding (Percent of PI)	3.60%
Cap Imposed by Debt Reform Act	4.00%

DEBT SERVICE CAP (millions of dollars)	
New Debt Service	\$3,473
Governmental Funds Receipts	\$132,745
Debt Service (Percent of Gov't Fund Receipts)	2.62%
Cap Imposed by Debt Reform Act	4.65%

Current projections estimate that debt outstanding and debt service will continue to remain below the limits imposed by the Act. However, the State is continuing through a period of relatively limited debt capacity. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$4.0 billion (0.40 percent) in FY 2012 to only \$509 million (0.05 percent) in FY 2014. The State is continuing to consider measures to address capital spending priorities and debt financing practices in order to stay within the statutory limitations.

The current projections do not account for potential bond-financed capital spending that may be needed in the aftermath of the Storm to repair damaged infrastructure and mitigate hazards related to climate change.

## FINANCIAL PLAN OVERVIEW

NEW DEBT OUTSTANDING - CAPPED AT 4 PERCENT OF PERSONAL INCOME (millions of dollars)					
	Personal		Actual/	\$	%
<u>Year</u>	<u>Income</u>	<u>Cap %</u>	<u>Recommended %</u>	<u>(Above)/Below Cap</u>	<u>(Above)/Below Cap</u>
FY 2012	995,185	4.00%	3.60%	4,004	0.40%
FY 2013	1,021,501	4.00%	3.86%	1,470	0.14%
FY 2014	1,062,812	4.00%	3.95%	509	0.05%
FY 2015	1,126,170	4.00%	3.92%	923	0.08%
FY 2016	1,189,498	4.00%	3.86%	1,611	0.14%
FY 2017	1,253,464	4.00%	3.76%	2,947	0.24%

NEW DEBT SERVICE COSTS - CAPPED AT 5 PERCENT OF ALL FUNDS RECEIPTS (millions of dollars)					
	All Funds		Actual/	\$	%
<u>Year</u>	<u>Receipts</u>	<u>Cap %</u>	<u>Recommended %</u>	<u>(Above)/Below Cap</u>	<u>(Above)/Below Cap</u>
FY 2012	132,745	4.65%	2.62%	2,700	2.03%
FY 2013	133,353	4.98%	2.85%	2,843	2.13%
FY 2014	138,315	5.00%	2.97%	2,810	2.03%
FY 2015	141,844	5.00%	3.07%	2,741	1.93%
FY 2016	146,492	5.00%	3.14%	2,721	1.86%
FY 2017	152,247	5.00%	3.19%	2,750	1.81%

### SECURED HOSPITAL PROGRAM

Under the Secured Hospital program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to issue debt. The contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by the New York State MCFFA and by DASNY through the Secured Hospital program. In the event there are shortfalls in revenues from other sources, which include hospital payments made under loan agreements between DASNY and the hospitals, and certain reserve funds held by the applicable trustees for the bonds, the State is liable for the debt service. As of March 31, 2012, there was about \$503 million of outstanding bonds for the program.

The financial condition of most hospitals in the State's Secured Hospital program continues to deteriorate. Of the nine hospitals in the program, several are experiencing significant operating losses that are likely to impair their ability to remain current on their loan agreements with DASNY. In relation to the Secured Hospital program, the Financial Plan projections continue to reflect the assumption of additional costs of \$3 million in FY 2013, \$32 million in FY 2014, and \$39 million annually thereafter. These amounts are based on the experience of hospitals in the program, and would cover the debt service costs associated with four hospitals that have not been meeting the terms of their agreements with DASNY. The State has additional exposure of up to a maximum of \$39 million annually, if all additional hospitals in the program fail to meet the terms of their agreements with DASNY and if available reserve funds were to be depleted.



**MULTI-YEAR FINANCIAL PLAN PROJECTIONS**





# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## INTRODUCTION

This section presents the State's updated multi-year Financial Plan and the projections for receipts and disbursements, reflecting the impact of the revisions to the First Quarterly Update described in this Updated Financial Plan. This section includes FY 2012 results and projections for FY 2013 through FY 2016, with an emphasis on the FY 2013 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes, complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The latter perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Over 40 percent of projected State-financed spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Updated Financial Plan. Accordingly, in terms of out-year projections (FY 2014 through FY 2016), FY 2014 is the most relevant from a planning perspective.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## MULTI-YEAR SUMMARY

The following tables present the multi-year projections and growth rates for the General Fund and State Operating Funds, as well as a reconciliation between the State Operating Funds projections and the General Fund budget gaps.<sup>3</sup> The tables are followed by an updated economic forecast and a summary of the multi-year receipts and disbursements forecasts.

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	Results	Updated	Projected	Projected	Projected
<b>Receipts</b>					
Taxes (After Debt Service)	52,634	54,314	57,712	58,739	61,037
Miscellaneous Receipts/Federal Grants	3,222	3,801	2,789	2,232	2,324
Other Transfers	1,044	954	832	744	792
<b>Total Receipts</b>	<b>56,900</b>	<b>59,069</b>	<b>61,333</b>	<b>61,715</b>	<b>64,153</b>
<b>Disbursements</b>					
Local Assistance Grants	38,419	39,816	41,653	43,082	45,358
School Aid	16,778	17,003	17,832	18,630	19,585
Medicaid	10,301	10,808	11,343	11,676	12,429
All Other	11,340	12,005	12,478	12,776	13,344
State Operations	7,494	7,951	7,097	7,385	7,774
Personal Service	5,781	6,161	5,501	5,646	5,946
Non-Personal Service	1,713	1,790	1,596	1,739	1,828
General State Charges	4,720	4,623	5,042	5,365	5,611
Gross State Pension Contribution	1,697	1,605	2,057	2,271	2,449
Gross State Employee Health Insurance	3,275	3,200	3,423	3,597	3,827
Fringe Benefit Escrow Offset/Fixed Costs	(252)	(182)	(438)	(503)	(665)
Transfers to Other Funds	5,856	6,992	8,606	9,463	9,766
Debt Service	1,516	1,564	1,617	1,514	1,488
Capital Projects	798	1,033	1,290	1,409	1,322
State Share Medicaid	2,722	2,975	2,759	2,615	2,520
Mental Hygiene	0	0	789	1,689	2,292
SUNY - Disproportionate Share Payments	225	228	228	228	228
SUNY - University Operations Subsidy	0	340	983	1,002	1,022
SUNY - Hospital Operations Subsidy	60	81	88	88	88
Department of Transportation (MTA Tax)	22	279	332	334	334
Court Facilities Incentive Aid Fund	114	106	107	108	109
All Other	399	386	413	476	363
<b>Total Disbursements</b>	<b>56,489</b>	<b>59,382</b>	<b>62,398</b>	<b>65,295</b>	<b>68,509</b>
Change in Reserves	411	(313)	(83)	10	14
<b>Budget Surplus/(Gap) Projections</b>	<b>0</b>	<b>0</b>	<b>(982)</b>	<b>(3,590)</b>	<b>(4,370)</b>

<sup>3</sup> The annual imbalances projected for the General Fund and State Operating Funds in future years are similar because the General Fund is the financing source of last resort for many State programs. Imbalances in other funds are typically financed by the General Fund.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS					
(millions of dollars)					
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	<u>Results</u>	<u>Updated</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
<b>Receipts</b>					
Taxes	62,960	64,741	68,593	70,122	72,868
Miscellaneous Receipts/Federal Grants	19,656	20,449	19,890	19,608	19,858
<b>Total Receipts</b>	<b><u>82,616</u></b>	<b><u>85,190</u></b>	<b><u>88,483</u></b>	<b><u>89,730</u></b>	<b><u>92,726</u></b>
<b>Disbursements</b>					
Local Assistance Grants	57,267	58,705	61,215	63,348	65,713
School Aid	19,662	20,056	20,911	21,714	22,671
STAR	3,233	3,276	3,459	3,642	3,744
Other Education Aid	1,698	1,975	2,004	2,087	2,199
Higher Education	2,608	2,618	2,812	2,888	2,967
Medicaid (DOH incl. administration)	15,297	15,860	16,513	17,049	17,895
Public Health/Aging	2,104	2,037	2,172	2,287	2,065
Mental Hygiene	3,756	3,644	3,799	4,034	4,345
Social Services	3,017	3,056	3,115	3,239	3,347
Transportation	4,230	4,378	4,556	4,634	4,730
Local Government Assistance	754	763	772	783	792
All Other <sup>1</sup>	908	1,042	1,102	991	958
State Operations	17,451	17,993	18,017	18,498	19,156
Personal Service	12,047	12,518	12,511	12,823	13,314
Non-Personal Service	5,404	5,475	5,506	5,675	5,842
General State Charges	6,593	6,617	7,217	7,656	8,085
Pension Contribution	1,697	1,605	2,057	2,271	2,449
Health Insurance (Active Employees)	2,052	1,772	1,902	1,960	2,065
Health Insurance (Retired Employees)	1,223	1,428	1,521	1,637	1,762
All Other	1,621	1,812	1,737	1,788	1,809
Debt Service	5,864	6,100	6,415	6,484	6,645
Capital Projects	6	5	5	5	5
<b>Total Disbursements</b>	<b><u>87,181</u></b>	<b><u>89,420</u></b>	<b><u>92,869</u></b>	<b><u>95,991</u></b>	<b><u>99,604</u></b>
Net Other Financing Sources/(Uses)	4,443	4,086	3,648	3,143	2,929
<b>Net Operating Surplus/(Deficit)</b>	<b><u>(122)</u></b>	<b><u>(144)</u></b>	<b><u>(738)</u></b>	<b><u>(3,118)</u></b>	<b><u>(3,949)</u></b>
<b>Reconciliation to General Fund Gap:</b>					
<b>Designated Fund Balances</b>	<b><u>122</u></b>	<b><u>144</u></b>	<b><u>(244)</u></b>	<b><u>(472)</u></b>	<b><u>(421)</u></b>
General Fund	(411)	313	83	(10)	(14)
Special Revenue Funds	507	(113)	(213)	(325)	(406)
Debt Service Funds	26	(56)	(114)	(137)	(1)
<b>General Fund Budget Gap</b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>(982)</u></b>	<b><u>(3,590)</u></b>	<b><u>(4,370)</u></b>

<sup>1</sup> All Other includes spending in a number of other programs, including parks and the environment, economic development, and public safety.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## ALL FUNDS RECEIPTS PROJECTIONS

The forecast of receipts includes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds.

<b>TOTAL RECEIPTS</b> (millions of dollars)				
	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>General Fund</b>	56,900	59,069	2,169	3.8%
<b>State Funds</b>	88,111	90,807	2,696	3.1%
<b>All Funds</b>	132,745	133,351	606	0.5%

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

<b>TOTAL RECEIPTS</b> (millions of dollars)							
	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>	<b>FY 2014 Projected</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>General Fund</b>	<b>56,900</b>	<b>59,069</b>	<b>2,169</b>	<b>3.8%</b>	<b>61,333</b>	<b>2,264</b>	<b>3.8%</b>
Taxes	41,754	43,213	1,459	3.5%	45,829	2,616	6.1%
Miscellaneous Receipts	3,162	3,741	579	18.3%	2,787	(954)	-25.5%
Federal Grants	60	60	0	0.0%	2	(58)	-96.7%
Transfers	11,924	12,055	131	1.1%	12,715	660	5.5%
<b>State Funds</b>	<b>88,111</b>	<b>90,807</b>	<b>2,696</b>	<b>3.1%</b>	<b>93,949</b>	<b>3,142</b>	<b>3.5%</b>
Taxes	64,297	66,140	1,843	2.9%	70,012	3,872	5.9%
Miscellaneous Receipts	23,669	24,522	853	3.6%	23,850	(672)	-2.7%
Federal Grants	145	145	0	0.0%	87	(58)	-40.0%
<b>All Funds</b>	<b>132,745</b>	<b>133,351</b>	<b>606</b>	<b>0.5%</b>	<b>138,315</b>	<b>4,964</b>	<b>3.7%</b>
Taxes	64,297	66,140	1,843	2.9%	70,012	3,872	5.9%
Miscellaneous Receipts	23,837	24,708	871	3.7%	24,036	(672)	-2.7%
Federal Grants	44,611	42,503	(2,108)	-4.7%	44,267	1,764	4.2%

After controlling for the impact of Tax Law changes, base tax revenue is estimated to increase by 5.8 percent for FY 2013 and 5.8 percent for FY 2014.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## CHANGE FROM FIRST QUARTERLY UPDATE

CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	First Quarter	Mid-Year Update	\$ Change	% Change	First Quarter	Mid-Year Update	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>46,707</b>	<b>47,014</b>	<b>307</b>	<b>0.7%</b>	<b>48,718</b>	<b>48,618</b>	<b>(100)</b>	<b>-0.2%</b>
Taxes	43,293	43,213	(80)	-0.2%	45,837	45,829	(8)	0.0%
Miscellaneous Receipts	3,354	3,741	387	11.5%	2,879	2,787	(92)	-3.2%
Federal Grants	60	60	0	0.0%	2	2	0	0.0%
<b>State Funds</b>	<b>90,769</b>	<b>90,807</b>	<b>38</b>	<b>0.0%</b>	<b>94,448</b>	<b>93,949</b>	<b>(499)</b>	<b>-0.5%</b>
Taxes	66,307	66,140	(167)	-0.3%	70,065	70,012	(53)	-0.1%
Miscellaneous Receipts	24,317	24,522	205	0.8%	24,296	23,850	(446)	-1.8%
Federal Grants	145	145	0	0.0%	87	87	0	0.0%
<b>All Funds</b>	<b>133,443</b>	<b>133,351</b>	<b>(92)</b>	<b>-0.1%</b>	<b>138,733</b>	<b>138,315</b>	<b>(418)</b>	<b>-0.3%</b>
Taxes	66,307	66,140	(167)	-0.3%	70,065	70,012	(53)	-0.1%
Miscellaneous Receipts	24,503	24,708	205	0.8%	24,482	24,036	(446)	-1.8%
Federal Grants	42,633	42,503	(130)	-0.3%	44,186	44,267	81	0.2%

<sup>1</sup> Excludes Transfers.

Miscellaneous receipts have been revised up by \$205 million, largely offset by minor decreases in receipts.

General Fund receipts for FY 2013 have been revised up by \$307 million from the First Quarterly Update, reflecting a \$387 million increase in miscellaneous receipts, offset by an \$80 million decrease in taxes.

## MULTI-YEAR RECEIPTS

TOTAL RECEIPTS (millions of dollars)							
	FY 2013	FY 2014	Annual \$	FY 2015	Annual \$	FY 2016	Annual \$
	Updated	Projected	Change	Projected	Change	Projected	Change
<b>General Fund</b>	<b>59,069</b>	<b>61,333</b>	<b>2,264</b>	<b>61,715</b>	<b>382</b>	<b>64,153</b>	<b>2,438</b>
Taxes	43,213	45,829	2,616	46,501	672	48,418	1,917
<b>State Funds</b>	<b>90,807</b>	<b>93,949</b>	<b>3,142</b>	<b>94,970</b>	<b>1,021</b>	<b>98,002</b>	<b>3,032</b>
Taxes	66,140	70,012	3,872	71,551	1,539	74,314	2,763
<b>All Funds</b>	<b>133,351</b>	<b>138,315</b>	<b>4,964</b>	<b>141,845</b>	<b>3,530</b>	<b>146,493</b>	<b>4,648</b>
Taxes	66,140	70,012	3,872	71,551	1,539	74,314	2,763

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

---

The economic forecast calls for a continuation of the modest recovery in employment and wages. This projected increase in the economic base supports the outyear revenue forecast. Overall, receipts growth in the two fiscal years following FY 2014 is expected to be consistent with the projected moderate growth in both the U.S. and New York economies.

### **REVENUE RISKS**

- The potential economic impact of the Storm presents a large revenue risk, but it is too early to make a full assessment.
- DOB's forecast assumes that combined Federal spending reductions and tax increases - the so-called "fiscal cliff" at the end of 2012 - are largely averted. If the fiscal cliff occurs and the economy contracts, New York revenues could grow more slowly than anticipated.
- A further increase in already high gasoline prices could divert disposable consumer income to fuel, decreasing consumption of taxable goods and services.
- A deepening European recession could drive exports lower and, in turn, cause corporate profits and tax receipts to grow more slowly than expected.
- PIT liability could be reduced if taxpayers do not realize the capital gains assumed in the forecast in anticipation of the Affordable Care Act tax provisions.
- The FY 2013 Financial Plan contains significant savings generated as a result of 2010 tax credit deferral legislation. Same-year confirmation of these savings is unavailable given tax filing and processing delays, and actual savings could fall below estimates.
- Bank and corporate franchise tax revenue streams are contingent on the timing and size of anticipated audit proceeds. Negotiations between the State and taxpayers are subject to unexpected delays, which may force audit proceeds into a subsequent fiscal year.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	FY 2012 Results	FY 2013 Updated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>25,843</b>	<b>26,844</b>	<b>1,001</b>	<b>3.9%</b>	<b>28,920</b>	<b>2,076</b>	<b>7.7%</b>
Gross Collections	46,030	47,252	1,222	2.7%	50,354	3,102	6.6%
Refunds/Offsets	(7,263)	(7,091)	172	-2.4%	(7,182)	(91)	1.3%
STAR	(3,233)	(3,276)	(43)	1.3%	(3,459)	(183)	5.6%
RBTF	(9,691)	(10,041)	(350)	3.6%	(10,793)	(752)	7.5%
<b>State/All Funds</b>	<b>38,767</b>	<b>40,161</b>	<b>1,394</b>	<b>3.6%</b>	<b>43,172</b>	<b>3,011</b>	<b>7.5%</b>
Gross Collections	46,030	47,252	1,222	2.7%	50,354	3,102	6.6%
Refunds	(7,263)	(7,091)	172	-2.4%	(7,182)	(91)	1.3%

<sup>1</sup> Excludes Transfers.

All Funds PIT receipts for FY 2013 are projected to be \$40.2 billion, an increase of \$1.4 billion (3.6 percent) from FY 2012. This primarily reflects modest increases in withholding, current estimated payments for tax year 2012, a decrease in total refunds, and higher delinquent collections partially offset by a decrease in extension (i.e. prior year estimated) payments for tax year 2011.

Withholding in FY 2013 is projected to be \$974 million (3.1 percent) higher compared to the prior year. This reflects the net impact of modest wage growth and additional withholding generated by the December 2011 reform, offset by lower withholding due to the expiration of the temporary high income surcharge in place for 2009 to 2011. Total estimated payments are expected to increase \$124 million (1.1 percent). Estimated payments for tax year 2012 are projected to be \$462 million (5.7 percent) higher. However, as noted above, extension payments (i.e. prior year estimated) for tax year 2011 are projected to fall 9.6 percent (\$338 million) compared to the inflated base of extensions for tax year 2010, which reflected the one-time realization of capital gains caused by uncertainty surrounding the late extension of the lower Federal tax rates on capital gains and high-income taxpayers in December of 2010. Delinquent collections and final return payments are projected to be \$88 million (8.1 percent) and \$36 million (1.7 percent) higher, respectively.

The decrease in total refunds of \$172 million mostly reflects a \$118 million (32.2 percent) decrease in the State-city offset and a \$93 million (2 percent) decrease in prior year refunds related to tax year 2011 partly reduced by \$39 million (8.3 percent) increase in previous years refunds related to tax years prior to 2011.

General Fund income tax receipts for FY 2013 of \$26.8 billion are expected to increase by \$1.0 billion (3.9 percent), from the prior year, mainly reflecting the increase in All Funds receipts noted above. Deposits to the RBTF and to the STAR fund are expected to increase \$350 million (3.6 percent) and \$43 million (1.3 percent), respectively.

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

---

All Funds income tax receipts for FY 2014 of \$43.2 billion are projected to increase \$3.0 billion (7.5 percent) from the prior year. This primarily reflects increases of \$2.2 billion (6.7 percent) in withholding, \$810 million (6.9 percent) in total estimated payments, partially offset by a \$91 million (1.3 percent) increase in total refunds. The increase in total estimated payments includes \$538 million (6.3 percent) in estimated payments related to tax year 2013 and \$272 million (8.5 percent) in extension (i.e. prior year estimated) payments related to tax year 2012. The strong projection for extension payments for tax year 2012 reflects early realization of capital gains due to the scheduled increase in Federal tax rates on investment income starting with tax year 2013 as a part of the Federal Affordable Care Act.

Payments from final returns are expected to increase \$113 million (5.3 percent) while delinquent collections are projected to increase by \$10 million (0.8 percent ) compared to the prior year. The increase in total refunds of \$91 million primarily reflects a \$183 million (4.0 percent) increase in prior year refunds 2012 partially offset by \$50 million (20.2 percent) drop in the state-city-offset and \$42 million decrease in previous years refunds related to tax years prior to 2012.

General Fund income tax receipts for FY 2014 of \$28.9 billion are projected to increase by \$2.1 billion (7.7 percent). The RBTF and STAR fund deposits are projected to increase by \$752 million (7.5 percent) and \$183 million (5.6 percent), respectively.

The following table summarizes, by component, actual receipts for FY 2012 and forecast amounts through FY 2016.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	(Actual)	(Updated)	(Projected)	(Projected)	(Projected)
Withholding	31,199	32,173	34,342	35,558	37,648
Estimated Payments	<u>11,628</u>	<u>11,752</u>	<u>12,563</u>	<u>13,477</u>	<u>13,360</u>
Current Year	8,097	8,559	9,097	10,143	9,823
Prior Year <sup>1</sup>	3,532	3,193	3,466	3,334	3,537
Final Returns	<u>2,117</u>	<u>2,153</u>	<u>2,266</u>	<u>2,151</u>	<u>2,251</u>
Current Year	224	227	241	242	242
Prior Year <sup>1</sup>	1,893	1,926	2,025	1,909	2,009
Delinquent Collections	<u>1,086</u>	<u>1,174</u>	<u>1,184</u>	<u>1,235</u>	<u>1,285</u>
Gross Receipts	46,030	47,252	50,354	52,421	54,544
Refunds					
Prior Year <sup>1</sup>	4,693	4,600	4,783	5,614	6,282
Previous Years	454	493	451	569	553
Current Year <sup>1</sup>	1,750	1,750	1,750	1,750	1,750
State-City Offset <sup>1</sup>	<u>366</u>	<u>248</u>	<u>198</u>	<u>148</u>	<u>148</u>
Total Refunds	7,263	7,091	7,182	8,081	8,733
Net Receipts	<u>38,767</u>	<u>40,161</u>	<u>43,172</u>	<u>44,340</u>	<u>45,811</u>

<sup>1</sup> These components, collectively, are known as the "settlement" on the prior year's tax liability.  
Totals may not equal sum of components due to rounding.

PERSONAL INCOME TAX CHANGE FROM FIRST QUARTERLY UPDATE FORECAST								
(millions of dollars)								
	FY 2013				FY 2014			
	First	Mid-Year	\$	%	First	Mid-Year	\$	%
	Quarter	Update			Change	Change		
<b>General Fund<sup>1</sup></b>	<b>26,916</b>	<b>26,844</b>	<b>(72)</b>	<b>-0.3%</b>	<b>28,920</b>	<b>28,920</b>	<b>0</b>	<b>0.0%</b>
Gross Collections	47,252	47,252	0	0.0%	50,354	50,354	0	0.0%
Refunds/Offsets	(6,996)	(7,091)	(95)	1.4%	(7,182)	(7,182)	0	0.0%
STAR	(3,276)	(3,276)	0	0.0%	(3,459)	(3,459)	0	0.0%
RBTF	(10,064)	(10,041)	23	-0.2%	(10,793)	(10,793)	0	0.0%
<b>State/All Funds</b>	<b>40,256</b>	<b>40,161</b>	<b>(95)</b>	<b>-0.2%</b>	<b>43,172</b>	<b>43,172</b>	<b>0</b>	<b>0.0%</b>
Gross Collections	47,252	47,252	0	0.0%	50,354	50,354	0	0.0%
Refunds	(6,996)	(7,091)	(95)	1.4%	(7,182)	(7,182)	0	0.0%

<sup>1</sup> Excludes Transfers.

Compared to the First Quarterly Update, FY 2013 All Funds income tax receipts are projected to decrease by \$95 million. The state-city offset is projected to increase by \$50 million reflecting higher offset transfers unfavorable to the State. Likewise, the \$45 million increase in previous years refunds related to tax years prior to 2011 reflects higher than projected refunds inventory.

Compared to the First Quarterly Update, FY 2014 All Funds income tax receipts are unchanged.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>28,920</b>	<b>29,613</b>	<b>693</b>	<b>30,614</b>	<b>1,001</b>
Gross Collections	50,354	52,421	2,067	54,544	2,123
Refunds/Offsets	(7,182)	(8,081)	(899)	(8,733)	(652)
STAR	(3,459)	(3,642)	(183)	(3,744)	(102)
RBTF	(10,793)	(11,085)	(292)	(11,453)	(368)
<b>State/All Funds</b>	<b>43,172</b>	<b>44,340</b>	<b>1,168</b>	<b>45,811</b>	<b>1,471</b>
Gross Collections	50,354	52,421	2,067	54,544	2,123
Refunds	(7,182)	(8,081)	(899)	(8,733)	(652)

<sup>1</sup>Excludes Transfers.

All Funds income tax receipts for FY 2015 of \$44.3 billion are projected to increase \$1.2 billion (2.7 percent) from the prior year. This change primarily reflects increases of \$1.2 billion (3.5 percent) in withholding, \$915 million (7.3 percent) in total estimated payments partially offset by an \$899 million (12.5 percent) increase in total refunds and a \$115 million (5.1 percent) decrease in final returns payments for tax year 2013. Delinquencies are projected to increase \$52 million (4.4 percent) from the prior year. The increase in total refunds of \$899 million almost entirely reflects an increase in current refunds for tax year 2013 due mainly to the beginning of the tax credit deferral payback.

General Fund income tax receipts for FY 2015 of \$29.6 billion are projected to increase by \$693 million (2.4 percent). The RBTF and STAR fund deposits are projected to increase by \$292 million (2.7 percent) and \$183 million (5.3 percent), respectively

All Funds income tax receipts are projected to increase by nearly \$1.5 billion (3.3 percent) in FY 2016 to reach \$45.8 billion while General Fund receipts are projected to be \$30.6 billion.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	FY 2012 Results	FY 2013 Updated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>9,055</b>	<b>9,189</b>	<b>134</b>	<b>1.5%</b>	<b>9,563</b>	<b>374</b>	<b>4.1%</b>
Sales Tax	8,346	8,484	138	1.7%	8,864	380	4.5%
Cigarette and Tobacco Taxes	471	461	(10)	-2.1%	454	(7)	-1.5%
Alcoholic Beverage Taxes	238	244	6	2.5%	245	1	0.4%
<b>State/All Funds</b>	<b>14,571</b>	<b>14,784</b>	<b>213</b>	<b>1.5%</b>	<b>15,304</b>	<b>520</b>	<b>3.5%</b>
Sales Tax	11,876	12,095	219	1.8%	12,635	540	4.5%
Cigarette and Tobacco Taxes	1,633	1,585	(48)	-2.9%	1,555	(30)	-1.9%
Motor Fuel	501	515	14	2.8%	517	2	0.4%
Highway Use Tax	132	147	15	11.4%	142	(5)	-3.4%
Alcoholic Beverage Taxes	238	244	6	2.5%	245	1	0.4%
Taxicab Surcharge	87	89	2	2.3%	96	7	7.9%
Auto Rental Tax	104	109	5	4.8%	114	5	4.6%

<sup>1</sup> Excludes Transfers.

All Funds user taxes and fees receipts for FY 2013 are estimated to be \$14.8 billion, an increase of \$213 million (1.5 percent) from FY 2012. Overall, sales tax receipts are estimated to increase by \$219 million (1.8 percent) compared with FY 2012. Non-sales tax user taxes and fees are estimated to decrease by \$6 million from FY 2012 due primarily to a decrease in cigarette and tobacco tax collections (\$48 million) partially offset by an estimated increase in HUT collections (\$15 million). HUT collections will increase since this is a triennial “registration” year, while taxable cigarette consumption has decreased.

General Fund user taxes and fees receipts are expected to total \$9.2 billion in FY 2013, an increase of \$134 million (1.5 percent) from FY 2012. Sales tax receipts will increase by \$138 million (1.7 percent) while cigarette and tobacco tax collections are estimated to decline by \$10 million. Alcohol beverage tax receipts are estimated to increase by \$6 million.

All Funds user taxes and fees receipts for FY 2014 are projected to be \$15.3 billion, an increase of \$520 million (3.5 percent) from FY 2013. Sales tax receipts are projected to increase by \$540 million (4.5 percent) due to projected growth in New York disposable income, light vehicle sales and employment. Cigarette tax collections are projected to decline by \$30 million due to a projected continuation of a long-term decline in consumption. General Fund user taxes and fees receipts are projected to total nearly \$9.6 billion in FY 2014, an increase of \$374 million (4.1 percent) from FY 2013.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

USER TAXES AND FEES CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	First Quarter	Mid-Year Update	\$ Change	% Change	First Quarter	Mid-Year Update	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>9,196</b>	<b>9,189</b>	<b>(7)</b>	<b>-0.1%</b>	<b>9,570</b>	<b>9,563</b>	<b>(7)</b>	<b>-0.1%</b>
Sales Tax	8,483	8,484	1	0.0%	8,863	8,864	1	0.0%
Cigarette and Tobacco Taxes	469	461	(8)	-1.7%	462	454	(8)	-1.7%
Alcoholic Beverage Taxes	244	244	0	0.0%	245	245	0	0.0%
<b>State/All Funds</b>	<b>14,814</b>	<b>14,784</b>	<b>(30)</b>	<b>-0.2%</b>	<b>15,334</b>	<b>15,304</b>	<b>(30)</b>	<b>-0.2%</b>
Sales Tax	12,095	12,095	0	0.0%	12,635	12,635	0	0.0%
Cigarette and Tobacco Taxes	1,615	1,585	(30)	-1.9%	1,585	1,555	(30)	-1.9%
Motor Fuel	515	515	0	0.0%	516	517	1	0.2%
Highway Use Tax	147	147	0	0.0%	142	142	0	0.0%
Alcoholic Beverage Taxes	244	244	0	0.0%	245	245	0	0.0%
Taxicab Surcharge	89	89	0	0.0%	96	96	0	0.0%
Auto Rental Tax	109	109	0	0.0%	115	114	(1)	-0.9%

<sup>1</sup> Excludes Transfers.

All Funds user taxes and fees for FY 2013 are estimated to be \$14.8 billion, a decline of \$30 million (0.2 percent) from the First Quarterly Update. The cigarette and tobacco taxes estimate is revised downward by \$30 million to reflect lower than anticipated consumption. General Fund user taxes and fees receipts are estimated to be \$9.2 billion in FY 2013, a decrease of \$7 million from the First Quarterly Update.

All Funds user taxes and fees for FY 2014 are projected to be \$15.3 billion, a decrease of \$30 million (0.2 percent) from the First Quarterly Update. General Fund user taxes and fees receipts are projected to be \$9.6 billion in FY 2014, a decrease of \$7 million from the First Quarterly Update.

USER TAXES AND FEES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>9,563</b>	<b>9,978</b>	<b>415</b>	<b>10,343</b>	<b>365</b>
Sales Tax	8,864	9,281	417	9,654	373
Cigarette and Tobacco Taxes	454	447	(7)	439	(8)
Alcoholic Beverage Taxes	245	250	5	250	0
<b>State/All Funds</b>	<b>15,304</b>	<b>15,880</b>	<b>576</b>	<b>16,395</b>	<b>515</b>
Sales Tax	12,635	13,221	586	13,748	527
Cigarette and Tobacco Taxes	1,555	1,526	(29)	1,496	(30)
Motor Fuel	517	520	3	524	4
Highway Use Tax	142	144	2	152	8
Alcoholic Beverage Taxes	245	250	5	250	0
Taxicab Surcharge	96	100	4	101	1
Auto Rental Tax	114	119	5	124	5

<sup>1</sup> Excludes Transfers.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds user taxes and fees are projected to be \$15.9 billion in FY 2015, an increase of \$576 million (3.8 percent) from FY 2014. General Fund receipts are projected to be nearly \$10 billion, an increase of \$415 million (4.3 percent). All Funds user taxes and fees are projected to be \$16.4 billion in FY 2016, an increase of \$515 million (3.2 percent) from FY 2015. General Fund receipts are projected to be \$10.3 billion, an increase of \$365 million (3.7 percent).

All Funds and General Funds receipts estimates essentially reflect trend growth rates in taxable consumption.

### BUSINESS TAXES

<b>BUSINESS TAXES</b> (millions of dollars)							
	<b>FY 2012</b> <b>Results</b>	<b>FY 2013</b> <b>Updated</b>	<b>Annual \$</b> <b>Change</b>	<b>Annual %</b> <b>Change</b>	<b>FY 2014</b> <b>Projected</b>	<b>Annual \$</b> <b>Change</b>	<b>Annual %</b> <b>Change</b>
<b>General Fund</b>	<b>5,760</b>	<b>6,035</b>	<b>275</b>	<b>4.8%</b>	<b>6,208</b>	<b>173</b>	<b>2.9%</b>
Corporate Franchise Tax	2,724	2,635	(89)	-3.3%	2,836	201	7.6%
Corporation & Utilities Tax	617	660	43	7.0%	638	(22)	-3.3%
Insurance Tax	1,257	1,301	44	3.5%	1,364	63	4.8%
Bank Tax	1,161	1,439	278	23.9%	1,370	(69)	-4.8%
Petroleum Business Tax	1	0	(1)	-100.0%	0	0	0.0%
<b>State/All Funds</b>	<b>7,877</b>	<b>8,210</b>	<b>333</b>	<b>4.2%</b>	<b>8,440</b>	<b>230</b>	<b>2.8%</b>
Corporate Franchise Tax	3,176	3,036	(140)	-4.4%	3,279	243	8.0%
Corporation & Utilities Tax	797	844	47	5.9%	822	(22)	-2.6%
Insurance Tax	1,413	1,458	45	3.2%	1,531	73	5.0%
Bank Tax	1,391	1,710	319	22.9%	1,608	(102)	-6.0%
Petroleum Business Tax	1,100	1,162	62	5.6%	1,200	38	3.3%

All Funds business tax receipts for FY 2013 are estimated at \$8.2 billion, an increase of \$333 million (4.2 percent) from the prior year. The estimates reflect growth across all taxes, with the exception of the corporate franchise tax. The estimate includes an incremental \$71 million (\$313 million in FY 2012 to \$384 million in FY 2013) from the deferral of certain tax credits that was part of the FY 2011 Enacted Budget.

The annual decline in the corporate franchise tax of \$140 million (4.4 percent) is attributable to weakness in tax year 2012 payments as well as lower audit receipts. Tax year 2012 payments are expected to decline 6.8 percent from the prior year. Fewer large audit cases contribute to the 24.3 percent decline in year-over-year audit receipts.

The corporation and utilities tax is expected to increase \$47 million (5.9 percent) from FY 2012. A large telecommunications audit was received in the first quarter of FY 2013 resulting in estimated year-over-year annual growth of nearly \$29 million (100 percent) in audit collections. Gross receipts are expected to be flat compared to FY 2012 as revenue has been affected by declining revenue from the telecommunications sector. Numerous changes have occurred in this sector over the last several years that have diminished the taxable base. These changes have been highlighted by consumer shifts to mobile and non-cable voice over Internet protocol telecommunications at the expense of landline telecommunications and the growing use of internet-based communications tools such as Twitter and Facebook.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Growth in insurance tax receipts (\$45 million, 3.2 percent) is expected to be at historical trend growth as the economy steadily improves.

The bank tax is estimated to grow \$319 million (22.9 percent) in FY 2013 as banks continue to report healthy profits bolstered by a reduction in loan loss reserves and increased refinancing activity due to low mortgage rates. Tax year 2012 payments from commercial taxpayers are expected to increase 19.9 percent. Additionally, a large bank audit is expected to be received in the third quarter of FY 2013.

General Fund business tax receipts for FY 2013 of \$6 billion are estimated to increase by \$275 million (4.8 percent) from FY 2012 results. Business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

All Funds business tax receipts for FY 2014 of \$8.4 billion are projected to increase \$230 million (2.8 percent) from the prior year reflecting growth in gross receipts (but not audits) across all business taxes.

General Fund business tax receipts for FY 2014 of \$6.2 billion are projected to increase \$173 million (2.9 percent) from the prior year.

<b>ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS</b>					
<b>(millions of dollars)</b>					
	<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011</b>	<b>FY 2012</b>	<b>FY 2013</b>
	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Updated</b>
<b>Corporate Franchise Tax</b>	<b>3,220</b>	<b>2,511</b>	<b>2,846</b>	<b>3,176</b>	<b>3,036</b>
Audit	905	698	810	1,080	818
Non-Audit	2,315	1,813	2,036	2,096	2,218
<b>Corporation and Utilities Taxes</b>	<b>863</b>	<b>954</b>	<b>814</b>	<b>796</b>	<b>844</b>
Audit	47	52	14	29	58
Non-Audit	816	902	800	767	786
<b>Insurance Taxes</b>	<b>1,181</b>	<b>1,491</b>	<b>1,351</b>	<b>1,413</b>	<b>1,458</b>
Audit	41	35	38	21	26
Non-Audit	1,140	1,456	1,313	1,392	1,432
<b>Bank Taxes</b>	<b>1,233</b>	<b>1,399</b>	<b>1,178</b>	<b>1,392</b>	<b>1,710</b>
Audit	455	290	239	125	317
Non-Audit	778	1,109	939	1,267	1,393
<b>Petroleum Business Taxes</b>	<b>1,107</b>	<b>1,104</b>	<b>1,091</b>	<b>1,100</b>	<b>1,162</b>
Audit	16	10	7	6	6
Non-Audit	1,091	1,094	1,084	1,094	1,156
<b>Total Business Taxes</b>	<b>7,604</b>	<b>7,459</b>	<b>7,280</b>	<b>7,877</b>	<b>8,210</b>
Audit	1,464	1,085	1,108	1,261	1,225
Non-Audit	6,140	6,374	6,172	6,616	6,985

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

BUSINESS TAXES CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	First	Mid-Year	\$ Change	% Change	First	Mid-Year	\$ Change	% Change
	Quarter	Update			Quarter	Update		
<b>General Fund</b>	<b>6,035</b>	<b>6,035</b>	<b>0</b>	<b>0.0%</b>	<b>6,208</b>	<b>6,208</b>	<b>0</b>	<b>0.0%</b>
Corporate Franchise Tax	2,737	2,635	(102)	-3.7%	2,931	2,836	(95)	-3.2%
Corporation & Utilities Tax	684	660	(24)	-3.5%	662	638	(24)	-3.6%
Insurance Tax	1,322	1,301	(21)	-1.6%	1,373	1,364	(9)	-0.7%
Bank Tax	1,292	1,439	147	11.4%	1,242	1,370	128	10.3%
<b>State/All Funds</b>	<b>8,226</b>	<b>8,210</b>	<b>(16)</b>	<b>-0.2%</b>	<b>8,463</b>	<b>8,440</b>	<b>(23)</b>	<b>-0.3%</b>
Corporate Franchise Tax	3,172	3,036	(136)	-4.3%	3,413	3,279	(134)	-3.9%
Corporation & Utilities Tax	879	844	(35)	-4.0%	860	822	(38)	-4.4%
Insurance Tax	1,479	1,458	(21)	-1.4%	1,539	1,531	(8)	-0.5%
Bank Tax	1,534	1,710	176	11.5%	1,451	1,608	157	10.8%
Petroleum Business Tax	1,162	1,162	0	0.0%	1,200	1,200	0	0.0%

Compared to the First Quarterly Update, FY 2013 All Funds business tax receipts are revised down by \$16 million (0.2 percent), while General Fund receipts are unchanged. The decrease in All Funds receipts is the result of weaker than expected calendar year 2012 payments in all taxes, except the bank tax.

Compared to the First Quarterly Update, FY 2014 All Funds business tax receipts are revised down by \$23 million (0.3 percent) and the General Fund is unchanged. Continued calendar year taxpayer weakness in the corporate franchise and corporation and utilities taxes is partially offset by continued strength in the bank tax.

BUSINESS TAXES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund</b>	<b>6,208</b>	<b>5,686</b>	<b>(522)</b>	<b>6,237</b>	<b>551</b>
Corporate Franchise Tax	2,836	2,150	(686)	2,526	376
Corporation & Utilities Tax	638	689	51	710	21
Insurance Tax	1,364	1,408	44	1,484	76
Bank Tax	1,370	1,439	69	1,517	78
Petroleum Business Tax	0	0	0	0	0
<b>State/All Funds</b>	<b>8,440</b>	<b>7,973</b>	<b>(467)</b>	<b>8,586</b>	<b>613</b>
Corporate Franchise Tax	3,279	2,625	(654)	3,030	405
Corporation & Utilities Tax	822	875	53	902	27
Insurance Tax	1,531	1,580	49	1,662	82
Bank Tax	1,608	1,688	80	1,781	93
Petroleum Business Tax	1,200	1,205	5	1,211	6

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds business tax receipts for FY 2015 and FY 2016 reflect trend growth that is determined in part by the expected levels of corporate profits, taxable insurance premiums, electric utility consumption prices, the consumption of telecommunications services and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to decline to \$8 billion (5.5 percent) in FY 2015, and increase to \$8.6 billion (7.7 percent) in FY 2016. The decline in FY 2015 reflects the first year of the repayment of deferred tax credits to taxpayers. General Fund business tax receipts over this period are expected to decline to \$5.7 billion (8.4 percent) in FY 2015 and increase to \$6.2 billion (9.7 percent) in FY 2016.

### OTHER TAXES

OTHER TAXES (millions of dollars)							
	FY 2012 Results	FY 2013 Updated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>1,096</b>	<b>1,146</b>	<b>50</b>	<b>4.6%</b>	<b>1,139</b>	<b>(7)</b>	<b>-0.6%</b>
Estate Tax	1,078	1,127	49	4.5%	1,120	(7)	-0.6%
Gift Tax	0	0	0	NA	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Pari-Mutuel Taxes	17	18	1	5.9%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
<b>State/All Funds</b>	<b>1,706</b>	<b>1,806</b>	<b>100</b>	<b>5.9%</b>	<b>1,874</b>	<b>68</b>	<b>3.8%</b>
Estate Tax	1,078	1,127	49	4.5%	1,120	(7)	-0.6%
Gift Tax	0	0	0	NA	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Real Estate Transfer Tax	610	660	50	8.2%	735	75	11.4%
Pari-Mutuel Taxes	17	18	1	5.9%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

<sup>1</sup> Excludes Transfers.

All Funds other taxes receipts for FY 2013 are estimated to be just over \$1.8 billion, an increase of \$100 million (5.9 percent) from FY 2012. Growth in the estate tax of \$49 million (4.5 percent) and in the real estate transfer tax of \$50 million (8.2 percent) reflect an expected increase in household net worth and a recovering real estate market.

General Fund other taxes receipts are expected to total over \$1.1 billion in FY 2013, an increase of \$50 million (4.6 percent) from FY 2012. This is almost entirely attributable to the increase in the estate tax noted above.

All Funds other taxes receipts for FY 2014 are projected to be nearly \$1.9 billion, an increase of \$68 million (3.8 percent) from FY 2013, driven almost entirely by the strong growth in the real estate transfer tax of \$75 million (11.4 percent). The real estate transfer tax increase is driven by a combination of a projected increase in both NY housing starts and average NY housing price.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES CHANGE FROM FIRST QUARTERLY UPDATE FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	First Quarter	Mid-Year Update	\$ Change	% Change	First Quarter	Mid-Year Update	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>1,146</b>	<b>1,146</b>	<b>0</b>	<b>0.0%</b>	<b>1,139</b>	<b>1,139</b>	<b>0</b>	<b>0.0%</b>
Estate Tax	1,127	1,127	0	0.0%	1,120	1,120	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%	18	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
<b>State/All Funds</b>	<b>1,831</b>	<b>1,806</b>	<b>(25)</b>	<b>-1.4%</b>	<b>1,874</b>	<b>1,874</b>	<b>0</b>	<b>0.0%</b>
Estate Tax	1,127	1,127	0	0.0%	1,120	1,120	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	685	660	(25)	-3.6%	735	735	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%	18	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

<sup>1</sup> Excludes Transfers.

All Funds other taxes for FY 2013 have been revised downward by \$25 million (1.4 percent) from the First Quarterly Update estimate, primarily due to year-to-date real estate transfer tax receipts. All Funds other taxes receipts for FY 2014 are changed from the First Quarterly Update.

General Fund other taxes receipts for FY 2013 are unchanged from the First Quarterly Update.

OTHER TAXES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>1,139</b>	<b>1,224</b>	<b>85</b>	<b>1,224</b>	<b>0</b>
Estate Tax	1,120	1,205	85	1,205	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
<b>State/All Funds</b>	<b>1,874</b>	<b>2,029</b>	<b>155</b>	<b>2,104</b>	<b>75</b>
Estate Tax	1,120	1,205	85	1,205	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	735	805	70	880	75
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0

<sup>1</sup> Excludes Transfers.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

The FY 2015 All Funds receipts projection for other taxes is \$2.0 billion, up \$155 million (8.3 percent) from FY 2014 receipts. Moderate growth in the estate tax is projected to follow expected increases in household net worth. Receipts from the real estate transfer tax are projected to increase substantially, reflecting expected improvement in residential and commercial real estate markets.

The FY 2016 All Funds receipts projection for other taxes of over \$2.1 billion is up \$75 million (3.7 percent) from FY 2015 receipts. The forecast reflects continued projected increases in household net worth and the value of real estate transfers.

### MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)							
	FY 2012 Results	FY 2013 Updated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>3,222</b>	<b>3,801</b>	<b>579</b>	<b>18.0%</b>	<b>2,789</b>	<b>(1,012)</b>	<b>-26.6%</b>
Miscellaneous Receipts	3,162	3,741	579	18.3%	2,787	(954)	-25.5%
Federal Grants	60	60	0	0.0%	2	(58)	-96.7%
<b>State Funds</b>	<b>23,814</b>	<b>24,667</b>	<b>853</b>	<b>3.6%</b>	<b>23,937</b>	<b>(730)</b>	<b>-3.0%</b>
Miscellaneous Receipts	23,669	24,522	853	3.6%	23,850	(672)	-2.7%
Federal Grants	145	145	0	0.0%	87	(58)	-40.0%
<b>All Funds</b>	<b>68,448</b>	<b>67,211</b>	<b>(1,237)</b>	<b>-1.8%</b>	<b>68,303</b>	<b>1,092</b>	<b>1.6%</b>
Miscellaneous Receipts	23,837	24,708	871	3.7%	24,036	(672)	-2.7%
Federal Grants	44,611	42,503	(2,108)	-4.7%	44,267	1,764	4.2%

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$24.7 billion in FY 2013, an annual increase of \$871 million from FY 2012 results, which is mainly due to projected growth in receipts to the HCRA fund, receipts from a settlement agreement between Standard Chartered Bank and the State, resources and recoveries from public authorities, and the receipt of civil recoveries made by district attorneys.

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending and Federal allocations. While the timing of Federal receipts can sometimes be difficult to predict, DOB typically plans that Federal reimbursement will be received in the State fiscal year in which spending occurs. All Funds Federal grants are projected to total \$42.5 billion in FY 2013, a decrease of \$2.1 billion from FY 2012, which largely reflects the expiration of certain Federal ARRA moneys.

General Fund miscellaneous receipts are projected to total over \$3.7 billion in FY 2013, an increase of \$579 million from FY 2012 results, which is mainly due to one-time payments from the Standard Chartered Bank Settlement, resources and recoveries from public authorities, and civil recoveries made by district attorneys.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Total General Fund receipts in FY 2014 are expected to decline by \$1.0 billion, reflecting a decline from the level of FY 2013 receipts which included one-time payments, and an acceleration of Abandoned Property proceeds.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM FIRST QUARTERLY UPDATE FORECAST								
(millions of dollars)								
	FY 2013				FY 2014			
	First Quarter	Mid-Year Update	\$ Change	% Change	First Quarter	Mid-Year Update	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>3,414</b>	<b>3,801</b>	<b>387</b>	<b>11.3%</b>	<b>2,881</b>	<b>2,789</b>	<b>(92)</b>	<b>-3.2%</b>
Miscellaneous Receipts	3,354	3,741	387	11.5%	2,879	2,787	(92)	-3.2%
Federal Grants	60	60	0	0.0%	2	2	0	0.0%
<b>State Funds</b>	<b>24,462</b>	<b>24,667</b>	<b>205</b>	<b>0.8%</b>	<b>24,383</b>	<b>23,937</b>	<b>(446)</b>	<b>-1.8%</b>
Miscellaneous Receipts	24,317	24,522	205	0.8%	24,296	23,850	(446)	-1.8%
Federal Grants	145	145	0	0.0%	87	87	0	0.0%
<b>All Funds</b>	<b>67,136</b>	<b>67,211</b>	<b>75</b>	<b>0.1%</b>	<b>68,668</b>	<b>68,303</b>	<b>(365)</b>	<b>-0.5%</b>
Miscellaneous Receipts	24,503	24,708	205	0.8%	24,482	24,036	(446)	-1.8%
Federal Grants	42,633	42,503	(130)	-0.3%	44,186	44,267	81	0.2%

<sup>1</sup> Excludes Transfers.

All Funds miscellaneous receipts have been revised upward by \$205 million in FY 2013, which largely reflects receipts from a settlement agreement between the Standard Chartered Bank and the State (\$340 million), partly offset by lower expected receipts from HCRA financing sources. Receipts have been reduced by \$446 million in FY 2014, reflecting revised assumptions on the level of resources and recoveries available to the State from public authorities and lower expected receipts from HCRA financing sources.

Projections of Federal grants for FY 2013 and FY 2014 have changed marginally since the First Quarterly Update to better reflect year-to-date receipts and updated assumptions.

General Fund miscellaneous receipts in FY 2013 have been revised upward by \$387 million, largely reflecting the one-time settlement from Standard Chartered Bank. FY 2014 receipts are revised downward by \$92 million, reflecting revised assumptions on the level of resources and recoveries available to the State from public authorities.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

<b>MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS</b>					
<b>(millions of dollars)</b>					
	<b>FY 2014</b>	<b>FY 2015</b>	<b>Annual \$</b>	<b>FY 2016</b>	<b>Annual \$</b>
	<b>Projected</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>
<b>General Fund</b>	<b>2,789</b>	<b>2,232</b>	<b>(557)</b>	<b>2,324</b>	<b>92</b>
Miscellaneous Receipts	2,787	2,232	(555)	2,324	92
Federal Grants	2	0	(2)	0	0
<b>State Funds</b>	<b>23,937</b>	<b>23,419</b>	<b>(518)</b>	<b>23,688</b>	<b>269</b>
Miscellaneous Receipts	23,850	23,334	(516)	23,603	269
Federal Grants	87	85	(2)	85	0
<b>All Funds</b>	<b>68,303</b>	<b>70,294</b>	<b>1,991</b>	<b>72,179</b>	<b>1,885</b>
Miscellaneous Receipts	24,036	23,520	(516)	23,789	269
Federal Grants	44,267	46,774	2,507	48,390	1,616

All Funds miscellaneous receipts are projected to decline by \$516 million in FY 2015, partly due to the expiration of the temporary increase to 18-a utility assessments. All Funds miscellaneous receipts are projected to increase by \$269 million in FY 2016.

Annual Federal grants growth of \$2.5 billion in FY 2015, and \$1.6 billion in FY 2016, is primarily due to growth in Federal Medicaid spending.

General Fund miscellaneous receipts and Federal grants collections for FY 2015 and FY 2016 are projected to be \$2.2 billion and \$2.3 billion respectively.

### DISBURSEMENTS

The multi-year disbursements projections take into account agency staffing levels, program caseloads, funding formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time.

Total disbursements in FY 2013 are estimated at \$59.4 billion in the General Fund and \$89.4 billion in State Operating Funds. Over the multi-year Financial Plan, State Operating Funds spending projections reflect spending at the capped growth rates for Medicaid and School Aid, and contemplate the effect of national health care reform on State health care costs. The projections do not reflect any potential impact of automatic spending reductions that will be triggered if the Federal government does not enact an alternative deficit reduction plan.

Medicaid, education, pension costs, employee and retiree health benefits, social services programs and debt service are significant drivers of spending growth over the Plan period.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## LOCAL ASSISTANCE GRANTS

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. State-funded local assistance spending accounts for over 65 percent of total State Operating Funds spending. Education and health care spending account for three-quarters of local assistance spending.

Selected assumptions used in preparing the spending projections for the State's major local aid programs and activities are summarized in the following table.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
	FY 2012 Results	Forecast			
		FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Medicaid</b>					
Medicaid Caseload	4,535,463	4,628,505	4,856,565	5,324,544	5,395,005
- Family Health Plus Caseload	427,066	453,355	479,644	505,932	532,221
- Child Health Plus Caseload	418,241	436,241	454,241	472,241	490,241
State Takeover of County/NYC Costs (\$000)	<u>\$1,544</u>	<u>\$1,467</u>	<u>\$1,846</u>	<u>\$2,458</u>	<u>\$3,201</u>
- Family Health Plus	\$428	\$515	\$597	\$682	\$775
- Medicaid	\$1,116	\$952	\$1,249	\$1,776	\$2,426
<b>Education</b>					
School Aid (School Year) (\$000)	\$19,542	\$20,347	\$21,059	\$21,901	\$22,908
Education Personal Income Growth Index	N/A	4.1	3.5	4.0	4.6
<b>Higher Education</b>					
Public Higher Education Enrollment (FTEs)	576,300	577,664	578,242	578,820	579,399
Tuition Assistance Program Recipients	309,334	310,633	310,633	310,633	310,633
<b>Welfare</b>					
Family Assistance Caseload	383,052	378,934	368,234	358,795	351,009
Single Adult/No Children Caseload	183,863	187,254	185,777	184,815	184,361
<b>Mental Hygiene</b>					
Total Mental Hygiene Community Beds	<u>87,895</u>	<u>91,793</u>	<u>96,330</u>	<u>100,588</u>	<u>101,393</u>
- OMH Community Beds	36,179	39,431	43,097	46,616	47,366
- OPWDD Community Beds	39,012	39,621	40,404	41,077	41,077
- OASAS Community Beds	12,704	12,741	12,829	12,895	12,950
Prison Population (Corrections)	55,944	55,900	55,900	55,900	55,900

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## EDUCATION

### SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 676 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

#### School Year (July 1 — June 30)

The FY 2013 Financial Plan reflects a two-year appropriation for School Aid. Annual increases in School Aid are calculated on the rate of growth in New York State personal income. School Aid funding is expected to increase by \$805 million (4.1 percent) in the 2012-13 school year and \$712 million (3.5 percent) in the 2013-14 school year. The estimated growth rates are expected to be updated in the Executive Budget Financial Plan due in January 2013.

Over the multi-year Financial Plan, School Aid funding is expected to be a function of both a personal income growth index used to determine allowable growth and future legislation to allocate the allowable increases. Current law prescribes allowable growth to include spending for new competitive performance grant programs which reward school districts that demonstrate significant student performance improvements or those that undertake long-term structural changes to reduce costs and improve efficiency. Allowable growth also includes increases in expense-based aid programs (e.g., Building Aid, Transportation Aid) and certain other aid categories under existing statutory provisions. Any remaining amount of allowable growth can be allocated pursuant to a chapter of law for purposes including, but not limited to, additional spending for competitive grants, phase-in increases in Foundation Aid or restoration of the GEA.

For the 2012-13 school year, this \$805 million allowable increase includes \$243 million in growth in expense-based aids and other miscellaneous aid categories under current law, a \$400 million GEA restoration, a \$112 million increase in Foundation Aid, and \$50 million to support the first of three annual payments for the first round of performance grants. A second, expanded round of performance grants will be awarded in the 2012-13 school year from \$75 million in annual funding outside the school aid growth limit.

School Aid is projected to increase by \$842 million in the 2014-15 school year, and is projected to reach an annual total of \$22.9 billion in the 2015-16 school year.

TOTAL SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)								
(millions of dollars)								
<u>SY 2012</u>	<u>SY 2013</u>	<u>Change</u>	<u>SY 2014</u>	<u>Change</u>	<u>SY 2015</u>	<u>Change</u>	<u>SY 2016</u>	<u>Change</u>
\$19,542	\$20,347	\$805	\$21,059	\$712	\$21,901	\$842	\$22,908	\$1,007
		4.1%		3.5%		4.0%		4.6%

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## STATE FISCAL YEAR

The State finances School Aid from General Fund revenues and from Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated revenue fund. Because the State fiscal year begins on April 1, the State pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels for School Aid on a State fiscal year basis.

TOTAL SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>19,662</b>	<b>20,056</b>	<b>2.0%</b>	<b>20,911</b>	<b>4.3%</b>	<b>21,714</b>	<b>3.8%</b>	<b>22,671</b>	<b>4.4%</b>
General Fund Local Assistance	16,778	17,003	1.3%	17,832	4.9%	18,630	4.5%	19,585	5.1%
Core Lottery Aid	2,147	2,187	1.9%	2,200	0.6%	2,195	-0.2%	2,197	0.1%
VLT Lottery Aid	682	847	24.2%	879	3.8%	889	1.1%	889	0.0%
General Fund Lottery/VLT Guarantee	55	19	-65.5%	0	-100.0%	0	-100.0%	0	0.0%

State spending for School Aid is projected to total \$20.1 billion in FY 2013. Receipts available to finance School Aid, from lottery sales and VLT facilities, are expected to grow marginally in upcoming years. In addition to State aid, school districts receive over \$3 billion annually in Federal categorical aid.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers. The three components of STAR and their approximate shares in FY 2013 are: the basic school property tax exemption for homeowners with income under \$500,000 (57 percent), the enhanced school property tax exemption for senior citizen homeowners with income under \$79,050 (25 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (18 percent).

SCHOOL TAX RELIEF (STAR) (millions of dollars)										
	FY 2012	FY 2013								
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	FY 2014	<u>Change</u>	FY 2015	<u>Change</u>	FY 2016	<u>Change</u>	<u>Change</u>
				<u>Projected</u>		<u>Projected</u>		<u>Projected</u>		
<b>Total State Operating Funds</b>	<b>3,233</b>	<b>3,276</b>	<b>1.3%</b>	<b>3,459</b>	<b>5.6%</b>	<b>3,642</b>	<b>5.3%</b>	<b>3,744</b>	<b>2.8%</b>	
Basic Exemption	1,856	1,859	0.2%	1,986	6.8%	2,125	7.0%	2,188	3.0%	
Enhanced (Seniors)	807	829	2.7%	862	4.0%	898	4.2%	925	3.0%	
New York City PIT	570	588	3.2%	611	3.9%	619	1.3%	631	1.9%	

The STAR program exempts the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$62,200 exemption. Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues.

The annual increase in a qualifying homeowner's STAR exemption benefit is limited to 2 percent. Homeowners who earn more than \$500,000 a year are not eligible for the STAR property tax exemption. New York City personal income taxpayers with annual income over \$500,000 have a reduced benefit.

The multi-year Financial Plan includes a new policy whereby the Department of Taxation and Finance will instruct local assessors to withhold the STAR exemption benefit from taxpayers who have a State-imposed and State-administered tax liability of \$4,500 or more, and own a home that is STAR-eligible. New York City residents who are similarly in arrears would lose their City PIT rate-reduction benefit, as well as the State School Tax Reduction Credit.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## OTHER EDUCATION AID

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; prekindergarten through grade 12 education programs; cultural education; higher and professional education programs; and adult career and continuing education services.

Major programs under the Office of Prekindergarten through Grade 12 Education address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, non-public school aid, and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 49 professions. FY 2013 reflects the timing of payments previously allocated in FY 2012.

Spending for special education is expected to increase as program costs and enrollment rise. In FY 2012, school districts financed the costs associated with schools for the blind and deaf in the first instance and will be reimbursed by the State in FY 2013, which drives a significant annual increase in FY 2013 spending. Other education spending is affected by the elimination of one-time spending increases enacted in FY 2013, and the phase-out of Federal ARRA State Fiscal Stabilization Funds.

OTHER EDUCATION (millions of dollars)									
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Updated</u>	<u>Change</u>	<u>FY 2014</u> <u>Projected</u>	<u>Change</u>	<u>FY 2015</u> <u>Projected</u>	<u>Change</u>	<u>FY 2016</u> <u>Projected</u>	<u>Change</u>
<b>Total State Operating Funds</b>	<b>1,698</b>	<b>1,975</b>	<b>16.3%</b>	<b>2,004</b>	<b>1.5%</b>	<b>2,087</b>	<b>4.1%</b>	<b>2,199</b>	<b>5.4%</b>
Special Education	1,176	1,358	15.5%	1,456	7.2%	1,546	6.2%	1,657	7.2%
All Other Education	522	617	18.2%	548	-11.2%	541	-1.3%	542	0.2%

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## HIGHER EDUCATION

HIGHER EDUCATION (millions of dollars)									
	FY 2012	FY 2013	FY 2014		FY 2015		FY 2016		
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>Total State Operating Funds</b>	<b>2,608</b>	<b>2,618</b>	<b>0.4%</b>	<b>2,812</b>	<b>7.4%</b>	<b>2,888</b>	<b>2.7%</b>	<b>2,967</b>	<b>2.7%</b>
<b>City University</b>	<b>1,203</b>	<b>1,220</b>	<b>1.4%</b>	<b>1,342</b>	<b>10.0%</b>	<b>1,405</b>	<b>4.7%</b>	<b>1,472</b>	<b>4.8%</b>
Operating Aid to NYC (Senior Colleges)	1,024	1,025	0.1%	1,149	12.1%	1,212	5.5%	1,279	5.5%
Community College Aid	178	194	9.0%	193	-0.5%	193	0.0%	193	0.0%
Community Projects	1	1	0.0%	0	-100.0%	0	0.0%	0	0.0%
<b>Higher Education Services</b>	<b>924</b>	<b>936</b>	<b>1.3%</b>	<b>1,001</b>	<b>6.9%</b>	<b>1,014</b>	<b>1.3%</b>	<b>1,026</b>	<b>1.2%</b>
Tuition Assistance Program	873	877	0.5%	935	6.6%	940	0.5%	946	0.6%
Aid for Part Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
Scholarships/Awards	39	47	20.5%	54	14.9%	62	14.8%	68	9.7%
<b>State University</b>	<b>481</b>	<b>462</b>	<b>-4.0%</b>	<b>469</b>	<b>1.5%</b>	<b>469</b>	<b>0.0%</b>	<b>469</b>	<b>0.0%</b>
Community College Aid <sup>1</sup>	444	457	2.9%	465	1.8%	465	0.0%	465	0.0%
Hospital Subsidy <sup>2</sup>	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Other	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

<sup>1</sup> State support for SUNY four-year institutions is funded through State operations rather than local assistance.  
<sup>2</sup> Beginning in academic year 2011-12, the SUNY hospital subsidy is funded as a transfer from General Fund State operations rather than local assistance.

Local assistance for higher education spending includes funding for CUNY, SUNY and HESC. The State provides reimbursements to New York City for costs associated with CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses. HESC administers the TAP program that provides awards to income-eligible students and provides centralized processing for other student financial aid programs. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

The State also provides a sizeable benefit to SUNY and CUNY through the debt service it pays on bond-financed capital projects at the universities. This is not reflected in the annual spending totals for the universities. State debt service payments for higher education are expected to total \$1.2 billion in FY 2013. The State also provides a substantial benefit to SUNY through its payment of SUNY fringe benefits.

Growth in spending for higher education over the plan period largely reflects aid to New York City for reimbursement of CUNY senior college operating expenses associated with the rising contribution rates for fringe benefits, and increased support for TAP, which reflects the impact of increased tuition rates at SUNY and CUNY Community Colleges, as well as the annualized value of budget actions previously implemented.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

---

## HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with the local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. The Medicaid program finances inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, FHP, and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services, foster care services and inpatient hospital services provided to inmates on medical leave from State correctional facilities). The State share of Medicaid spending is budgeted and expended principally through DOH, but State share Medicaid spending also appears in the mental hygiene agencies, child welfare programs, School Aid and DOCCS. Medicaid spending is reported separately in the Financial Plan tables for each of the aforementioned agencies.

## MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York's Medicaid spending is projected to total approximately \$54.0 billion in FY 2013, including the local contribution.<sup>4</sup>

The Mid-Year Update reflects continuation of the Medicaid spending cap enacted in FY 2012, and authorizes funding consistent with its provisions. The cap is based on the ten-year average change in the medical component of the CPI. Statutory changes approved with the FY 2012 Budget grant the Executive certain administrative powers to help hold Medicaid spending to the capped level. The statutory changes, which were set to expire at the end of FY 2013, have been extended through FY 2014, pursuant to authorization included in the FY 2013 Enacted Budget. The cap itself remains in place and the Financial Plan assumes that statutory authority will be extended in subsequent years.

---

<sup>4</sup> The local contribution to the Medicaid program is not included in the State's Financial Plan. Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level for local social services districts. The FY 2013 Enacted Budget amends these statutory indexing provisions by implementing a three-year phased-takeover of the local share of growth above the previous year's enacted levels beginning in April 2013 for County Year 2013, with the State assuming all growth in County Year 2015. This initiative will save local governments nearly \$1.2 billion through the next five State fiscal years.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS					
(millions of dollars)					
	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Department of Health:</b>					
State Share Without FMAP	16,000	15,860	16,513	17,049	17,895
Enhanced FMAP	(703)	0	0	0	0
<b>DOH State Share With FMAP</b>	<b>15,297</b>	<b>15,860</b>	<b>16,513</b>	<b>17,049</b>	<b>17,895</b>
Annual \$ Change - DOH Only		563	653	536	846
Annual % Change - DOH Only		3.7%	4.1%	3.2%	5.0%
<b>Other State Agencies:</b>					
Mental Hygiene	5,403	5,765	6,025	6,289	6,727
Education	64	0	0	0	0
Foster Care	113	87	90	94	98
Corrections	0	0	12	12	13
State Operations - Contractual Expenses <sup>1</sup>	15	51	56	56	56
<b>Total State Share (All Agencies)</b>	<b>20,892</b>	<b>21,763</b>	<b>22,696</b>	<b>23,500</b>	<b>24,789</b>
Annual \$ Change - Total State Share		871	933	804	1,289
Annual % Change - Total State Share		4.2%	4.3%	3.5%	5.5%
<sup>1</sup> Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.					

Factors affecting Medicaid spending over the Financial Plan period include Medicaid enrollment, costs of provider health care services (particularly in managed care), levels of service utilization and the expiration of enhanced Federal aid.<sup>5</sup> The number of Medicaid recipients, including FHP, is expected to total approximately 5.1 million at the end of FY 2013, an increase of 2.4 percent from the FY 2012 caseload. The expiration of the enhanced FMAP contributes to an increase in State-share spending of approximately \$700 million from FY 2012 to FY 2013. Pursuant to Federal Health Care Reform, the Federal government will finance a greater share of Medicaid costs for individuals and couples without children, the impact of which is expected to lower future growth in State-share Medicaid costs.

The FY 2013 Enacted Budget includes authorization to establish a phased-takeover of local government administration of the Medicaid program, and to cap spending on local Medicaid administration at FY 2012 appropriation levels. The FY 2013 Enacted Budget also provides Medicaid spending relief for all counties and New York City by reducing the growth in local Medicaid payments. These changes are expected to provide fiscal and administrative relief to local governments commensurate with other legislation the State has enacted in recent years to reduce the tax burden on its citizens.

<sup>5</sup> In August 2010, the U.S. Congress approved a six-month extension through June 30, 2011 of the enhanced FMAP benefit, as provided through the ARRA legislation. Under enhanced FMAP (which covered the period from October 2008 through June 30, 2011), the Federal match rate increased from 50 percent to approximately 57 percent, resulting in a concomitant decrease in the State and local share.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

The State share of DOH Medicaid spending is financed from the General Fund and other State funds, primarily through HCRA. The chart below provides information on the financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>State Operating Funds (Before FMAP) <sup>1</sup></b>	<b>16,000</b>	<b>15,860</b>	<b>-0.9%</b>	<b>16,513</b>	<b>4.1%</b>	<b>17,049</b>	<b>3.2%</b>	<b>17,895</b>	<b>5.0%</b>
Enhanced FMAP -- State Share <sup>2</sup>	(703)	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
<b>State Operating Funds (After FMAP)</b>	<b>15,297</b>	<b>15,860</b>	<b>3.7%</b>	<b>16,513</b>	<b>4.1%</b>	<b>17,049</b>	<b>3.2%</b>	<b>17,895</b>	<b>5.0%</b>
Total General Fund	10,301	10,808	4.9%	11,343	5.0%	11,676	2.9%	12,429	6.4%
Other State Funds Support	<u>4,996</u>	<u>5,052</u>	<u>1.1%</u>	<u>5,170</u>	<u>2.3%</u>	<u>5,373</u>	<u>3.9%</u>	<u>5,466</u>	<u>1.7%</u>
HCRA Financing	3,392	3,467	2.2%	3,593	3.6%	3,796	5.6%	3,889	2.4%
Indigent Care Support	777	792	1.9%	792	0.0%	792	0.0%	792	0.0%
Provider Assessment Revenue	827	793	-4.1%	785	-1.0%	785	0.0%	785	0.0%

<sup>1</sup> Does not include Medicaid spending in other State agencies, DOH State operations spending, or the local government share of total Medicaid program spending.  
<sup>2</sup> Excludes benefits realized in other State agencies.

### PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the EPIC program that provides prescription drug insurance to low-income seniors, the CHP program that finances health insurance coverage for children of low-income families up to the age of 19, the GPHW program that reimburses local health departments for the cost of providing certain public health services, the EI program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays, and other HCRA and State-supported programs.

The SOFA promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services, including but not limited to in-home services and nutrition assistance, provided through a network of county Area Agencies on Aging and local providers.

Many public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the following section entitled "HCRA Financial Plan."

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Spending growth over the Financial Plan period largely reflects costs associated with increased enrollment projections in the CHP program, including the expectation of additional caseload growth under FHCR. Increased State support for the EPIC program authorized in the FY 2013 Enacted Budget, providing more expansive coverage of Medicare Part D co-payments and co-insurance for enrollees outside of the existing coverage gap, is also driving a substantial portion of spending growth. Increased spending for expanded EPIC coverage, as well as growth due to the rising costs of prescription drug medication, will be partly financed by additional revenue generated from rebates received from drug manufacturers. Spending for GPHW, which in this Update has been revised downward across all years of the Financial Plan period to reflect recent patterns in claiming from counties, is expected to grow modestly beginning in FY 2015.

PUBLIC HEALTH AND AGING									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>2,104</b>	<b>2,037</b>	<b>-3.2%</b>	<b>2,172</b>	<b>6.6%</b>	<b>2,287</b>	<b>5.3%</b>	<b>2,065</b>	<b>-9.7%</b>
<b>Public Health</b>	<b>1,992</b>	<b>1,921</b>	<b>-3.6%</b>	<b>2,057</b>	<b>7.1%</b>	<b>2,165</b>	<b>5.3%</b>	<b>1,936</b>	<b>-10.6%</b>
Child Health Plus	344	356	3.5%	391	9.8%	447	14.3%	378	-15.4%
General Public Health Work	247	247	0.0%	241	-2.4%	246	2.1%	251	2.0%
EPIC	169	126	-25.4%	209	65.9%	227	8.6%	246	8.4%
Early Intervention	167	164	-1.8%	164	0.0%	167	1.8%	171	2.4%
HCRA Program Account	435	452	3.9%	468	3.5%	478	2.1%	478	0.0%
F-SHRP	234	175	-25.2%	205	17.1%	205	0.0%	0	-100.0%
All Other	396	401	1.3%	379	-5.5%	395	4.2%	412	4.3%
<b>Aging</b>	<b>112</b>	<b>116</b>	<b>3.6%</b>	<b>115</b>	<b>-0.9%</b>	<b>122</b>	<b>6.1%</b>	<b>129</b>	<b>5.7%</b>

The overall decline in FY 2013 spending is due in large part to decreased spending for the F-SHRP program, which was provided to the State on a time-limited basis through a Federal waiver under certain terms and conditions aimed at improving the delivery and access of community health care services. EPIC spending is also projected to temporarily decline in FY 2013, as the more expansive coverage authorized in the FY 2013 Enacted Budget will not take effect until January 1, 2013.

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

---

### **HCRA FINANCIAL PLAN**

HCRA was established in 1996 to help finance a portion of State health care activities in various areas of the budget: Medicaid, Public Health, and the Department of Financial Services. Extensions and modifications to HCRA continue to finance health care programs, including FHP and CHP.

HCRA receipts include surcharges and assessments on hospital revenues, an assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as potential future proceeds from insurance company conversions.

HCRA spending primarily finances Medicaid, EPIC, CHP, FHP and Indigent Care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance. HCRA also provides funding for Workforce Recruitment and Retention to health facilities, physician excess medical malpractice insurance, and HEAL NY for capital improvements to health care facilities.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially affect core HCRA programs. The reauthorization of HCRA in prior years maintained HCRA's balance without the need for automatic spending reductions.

Given the close relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid expenditures that HCRA finances. This reduces costs that otherwise would have been supported by the General Fund. Conversely, any shortfall in HCRA is expected to be financed by the General Fund.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA FINANCIAL PLAN FY 2012 THROUGH FY 2016					
(millions of dollars)					
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Updated</u>	<u>FY 2014</u> <u>Projected</u>	<u>FY 2015</u> <u>Projected</u>	<u>FY 2016</u> <u>Projected</u>
<b>Opening Balance</b>	<b>159</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b><u>5,317</u></b>	<b><u>5,709</u></b>	<b><u>5,968</u></b>	<b><u>6,094</u></b>	<b><u>6,128</u></b>
Surcharges	2,711	2,828	2,978	3,078	3,110
Covered Lives Assessment	1,018	1,045	1,045	1,045	1,045
Cigarette Tax Revenue	1,162	1,124	1,101	1,079	1,057
Conversion Proceeds	0	250	300	300	300
Hospital Assessments	367	394	417	444	469
NYC Cigarette Tax Transfer/Other	59	68	127	148	147
<b>Total Disbursements</b>	<b><u>5,473</u></b>	<b><u>5,712</u></b>	<b><u>5,968</u></b>	<b><u>6,094</u></b>	<b><u>6,128</u></b>
Medicaid Assistance Account	<u>3,398</u>	<u>3,473</u>	<u>3,599</u>	<u>3,803</u>	<u>3,896</u>
<i>Medicaid Costs</i>	2,178	2,085	2,278	2,482	2,574
<i>Family Health Plus</i>	602	689	657	659	658
<i>Workforce Recruitment &amp; Retention</i>	184	211	197	197	197
<i>All Other</i>	434	488	467	465	467
HCRA Program Account	461	476	491	501	501
Hospital Indigent Care	785	792	792	792	792
Elderly Pharmaceutical Insurance Coverage	102	137	222	240	260
Child Health Plus	350	363	397	455	386
Public Health Programs	137	129	129	129	129
All Other	240	342	338	174	164
<b>Annual Operating Surplus/(Deficit)</b>	<b>(156)</b>	<b>(3)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Balance</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## MENTAL HYGIENE

MENTAL HYGIENE (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>3,756</b>	<b>3,644</b>	<b>-3.0%</b>	<b>3,799</b>	<b>4.3%</b>	<b>4,034</b>	<b>6.2%</b>	<b>4,345</b>	<b>7.7%</b>
<b>People with Developmental Disabilities</b>	<b>2,324</b>	<b>2,235</b>	<b>-3.8%</b>	<b>2,315</b>	<b>3.6%</b>	<b>2,415</b>	<b>4.3%</b>	<b>2,554</b>	<b>5.8%</b>
Residential Services	1,600	1,536	-4.0%	1,554	1.2%	1,623	4.4%	1,743	7.4%
Day Programs	613	588	-4.1%	650	10.5%	681	4.8%	700	2.8%
Clinic	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Other	86	86	0.0%	86	0.0%	86	0.0%	86	0.0%
<b>Mental Health</b>	<b>1,124</b>	<b>1,094</b>	<b>-2.7%</b>	<b>1,160</b>	<b>6.0%</b>	<b>1,278</b>	<b>10.2%</b>	<b>1,436</b>	<b>12.4%</b>
Adult Local Services	938	913	-2.7%	964	5.6%	1,071	11.1%	1,216	13.5%
Children Local Services	186	181	-2.7%	196	8.3%	207	5.6%	220	6.3%
<b>Alcohol and Substance Abuse</b>	<b>307</b>	<b>314</b>	<b>2.3%</b>	<b>323</b>	<b>2.9%</b>	<b>340</b>	<b>5.3%</b>	<b>354</b>	<b>4.1%</b>
Outpatient/Methadone	131	135	3.1%	127	-5.9%	133	4.7%	138	3.8%
Residential	112	116	3.6%	125	7.8%	132	5.6%	139	5.3%
Prevention	32	33	3.1%	38	15.2%	40	5.3%	41	2.5%
Crisis	17	17	0.0%	19	11.8%	20	5.3%	21	5.0%
Program Support	8	8	0.0%	9	12.5%	10	11.1%	10	0.0%
LGU Administration	7	5	-28.6%	5	0.0%	5	0.0%	5	0.0%
<b>CQCAPD</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>

The Department of Mental Hygiene is comprised of four independent agencies, OMH, OPWDD, OASAS, and DDPC, which is funded entirely by Federal aid, as well as one oversight agency, the CQCAPD. Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; and persons with chemical dependence. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The costs associated with providing these services are funded by reimbursements from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which are issued to finance improvements to infrastructure at mental hygiene facilities throughout the State, with the remaining revenue used to support State operating costs.

Local assistance spending in mental hygiene accounts for nearly half of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 3.7 percent annually. This growth is attributable to increases in the projected State share of Medicaid costs and projected expansion of the mental hygiene service systems, including: increases primarily associated with the OPWDD NYS-CARES program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the OMH pipeline. Additional outyear spending is assumed in Financial Plan estimates for costs associated with efforts to transition individuals receiving care in nursing homes and other settings to the least restrictive setting possible, as well as several chemical dependence treatment and prevention initiatives for individuals receiving services through OASAS.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## SOCIAL SERVICES

TEMPORARY AND DISABILITY ASSISTANCE									
(millions of dollars)									
	FY 2012	FY 2013	FY 2014		FY 2015		FY 2016		
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>Total State Operating Funds</b>	1,413	1,513	7.1%	1,400	-7.5%	1,298	-7.3%	1,326	2.2%
SSI	730	741	1.5%	766	3.4%	664	-13.3%	691	4.1%
Public Assistance Benefits <sup>1</sup>	513	620	20.9%	513	-17.3%	513	0.0%	513	0.0%
Welfare Initiatives	24	19	-20.8%	18	-5.3%	18	0.0%	18	0.0%
All Other	146	133	-8.9%	103	-22.6%	103	0.0%	104	1.0%

<sup>1</sup> Reflects additional spending in FY2013 that is the result of timing-related delays in payments to local districts.

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

The average public assistance caseload is projected to total 566,188 recipients in FY 2013, a decrease of approximately 0.1 percent from FY 2012 levels. Approximately 256,566 families are expected to receive benefits through the Family Assistance program, a decrease of 2.1 percent from the FY 2012 level. In the Safety Net Families program, an average of 122,368 families are expected to receive aid in FY 2013, an annual increase of 1.2 percent. The caseload for single adults/childless couples supported through the Safety Net Families program is projected at 187,254, an annual increase of 1.8 percent.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>1,604</b>	<b>1,543</b>	<b>-3.8%</b>	<b>1,715</b>	<b>11.1%</b>	<b>1,941</b>	<b>13.2%</b>	<b>2,021</b>	<b>4.1%</b>
Child Welfare Services	446	336	-24.7%	491	46.1%	522	6.3%	555	6.3%
Foster Care Block Grant	436	436	0.0%	446	2.3%	472	5.8%	499	5.7%
Adoption	181	175	-3.3%	168	-4.0%	172	2.4%	176	2.3%
Day Care	143	220	53.8%	211	-4.1%	354	67.8%	354	0.0%
Youth Programs	109	125	14.7%	150	20.0%	161	7.3%	163	1.2%
Medicaid	113	87	-23.0%	90	3.4%	94	4.4%	98	4.3%
Committees on Special Education	64	39	-39.1%	39	0.0%	43	10.3%	47	9.3%
Adult Protective/Domestic Violence	33	34	3.0%	40	17.6%	45	12.5%	51	13.3%
All Other	79	91	15.2%	80	-12.1%	78	-2.5%	78	0.0%

OCFS spending reflects the one-time availability of additional Federal funding from the TANF program, which will be used to offset State spending in FY 2013 on cash assistance for lower-income families. Projected growth in future years is due to increases in claims-based programs and an increase in General Fund spending on Day Care, in order to keep spending on this program constant after a projected decrease in Federal funding.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### TRANSPORTATION

In FY 2013, the DOT will provide \$4.4 billion in local assistance to support statewide mass transit systems. This funding, financed through the collection of dedicated taxes and fees, is provided to mass transit operators throughout the State to support operating costs. The MTA, due to the size and scope of its transit system, receives the majority of the statewide mass transit operating aid. Additionally, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the MCTD. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Spending from this fund is projected to grow between 5 percent and 10 percent each year from FY 2014 to FY 2016. Legislation enacted in December 2011 eliminates the MTA payroll tax for all elementary and secondary schools as well as for certain small businesses operating within the MCTD. The State is expected to compensate the MTA for the decrease in receipts from the December 2011 tax reduction.

TRANSPORTATION									
(millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	4,230	4,378	3.5%	4,556	4.1%	4,634	1.7%	4,730	2.1%
Mass Transit Operating Aid:	1,784	1,907	6.9%	1,907	0.0%	1,907	0.0%	1,907	0.0%
Metro Mass Transit Aid	1,645	1,762	7.1%	1,762	0.0%	1,762	0.0%	1,762	0.0%
Public Transit Aid	87	93	6.9%	93	0.0%	93	0.0%	93	0.0%
18-B General Fund Aid	27	27	0.0%	27	0.0%	27	0.0%	27	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,725	1,742	1.0%	1,915	9.9%	1,991	4.0%	2,085	4.7%
Dedicated Mass Transit	674	684	1.5%	688	0.6%	690	0.3%	693	0.4%
AMTAP	45	45	0.0%	45	0.0%	45	0.0%	45	0.0%
All Other	2	0	-100.0%	1	0.0%	1	0.0%	0	0.0%

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments primarily includes the AIM program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams. Along with AIM, the State provides incentive grants to local governments. Other direct aid to local governments includes VLT impact aid, Small Government Assistance and Miscellaneous Financial Assistance. Spending for AIM efficiency incentive grants increases over the multi-year period reflecting the implementation of the Local Government Performance and Efficiency Program enacted in FY 2012 to reward municipal efficiencies and to encourage less duplication among local governments in the delivery of services.

LOCAL GOVERNMENT ASSISTANCE									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
<b>Total State Operating Funds</b>	<b>754</b>	<b>763</b>	<b>1.2%</b>	<b>772</b>	<b>1.2%</b>	<b>783</b>	<b>1.4%</b>	<b>792</b>	<b>1.1%</b>
AIM:									
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Efficiency Incentives	6	14	133.3%	24	71.4%	38	58.3%	49	28.9%
All Other Assistance	33	34	3.0%	33	-2.9%	30	-9.1%	28	-6.7%

## ALL OTHER LOCAL ASSISTANCE SPENDING

Other local assistance programs and activities include criminal justice, economic development, housing, parks and recreation and environmental quality. Spending in these areas is not expected to change materially over the Financial Plan period.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## AGENCY OPERATIONS

Agency operating costs include personal service, non-personal service, and GSCs. Personal service includes salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. Non-personal service generally accounts for the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, and telephone service. GSCs account for the costs of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. In addition, certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and not reflected in the State Operating Funds personal service or non-personal service totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (i.e., attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for agency operations are summarized in the following table.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2012 <u>Results</u>	Forecast			
		<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Negotiated Base Salary Increases <sup>1</sup>					
CSEA/NYSCOPBA/Council 82	0	0	0	2%	2%
PEF / NYSPBA	0	0	0	2%	TBD
State Workforce <sup>2</sup>	119,579	121,841	122,142	122,142	122,142
ERS Pension Contribution Rate <sup>3</sup>					
Before Amortization	16.5%	19.4%	21.7%	21.3%	17.6%
After Amortization	10.5%	11.5%	12.5%	13.5%	14.5%
PFRS Pension Contribution Rate					
Before Amortization	22.3%	26.6%	30.1%	29.9%	25.9%
After Amortization	18.5%	19.5%	20.5%	21.5%	22.5%
Employee/Retiree Health Insurance Growth Rates	7.2%	3.1%	5.4%	8.5%	8.5%
PS/Fringe as % of Receipts (All Funds Basis)	14.4%	14.7%	14.9%	15.1%	14.2%
<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated workforce agreements. <sup>2</sup> Reflects workforce that is Subject to Direct Executive Control. Workforce growth reflects previously authorized initiatives. <sup>3</sup> As Percent of Salary.					

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

---

Growth in agency operating spending over the multi-year Financial Plan is concentrated in agencies that operate large facilities, such as the State University, the mental hygiene agencies, and Corrections and Community Supervision. The main causes of growth include inflationary increases in operating costs expected for food, medical care and prescription drugs, and energy costs in State facilities, offset by expected savings from enterprise procurement efforts.

Recently settled collective bargaining agreements with NYSCOPBA and Council 82 are reflected in the personal service costs below and include retroactive salary increases already paid in FY 2013 for prior years.

In most years, there are 26 bi-weekly pay periods. However, in FY 2016 there is one additional State institutional payroll, therefore an “extra” 27th pay period results in higher spending. In addition, in FY 2016 the State will begin repayment to State employees for portions of the Deficit Reduction program taken during FY 2012 and FY 2013 as part of workforce savings initiatives.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS - AGENCY OPERATIONS									
(millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Subject to Direct Executive Control</b>	<b>9,696</b>	<b>9,730</b>	<b>0.4%</b>	<b>9,859</b>	<b>1.3%</b>	<b>10,108</b>	<b>2.5%</b>	<b>10,522</b>	<b>4.1%</b>
Mental Hygiene	2,941	2,965	0.8%	3,081	3.9%	3,194	3.7%	3,364	5.3%
Corrections and Community Supervision	2,472	2,704	9.4%	2,578	-4.7%	2,649	2.8%	2,806	5.9%
State Police	611	641	4.9%	646	0.8%	650	0.6%	664	2.2%
Public Health	540	545	0.9%	589	8.1%	616	4.6%	638	3.6%
Tax and Finance	383	393	2.6%	395	0.5%	406	2.8%	413	1.7%
Children and Family Services	293	316	7.8%	317	0.3%	301	-5.0%	298	-1.0%
Environmental Conservation	245	235	-4.1%	232	-1.3%	233	0.4%	236	1.3%
Financial Services	210	202	-3.8%	211	4.5%	213	0.9%	215	0.9%
Temporary and Disability Assistance	150	196	30.7%	205	4.6%	216	5.4%	214	-0.9%
Parks, Recreation and Historic Preservation	183	175	-4.4%	175	0.0%	177	1.1%	179	1.1%
Workers' Compensation Board	158	152	-3.8%	151	-0.7%	153	1.3%	156	2.0%
Lottery/Gaming	140	161	15.0%	170	5.6%	174	2.4%	175	0.6%
General Services	120	157	30.8%	161	2.5%	143	-11.2%	145	1.4%
Collective Bargaining (CB) Adjustment	102	(236)	-331.4%	0	-100.0%	0	0.0%	0	0.0%
All Other	1,148	1,124	-2.1%	948	-15.7%	983	3.7%	1,019	3.7%
<b>University System</b>	<b>5,538</b>	<b>5,648</b>	<b>2.0%</b>	<b>5,718</b>	<b>1.2%</b>	<b>5,853</b>	<b>2.4%</b>	<b>5,991</b>	<b>2.4%</b>
State University	5,430	5,534	1.9%	5,612	1.4%	5,745	2.4%	5,882	2.4%
City University	108	114	5.6%	106	-7.0%	108	1.9%	109	0.9%
<b>Independent Agencies</b>	<b>295</b>	<b>304</b>	<b>3.1%</b>	<b>305</b>	<b>0.3%</b>	<b>313</b>	<b>2.6%</b>	<b>321</b>	<b>2.6%</b>
Law	160	165	3.1%	163	-1.2%	167	2.5%	171	2.4%
Audit & Control	135	139	3.0%	142	2.2%	146	2.8%	150	2.7%
<b>Total, excluding Legislature and Judiciary</b>	<b>15,529</b>	<b>15,682</b>	<b>1.0%</b>	<b>15,882</b>	<b>1.3%</b>	<b>16,274</b>	<b>2.5%</b>	<b>16,834</b>	<b>3.4%</b>
Judiciary	1,827	1,856	1.6%	1,914	3.1%	2,000	4.5%	2,095	4.8%
Legislature	197	219	11.2%	221	0.9%	224	1.4%	227	1.3%
<b>Statewide Total (Adjusted for CB)</b>	<b>17,553</b>	<b>17,757</b>	<b>1.2%</b>	<b>18,017</b>	<b>1.5%</b>	<b>18,498</b>	<b>2.7%</b>	<b>19,156</b>	<b>3.6%</b>
Personal Service	12,149	12,282	1.1%	12,511	1.9%	12,823	2.5%	13,314	3.8%
Non-Personal Service	5,404	5,475	1.3%	5,506	0.6%	5,675	3.1%	5,842	2.9%
<b>Statewide Total</b>	<b>17,451</b>	<b>17,993</b>	<b>3.1%</b>	<b>18,017</b>	<b>0.1%</b>	<b>18,498</b>	<b>2.7%</b>	<b>19,156</b>	<b>3.6%</b>
Personal Service	12,047	12,518	3.9%	12,511	-0.1%	12,823	2.5%	13,314	3.8%
Non-Personal Service	5,404	5,475	1.3%	5,506	0.6%	5,675	3.1%	5,842	2.9%

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation, unemployment insurance, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies, including the Judiciary and SUNY, directly pay all or a portion of their employee fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include certain fixed costs such as State taxes paid to local governments for certain State-owned lands, and payments related to lawsuits against the State and its public officers.

GENERAL STATE CHARGES									
(millions of dollars)									
	FY 2012	FY 2013	FY 2014		FY 2015		FY 2016		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>6,593</b>	<b>6,617</b>	<b>0.4%</b>	<b>7,217</b>	<b>9.1%</b>	<b>7,656</b>	<b>6.1%</b>	<b>8,085</b>	<b>5.6%</b>
<b>Fringe Benefits</b>	<b>6,217</b>	<b>6,207</b>	<b>-0.2%</b>	<b>6,844</b>	<b>10.3%</b>	<b>7,268</b>	<b>6.2%</b>	<b>7,698</b>	<b>5.9%</b>
Health Insurance	<u>3,275</u>	<u>3,200</u>	<u>-2.3%</u>	<u>3,423</u>	<u>7.0%</u>	<u>3,597</u>	<u>5.1%</u>	<u>3,827</u>	<u>6.4%</u>
Employee Health Insurance	2,052	1,772	-13.6%	1,902	7.3%	1,960	3.0%	2,065	5.4%
Retiree Health Insurance	1,223	1,428	16.8%	1,521	6.5%	1,637	7.6%	1,762	7.6%
Pensions	1,697	1,605	-5.4%	2,057	28.2%	2,271	10.4%	2,449	7.8%
Social Security	914	933	2.1%	948	1.6%	964	1.7%	982	1.9%
All Other Fringe	331	469	41.7%	416	-11.3%	436	4.8%	440	0.9%
<b>Fixed Costs</b>	<b>376</b>	<b>410</b>	<b>9.0%</b>	<b>373</b>	<b>-9.0%</b>	<b>388</b>	<b>4.0%</b>	<b>387</b>	<b>-0.3%</b>

GSCs are projected to increase at an average annual rate of 5.2 percent over the Financial Plan period. The annual decrease in fringe benefits in FY 2013 is driven by the impact of collective bargaining agreements, workforce attrition and the prepayment of certain pension costs in FY 2012. These declines are partially offset by increased Workers' Compensation payments commensurate with 2007 legislative reforms and Court of Claims payments related to lawsuits against the State. Increases in future years are driven by projected growth in health insurance and pension costs, offset by revenue collected from fringe benefit assessments, particularly from the mental hygiene agencies.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

General Fund transfers help finance certain capital activities, the State's share of Medicaid costs for State-operated mental hygiene facilities, debt service for bonds that do not have dedicated revenues, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Total Transfers to Other Funds</b>	<b>5,856</b>	<b>6,992</b>	<b>8,606</b>	<b>9,463</b>	<b>9,766</b>
Medicaid State Share	2,722	2,975	2,759	2,615	2,520
Debt Service	1,516	1,564	1,617	1,514	1,488
Capital Projects	<u>798</u>	<u>1,033</u>	<u>1,290</u>	<u>1,409</u>	<u>1,322</u>
Dedicated Highway and Bridge Trust Fund	449	484	600	623	643
All Other Capital	349	549	690	786	679
All Other Transfers	<u>820</u>	<u>1,420</u>	<u>2,940</u>	<u>3,925</u>	<u>4,436</u>
Mental Hygiene Agencies	0	0	789	1,689	2,292
SUNY - University Operations Subsidy	0	340	983	1,002	1,022
Department of Transportation (MTA Tax)	22	279	332	334	334
SUNY - Disproportionate Share Payments	225	228	228	228	228
Court Facilities Incentive Aid Fund	114	106	107	108	109
SUNY - Hospital Operations Subsidy	60	81	88	88	88
Banking Services	70	57	65	65	65
Statewide Financial System	36	48	55	55	55
Indigent Legal Services	40	40	40	40	40
Mass Transportation Operating Assistance	47	19	19	19	19
Alcoholic Beverage Control	16	17	19	20	20
OFT Centralized Tech Services	0	22	52	20	10
Public Transportation Systems	12	12	12	12	12
Correctional Industries	10	10	10	10	10
All Other	168	161	141	235	132

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

---

A significant portion of the capital and operating expenses of DOT and DMV are funded from the DHBTF. The Fund receives dedicated tax and fee revenue from the Petroleum Business Tax, the Motor Fuel Tax, the Auto Rental Tax, highway use taxes, transmission taxes and motor vehicle fees administered by DMV. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on DHBTF bonds and transfers for debt service on bonds that fund CHIPs and local transportation programs – exceed current and projected revenue deposits and bond proceeds.

Out year transfer increases in Mental Hygiene agencies are associated with the need for additional support to offset the potential impact of reduced Federal reimbursement rates contingent on a new Federal waiver agreement between the State and CMS, as well as rising personnel costs from recent contractual agreements between the State and employee bargaining units.

Transfers to other funds are expected to total \$7.0 billion in FY 2013, an annual increase of \$1.1 billion, or 19 percent. This increase is mainly due to higher costs related to the Financial Plan re-categorization of SUNY operating support, supplementation to the MTA for the recent payroll tax reduction, Medicaid State share, and capital projects.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESDC, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

<b>DEBT SERVICE SPENDING PROJECTIONS</b>					
(millions of dollars)					
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Enacted</u>	<u>FY 2013</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>	<u>Percent</u> <u>Change</u>
<b>General Fund</b>	<b>1,516</b>	<b>1,580</b>	<b>1,564</b>	<b>48</b>	<b>3.2%</b>
Other State Support	4,348	4,484	4,536	188	4.3%
<b>State Operating Funds</b>	<b>5,864</b>	<b>6,064</b>	<b>6,100</b>	<b>236</b>	<b>4.0%</b>

Total debt service is projected at \$6.7 billion in FY 2013, of which \$1.6 billion is financed with a transfer from the General Fund, and \$4.5 billion from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State's revenue bonds, including PIT bonds, DHBTF bonds, and mental health facilities bonds.





**FY 2013 SIX-MONTH OPERATING RESULTS**



## FY 2013 SIX-MONTH OPERATING RESULTS

This section provides a summary of operating results for April through September 2012 compared to (1) the initial projections set forth in the FY 2013 Enacted Budget; (2) the First Quarterly Update to the Financial Plan; and (3) the results through September 2011 (FY 2012).

The State ended September 2012 with a closing balance of \$4.3 billion in the General Fund. The balance was \$184 million higher than projected in the First Quarterly Update. The higher balance is due to the net impact of higher than anticipated receipts (\$337 million), partly offset by higher than anticipated spending (\$153 million). The revisions to the annual estimates of receipts and disbursements described earlier in the section entitled “Multi-Year Financial Plan Revisions” take into account DOB’s analysis of the operating results to date.

In April 2012, the State converted to an enterprise-wide accounting and financial management system, SFS. As agencies, employees, and external parties doing business with the State adjust to the new system, delays in processing transactions in the new system have occurred. Many of the system-related processing issues referenced in the First Quarterly Update have been resolved, and DOB anticipates that any other processing issues will not affect annual Financial Plan spending estimates. The revisions to the annual estimates of receipts and disbursements described earlier in the section entitled “Multi-Year Financial Plan Revisions” take into account DOB’s analysis of the operating results to date.

GENERAL FUND OPERATING RESULTS THROUGH SEPTEMBER 2012 (millions of dollars)					
	Enacted Plan	First Quarterly Update	Results	Above/(Below) Variance	
				Enacted Plan	First Quarterly Update
<b>Opening Balance</b>	<b>1,787</b>	<b>1,787</b>	<b>1,787</b>	<b>0</b>	<b>0</b>
<b>Receipts</b>	<b>29,268</b>	<b>29,071</b>	<b>29,408</b>	<b>140</b>	<b>337</b>
Personal Income Tax <sup>1</sup>	18,406	18,276	18,284	(122)	8
User Taxes and Fees <sup>1</sup>	6,012	5,815	5,872	(140)	57
Business Taxes	2,522	2,565	2,458	(64)	(107)
Other Taxes <sup>1</sup>	846	865	809	(37)	(56)
Receipts and Grants	1,367	1,422	1,887	520	465
Transfers From Other Funds	115	128	98	(17)	(30)
<b>Disbursements</b>	<b>26,784</b>	<b>26,760</b>	<b>26,913</b>	<b>129</b>	<b>153</b>
Education	7,492	7,523	7,493	1	(30)
Health Care	5,946	5,744	5,694	(252)	(50)
Social Services	1,614	1,618	1,637	23	19
All Other Local Aid	2,713	2,639	2,753	40	114
Personal Service	3,262	3,225	3,222	(40)	(3)
Non-Personal Service	909	810	773	(136)	(37)
General State Charges	1,759	1,880	2,211	452	331
Debt Service Transfer	821	755	749	(72)	(6)
Capital Projects Transfer	144	159	266	122	107
State Share Medicaid Transfer	1,457	1,764	1,445	(12)	(319)
SUNY Operations Transfer	180	180	180	-	-
All Other Transfers	487	463	490	3	27
<b>Change in Operations</b>	<b>2,484</b>	<b>2,311</b>	<b>2,495</b>	<b>11</b>	<b>184</b>
<b>Closing Balance</b>	<b>4,271</b>	<b>4,098</b>	<b>4,282</b>	<b>11</b>	<b>184</b>

<sup>1</sup> Includes transfers from other funds after debt service.

## FY 2013 SIX-MONTH OPERATING RESULTS

Total taxes collections were \$128 million below the First Quarterly Update projections. Collections were below expectations for business taxes (\$107 million), due mainly to weaker than anticipated corporate franchise tax liability payments, and other taxes (\$56 million), resulting from weaker than expected real estate sales and the timing of large estate payments. Partly offsetting these variances were marginally better than anticipated user tax collections (\$57 million, mainly from a timing issue) and personal income tax collections (\$8 million). Non-tax revenue, which includes miscellaneous receipts and transfers from other funds, was \$465 million above the First Quarterly Update projections, primarily reflecting the collection of \$340 million in unplanned receipts pursuant to a settlement agreement between Standard Chartered Bank and the State's DFS addressing claims that the bank did not comply with financial regulations.

General Fund disbursements, including transfers to other funds, were \$153 million above the projections included in the First Quarterly Financial Plan Update. Routine processing variances resulted in higher General Fund spending for General State Charges due to the timing of reimbursement payments from non-General Fund mental hygiene accounts (\$331 million), transfers to support hard-dollar capital programs (\$107 million), payments to local health care providers (\$64 million), TAP payments to institutions of higher education (\$62 million) and SUNY support for community colleges (\$31 million). This higher spending was partly offset by delays in the transfer of Medicaid-related support to State-operated Mental Hygiene facilities (\$319 million), DOH Medicaid (\$100 million) and non-personal services (\$37 million). Collectively, these variances are expected to correct themselves in future months and have no impact on annual spending.

### GENERAL FUND ANNUAL CHANGE

GENERAL FUND OPERATING RESULTS YEAR-OVER-YEAR THROUGH SEPTEMBER (millions of dollars)				
	FY 2012	FY 2013	Increase/(Decrease)	
	Results	Results	\$	%
<b>Opening Balance</b>	<b>1,376</b>	<b>1,787</b>	<b>411</b>	
<b>Receipts</b>	<b>28,901</b>	<b>29,408</b>	<b>507</b>	<b>1.8%</b>
Personal Income Tax <sup>1</sup>	18,432	18,284	(148)	-0.8%
User Taxes and Fees <sup>1</sup>	5,842	5,872	30	0.5%
Business Taxes	2,391	2,458	67	2.8%
Other Taxes <sup>1</sup>	829	809	(20)	-2.4%
Non-Tax Revenue	1,407	1,985	578	41.1%
<b>Disbursements</b>	<b>25,329</b>	<b>26,913</b>	<b>1,584</b>	<b>6.3%</b>
Education	7,208	7,493	285	4.0%
Health Care	5,472	5,694	222	4.1%
Social Services	1,410	1,637	227	16.1%
All Other Local	2,776	2,753	(23)	-0.8%
Personal Service	3,303	3,222	(81)	-2.5%
Non-Personal Service	840	773	(67)	-8.0%
General State Charges	1,753	2,211	458	26.1%
Transfers To Other Funds	2,567	3,130	563	21.9%
<b>Change in Operations</b>	<b>3,572</b>	<b>2,495</b>	<b>(1,077)</b>	
<b>Closing Balance</b>	<b>4,948</b>	<b>4,282</b>	<b>(666)</b>	

<sup>1</sup> Includes transfers from other funds after debt service.

## **FY 2013 SIX-MONTH OPERATING RESULTS**

---

The closing balance in the General Fund as of September 2012 was \$666 million lower than the closing balance in September 2011, due to year-over-year increases in spending and receipts, as well as a larger opening balance.

Total receipts through September 2012 were \$507 million (1.8 percent) higher than the same period for the prior fiscal year. Non-tax revenue increased mainly due to the Standard Chartered Bank settlement (\$340 million), as described above, SONYMA payments (\$100 million), bond issuance charges (\$25 million) and growth in other miscellaneous receipts collections. Tax receipts through September 2012 were \$71 million (0.3 percent) below levels in the prior fiscal year. Lower year-over-year personal income tax collections (\$148 million), which reflect the impact of tax law changes and a large non-recurring audit recovery in the prior year, were partly offset by higher business tax collections (\$67 million) due to improved banking profits.

Through September 2012, spending was \$1.6 billion (6.3 percent) above the same period for the prior year. Increased spending for education and health care is consistent with program growth pursuant to the legislated school aid and Medicaid spending caps. Other growth in local assistance reflects earlier payments for the Child Welfare Services (\$65 million) and Day Care (\$61 million) programs administered by OCFS; and the Public Assistance program administered by OTDA (\$70 million). Higher transfers to other funds is driven in part by changes implemented in FY 2013 to (1) a budgeting change for SUNY operating support that is now reflected as a periodic transfer to the SUNY Income Fund rather than direct charges for personal and non-personal service costs (\$180 million); and (2) new costs for offsetting the MTA's expected loss of revenue due to the exemption granted to small businesses operating within the MCTD from being subject to the MTA's regional mobility tax, as enacted in the December 2011 tax reform legislation.

Higher GSCs in FY 2013 primarily reflects the timing of reimbursement payments from non-General Fund mental hygiene accounts, as described earlier. Lower year-over-year spending for State Operations costs (\$148 million) largely reflects the impact of the budgeting change for SUNY operational support as a transfer from the General Fund.

## FY 2013 SIX-MONTH OPERATING RESULTS

### STATE OPERATING FUNDS RESULTS

STATE OPERATING FUNDS RESULTS THROUGH SEPTEMBER 2012 (millions of dollars)					
	Enacted Plan	First Quarterly Update	Results	Above/(Below) Variance	
				Enacted Plan	First Quarterly Update
<b>Opening Balance</b>	<b>3,847</b>	<b>3,847</b>	<b>3,847</b>	<b>0</b>	<b>0</b>
<b>Receipts</b>	<b>41,024</b>	<b>40,513</b>	<b>40,925</b>	<b>(99)</b>	<b>412</b>
Personal Income Tax	19,514	19,376	19,368	(146)	(8)
User Taxes and Fees	7,225	7,041	7,039	(186)	(2)
Business Taxes	3,210	3,248	3,136	(74)	(112)
Other Taxes	1,434	1,490	1,420	(14)	(70)
Miscellaneous/Federal Receipts	9,641	9,358	9,962	321	604
<b>Disbursements</b>	<b>40,607</b>	<b>39,938</b>	<b>39,627</b>	<b>(980)</b>	<b>(311)</b>
Education	9,797	9,827	9,798	1	(29)
Health Care	8,928	8,618	8,448	(480)	(170)
Social Services	1,616	1,622	1,644	28	22
All Other Local	6,462	6,378	6,478	16	100
Personal Service	6,128	6,114	6,095	(33)	(19)
Non-Personal Service	2,543	2,302	2,195	(348)	(107)
General State Charges	2,706	2,722	2,646	(60)	(76)
Debt Service	2,427	2,354	2,320	(107)	(34)
Capital Projects	-	1	3	3	2
<b>Other Financing Sources</b>	<b>2,078</b>	<b>2,201</b>	<b>2,045</b>	<b>(33)</b>	<b>(156)</b>
<b>Change in Operations</b>	<b>2,495</b>	<b>2,776</b>	<b>3,343</b>	<b>848</b>	<b>567</b>
<b>Closing Balance</b>	<b>6,342</b>	<b>6,623</b>	<b>7,190</b>	<b>848</b>	<b>567</b>

The State ended September 2012 with a closing balance of \$7.2 billion in State Operating Funds, \$567 million above the estimate in the First Quarterly Update. Overall receipts were \$412 million above projection. Non-tax receipts were higher, reflecting the Standard Chartered settlement (\$340 million); earlier than expected receipts collected for audit-related recoveries (\$90 million); and a timing-related variance in mental hygiene receipts (\$299 million). Tax collections were \$192 million below projections. In addition to the General Fund tax variances described above, the State experienced weak performance in the collection of HCRA cigarette tax receipts (\$26 million) and MTA regional mobility tax receipts (\$14 million).

State Operating Funds spending was \$311 million below the estimate in the First Quarterly Update. The General Fund variances totaling \$344 million (excluding transfers) above the Plan is partly offset by lower spending in other State funds. Lower than planned spending for GSCs in other State funds (\$407 million) is almost entirely attributable to the processing of agency reimbursement payments; however, the variance is largely neutralized by the fact that the payments serve as reimbursement for costs which are incurred by the General Fund in the first instance, as described earlier. Other lower spending includes the impact of timing associated with Medicaid payments from the Provider Assessment Account (\$70 million); public health

## FY 2013 SIX-MONTH OPERATING RESULTS

programs (\$37 million); agency costs across a multitude of agencies (\$85 million); and debt service costs (\$34 million).

### STATE OPERATING FUNDS ANNUAL CHANGE

APRIL THROUGH SEPTEMBER STATE OPERATING FUNDS RESULTS YEAR-OVER-YEAR				
(millions of dollars)				
	FY 2012	FY 2013	Increase/(Decrease)	
	Results	Results	\$	%
<b>Opening Balance</b>	<b>3,969</b>	<b>3,847</b>	<b>(122)</b>	
<b>Receipts</b>	<b>40,161</b>	<b>40,925</b>	<b>764</b>	<b>1.9%</b>
Personal Income Tax	19,430	19,368	(62)	-0.3%
User Taxes and Fees	7,051	7,039	(12)	-0.2%
Business Taxes	3,047	3,136	89	2.9%
Other Taxes	1,522	1,420	(102)	-6.7%
Miscellaneous/Federal Receipts	9,111	9,962	851	9.3%
<b>Disbursements</b>	<b>38,913</b>	<b>39,627</b>	<b>714</b>	<b>1.8%</b>
Education	9,481	9,798	317	3.3%
Health Care	8,404	8,448	44	0.5%
Social Services	1,417	1,644	227	16.0%
All Other Local	6,207	6,478	271	4.4%
Personal Service	6,086	6,095	9	0.1%
Non-Personal Service	2,421	2,195	(226)	-9.3%
General State Charges	2,611	2,646	35	1.3%
Debt Service	2,282	2,320	38	1.7%
Capital Projects	4	3	(1)	-25.0%
<b>Other Financing Sources</b>	<b>2,496</b>	<b>2,045</b>	<b>(451)</b>	
<b>Change in Operations</b>	<b>3,744</b>	<b>3,343</b>	<b>(401)</b>	
<b>Closing Balance</b>	<b>7,713</b>	<b>7,190</b>	<b>(523)</b>	

Total receipts through September 2012 were \$764 million higher (1.9 percent) than in the same period of the prior fiscal year. Tax receipts through September 2012 were \$87 million below FY 2012 levels. Changes in year-over-year tax receipts are mainly consistent with the General Fund changes, with the exception of further reduced collections for MTA regional mobility tax receipts (\$80 million) and HCRA cigarette tax receipts (\$36 million). Non-tax receipts collections through September 2012 in other State funds were \$223 million above results in the prior fiscal year, which is due mainly to improved collections in Education Lottery and VLT receipts (\$197 million); and higher year to date collections for SUNY (\$155 million), most notably for revenue growth generated from recently expanded services provided in SUNY-operated teaching hospitals.

Actual spending results through the first six months of FY 2013 were \$714 million (1.8 percent) above the prior year. Spending variances are mainly consistent with the General Fund, with the exception of year-over-year increases in other State funds due to timing associated with payments made to the MTA and other transit operators to provide assistance for operational costs (\$190 million); and further under-spending for non-personal service costs across a number of agencies (\$159 million), which is consistent with budgetary actions to implement strict agency spending controls.

## FY 2013 SIX-MONTH OPERATING RESULTS

### ALL FUNDS RESULTS

All Governmental Funds ended September 2012 with a closing balance of \$6.0 billion, \$941 million above the First Quarterly Update projection. In addition to factors described earlier, the higher than planned fund balance is further driven by lower than planned spending from Federal Operating Funds (\$296 million). The spending variance is mainly driven by the net impact of lower than planned spending for Medicaid, a result of timing associated with UPL payments and other factors, and higher than planned spending for disaster relief costs associated with tropical storms that heavily impacted the State during the Fall of 2011.

<b>ALL GOVERNMENTAL FUNDS RESULTS THROUGH SEPTEMBER 2012</b>					
(millions of dollars)					
	Enacted Plan	First Quarterly Update	Results	Above/(Below) Variance	
				Enacted Plan	First Quarterly Update
<b>Opening Balance</b>	<b>3,360</b>	<b>3,360</b>	<b>3,360</b>	n/a	n/a
<b>Receipts</b>	<b>64,215</b>	<b>62,284</b>	<b>62,623</b>	<b>(1,592)</b>	<b>339</b>
Taxes	32,071	31,848	31,635	(436)	(213)
Miscellaneous Receipts	11,547	11,215	11,723	176	508
Federal Grants	20,597	19,221	19,265	(1,332)	44
<b>Disbursements</b>	<b>62,051</b>	<b>60,644</b>	<b>59,939</b>	<b>(2,112)</b>	<b>(705)</b>
State Operating Funds	40,607	39,938	39,627	(980)	(311)
Capital Projects Funds	3,540	3,358	3,260	(280)	(98)
Federal Operating Funds	17,904	17,348	17,052	(852)	(296)
<b>Other Financing Sources</b>	<b>166</b>	<b>69</b>	<b>(34)</b>	<b>(200)</b>	<b>(103)</b>
<b>Change in Operations</b>	<b>2,330</b>	<b>1,709</b>	<b>2,650</b>	<b>320</b>	<b>941</b>
<b>Closing Balance</b>	<b>5,690</b>	<b>5,069</b>	<b>6,010</b>	<b>320</b>	<b>941</b>

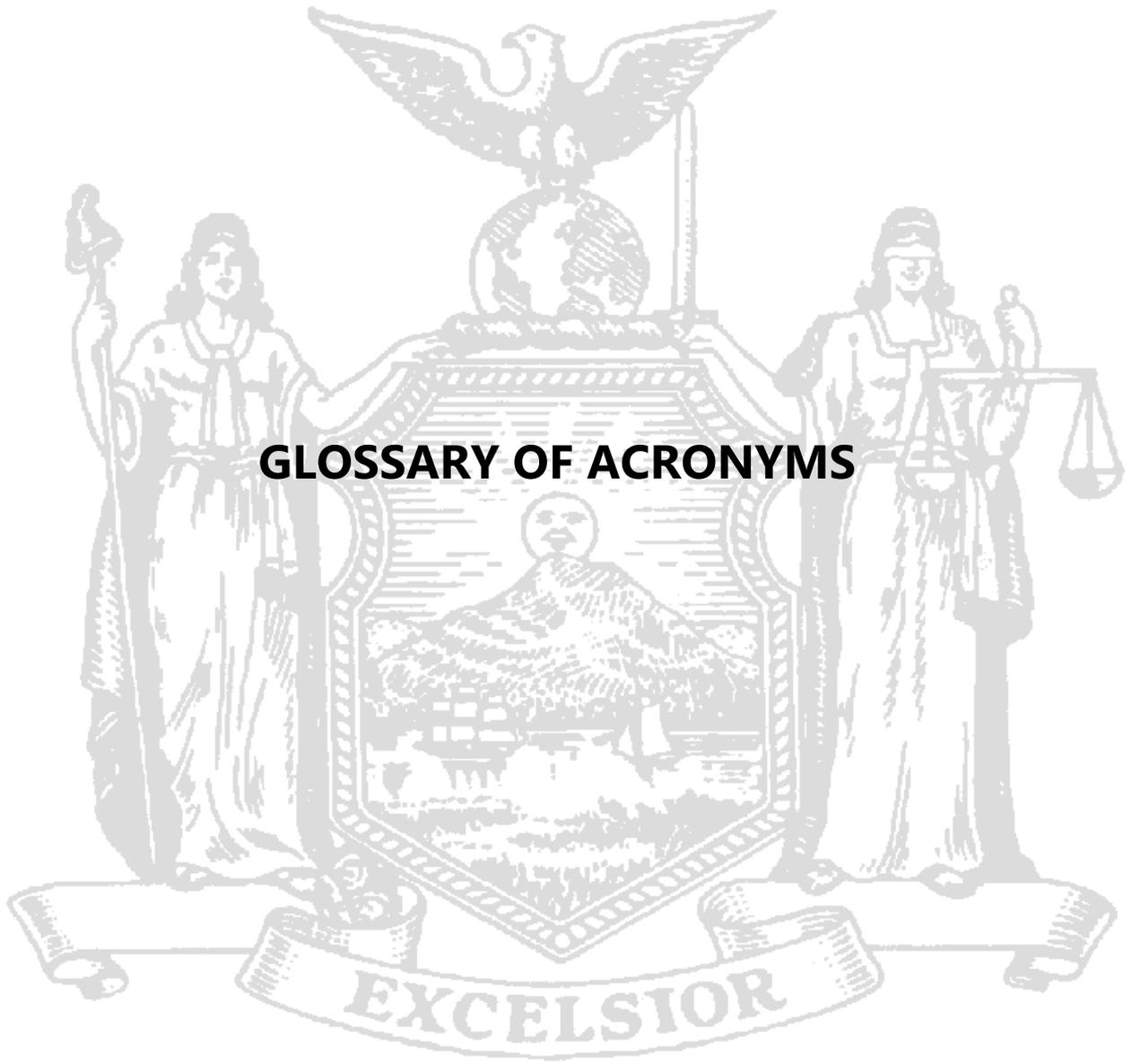
### ALL GOVERNMENTAL FUNDS ANNUAL CHANGE

The All Governmental Funds closing balance in September 2012 was \$942 million below the previous fiscal year, primarily reflecting the dual impact of a lower opening balance (\$452 million) and an inherent lag in reimbursement for spending from Federal Operating Funds (approximately \$350 million). The year-to-year decrease in Federal spending is mainly the result of the phasing-out of most programmatic elements of Federal ARRA funding during FY 2011, most notably the expiration of Federal Enhanced FMAP at the end of June 2011, which temporarily lowered State-share Medicaid costs by increasing the Federal share.

## FY 2013 SIX-MONTH OPERATING RESULTS

APRIL THROUGH SEPTEMBER All GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR				
(millions of dollars)				
	FY 2012	FY 2013	Increase/(Decrease)	
	Results	Results	\$	%
<b>Opening Balance</b>	<b>3,812</b>	<b>3,360</b>	<b>(452)</b>	
<b>Receipts</b>	<b>65,207</b>	<b>62,623</b>	<b>(2,584)</b>	<b>-4.0%</b>
Taxes	31,707	31,635	(72)	-0.2%
Miscellaneous Receipts	10,885	11,723	838	7.7%
Federal Grants	22,615	19,265	(3,350)	-14.8%
<b>Disbursements</b>	<b>62,047</b>	<b>59,939</b>	<b>(2,108)</b>	<b>-3.4%</b>
State Operating Funds	38,913	39,627	714	1.8%
Capital Projects Funds	3,693	3,260	(433)	-11.7%
Federal Operating Funds	19,441	17,052	(2,389)	-12.3%
<b>Other Financing Sources</b>	<b>(20)</b>	<b>(34)</b>	<b>(14)</b>	
<b>Change in Operations</b>	<b>3,140</b>	<b>2,650</b>	<b>(490)</b>	
<b>Closing Balance</b>	<b>6,952</b>	<b>6,010</b>	<b>(942)</b>	





**GLOSSARY OF ACRONYMS**



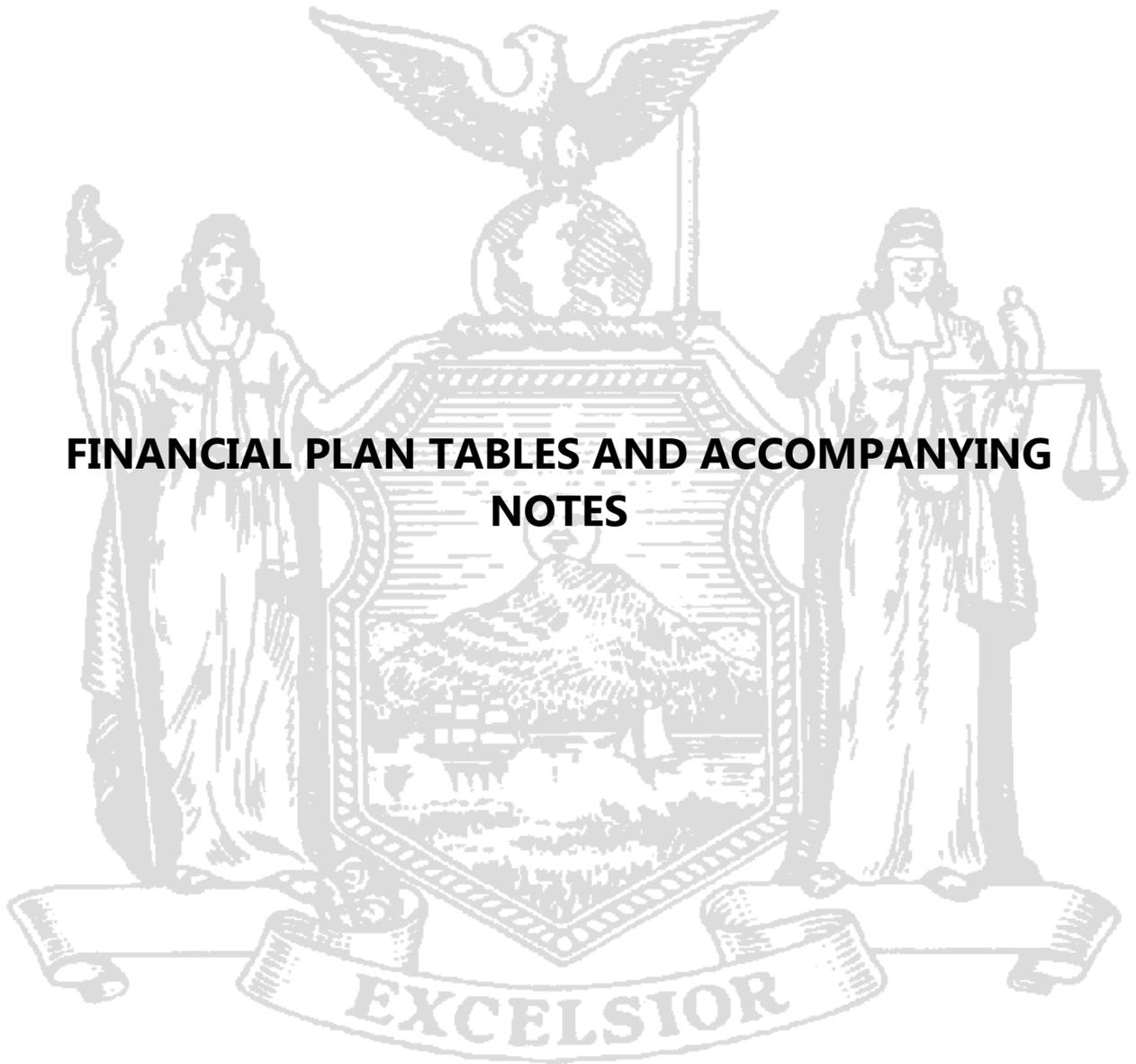
## GLOSSARY OF ACRONYMS

AIM.....	Aid and Incentive for Municipalities
ALES .....	Agency Law Enforcement Services
APSU .....	Agency Police Services Unit
AR .....	Assessment Report
ARC .....	Annual Required Contribution
ARRA .....	American Recovery and Reinvestment Act of 2009
BANs .....	Bond Anticipation Notes
BCA .....	Budget Control Act
BEA .....	Bureau of Economic Analysis
CB .....	Collective Bargaining
CHIPs.....	Consolidated Highway Improvement Programs
CHP.....	Child Health Plus
CMS.....	Centers for Medicare and Medicaid Services
COLA.....	Cost of Living Adjustment
CPI .....	Consumer Price Index
CQCAPD .....	Commission on Quality of Care and Advocacy for Persons with Disabilities
CSEA .....	Civil Service Employees Association
CUNY .....	City University of New York
CUNY DC-37 .....	City University of New York District Council 37
DASNY.....	Dormitory Authority of the State of New York
DDPC.....	Developmental Disabilities Planning Council
DFS .....	Department of Financial Services
DHBTf .....	Dedicated Highway and Bridge Trust Fund
DMV .....	Department of Motor Vehicles
DOB.....	Division of the Budget
DOCCS .....	Department of Corrections and Community Supervision
DOH.....	Department of Health
DOT .....	Department of Transportation
EI .....	Early Intervention
EPIC.....	Elderly Pharmaceutical Insurance Coverage
ERS .....	Employees Retirement System
ESDC .....	Empire State Development Corporation
FEMA .....	Federal Emergency Management Agency
FHCR.....	Federal Health Care Reform Act
FHP .....	Family Health Plus
FMAP.....	Federal Medical Assistance Percentage
F-SHRP .....	Federal-State Health Reform Partnership
FTE .....	Full Time Equivalent
FY .....	Fiscal Year
GAAP.....	Generally Accepted Accounting Principles
GASB.....	Governmental Accounting Standards Board
GASB 45 .....	Governmental Accounting Standards Board Statement 45
GEA .....	Gap Elimination Adjustment
GDP .....	Gross Domestic Product
GOER.....	Governor's Office of Employee Relations
GPHW.....	General Public Health Work

## GLOSSARY OF ACRONYMS

---

GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HEAL NY	Health Care Efficiency and Affordability Law for New Yorkers
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IPOs	Initial Public Offering
LGU	Local Governmental Unit
MCFFA	Medical Care Facilities Financing Agency
MCTD	Metropolitan Commuter Transportation District
MIF	Mortgage Insurance Fund
MTA	Metropolitan Transportation Authority
NYPA	New York Power Authority
NYS-CARES	New York State - Creating Alternatives in Residential Environments and Services
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSPBA	New York State Police Benevolent Association
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFT	Office for Technology
OGS	Office of General Services
OMB	Office of Management and Budget
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OTB	Off Track Betting
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIT	Personal Income Tax
RBTF	Revenue Bond Tax Fund
SAGE	Spending and Government Efficiency Commission
SFS	Statewide Financial System
SOFA	New York State Office for the Aging
SONYMA	State of New York Mortgage Agency
SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TA	Thruway Authority
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
UPL	Upper Payment Limit
UUP	United University Professions
VLT	Video Lottery Terminal



**FINANCIAL PLAN TABLES AND ACCOMPANYING  
NOTES**



# FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund during the fiscal year and transfers from other funds from current revenues.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for and the payment of principal and interest on, general long-term debt. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

## **FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**

---

**Capital Projects** - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

### **State Operating Funds**

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

### **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

### **Fiduciary Funds**

**Private-Purpose Trust Funds** - Accounts for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Accounts for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

### NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

**Personal Service** - Includes the payment of salaries and compensation for State employees.

**Non-Personal Service** - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

**General State Charges** - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

**Capital Projects** - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

---

**Bond Proceeds** - Includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or BANs and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

### NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

**Tax Stabilization Reserve Fund** - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending and the balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

**Rainy Day Reserve Fund** - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve Fund** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects Fund** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The Enacted State Budget typically includes lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, each party may allocate their respective appropriations, by grant amount, to the intended recipient organizations.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

### NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

For purposes of comparability, the impact of the reclassification on historical results is summarized in the following table.

<b>STATE OPERATING FUNDS AS RESTATED</b> (millions of dollars)			
	<u>Before</u> <u>Restatement</u>	<u>Reporting</u> <u>Adjustment<sup>1</sup></u>	<u>Restated</u>
FY 2006	66,240	3,065	69,305
FY 2007	73,476	3,031	76,507
FY 2008	76,989	3,029	80,018
FY 2009	78,166	3,459	81,625
FY 2010	76,873	3,786	80,659
FY 2011	80,491	3,926	84,417

<sup>1</sup> DOB has reclassified certain special revenue accounts from Federal Operating Funds to State Operating Funds to be consistent with the methodology used by the Office of the State Comptroller.

### NOTE 6 — ITEMS AFFECTING FY 2011 TO FY 2012 COMPARABILITY

**School Aid Payment** - The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of FY 2010 was paid in the first quarter of FY 2011, as authorized in statute. This was done to shift the FY 2010 budget shortfall into FY 2011.

**American Recovery and Reinvestment Act of 2009** - On February 17, 2009, President Obama signed into law ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

## **FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**

---

**Pension Amortization** - The Financial Plan projections assume that the State will amortize pension costs above a percentage of payroll, as authorized by legislation enacted in FY 2011.

The percentage of payroll above which costs may be amortized for ERS increases annually as follows: 9.5 percent in FY 2011; 10.5 percent in FY 2012; 11.5 percent in FY 2013; 12.5 percent in FY 2014; 13.5 percent in FY 2015, and 14.5 percent in FY 2016; and the amortization levels for PFRS are as follows: 17.5 percent in FY 2011; 18.5 percent in FY 2012; 19.5 percent in FY 2013; 20.5 percent in FY 2014; and 21.5 percent in FY 2016. The amortization reduces the State's annual pension contribution in the short term, but results in long-term costs. The amounts amortized totaled \$249 million in FY 2011, and \$575 million in FY 2012. The amounts expected to be amortized are \$779 million in FY 2013, \$858 million in FY 2014, \$731 million in FY 2015 and \$226 million in FY 2016.

**Retroactive Labor Settlements** - Several unions have not agreed to labor settlements for contract periods prior to FY 2012. In recent years, the State has included spending in the Financial Plan for potential settlements, which have not materialized. The Current Financial Plan costs sets aside a portion of the General Fund balance for this purpose. The amount of the reserve is calculated on the assumption that the unions that have not agreed to contracts will receive the same general salary increases as the unions with ratified contracts.

**Mergers** - Consistent with the FY 2012 Enacted Budget, State agency mergers have been reflected in the Financial Plan tables that follow. As such, spending for FY 2011 has been reflected in the former agencies, while projections for FY 2012 and forward reflect the impact of the agency merger. These mergers include:

- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision;
- Consumer Protection Board merged into Department of State; and
- Foundation for Science, Innovation & Technology merged into the Department of Economic Development

The Banking and Insurance Departments have been merged to create a new agency known as the Department of Financial Services. For reporting purposes, DOB assigned FY 2011 spending from these departments to the Department of Financial Services. Reductions in spending for the Department of Financial Services reflect the projected impact of cost control and efficiency actions contemplated by the merger of the Insurance and Banking departments.

### **NOTE 7 — OFF-BUDGET TRANSACTIONS**

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a short-term STIP or cash from the General Fund.

**NOTE 8 — GENERAL FUND/HCRA COMBINED GAP**

The current HCRA authorization expires on March 31, 2014. HCRA is projected to remain balanced through FY 2016. However, any unaddressed shortfall would need to be financed by the General Fund.

**NOTE 9 — METROPOLITAN TRANSPORTATION AUTHORITY  
FINANCIAL ASSISTANCE FUND**

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds. Both receipts and disbursements are at \$1.4 billion in FY 2013, growing to an estimated \$1.8 billion in FY 2016.

**NOTE 10 — CHANGES TO THE MEDICAID PROGRAM**

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that would have otherwise been paid for by Local Social Services Districts. The FY 2013 Enacted Budget amends these statutory indexing provisions by implementing a phased-in plan to takeover over the Local Social Services Districts share of all growth above the previous year's enacted levels beginning in FY 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

**NOTE 11 — STATEWIDE CASH FLOW ADMINISTRATION**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

### NOTE 12 — OUTSTANDING TEMPORARY LOANS SUMMARY

The total outstanding loan balance as of March 31, 2012 was \$1.8 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$931 million), activities that are financed initially by the State pending Federal receipt (\$342 million), State Special Revenue Funds (\$455 million), and Proprietary Funds (\$37 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

### NOTE 13 — STATE FUNDING OF SUNY OPERATING SUPPORT

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending, to General Fund transfers. SUNY's special revenue tuition offset account, which has traditionally been used to offset the State's direct General Fund spending for SUNY operations, will reflect all spending for the university operations, starting with the new academic year that begins in July 2012. All General Fund support for SUNY operations will be transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations will be funded. The change to General Fund financial plan categories is illustrated in the multi-year table below.

SUNY STATE OPERATIONS - GENERAL FUND STATE SUPPORT RE-CATEGORIZATION (millions of dollars)						
	FY 2011 Results	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Gross Personal Service	1,960	1,929	560	0	0	0
Offset Personal Service (From 345.12)	(1,200)	(1,226)	(13)	0	0	0
<b>Net Personal Service</b>	<b>760</b>	<b>703</b>	<b>547</b>	<b>0</b>	<b>0</b>	<b>0</b>
Gross Non-Personal Service	388	366	139	0	0	0
Offset Non-Personal Service (From 345.12)	(119)	(126)	(3)	0	0	0
<b>Net Non-Personal Service</b>	<b>269</b>	<b>240</b>	<b>136</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>General Fund Transfers to SUNY</b>						
<b>Tuition Revenue Account (345.12)</b>	<b>0</b>	<b>0</b>	<b>340</b>	<b>982</b>	<b>1,001</b>	<b>1,021</b>
<b>Total General Fund Operating Support Including Transfers</b>	<b>1,029</b>	<b>943</b>	<b>1,023</b>	<b>982</b>	<b>1,001</b>	<b>1,021</b>

**FINANCIAL PLAN TABLES**

**General Fund – Total Budget**

Financial Plan Projections FY 2013 through FY 2016 .....	T-1
Financial Plan, Annual Change from FY 2012 to FY 2013.....	T-2
Update of FY 2013.....	T-3
Update of FY 2014.....	T-4
Update of FY 2015.....	T-5
Update of FY 2016.....	T-6

**General Fund – Revenue Detail (Excluding Transfers)**

Financial Plan Projections FY 2013 through FY 2016 .....	T-7
Financial Plan, Annual Change from FY 2012 to FY 2013.....	T-8

**State Operating Funds Budget**

FY 2013.....	T-9
FY 2014.....	T-10
FY 2015.....	T-11
FY 2016.....	T-12
Annual Change from FY 2012 to FY 2013.....	T-13

**All Governmental Funds – Total Budget**

FY 2013.....	T-14
FY 2014.....	T-15
FY 2015.....	T-16
FY 2016.....	T-17
Annual Change from FY 2012 to FY 2013.....	T-18

**All Governmental Funds – Revenue Detail**

FY 2013.....	T-19
FY 2014.....	T-20
FY 2015.....	T-21
FY 2016.....	T-22
Annual Change from FY 2012 to FY 2013.....	T-23

**Special Revenue Funds - State and Federal Funds**

FY 2013.....	T-24
FY 2014.....	T-25
FY 2015.....	T-26
FY 2016.....	T-27
Annual Change from FY 2012 to FY 2013.....	T-28
Receipts Detail, FY 2013 through FY 2016.....	T-29
Receipts Detail, Annual Change from FY 2012 to FY 2013 .....	T-30

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

---

### Capital Budget - State and Federal Funds

FY 2013.....	T-31
FY 2014.....	T-32
FY 2015.....	T-33
FY 2016.....	T-34
Annual Change from FY 2012 to FY 2013.....	T-35
Receipts Detail, FY 2013 through FY 2016.....	T-36
Receipts Detail, Annual Change from FY 2012 to FY 2013 .....	T-37
Off Budget Capital Spending, FY 2012 through FY 2016 .....	T-38

### Debt Service Funds – Receipts Detail

Projections FY 2013 through FY 2016 .....	T-39
Annual Change from FY 2012 to FY 2013.....	T-40

### State Funds – Total Budget

FY 2013.....	T-41
FY 2014.....	T-42
FY 2015.....	T-43
FY 2016.....	T-44
Annual Change from FY 2012 to FY 2013.....	T-45

### Cash Flow – FY 2013 Monthly Projections

General Fund.....	T-46
State Operating Funds .....	T-47
Capital Projects Funds - Total.....	T-48
Capital Projects Funds - State .....	T-49
Capital Projects Funds - Federal .....	T-50
Special Revenue Funds - Total.....	T-51
Special Revenue Funds - State .....	T-52
Special Revenue Funds - Federal .....	T-53
Debt Service Funds .....	T-54
All Governmental Funds .....	T-55
State Funds .....	T-56

### Health Care Reform Act Resources Fund

Projections FY 2013 through FY 2016 .....	T-57
Update FY 2013 (Change from First Quarterly Update) .....	T-58
Annual Change from FY 2012 to FY 2013.....	T-59
FY 2013 Monthly Cash Flow Projections.....	T-60

### Proprietary and Fiduciary Funds (Projections FY 2013 through FY 2016).....T-61

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

### Spending Detail by Agency (FY 2011 through FY 2016)

General Fund – Total (Agency Detail Excluding Transfers) .....	T-62
General Fund – Total.....	T-68
General Fund – Local Assistance.....	T-70
General Fund – State Operations .....	T-72
General Fund – Personal Service .....	T-74
General Fund – Non-personal Service .....	T-76
General Fund – General State Charges .....	T-78
State Operating Funds – Total (Agency Detail).....	T-79
State Operating Funds – Total.....	T-87
State Operating Funds – Local Assistance.....	T-89
State Operating Funds – State Operations.....	T-91
State Operating Funds – Personal Service .....	T-93
State Operating Funds – Non-personal Service .....	T-95
State Operating Funds – General State Charges .....	T-97
State Operating Funds – Capital Projects.....	T-99
Capital Projects Funds – Total .....	T-100
All Governmental Funds – Total (Agency Detail).....	T-102
All Governmental Funds – Total.....	T-111
All Governmental Funds – Local Assistance.....	T-114
All Governmental Funds – State Operations.....	T-116
All Governmental Funds – Personal Service .....	T-118
All Governmental Funds – Non-personal Service .....	T-120
All Governmental Funds – General State Charges .....	T-122
All Governmental Funds – Capital Projects.....	T-124
State Funds – Total.....	T-126
State Funds – Local Assistance.....	T-128
State Funds – State Operations.....	T-130
State Funds – Personal Service .....	T-132
State Funds – Non-personal Service .....	T-134
State Funds – General State Charges .....	T-136
State Funds – Capital Projects.....	T-138
Special Revenue State Funds – Local Assistance .....	T-140
Special Revenue State Funds – Personal Service.....	T-142
Special Revenue State Funds – Non-personal Service.....	T-144
Special Revenue State Funds – General State Charges.....	T-146
Special Revenue Federal Funds – Local Assistance .....	T-148
Special Revenue Federal Funds – Personal Service.....	T-149
Special Revenue Federal Funds – Non-personal Service.....	T-151
Special Revenue Federal Funds – General State Charges.....	T-153

# FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

---

## General Fund Transfers (FY 2012 through FY 2016)

General Fund Transfers from Other Funds .....	T-155
General Fund Transfers to Other Funds .....	T-157

## FY 2013 Cash Basis Combining Statement

General Fund .....	T-158
Special Revenue Funds .....	T-159
Special Revenue Other Funds Detail by Account .....	T-162
Miscellaneous Special Revenue Fund (339) Detail by Account .....	T-166
Capital Projects Funds .....	T-172
Debt Service Funds .....	T-174
Internal Services .....	T-175
Enterprise .....	T-176

Debt Tables .....	T-177
-------------------	-------

## Financial Plan Table Appendices

List of Joint Custody Funds .....	T-188
State Fund Structure .....	T-193

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2013 through FY 2016  
(millions of dollars)**

	<b>FY 2013 Projected</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	26,844	28,920	29,613	30,614
User Taxes and Fees	9,188	9,562	9,978	10,343
Business Taxes	6,035	6,208	5,686	6,237
Other Taxes	1,146	1,139	1,224	1,224
Miscellaneous Receipts	3,741	2,787	2,232	2,324
Federal Receipts	60	2	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,227	8,798	8,948	9,127
Sales Tax in Excess of LGAC Debt Service	2,430	2,560	2,689	2,816
Real Estate Taxes in Excess of CW/CA Debt Service	444	525	601	676
All Other Transfers	954	832	744	792
<b>Total Receipts</b>	<b>59,069</b>	<b>61,333</b>	<b>61,715</b>	<b>64,153</b>
<b>Disbursements:</b>				
Local Assistance Grants	39,816	41,653	43,082	45,358
Departmental Operations:				
Personal Service	6,161	5,501	5,646	5,946
Non-personal Service	1,790	1,596	1,739	1,828
General State Charges	4,623	5,042	5,365	5,611
Transfers to Other Funds:				
Debt Service	1,564	1,617	1,514	1,488
Capital Projects	1,033	1,290	1,409	1,322
State Share Medicaid	2,975	2,759	2,615	2,520
SUNY Operations	340	983	1,002	1,022
Other Purposes	1,080	1,957	2,923	3,414
<b>Total Disbursements</b>	<b>59,382</b>	<b>62,398</b>	<b>65,295</b>	<b>68,509</b>
<b>Reserves:</b>				
Community Projects Fund	(45)	(57)	0	0
Rainy Day Reserve Fund	0	0	0	0
Undesignated Fund Balance	(62)	0	0	0
Prior-Year Labor Agreements (2007-2011)	(206)	(26)	10	14
<b>Increase (Decrease) in Reserves</b>	<b>(313)</b>	<b>(83)</b>	<b>10</b>	<b>14</b>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>				
	<b>0</b>	<b>(982)</b>	<b>(3,590)</b>	<b>(4,370)</b>

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Projected</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>1,787</u>	<u>411</u>	<u>29.9%</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	25,843	26,844	1,001	3.9%
User Taxes and Fees	9,055	9,188	133	1.5%
Business Taxes	5,760	6,035	275	4.8%
Other Taxes	1,096	1,146	50	4.6%
Miscellaneous Receipts	3,162	3,741	579	18.3%
Federal Receipts	60	60	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,097	8,227	130	1.6%
Sales Tax in Excess of LGAC Debt Service	2,396	2,430	34	1.4%
Real Estate Taxes in Excess of CW/CA Debt Service	387	444	57	14.7%
All Other Transfers	1,044	954	(90)	-8.6%
<b>Total Receipts</b>	<u>56,900</u>	<u>59,069</u>	<u>2,169</u>	<u>3.8%</u>
<b>Disbursements:</b>				
Local Assistance Grants	38,419	39,816	1,397	3.6%
Departmental Operations:				
Personal Service	5,781	6,161	380	6.6%
Non-Personal Service	1,713	1,790	77	4.5%
General State Charges	4,720	4,623	(97)	-2.1%
Transfers to Other Funds:				
Debt Service	1,516	1,564	48	3.2%
Capital Projects	798	1,033	235	29.4%
State Share Medicaid	2,722	2,975	253	9.3%
SUNY Operations	0	340	340	--
Other Purposes	820	1,080	260	31.7%
<b>Total Disbursements</b>	<u>56,489</u>	<u>59,382</u>	<u>2,893</u>	<u>5.1%</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>411</u>	<u>(313)</u>	<u>(724)</u>	<u>-176.2%</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,474</u>	<u>(313)</u>	<u>-17.5%</u>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	102	57	(45)	
<b>Reserved For</b>				
Prior-Year Labor Agreements (2007-2011)	283	77	(206)	
Undesignated Fund Balance	75	13	(62)	

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2013  
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	26,916	(72)	26,844
User Taxes and Fees	9,196	(8)	9,188
Business Taxes	6,035	0	6,035
Other Taxes	1,146	0	1,146
Miscellaneous Receipts	3,354	387	3,741
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,250	(23)	8,227
Sales Tax in Excess of LGAC Debt Service	2,430	0	2,430
Real Estate Taxes in Excess of CW/CA Debt Service	469	(25)	444
All Other	1,039	(85)	954
<b>Total Receipts</b>	<u>58,895</u>	<u>174</u>	<u>59,069</u>
<b>Disbursements:</b>			
Local Assistance Grants	39,668	148	39,816
Departmental Operations:			
Personal Service	6,170	(9)	6,161
Non-Personal Service	1,835	(45)	1,790
General State Charges	4,499	124	4,623
Transfers to Other Funds:			
Debt Service	1,564	0	1,564
Capital Projects	1,055	(22)	1,033
State Share Medicaid	2,975	0	2,975
SUNY Operations	340	0	340
Other Purposes	1,102	(22)	1,080
<b>Total Disbursements</b>	<u>59,208</u>	<u>174</u>	<u>59,382</u>
<b>Reserves:</b>			
Community Projects Fund	(45)	0	(45)
Rainy Day Reserve Fund	0	0	0
Undesignated Fund Balance	(62)	0	(62)
Prior-Year Labor Agreements (2007-2011)	(206)	0	(206)
<b>Increase (Decrease) in Reserves</b>	<u>(313)</u>	<u>0</u>	<u>(313)</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2014  
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	28,920	0	28,920
User Taxes and Fees	9,570	(8)	9,562
Business Taxes	6,208	0	6,208
Other Taxes	1,139	0	1,139
Miscellaneous Receipts	2,879	(92)	2,787
Federal Receipts	2	0	2
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,798	0	8,798
Sales Tax in Excess of LGAC Debt Service	2,560	0	2,560
Real Estate Taxes in Excess of CW/CA Debt Service	525	0	525
All Other	866	(34)	832
<b>Total Receipts</b>	<u>61,467</u>	<u>(134)</u>	<u>61,333</u>
<b>Disbursements:</b>			
Local Assistance Grants	41,871	(218)	41,653
Departmental Operations:			
Personal Service	5,487	14	5,501
Non-Personal Service	1,630	(34)	1,596
General State Charges	4,889	153	5,042
Transfers to Other Funds:			
Debt Service	1,617	0	1,617
Capital Projects	1,287	3	1,290
State Share Medicaid	2,767	(8)	2,759
SUNY Operations	983	0	983
Other Purposes	2,001	(44)	1,957
<b>Total Disbursements</b>	<u>62,532</u>	<u>(134)</u>	<u>62,398</u>
<b>Reserves:</b>			
Community Projects Fund	(57)	0	(57)
Prior-Year Labor Agreements (2007-2011)	(26)	0	(26)
<b>Increase (Decrease) in Reserves</b>	<u>(83)</u>	<u>0</u>	<u>(83)</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(982)</u>	<u>0</u>	<u>(982)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2015  
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	29,613	0	29,613
User Taxes and Fees	9,986	(8)	9,978
Business Taxes	5,686	0	5,686
Other Taxes	1,224	0	1,224
Miscellaneous Receipts	2,297	(65)	2,232
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,948	0	8,948
Sales Tax in Excess of LGAC Debt Service	2,689	0	2,689
Real Estate Taxes in Excess of CW/CA Debt Service	601	0	601
All Other	774	(30)	744
<b>Total Receipts</b>	<u>61,818</u>	<u>(103)</u>	<u>61,715</u>
<b>Disbursements:</b>			
Local Assistance Grants	43,225	(143)	43,082
Departmental Operations:			
Personal Service	5,632	14	5,646
Non-Personal Service	1,771	(32)	1,739
General State Charges	5,235	130	5,365
Transfers to Other Funds:			
Debt Service	1,514	0	1,514
Capital Projects	1,403	6	1,409
State Share Medicaid	2,621	(6)	2,615
SUNY Operations	1,002	0	1,002
Other Purposes	2,995	(72)	2,923
<b>Total Disbursements</b>	<u>65,398</u>	<u>(103)</u>	<u>65,295</u>
<b>Reserves:</b>			
Prior-Year Labor Agreements (2007-2011)	10	0	10
<b>Increase (Decrease) in Reserves</b>	<u>10</u>	<u>0</u>	<u>10</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(3,590)</u>	<u>0</u>	<u>(3,590)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2016  
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	30,614	0	30,614
User Taxes and Fees	10,351	(8)	10,343
Business Taxes	6,237	0	6,237
Other Taxes	1,224	0	1,224
Miscellaneous Receipts	2,389	(65)	2,324
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,127	0	9,127
Sales Tax in Excess of LGAC Debt Service	2,816	0	2,816
Real Estate Taxes in Excess of CW/CA Debt Service	676	0	676
All Other	764	28	792
<b>Total Receipts</b>	<u>64,198</u>	<u>(45)</u>	<u>64,153</u>
<b>Disbursements:</b>			
Local Assistance Grants	45,489	(131)	45,358
Departmental Operations:			
Personal Service	5,915	31	5,946
Non-Personal Service	1,821	7	1,828
General State Charges	5,527	84	5,611
Transfers to Other Funds:			
Debt Service	1,488	0	1,488
Capital Projects	1,299	23	1,322
State Share Medicaid	2,521	(1)	2,520
SUNY Operations	1,022	0	1,022
Other Purposes	3,472	(58)	3,414
<b>Total Disbursements</b>	<u>68,554</u>	<u>(45)</u>	<u>68,509</u>
<b>Reserves:</b>			
Prior-Year Labor Agreements (2007-2011)	14	0	14
<b>Increase (Decrease) in Reserves</b>	<u>14</u>	<u>0</u>	<u>14</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(4,370)</u>	<u>0</u>	<u>(4,370)</u>

**CASH RECEIPTS  
CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Taxes:</b>				
Withholdings	32,173	34,342	35,557	37,647
Estimated Payments	11,752	12,563	13,477	13,360
Final Payments	2,153	2,266	2,151	2,251
Other Payments	1,174	1,183	1,236	1,286
<b>Gross Collections</b>	<u>47,252</u>	<u>50,354</u>	<u>52,421</u>	<u>54,544</u>
State/City Offset	(248)	(198)	(148)	(148)
Refunds	(6,843)	(6,984)	(7,933)	(8,585)
<b>Reported Tax Collections</b>	<u>40,161</u>	<u>43,172</u>	<u>44,340</u>	<u>45,811</u>
STAR (Dedicated Deposits)	(3,276)	(3,459)	(3,642)	(3,744)
RBTF (Dedicated Transfers)	(10,041)	(10,793)	(11,085)	(11,453)
<b>Personal Income Tax</b>	<u>26,844</u>	<u>28,920</u>	<u>29,613</u>	<u>30,614</u>
Sales and Use Tax	11,310	11,816	12,374	12,871
Cigarette and Tobacco Taxes	461	454	447	439
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	244	245	250	250
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Utility Taxes and Fees</b>	<u>12,015</u>	<u>12,515</u>	<u>13,071</u>	<u>13,560</u>
LGAC Sales Tax (Dedicated Transfers)	(2,827)	(2,953)	(3,093)	(3,217)
<b>User Taxes and Fees</b>	<u>9,188</u>	<u>9,562</u>	<u>9,978</u>	<u>10,343</u>
Corporation Franchise Tax	2,635	2,836	2,150	2,526
Corporation and Utilities Tax	660	638	689	710
Insurance Taxes	1,301	1,364	1,408	1,484
Bank Tax	1,439	1,370	1,439	1,517
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<u>6,035</u>	<u>6,208</u>	<u>5,686</u>	<u>6,237</u>
Estate Tax	1,127	1,120	1,205	1,205
Real Estate Transfer Tax	660	735	805	880
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	18	18	18	18
Other Taxes	1	1	1	1
<b>Gross Other Taxes</b>	<u>1,806</u>	<u>1,874</u>	<u>2,029</u>	<u>2,104</u>
Real Estate Transfer Tax (Dedicated)	(660)	(735)	(805)	(880)
<b>Other Taxes</b>	<u>1,146</u>	<u>1,139</u>	<u>1,224</u>	<u>1,224</u>
<b>Payroll Tax</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total Taxes</b>	<u>43,213</u>	<u>45,829</u>	<u>46,501</u>	<u>48,418</u>
Licenses, Fees, Etc.	733	739	621	612
Abandoned Property	785	670	655	655
Motor Vehicle Fees	99	26	26	26
ABC License Fee	51	50	50	50
Reimbursements	262	262	262	262
Investment Income	5	30	30	30
Other Transactions	1,806	1,010	588	689
<b>Miscellaneous Receipts</b>	<u>3,741</u>	<u>2,787</u>	<u>2,232</u>	<u>2,324</u>
<b>Federal Grants</b>	<u>60</u>	<u>2</u>	<u>0</u>	<u>0</u>
<b>Total</b>	<u>47,014</u>	<u>48,618</u>	<u>48,733</u>	<u>50,742</u>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Taxes:</b>				
Withholdings	31,199	32,173	974	3.1%
Estimated Payments	11,628	11,752	124	1.1%
Final Payments	2,116	2,153	37	1.7%
Other Payments	1,087	1,174	87	8.0%
<b>Gross Collections</b>	<u>46,030</u>	<u>47,252</u>	<u>1,222</u>	<u>2.7%</u>
State/City Offset	(366)	(248)	118	-32.2%
Refunds	<u>(6,897)</u>	<u>(6,843)</u>	<u>54</u>	<u>-0.8%</u>
<b>Reported Tax Collections</b>	<u>38,767</u>	<u>40,161</u>	<u>1,394</u>	<u>3.6%</u>
STAR (Dedicated Deposits)	(3,233)	(3,276)	(43)	1.3%
RBTF (Dedicated Transfers)	<u>(9,691)</u>	<u>(10,041)</u>	<u>(350)</u>	<u>3.6%</u>
<b>Personal Income Tax</b>	<u>25,843</u>	<u>26,844</u>	<u>1,001</u>	<u>3.9%</u>
Sales and Use Tax	11,126	11,310	184	1.7%
Cigarette and Tobacco Taxes	471	461	(10)	-2.1%
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	238	244	6	2.5%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
<b>Gross Utility Taxes and Fees</b>	<u>11,835</u>	<u>12,015</u>	<u>180</u>	<u>1.5%</u>
LGAC Sales Tax (Dedicated Transfers)	<u>(2,780)</u>	<u>(2,827)</u>	<u>(47)</u>	<u>1.7%</u>
<b>User Taxes and Fees</b>	<u>9,055</u>	<u>9,188</u>	<u>133</u>	<u>1.5%</u>
Corporation Franchise Tax	2,724	2,635	(89)	-3.3%
Corporation and Utilities Tax	617	660	43	7.0%
Insurance Taxes	1,257	1,301	44	3.5%
Bank Tax	1,161	1,439	278	23.9%
Petroleum Business Tax	1	0	(1)	-100.0%
<b>Business Taxes</b>	<u>5,760</u>	<u>6,035</u>	<u>275</u>	<u>4.8%</u>
Estate Tax	1,078	1,127	49	4.5%
Real Estate Transfer Tax	610	660	50	8.2%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	<u>1</u>	<u>1</u>	<u>0</u>	<u>0.0%</u>
<b>Gross Other Taxes</b>	<u>1,706</u>	<u>1,806</u>	<u>100</u>	<u>5.9%</u>
Real Estate Transfer Tax (Dedicated)	<u>(610)</u>	<u>(660)</u>	<u>(50)</u>	<u>8.2%</u>
<b>Other Taxes</b>	<u>1,096</u>	<u>1,146</u>	<u>50</u>	<u>4.6%</u>
<b>Payroll Tax</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
<b>Total Taxes</b>	<u>41,754</u>	<u>43,213</u>	<u>1,459</u>	<u>3.5%</u>
Licenses, Fees, Etc.	654	733	79	12.1%
Abandoned Property	762	785	23	3.0%
Motor Vehicle Fees	111	99	(12)	-10.8%
ABC License Fee	59	51	(8)	-13.6%
Reimbursements	234	262	28	12.0%
Investment Income	5	5	0	0.0%
Other Transactions	<u>1,337</u>	<u>1,806</u>	<u>469</u>	<u>35.1%</u>
<b>Miscellaneous Receipts</b>	<u>3,162</u>	<u>3,741</u>	<u>579</u>	<u>18.3%</u>
<b>Federal Grants</b>	<u>60</u>	<u>60</u>	<u>0</u>	<u>0.0%</u>
<b>Total</b>	<u>44,976</u>	<u>47,014</u>	<u>2,038</u>	<u>4.5%</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	<u>1,787</u>	<u>1,632</u>	<u>428</u>	<u>3,847</u>
<b>Receipts:</b>				
Taxes	43,213	8,120	13,408	64,741
Miscellaneous Receipts	3,741	15,572	996	20,309
Federal Receipts	60	1	79	140
<b>Total Receipts</b>	<u>47,014</u>	<u>23,693</u>	<u>14,483</u>	<u>85,190</u>
<b>Disbursements:</b>				
Local Assistance Grants	39,816	18,889	0	58,705
Departmental Operations:				
Personal Service	6,161	6,357	0	12,518
Non-Personal Service	1,790	3,638	47	5,475
General State Charges	4,623	1,994	0	6,617
Debt Service	0	0	6,100	6,100
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>52,390</u>	<u>30,883</u>	<u>6,147</u>	<u>89,420</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	12,055	7,696	6,305	26,056
Transfers to Other Funds	(6,992)	(393)	(14,585)	(21,970)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>5,063</u>	<u>7,303</u>	<u>(8,280)</u>	<u>4,086</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(313)</u>	<u>113</u>	<u>56</u>	<u>(144)</u>
<b>Closing Fund Balance</b>	<u>1,474</u>	<u>1,745</u>	<u>484</u>	<u>3,703</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	45,829	8,402	14,362	68,593
Miscellaneous Receipts	2,787	15,978	1,043	19,808
Federal Receipts	2	1	79	82
<b>Total Receipts</b>	<u>48,618</u>	<u>24,381</u>	<u>15,484</u>	<u>88,483</u>
<b>Disbursements:</b>				
Local Assistance Grants	41,653	19,562	0	61,215
Departmental Operations:				
Personal Service	5,501	7,010	0	12,511
Non-Personal Service	1,596	3,863	47	5,506
General State Charges	5,042	2,175	0	7,217
Debt Service	0	0	6,415	6,415
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>53,792</u>	<u>32,615</u>	<u>6,462</u>	<u>92,869</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	12,715	8,688	6,152	27,555
Transfers to Other Funds	(8,606)	(241)	(15,060)	(23,907)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>4,109</u>	<u>8,447</u>	<u>(8,908)</u>	<u>3,648</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(1,065)</u>	<u>213</u>	<u>114</u>	<u>(738)</u>
<b>Designated General Fund Reserves:</b>				
Community Projects Fund	(57)			
Prior-Year Labor Agreements (2007-2011)	(26)			
<b>Increase (Decrease) in Reserves</b>	<u>(83)</u>			
<b>Net General Fund Deficit</b>	<u>(982)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	46,501	8,757	14,864	70,122
Miscellaneous Receipts	2,232	16,202	1,094	19,528
Federal Receipts	0	1	79	80
<b>Total Receipts</b>	<u>48,733</u>	<u>24,960</u>	<u>16,037</u>	<u>89,730</u>
<b>Disbursements:</b>				
Local Assistance Grants	43,082	20,266	0	63,348
Departmental Operations:				
Personal Service	5,646	7,177	0	12,823
Non-Personal Service	1,739	3,889	47	5,675
General State Charges	5,365	2,291	0	7,656
Debt Service	0	0	6,484	6,484
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>55,832</u>	<u>33,628</u>	<u>6,531</u>	<u>95,991</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	12,982	9,036	5,617	27,635
Transfers to Other Funds	(9,463)	(43)	(14,986)	(24,492)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>3,519</u>	<u>8,993</u>	<u>(9,369)</u>	<u>3,143</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(3,580)</u>	<u>325</u>	<u>137</u>	<u>(3,118)</u>
<b>Designated General Fund Reserves:</b>				
Prior-Year Labor Agreements (2007-2011)	10			
<b>Increase (Decrease) in Reserves</b>	<u>10</u>			
<b>Net General Fund Deficit</b>	<u>(3,590)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	48,418	9,019	15,431	72,868
Miscellaneous Receipts	2,324	16,362	1,092	19,778
Federal Receipts	0	1	79	80
<b>Total Receipts</b>	<u>50,742</u>	<u>25,382</u>	<u>16,602</u>	<u>92,726</u>
<b>Disbursements:</b>				
Local Assistance Grants	45,358	20,355	0	65,713
Departmental Operations:				
Personal Service	5,946	7,368	0	13,314
Non-Personal Service	1,828	3,967	47	5,842
General State Charges	5,611	2,474	0	8,085
Debt Service	0	0	6,645	6,645
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>58,743</u>	<u>34,169</u>	<u>6,692</u>	<u>99,604</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	13,411	9,465	5,368	28,244
Transfers to Other Funds	(9,766)	(272)	(15,277)	(25,315)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>3,645</u>	<u>9,193</u>	<u>(9,909)</u>	<u>2,929</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(4,356)</u>	<u>406</u>	<u>1</u>	<u>(3,949)</u>
<b>Designated General Fund Reserves:</b>				
Prior-Year Labor Agreements (2007-2011)	14			
<b>Increase (Decrease) in Reserves</b>	<u>14</u>			
<b>Net General Fund Deficit</b>	<u>(4,370)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,969</u>	<u>3,847</u>	<u>(122)</u>	
<b>Receipts:</b>				
Taxes	62,960	64,741	1,781	2.8%
Miscellaneous Receipts	19,516	20,309	793	4.1%
Federal Receipts	140	140	0	0.0%
<b>Total Receipts</b>	<u>82,616</u>	<u>85,190</u>	<u>2,574</u>	<u>3.1%</u>
<b>Disbursements:</b>				
Local Assistance Grants	57,267	58,705	1,438	2.5%
Departmental Operations:				
Personal Service	12,047	12,518	471	3.9%
Non-Personal Service	5,404	5,475	71	1.3%
General State Charges	6,593	6,617	24	0.4%
Debt Service	5,864	6,100	236	4.0%
Capital Projects	6	5	(1)	-16.7%
<b>Total Disbursements</b>	<u>87,181</u>	<u>89,420</u>	<u>2,239</u>	<u>2.6%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	25,510	26,056	546	2.1%
Transfers to Other Funds	(21,067)	(21,970)	(903)	4.3%
Bond and Note Proceeds	0	0	0	--
<b>Net Other Financing Sources (uses)</b>	<u>4,443</u>	<u>4,086</u>	<u>(357)</u>	<u>-8.0%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(122)</u>	<u>(144)</u>	<u>(22)</u>	
<b>Closing Fund Balance</b>	<u>3,847</u>	<u>3,703</u>	<u>(144)</u>	

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	1,787	1,594	(449)	428	3,360
<b>Receipts:</b>					
Taxes	43,213	8,120	1,399	13,408	66,140
Miscellaneous Receipts	3,741	15,758	4,213	996	24,708
Federal Receipts	60	40,173	2,191	79	42,503
<b>Total Receipts</b>	<u>47,014</u>	<u>64,051</u>	<u>7,803</u>	<u>14,483</u>	<u>133,351</u>
<b>Disbursements:</b>					
Local Assistance Grants	39,816	53,400	2,104	0	95,320
Departmental Operations:					
Personal Service	6,161	7,008	0	0	13,169
Non-Personal Service	1,790	4,566	0	47	6,403
General State Charges	4,623	2,280	0	0	6,903
Debt Service	0	0	0	6,100	6,100
Capital Projects	0	5	5,957	0	5,962
<b>Total Disbursements</b>	<u>52,390</u>	<u>67,259</u>	<u>8,061</u>	<u>6,147</u>	<u>133,857</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,055	7,697	1,345	6,305	27,402
Transfers to Other Funds	(6,992)	(4,376)	(1,479)	(14,585)	(27,432)
Bond and Note Proceeds	0	0	400	0	400
<b>Net Other Financing Sources (Uses)</b>	<u>5,063</u>	<u>3,321</u>	<u>266</u>	<u>(8,280)</u>	<u>370</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(313)</u>	<u>113</u>	<u>8</u>	<u>56</u>	<u>(136)</u>
<b>Closing Fund Balance</b>	<u>1,474</u>	<u>1,707</u>	<u>(441)</u>	<u>484</u>	<u>3,224</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	45,829	8,402	1,419	14,362	70,012
Miscellaneous Receipts	2,787	16,164	4,042	1,043	24,036
Federal Receipts	2	41,974	2,212	79	44,267
<b>Total Receipts</b>	<u>48,618</u>	<u>66,540</u>	<u>7,673</u>	<u>15,484</u>	<u>138,315</u>
<b>Disbursements:</b>					
Local Assistance Grants	41,653	55,974	2,107	0	99,734
Departmental Operations:					
Personal Service	5,501	7,663	0	0	13,164
Non-Personal Service	1,596	4,887	0	47	6,530
General State Charges	5,042	2,496	0	0	7,538
Debt Service	0	0	0	6,415	6,415
Capital Projects	0	5	5,779	0	5,784
<b>Total Disbursements</b>	<u>53,792</u>	<u>71,025</u>	<u>7,886</u>	<u>6,462</u>	<u>139,165</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,715	8,689	1,542	6,152	29,098
Transfers to Other Funds	(8,606)	(3,991)	(1,540)	(15,060)	(29,197)
Bond and Note Proceeds	0	0	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>4,109</u>	<u>4,698</u>	<u>340</u>	<u>(8,908)</u>	<u>239</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(1,065)</u>	<u>213</u>	<u>127</u>	<u>114</u>	<u>(611)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(57)				
Prior-Year Labor Agreements (2007-2011)	(26)				
<b>Increase (Decrease) in Reserves</b>	<u>(83)</u>				
<b>Net General Fund Deficit</b>	<u>(982)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	46,501	8,757	1,429	14,864	71,551
Miscellaneous Receipts	2,232	16,388	3,806	1,094	23,520
Federal Receipts	0	44,724	1,971	79	46,774
<b>Total Receipts</b>	<u>48,733</u>	<u>69,869</u>	<u>7,206</u>	<u>16,037</u>	<u>141,845</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,082	60,025	1,883	0	104,990
Departmental Operations:					
Personal Service	5,646	7,851	0	0	13,497
Non-Personal Service	1,739	4,872	0	47	6,658
General State Charges	5,365	2,612	0	0	7,977
Debt Service	0	0	0	6,484	6,484
Capital Projects	0	5	5,579	0	5,584
<b>Total Disbursements</b>	<u>55,832</u>	<u>75,365</u>	<u>7,462</u>	<u>6,531</u>	<u>145,190</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,982	9,037	1,473	5,617	29,109
Transfers to Other Funds	(9,463)	(3,216)	(1,522)	(14,986)	(29,187)
Bond and Note Proceeds	0	0	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>3,519</u>	<u>5,821</u>	<u>257</u>	<u>(9,369)</u>	<u>228</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(3,580)</u>	<u>325</u>	<u>1</u>	<u>137</u>	<u>(3,117)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	10				
<b>Increase (Decrease) in Reserves</b>	<u>10</u>				
<b>Net General Fund Deficit</b>	<u>(3,590)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	48,418	9,019	1,446	15,431	74,314
Miscellaneous Receipts	2,324	16,548	3,825	1,092	23,789
Federal Receipts	0	46,655	1,656	79	48,390
<b>Total Receipts</b>	<u>50,742</u>	<u>72,222</u>	<u>6,927</u>	<u>16,602</u>	<u>146,493</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,358	62,404	1,552	0	109,314
Departmental Operations:					
Personal Service	5,946	8,070	0	0	14,016
Non-Personal Service	1,828	4,845	0	47	6,720
General State Charges	5,611	2,810	0	0	8,421
Debt Service	0	0	0	6,645	6,645
Capital Projects	0	5	5,384	0	5,389
<b>Total Disbursements</b>	<u>58,743</u>	<u>78,134</u>	<u>6,936</u>	<u>6,692</u>	<u>150,505</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	13,411	9,466	1,386	5,368	29,631
Transfers to Other Funds	(9,766)	(3,146)	(1,527)	(15,277)	(29,716)
Bond and Note Proceeds	0	0	121	0	121
<b>Net Other Financing Sources (Uses)</b>	<u>3,645</u>	<u>6,320</u>	<u>(20)</u>	<u>(9,909)</u>	<u>36</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(4,356)</u>	<u>408</u>	<u>(29)</u>	<u>1</u>	<u>(3,976)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	14				
<b>Increase (Decrease) in Reserves</b>	<u>14</u>				
<b>Net General Fund Deficit</b>	<u>(4,370)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,812</u>	<u>3,360</u>	<u>(452)</u>	
<b>Receipts:</b>				
Taxes	64,297	66,140	1,843	2.9%
Miscellaneous Receipts	23,837	24,708	871	3.7%
Federal Receipts	44,611	42,503	(2,108)	-4.7%
<b>Total Receipts</b>	<u>132,745</u>	<u>133,351</u>	<u>606</u>	<u>0.5%</u>
<b>Disbursements:</b>				
Local Assistance Grants	96,481	95,320	(1,161)	-1.2%
Departmental Operations:				
Personal Service	12,680	13,169	489	3.9%
Non-Personal Service	6,348	6,403	55	0.9%
General State Charges	6,855	6,903	48	0.7%
Debt Service	5,864	6,100	236	4.0%
Capital Projects	5,276	5,962	686	13.0%
<b>Total Disbursements</b>	<u>133,504</u>	<u>133,857</u>	<u>353</u>	<u>0.3%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	26,541	27,402	861	3.2%
Transfers to Other Funds	(26,586)	(27,432)	(846)	3.2%
Bond and Note Proceeds	352	400	48	13.6%
<b>Net Other Financing Sources (Uses)</b>	<u>307</u>	<u>370</u>	<u>63</u>	<u>20.5%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(452)</u>	<u>(136)</u>	<u>316</u>	
<b>Closing Fund Balance</b>	<u>3,360</u>	<u>3,224</u>	<u>(136)</u>	

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	32,173	0	0	0	32,173
Estimated Payments	11,752	0	0	0	11,752
Final Payments	2,153	0	0	0	2,153
Other Payments	1,174	0	0	0	1,174
<b>Gross Collections</b>	<u>47,252</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,252</u>
State/City Offset	(248)	0	0	0	(248)
Refunds	(6,843)	0	0	0	(6,843)
<b>Reported Tax Collections</b>	<u>40,161</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>40,161</u>
STAR (Dedicated Deposits)	(3,276)	3,276	0	0	0
RBTF (Dedicated Transfers)	(10,041)	0	0	10,040	(1)
<b>Personal Income Tax</b>	<u>26,844</u>	<u>3,276</u>	<u>0</u>	<u>10,040</u>	<u>40,160</u>
Sales and Use Tax	11,310	785	0	0	12,095
Cigarette and Tobacco Taxes	461	1,124	0	0	1,585
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Tax	244	0	0	0	244
Highway Use Tax	0	0	147	0	147
Auto Rental Tax	0	41	68	0	109
Taxicab Surcharge	0	89	0	0	89
<b>Gross Utility Taxes and Fees</b>	<u>12,015</u>	<u>2,147</u>	<u>622</u>	<u>0</u>	<u>14,784</u>
LGAC Sales Tax (Dedicated Transfers)	(2,827)	0	0	2,827	0
<b>User Taxes and Fees</b>	<u>9,188</u>	<u>2,147</u>	<u>622</u>	<u>2,827</u>	<u>14,784</u>
Corporation Franchise Tax	2,635	401	0	0	3,036
Corporation and Utilities Tax	660	171	13	0	844
Insurance Taxes	1,301	157	0	0	1,458
Bank Tax	1,439	271	0	0	1,710
Petroleum Business Tax	0	517	645	0	1,162
<b>Business Taxes</b>	<u>6,035</u>	<u>1,517</u>	<u>658</u>	<u>0</u>	<u>8,210</u>
Estate Tax	1,127	0	0	0	1,127
Real Estate Transfer Tax	660	0	0	0	660
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,806</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,806</u>
Real Estate Transfer Tax (Dedicated)	(660)	0	119	541	0
<b>Other Taxes</b>	<u>1,146</u>	<u>0</u>	<u>119</u>	<u>541</u>	<u>1,806</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,180</u>	<u>0</u>	<u>0</u>	<u>1,180</u>
<b>Total Taxes</b>	<u>43,213</u>	<u>8,120</u>	<u>1,399</u>	<u>13,408</u>	<u>66,140</u>
Licenses, Fees, Etc.	733	0	0	0	733
Abandoned Property	785	0	0	0	785
Motor Vehicle Fees	99	482	799	0	1,380
ABC License Fee	51	0	0	0	51
Reimbursements	262	0	0	0	262
Investment Income	5	0	0	0	5
Other Transactions	1,806	15,276	3,414	996	21,492
<b>Miscellaneous Receipts</b>	<u>3,741</u>	<u>15,758</u>	<u>4,213</u>	<u>996</u>	<u>24,708</u>
<b>Federal Grants</b>	<u>60</u>	<u>40,173</u>	<u>2,191</u>	<u>79</u>	<u>42,503</u>
<b>Total</b>	<u>47,014</u>	<u>64,051</u>	<u>7,803</u>	<u>14,483</u>	<u>133,351</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	34,342	0	0	0	34,342
Estimated Payments	12,563	0	0	0	12,563
Final Payments	2,266	0	0	0	2,266
Other Payments	1,183	0	0	0	1,183
<b>Gross Collections</b>	<u>50,354</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>50,354</u>
State/City Offset	(198)	0	0	0	(198)
Refunds	(6,984)	0	0	0	(6,984)
<b>Reported Tax Collections</b>	<u>43,172</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>43,172</u>
STAR (Dedicated Deposits)	(3,459)	3,459	0	0	0
RBTF (Dedicated Transfers)	(10,793)	0	0	10,793	0
<b>Personal Income Tax</b>	<u>28,920</u>	<u>3,459</u>	<u>0</u>	<u>10,793</u>	<u>43,172</u>
Sales and Use Tax	11,816	819	0	0	12,635
Cigarette and Tobacco Taxes	454	1,101	0	0	1,555
Motor Fuel Tax	0	109	408	0	517
Alcoholic Beverage Taxes	245	0	0	0	245
Highway Use Tax	0	0	142	0	142
Auto Rental Tax	0	43	71	0	114
Taxicab Surcharge	0	96	0	0	96
<b>Gross Utility Taxes and Fees</b>	<u>12,515</u>	<u>2,168</u>	<u>621</u>	<u>0</u>	<u>15,304</u>
LGAC Sales Tax (Dedicated Transfers)	(2,953)	0	0	2,953	0
<b>User Taxes and Fees</b>	<u>9,562</u>	<u>2,168</u>	<u>621</u>	<u>2,953</u>	<u>15,304</u>
Corporation Franchise Tax	2,836	443	0	0	3,279
Corporation and Utilities Tax	638	171	13	0	822
Insurance Taxes	1,364	167	0	0	1,531
Bank Tax	1,370	238	0	0	1,608
Petroleum Business Tax	0	534	666	0	1,200
<b>Business Taxes</b>	<u>6,208</u>	<u>1,553</u>	<u>679</u>	<u>0</u>	<u>8,440</u>
Estate Tax	1,120	0	0	0	1,120
Real Estate Transfer Tax	735	0	0	0	735
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,874</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,874</u>
Real Estate Transfer Tax (Dedicated)	(735)	0	119	616	0
<b>Other Taxes</b>	<u>1,139</u>	<u>0</u>	<u>119</u>	<u>616</u>	<u>1,874</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,222</u>	<u>0</u>	<u>0</u>	<u>1,222</u>
<b>Total Taxes</b>	<u>45,829</u>	<u>8,402</u>	<u>1,419</u>	<u>14,362</u>	<u>70,012</u>
Licenses, Fees, Etc.	739	0	0	0	739
Abandoned Property	670	0	0	0	670
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	1,010	15,683	3,231	1,043	20,967
<b>Miscellaneous Receipts</b>	<u>2,787</u>	<u>16,164</u>	<u>4,042</u>	<u>1,043</u>	<u>24,036</u>
<b>Federal Grants</b>	<u>2</u>	<u>41,974</u>	<u>2,212</u>	<u>79</u>	<u>44,267</u>
<b>Total</b>	<u>48,618</u>	<u>66,540</u>	<u>7,673</u>	<u>15,484</u>	<u>138,315</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	35,557	0	0	0	35,557
Estimated Payments	13,477	0	0	0	13,477
Final Payments	2,151	0	0	0	2,151
Other Payments	1,236	0	0	0	1,236
<b>Gross Collections</b>	<u>52,421</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>52,421</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(7,933)	0	0	0	(7,933)
<b>Reported Tax Collections</b>	<u>44,340</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,340</u>
STAR (Dedicated Deposits)	(3,642)	3,642	0	0	0
RBTF (Dedicated Transfers)	(11,085)	0	0	11,085	0
<b>Personal Income Tax</b>	<u>29,613</u>	<u>3,642</u>	<u>0</u>	<u>11,085</u>	<u>44,340</u>
Sales and Use Tax	12,374	847	0	0	13,221
Cigarette and Tobacco Taxes	447	1,079	0	0	1,526
Motor Fuel Tax	0	109	411	0	520
Alcoholic Beverage Taxes	250	0	0	0	250
Highway Use Tax	0	0	144	0	144
Auto Rental Tax	0	45	74	0	119
Taxicab Surcharge	0	100	0	0	100
<b>Gross Utility Taxes and Fees</b>	<u>13,071</u>	<u>2,180</u>	<u>629</u>	<u>0</u>	<u>15,880</u>
LGAC Sales Tax (Dedicated Transfers)	(3,093)	0	0	3,093	0
<b>User Taxes and Fees</b>	<u>9,978</u>	<u>2,180</u>	<u>629</u>	<u>3,093</u>	<u>15,880</u>
Corporation Franchise Tax	2,150	475	0	0	2,625
Corporation and Utilities Tax	689	173	13	0	875
Insurance Taxes	1,408	172	0	0	1,580
Bank Tax	1,439	249	0	0	1,688
Petroleum Business Tax	0	537	668	0	1,205
<b>Business Taxes</b>	<u>5,686</u>	<u>1,606</u>	<u>681</u>	<u>0</u>	<u>7,973</u>
Estate Tax	1,205	0	0	0	1,205
Real Estate Transfer Tax	805	0	0	0	805
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>2,029</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,029</u>
Real Estate Transfer Tax (Dedicated)	(805)	0	119	686	0
<b>Other Taxes</b>	<u>1,224</u>	<u>0</u>	<u>119</u>	<u>686</u>	<u>2,029</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,329</u>	<u>0</u>	<u>0</u>	<u>1,329</u>
<b>Total Taxes</b>	<u>46,501</u>	<u>8,757</u>	<u>1,429</u>	<u>14,864</u>	<u>71,551</u>
Licenses, Fees, Etc.	621	0	0	0	621
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	588	15,907	2,995	1,094	20,584
<b>Miscellaneous Receipts</b>	<u>2,232</u>	<u>16,388</u>	<u>3,806</u>	<u>1,094</u>	<u>23,520</u>
<b>Federal Grants</b>	<u>0</u>	<u>44,724</u>	<u>1,971</u>	<u>79</u>	<u>46,774</u>
<b>Total</b>	<u>48,733</u>	<u>69,869</u>	<u>7,206</u>	<u>16,037</u>	<u>141,845</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	37,647	0	0	0	37,647
Estimated Payments	13,360	0	0	0	13,360
Final Payments	2,251	0	0	0	2,251
Other Payments	1,286	0	0	0	1,286
<b>Gross Collections</b>	<u>54,544</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>54,544</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(8,585)	0	0	0	(8,585)
<b>Reported Tax Collections</b>	<u>45,811</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>45,811</u>
STAR (Dedicated Deposits)	(3,744)	3,744	0	0	0
RBTF (Dedicated Transfers)	(11,453)	0	0	11,453	0
<b>Personal Income Tax</b>	<u>30,614</u>	<u>3,744</u>	<u>0</u>	<u>11,453</u>	<u>45,811</u>
Sales and Use Tax	12,871	877	0	0	13,748
Cigarette and Tobacco Taxes	439	1,057	0	0	1,496
Motor Fuel Tax	0	111	413	0	524
Alcoholic Beverage Taxes	250	0	0	0	250
Highway Use Tax	0	0	152	0	152
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	101	0	0	101
<b>Gross Utility Taxes and Fees</b>	<u>13,560</u>	<u>2,193</u>	<u>642</u>	<u>0</u>	<u>16,395</u>
LGAC Sales Tax (Dedicated Transfers)	(3,217)	0	0	3,217	0
<b>User Taxes and Fees</b>	<u>10,343</u>	<u>2,193</u>	<u>642</u>	<u>3,217</u>	<u>16,395</u>
Corporation Franchise Tax	2,526	504	0	0	3,030
Corporation and Utilities Tax	710	179	13	0	902
Insurance Taxes	1,484	178	0	0	1,662
Bank Tax	1,517	264	0	0	1,781
Petroleum Business Tax	0	539	672	0	1,211
<b>Business Taxes</b>	<u>6,237</u>	<u>1,664</u>	<u>685</u>	<u>0</u>	<u>8,586</u>
Estate Tax	1,205	0	0	0	1,205
Real Estate Transfer Tax	880	0	0	0	880
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>2,104</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,104</u>
Real Estate Transfer Tax (Dedicated)	(880)	0	119	761	0
<b>Other Taxes</b>	<u>1,224</u>	<u>0</u>	<u>119</u>	<u>761</u>	<u>2,104</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,418</u>	<u>0</u>	<u>0</u>	<u>1,418</u>
<b>Total Taxes</b>	<u>48,418</u>	<u>9,019</u>	<u>1,446</u>	<u>15,431</u>	<u>74,314</u>
Licenses, Fees, Etc.	612	0	0	0	612
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	689	16,067	3,014	1,092	20,862
<b>Miscellaneous Receipts</b>	<u>2,324</u>	<u>16,548</u>	<u>3,825</u>	<u>1,092</u>	<u>23,789</u>
<b>Federal Grants</b>	<u>0</u>	<u>46,655</u>	<u>1,656</u>	<u>79</u>	<u>48,390</u>
<b>Total</b>	<u>50,742</u>	<u>72,222</u>	<u>6,927</u>	<u>16,602</u>	<u>146,493</u>

**CURRENT STATE RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Taxes:</b>				
Withholdings	31,199	32,173	974	3.1%
Estimated Payments	11,628	11,752	124	1.1%
Final Payments	2,116	2,153	37	1.7%
Other Payments	1,087	1,174	87	8.0%
<b>Gross Collections</b>	<u>46,030</u>	<u>47,252</u>	<u>1,222</u>	<u>2.7%</u>
State/City Offset	(366)	(248)	118	-32.2%
Refunds	(6,897)	(6,843)	54	-0.8%
<b>Reported Tax Collections</b>	<u>38,767</u>	<u>40,161</u>	<u>1,394</u>	<u>3.6%</u>
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	0	(1)	(1)	--
<b>Personal Income Tax</b>	<u>38,767</u>	<u>40,160</u>	<u>1,393</u>	<u>3.6%</u>
Sales and Use Tax	11,876	12,095	219	1.8%
Cigarette and Tobacco Taxes	1,633	1,585	(48)	-2.9%
Motor Fuel Tax	501	515	14	2.8%
Alcoholic Beverage Taxes	238	244	6	2.5%
Highway Use Tax	132	147	15	11.4%
Auto Rental Tax	104	109	5	4.8%
Taxicab Surcharge	87	89	2	2.3%
<b>Gross Utility Taxes and Fees</b>	<u>14,571</u>	<u>14,784</u>	<u>213</u>	<u>1.5%</u>
LGAC Sales Tax (Dedicated Transfers)	0	0	0	--
<b>User Taxes and Fees</b>	<u>14,571</u>	<u>14,784</u>	<u>213</u>	<u>1.5%</u>
Corporation Franchise Tax	3,176	3,036	(140)	-4.4%
Corporation and Utilities Tax	797	844	47	5.9%
Insurance Taxes	1,413	1,458	45	3.2%
Bank Tax	1,391	1,710	319	22.9%
Petroleum Business Tax	1,100	1,162	62	5.6%
<b>Business Taxes</b>	<u>7,877</u>	<u>8,210</u>	<u>333</u>	<u>4.2%</u>
Estate Tax	1,078	1,127	49	4.5%
Real Estate Transfer Tax	610	660	50	8.2%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	1	1	0	0.0%
<b>Gross Other Taxes</b>	<u>1,706</u>	<u>1,806</u>	<u>100</u>	<u>5.9%</u>
Real Estate Transfer Tax (Dedicated)	0	0	0	--
<b>Other Taxes</b>	<u>1,706</u>	<u>1,806</u>	<u>100</u>	<u>5.9%</u>
<b>Payroll Tax</b>	<u>1,376</u>	<u>1,180</u>	<u>(196)</u>	<u>-14.2%</u>
<b>Total Taxes</b>	<u>64,297</u>	<u>66,140</u>	<u>1,843</u>	<u>2.9%</u>
Licenses, Fees, Etc.	654	733	79	12.1%
Abandoned Property	762	785	23	3.0%
Motor Vehicle Fees	1,420	1,380	(40)	-2.8%
ABC License Fee	59	51	(8)	-13.6%
Reimbursements	234	262	28	12.0%
Investment Income	5	5	0	0.0%
Other Transactions	20,703	21,492	789	3.8%
<b>Miscellaneous Receipts</b>	<u>23,837</u>	<u>24,708</u>	<u>871</u>	<u>3.7%</u>
<b>Federal Grants</b>	<u>44,611</u>	<u>42,503</u>	<u>(2,108)</u>	<u>-4.7%</u>
<b>Total</b>	<u>132,745</u>	<u>133,351</u>	<u>606</u>	<u>0.5%</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>1,632</u>	<u>(38)</u>	<u>1,594</u>
<b>Receipts:</b>			
Taxes	8,120	0	8,120
Miscellaneous Receipts	15,572	186	15,758
Federal Receipts	1	40,172	40,173
<b>Total Receipts</b>	<u>23,693</u>	<u>40,358</u>	<u>64,051</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,889	34,511	53,400
Departmental Operations:			
Personal Service	6,357	651	7,008
Non-Personal Service	3,638	928	4,566
General State Charges	1,994	286	2,280
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>30,883</u>	<u>36,376</u>	<u>67,259</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,696	1	7,697
Transfers to Other Funds	(393)	(3,983)	(4,376)
<b>Net Other Financing Sources (Uses)</b>	<u>7,303</u>	<u>(3,982)</u>	<u>3,321</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>113</u>	<u>0</u>	<u>113</u>
<b>Closing Fund Balance</b>	<u>1,745</u>	<u>(38)</u>	<u>1,707</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2014  
(millions of dollars)**

	<b>State</b>	<b>Federal</b>	<b>Total</b>
<b>Opening Fund Balance</b>	1,745	(38)	1,707
<b>Receipts:</b>			
Taxes	8,402	0	8,402
Miscellaneous Receipts	15,978	186	16,164
Federal Receipts	1	41,973	41,974
<b>Total Receipts</b>	24,381	42,159	66,540
<b>Disbursements:</b>			
Local Assistance Grants	19,562	36,412	55,974
Departmental Operations:			
Personal Service	7,010	653	7,663
Non-Personal Service	3,863	1,024	4,887
General State Charges	2,175	321	2,496
Capital Projects	5	0	5
<b>Total Disbursements</b>	32,615	38,410	71,025
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,688	1	8,689
Transfers to Other Funds	(241)	(3,750)	(3,991)
<b>Net Other Financing Sources (Uses)</b>	8,447	(3,749)	4,698
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	213	0	213
<b>Closing Fund Balance</b>	1,958	(38)	1,920

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>1,958</u>	<u>(38)</u>	<u>1,920</u>
<b>Receipts:</b>			
Taxes	8,757	0	8,757
Miscellaneous Receipts	16,202	186	16,388
Federal Receipts	1	44,723	44,724
<b>Total Receipts</b>	<u>24,960</u>	<u>44,909</u>	<u>69,869</u>
<b>Disbursements:</b>			
Local Assistance Grants	20,266	39,759	60,025
Departmental Operations:			
Personal Service	7,177	674	7,851
Non-Personal Service	3,889	983	4,872
General State Charges	2,291	321	2,612
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>33,628</u>	<u>41,737</u>	<u>75,365</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	9,036	1	9,037
Transfers to Other Funds	(43)	(3,173)	(3,216)
<b>Net Other Financing Sources (Uses)</b>	<u>8,993</u>	<u>(3,172)</u>	<u>5,821</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>325</u>	<u>0</u>	<u>325</u>
<b>Closing Fund Balance</b>	<u>2,283</u>	<u>(38)</u>	<u>2,245</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,283</u>	<u>(38)</u>	<u>2,245</u>
<b>Receipts:</b>			
Taxes	9,019	0	9,019
Miscellaneous Receipts	16,362	186	16,548
Federal Receipts	1	46,654	46,655
<b>Total Receipts</b>	<u>25,382</u>	<u>46,840</u>	<u>72,222</u>
<b>Disbursements:</b>			
Local Assistance Grants	20,355	42,049	62,404
Departmental Operations:			
Personal Service	7,368	702	8,070
Non-Personal Service	3,967	878	4,845
General State Charges	2,474	336	2,810
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>34,169</u>	<u>43,965</u>	<u>78,134</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	9,465	1	9,466
Transfers to Other Funds	(272)	(2,874)	(3,146)
<b>Net Other Financing Sources (Uses)</b>	<u>9,193</u>	<u>(2,873)</u>	<u>6,320</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>406</u>	<u>2</u>	<u>408</u>
<b>Closing Fund Balance</b>	<u>2,689</u>	<u>(36)</u>	<u>2,653</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>2,149</u>	<u>1,594</u>	<u>(555)</u>	<u>-25.8%</u>
<b>Receipts:</b>				
Taxes	8,244	8,120	(124)	-1.5%
Miscellaneous Receipts	15,565	15,758	193	1.2%
Federal Receipts	<u>42,356</u>	<u>40,173</u>	<u>(2,183)</u>	<u>-5.2%</u>
<b>Total receipts</b>	<u>66,165</u>	<u>64,051</u>	<u>(2,114)</u>	<u>-3.2%</u>
<b>Disbursements:</b>				
Local Assistance Grants	55,496	53,400	(2,096)	-3.8%
Departmental Operations:				
Personal Service	6,899	7,008	109	1.6%
Non-Personal Service	4,590	4,566	(24)	-0.5%
General State Charges	2,135	2,280	145	6.8%
Debt Service	0	0	0	--
Capital Projects	<u>6</u>	<u>5</u>	<u>(1)</u>	<u>-16.7%</u>
<b>Total Disbursements</b>	<u>69,126</u>	<u>67,259</u>	<u>(1,867)</u>	<u>-2.7%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	7,096	7,697	601	8.5%
Transfers to Other Funds	(4,690)	(4,376)	314	-6.7%
<b>Net Other Financing Sources (Uses)</b>	<u>2,406</u>	<u>3,321</u>	<u>915</u>	<u>38.0%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(555)</u>	<u>113</u>	<u>668</u>	<u>-120.4%</u>
<b>Closing Fund Balance</b>	<u>1,594</u>	<u>1,707</u>	<u>113</u>	<u>7.1%</u>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Personal Income Tax</b>	3,276	3,459	3,642	3,744
<b>User Taxes and Fees</b>	2,147	2,168	2,180	2,193
Sales and Use Tax	785	819	847	877
Cigarette and Tobacco Taxes	1,124	1,101	1,079	1,057
Motor Fuel Tax	108	109	109	111
Auto Rental Tax	41	43	45	47
Taxicab Surcharge	89	96	100	101
<b>Business Taxes</b>	1,517	1,553	1,606	1,664
Corporation Franchise Tax	401	443	475	504
Corporation and Utilities Tax	171	171	173	179
Insurance Taxes	157	167	172	178
Bank Tax	271	238	249	264
Petroleum Business Tax	517	534	537	539
<b>Payroll Tax</b>	1,180	1,222	1,329	1,418
<b>Total Taxes</b>	8,120	8,402	8,757	9,019
<b>Miscellaneous Receipts</b>	15,758	16,164	16,388	16,548
HCRA	4,585	4,867	5,015	5,071
State University Income	4,041	4,239	4,383	4,546
Lottery	3,198	3,248	3,257	3,259
Medicaid	794	785	788	788
Industry Assessments	786	793	804	0
Motor Vehicle Fees	482	481	481	481
All Other	1,872	1,751	1,660	2,403
<b>Federal Grants</b>	40,173	41,974	44,724	46,655
<b>Total</b>	<u>64,051</u>	<u>66,540</u>	<u>69,869</u>	<u>72,222</u>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Mid-Year</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Personal Income Tax</b>	3,233	3,276	43	1.3%
<b>User Taxes and Fees</b>	2,143	2,147	4	0.2%
Sales and Use Tax	750	785	35	4.7%
Cigarette and Tobacco Taxes	1,162	1,124	(38)	-3.3%
Motor Fuel Tax	105	108	3	2.9%
Auto Rental Tax	39	41	2	5.1%
Taxicab Surcharge	87	89	2	2.3%
<b>Business Taxes</b>	1,492	1,517	25	1.7%
Corporation Franchise Tax	452	401	(51)	-11.3%
Corporation and Utilities Tax	167	171	4	2.4%
Insurance Taxes	156	157	1	0.6%
Bank Tax	230	271	41	17.8%
Petroleum Business Tax	487	517	30	6.2%
<b>Payroll Tax</b>	1,376	1,180	(196)	-14.2%
<b>Total Taxes</b>	8,244	8,120	(124)	-1.5%
<b>Miscellaneous Receipts</b>	15,565	15,758	193	1.2%
HCRA	4,155	4,585	430	10.3%
State University Income	3,828	4,041	213	5.6%
Lottery	2,975	3,198	223	7.5%
Medicaid	827	794	(33)	-4.0%
Industry Assessments	756	786	30	4.0%
Motor Vehicle Fees	496	482	(14)	-2.8%
All Other	2,528	1,872	(656)	-25.9%
<b>Federal Grants</b>	42,356	40,173	(2,183)	-5.2%
<b>Total</b>	66,165	64,051	(2,114)	-3.2%

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(288)</u>	<u>(161)</u>	<u>(449)</u>
<b>Receipts:</b>			
Taxes	1,399	0	1,399
Miscellaneous Receipts	4,213	0	4,213
Federal Receipts	<u>5</u>	<u>2,186</u>	<u>2,191</u>
<b>Total Receipts</b>	<u>5,617</u>	<u>2,186</u>	<u>7,803</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,284	820	2,104
Capital Projects	<u>4,926</u>	<u>1,031</u>	<u>5,957</u>
<b>Total Disbursements</b>	<u>6,210</u>	<u>1,851</u>	<u>8,061</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,666	(321)	1,345
Transfers to Other Funds	(1,468)	(11)	(1,479)
Bond and Note Proceeds	<u>400</u>	<u>0</u>	<u>400</u>
<b>Net Other Financing Sources (Uses)</b>	<u>598</u>	<u>(332)</u>	<u>266</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>5</u>	<u>3</u>	<u>8</u>
<b>Closing Fund Balance</b>	<u>(283)</u>	<u>(158)</u>	<u>(441)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2014  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(283)</u>	<u>(158)</u>	<u>(441)</u>
<b>Receipts:</b>			
Taxes	1,419	0	1,419
Miscellaneous Receipts	4,042	0	4,042
Federal Receipts	5	2,207	2,212
<b>Total Receipts</b>	<u>5,466</u>	<u>2,207</u>	<u>7,673</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,340	767	2,107
Capital Projects	4,696	1,083	5,779
<b>Total Disbursements</b>	<u>6,036</u>	<u>1,850</u>	<u>7,886</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,857	(315)	1,542
Transfers to Other Funds	(1,529)	(11)	(1,540)
Bond and Note Proceeds	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>666</u>	<u>(326)</u>	<u>340</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>96</u>	<u>31</u>	<u>127</u>
<b>Closing Fund Balance</b>	<u>(187)</u>	<u>(127)</u>	<u>(314)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2015  
(millions of dollars)**

	<b>State</b>	<b>Federal</b>	<b>Total</b>
<b>Opening Fund Balance</b>	(187)	(127)	(314)
<b>Receipts:</b>			
Taxes	1,429	0	1,429
Miscellaneous Receipts	3,806	0	3,806
Federal Receipts	5	1,966	1,971
<b>Total Receipts</b>	5,240	1,966	7,206
<b>Disbursements:</b>			
Local Assistance Grants	1,185	698	1,883
Capital Projects	4,675	904	5,579
<b>Total Disbursements</b>	5,860	1,602	7,462
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,781	(308)	1,473
Transfers to Other Funds	(1,510)	(12)	(1,522)
Bond and Note Proceeds	306	0	306
<b>Net Other Financing Sources (Uses)</b>	577	(320)	257
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	(43)	44	1
<b>Closing Fund Balance</b>	(230)	(83)	(313)

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(230)</u>	<u>(83)</u>	<u>(313)</u>
<b>Receipts:</b>			
Taxes	1,446	0	1,446
Miscellaneous Receipts	3,825	0	3,825
Federal Receipts	5	1,651	1,656
<b>Total Receipts</b>	<u>5,276</u>	<u>1,651</u>	<u>6,927</u>
<b>Disbursements:</b>			
Local Assistance Grants	896	656	1,552
Capital Projects	4,717	667	5,384
<b>Total Disbursements</b>	<u>5,613</u>	<u>1,323</u>	<u>6,936</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,689	(303)	1,386
Transfers to Other Funds	(1,515)	(12)	(1,527)
Bond and Note Proceeds	121	0	121
<b>Net Other Financing Sources (Uses)</b>	<u>295</u>	<u>(315)</u>	<u>(20)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(42)</u>	<u>13</u>	<u>(29)</u>
<b>Closing Fund Balance</b>	<u>(272)</u>	<u>(70)</u>	<u>(342)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>(167)</u>	<u>(449)</u>	<u>(282)</u>	
<b>Receipts:</b>				
Taxes	1,337	1,399	62	4.6%
Miscellaneous Receipts	4,155	4,213	58	1.4%
Federal Receipts	2,115	2,191	76	3.6%
<b>Total Receipts</b>	<u>7,607</u>	<u>7,803</u>	<u>196</u>	<u>2.6%</u>
<b>Disbursements:</b>				
Local Assistance Grants	2,566	2,104	(462)	-18.0%
Capital Projects	5,270	5,957	687	13.0%
<b>Total Disbursements</b>	<u>7,836</u>	<u>8,061</u>	<u>225</u>	<u>2.9%</u>
<b>Other Financing Sources (uses):</b>				
Transfers From Other Funds	1,031	1,345	314	30.5%
Transfers to Other Funds	(1,436)	(1,479)	(43)	3.0%
Bond and Note Proceeds	352	400	48	13.6%
<b>Net Other Financing Sources (Uses)</b>	<u>(53)</u>	<u>266</u>	<u>319</u>	<u>-601.9%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(282)</u>	<u>8</u>	<u>290</u>	
<b>Closing Fund Balance</b>	<u>(449)</u>	<u>(441)</u>	<u>8</u>	

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>User Taxes and Fees</b>	<u>622</u>	<u>621</u>	<u>629</u>	<u>642</u>
Motor Fuel Tax	407	408	411	413
Highway Use Tax	147	142	144	152
Auto Rental Tax	68	71	74	77
<b>Business Taxes</b>	<u>658</u>	<u>679</u>	<u>681</u>	<u>685</u>
Corporation and Utilities Tax	13	13	13	13
Petroleum Business Tax	645	666	668	672
<b>Other Taxes</b>	<u>119</u>	<u>119</u>	<u>119</u>	<u>119</u>
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	<u>1,399</u>	<u>1,419</u>	<u>1,429</u>	<u>1,446</u>
<b>Miscellaneous Receipts</b>	<u>4,213</u>	<u>4,042</u>	<u>3,806</u>	<u>3,825</u>
Authority Bond Proceeds	3,232	3,048	2,770	2,753
State Park Fees	78	51	38	24
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	799	811	811	811
All Other	27	55	110	160
<b>Federal Grants</b>	<u>2,191</u>	<u>2,212</u>	<u>1,971</u>	<u>1,656</u>
<b>Total</b>	<u><u>7,803</u></u>	<u><u>7,673</u></u>	<u><u>7,206</u></u>	<u><u>6,927</u></u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>User Taxes and Fees</b>	593	622	29	4.9%
Motor Fuel Tax	396	407	11	2.8%
Highway Use Tax	132	147	15	11.4%
Auto Rental Tax	65	68	3	4.6%
<b>Business Taxes</b>	625	658	33	5.3%
Corporation and Utilities Tax	13	13	0	0.0%
Petroleum Business Tax	612	645	33	5.4%
<b>Other Taxes</b>	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	<u>1,337</u>	<u>1,399</u>	<u>62</u>	<u>4.6%</u>
<b>Miscellaneous Receipts</b>	4,155	4,213	58	1.4%
Authority Bond Proceeds	3,236	3,232	(4)	-0.1%
State Park Fees	41	78	37	90.2%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	813	799	(14)	-1.7%
All Other	(12)	27	39	-325.0%
<b>Federal Grants</b>	<u>2,115</u>	<u>2,191</u>	<u>76</u>	<u>3.6%</u>
<b>Total</b>	<u><u>7,607</u></u>	<u><u>7,803</u></u>	<u><u>196</u></u>	<u><u>2.6%</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL OFF-BUDGET SPENDING  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	24	38	38	21	21
Empire State Development Corporation	5	13	13	13	13
<b>Functional Total</b>	<u>29</u>	<u>51</u>	<u>51</u>	<u>34</u>	<u>34</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	466	420	403	403	403
<b>Functional Total</b>	<u>466</u>	<u>420</u>	<u>403</u>	<u>403</u>	<u>403</u>
<b>Health &amp; Social Welfare</b>					
Hlth All Other					
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	84	247	214	264	214
People with Developmental Disabilities, Office for	29	59	66	91	91
Alcoholism and Substance Abuse Services, Office of	2	5	5	5	5
<b>Functional Total</b>	<u>115</u>	<u>311</u>	<u>285</u>	<u>360</u>	<u>310</u>
<b>HIGHER EDUCATION</b>					
City University of New York	402	453	486	501	521
Education School Aid	127	100	100	92	0
State University of New York	249	315	228	99	94
<b>Functional Total</b>	<u>778</u>	<u>868</u>	<u>814</u>	<u>692</u>	<u>615</u>
<b>ALL OTHER</b>					
Judiciary	3	8	9	5	0
<b>Functional Total</b>	<u>3</u>	<u>8</u>	<u>9</u>	<u>5</u>	<u>0</u>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<u>1,391</u>	<u>1,658</u>	<u>1,562</u>	<u>1,494</u>	<u>1,362</u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Projected</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Personal Income Tax</b>	<u>10,040</u>	<u>10,793</u>	<u>11,085</u>	<u>11,453</u>
<b>User Taxes and Fees</b>	<u>2,827</u>	<u>2,953</u>	<u>3,093</u>	<u>3,217</u>
Sales and Use Tax	2,827	2,953	3,093	3,217
<b>Other Taxes</b>	<u>541</u>	<u>616</u>	<u>686</u>	<u>761</u>
Real Estate Transfer Tax	541	616	686	761
<b>Total Taxes</b>	<u>13,408</u>	<u>14,362</u>	<u>14,864</u>	<u>15,431</u>
<b>Miscellaneous Receipts</b>	<u>996</u>	<u>1,043</u>	<u>1,094</u>	<u>1,092</u>
Mental Hygiene Patient Receipts	352	375	403	403
SUNY Dormitory Fees	505	529	554	554
Health Patient Receipts	128	128	128	128
All Other	11	11	9	7
Federal Grants	<u>79</u>	<u>79</u>	<u>79</u>	<u>79</u>
<b>Total</b>	<u>14,483</u>	<u>15,484</u>	<u>16,037</u>	<u>16,602</u>

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Personal Income Tax</b>	9,691	10,040	349	3.6%
<b>User Taxes and Fees</b>	2,780	2,827	47	1.7%
Sales and Use Tax	2,780	2,827	47	1.7%
<b>Other Taxes</b>	491	541	50	10.2%
Real Estate Transfer Tax	491	541	50	10.2%
<b>Total Taxes</b>	12,962	13,408	446	3.4%
<b>Miscellaneous Receipts</b>	955	996	41	4.3%
Mental Hygiene Patient Receipts	334	352	18	5.4%
SUNY Dormitory Fees	490	505	15	3.1%
Health Patient Receipts	121	128	7	5.8%
All Other	10	11	1	10.0%
Federal Grants	80	79	(1)	-1.3%
<b>Total</b>	<u>13,997</u>	<u>14,483</u>	<u>486</u>	<u>3.5%</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2013  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>State Funds Total</b>
<b>Opening Fund Balance</b>	1,787	1,632	(288)	428	3,559
<b>Receipts:</b>					
Taxes	43,213	8,120	1,399	13,408	66,140
Miscellaneous Receipts	3,741	15,572	4,213	996	24,522
Federal Receipts	60	1	5	79	145
<b>Total Receipts</b>	<u>47,014</u>	<u>23,693</u>	<u>5,617</u>	<u>14,483</u>	<u>90,807</u>
<b>Disbursements:</b>					
Local Assistance Grants	39,816	18,889	1,284	0	59,989
Departmental Operations:					
Personal Service	6,161	6,357	0	0	12,518
Non-Personal Service	1,790	3,638	0	47	5,475
General State Charges	4,623	1,994	0	0	6,617
Debt Service	0	0	0	6,100	6,100
Capital Projects	0	5	4,926	0	4,931
<b>Total Disbursements</b>	<u>52,390</u>	<u>30,883</u>	<u>6,210</u>	<u>6,147</u>	<u>95,630</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,055	7,696	1,666	6,305	27,722
Transfers to Other Funds	(6,992)	(393)	(1,468)	(14,585)	(23,438)
Reserve for Collective Bargaining	0	0	0	0	0
Reserve for Community Projects Fund	0	0	0	0	0
Bond and Note Proceeds	0	0	400	0	400
<b>Net Other Financing Sources (Uses)</b>	<u>5,063</u>	<u>7,303</u>	<u>598</u>	<u>(8,280)</u>	<u>4,684</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(313)</u>	<u>113</u>	<u>5</u>	<u>56</u>	<u>(139)</u>
<b>Closing Fund Balance</b>	<u>1,474</u>	<u>1,745</u>	<u>(283)</u>	<u>484</u>	<u>3,420</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	45,829	8,402	1,419	14,362	70,012
Miscellaneous Receipts	2,787	15,978	4,042	1,043	23,850
Federal Receipts	2	1	5	79	87
<b>Total Receipts</b>	<u>48,618</u>	<u>24,381</u>	<u>5,466</u>	<u>15,484</u>	<u>93,949</u>
<b>Disbursements:</b>					
Local Assistance Grants	41,653	19,562	1,340	0	62,555
Departmental Operations:					
Personal Service	5,501	7,010	0	0	12,511
Non-Personal Service	1,596	3,863	0	47	5,506
General State Charges	5,042	2,175	0	0	7,217
Debt Service	0	0	0	6,415	6,415
Capital Projects	0	5	4,696	0	4,701
<b>Total Disbursements</b>	<u>53,792</u>	<u>32,615</u>	<u>6,036</u>	<u>6,462</u>	<u>98,905</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,715	8,688	1,857	6,152	29,412
Transfers to Other Funds	(8,606)	(241)	(1,529)	(15,060)	(25,436)
Bond and Note Proceeds	0	0	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>4,109</u>	<u>8,447</u>	<u>666</u>	<u>(8,908)</u>	<u>4,314</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other</b>					
	<u>(1,065)</u>	<u>213</u>	<u>96</u>	<u>114</u>	<u>(642)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(57)				
Prior-Year Labor Agreements (2007-2011)	(26)				
<b>Increase (Decrease) in Reserves</b>	<u>(83)</u>				
<b>Net General Fund Deficit</b>	<u>(982)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	46,501	8,757	1,429	14,864	71,551
Miscellaneous Receipts	2,232	16,202	3,806	1,094	23,334
Federal Receipts	0	1	5	79	85
<b>Total Receipts</b>	<u>48,733</u>	<u>24,960</u>	<u>5,240</u>	<u>16,037</u>	<u>94,970</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,082	20,266	1,185	0	64,533
Departmental Operations:					
Personal Service	5,646	7,177	0	0	12,823
Non-Personal Service	1,739	3,889	0	47	5,675
General State Charges	5,365	2,291	0	0	7,656
Debt Service	0	0	0	6,484	6,484
Capital Projects	0	5	4,675	0	4,680
<b>Total Disbursements</b>	<u>55,832</u>	<u>33,628</u>	<u>5,860</u>	<u>6,531</u>	<u>101,851</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,982	9,036	1,781	5,617	29,416
Transfers to Other Funds	(9,463)	(43)	(1,510)	(14,986)	(26,002)
Bond and Note Proceeds	0	0	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>3,519</u>	<u>8,993</u>	<u>577</u>	<u>(9,369)</u>	<u>3,720</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(3,580)</u>	<u>325</u>	<u>(43)</u>	<u>137</u>	<u>(3,161)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	10				
<b>Increase (Decrease) in Reserves</b>	<u>10</u>				
<b>Net General Fund Deficit</b>	<u>(3,590)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	48,418	9,019	1,446	15,431	74,314
Miscellaneous Receipts	2,324	16,362	3,825	1,092	23,603
Federal Receipts	0	1	5	79	85
<b>Total Receipts</b>	<u>50,742</u>	<u>25,382</u>	<u>5,276</u>	<u>16,602</u>	<u>98,002</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,358	20,355	896	0	66,609
Departmental Operations:					
Personal Service	5,946	7,368	0	0	13,314
Non-Personal Service	1,828	3,967	0	47	5,842
General State Charges	5,611	2,474	0	0	8,085
Debt Service	0	0	0	6,645	6,645
Capital Projects	0	5	4,717	0	4,722
<b>Total Disbursements</b>	<u>58,743</u>	<u>34,169</u>	<u>5,613</u>	<u>6,692</u>	<u>105,217</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	13,411	9,465	1,689	5,368	29,933
Transfers to Other Funds	(9,766)	(272)	(1,515)	(15,277)	(26,830)
Bond and Note Proceeds	0	0	121	0	121
<b>Net Other Financing Sources (Uses)</b>	<u>3,645</u>	<u>9,193</u>	<u>295</u>	<u>(9,909)</u>	<u>3,224</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(4,356)</u>	<u>406</u>	<u>(42)</u>	<u>1</u>	<u>(3,991)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	14				
<b>Increase (Decrease) in Reserves</b>	<u>14</u>				
<b>Net General Fund Deficit</b>	<u>(4,370)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,907</u>	<u>3,559</u>	<u>(348)</u>	
<b>Receipts:</b>				
Taxes	64,297	66,140	1,843	2.9%
Miscellaneous Receipts	23,669	24,522	853	3.6%
Federal Receipts	145	145	0	0.0%
<b>Total Receipts</b>	<u>88,111</u>	<u>90,807</u>	<u>2,696</u>	<u>3.1%</u>
<b>Disbursements:</b>				
Local Assistance Grants	59,015	59,989	974	1.7%
Departmental Operations:				
Personal Service	12,047	12,518	471	3.9%
Non-Personal Service	5,404	5,475	71	1.3%
General State Charges	6,593	6,617	24	0.4%
Debt Service	5,864	6,100	236	4.0%
Capital Projects	4,270	4,931	661	15.5%
<b>Total Disbursements</b>	<u>93,193</u>	<u>95,630</u>	<u>2,437</u>	<u>2.6%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	26,877	27,722	845	3.1%
Transfers to Other Funds	(22,495)	(23,438)	(943)	4.2%
Bond and Note Proceeds	352	400	48	13.6%
<b>Net Other Financing Sources (Uses)</b>	<u>4,734</u>	<u>4,684</u>	<u>(50)</u>	<u>-1.1%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(348)</u>	<u>(139)</u>	<u>209</u>	
<b>Closing Fund Balance</b>	<u>3,559</u>	<u>3,420</u>	<u>(139)</u>	



**CASHFLOW**  
**STATE OPERATING FUNDS**  
**FY 2013**  
(dollars in millions)

	2012		2013		Intra-Fund Transfer Eliminations	Total							
	April Results	May Results	June Results	July Results			August Results	September Results	October Results	November Projected	December Projected	January Projected	February Projected
<b>OPENING BALANCE</b>	3,847	8,553	5,800	6,358	6,662	6,361	7,190	7,438	6,646	6,484	9,749	10,790	3,847
<b>RECEIPTS:</b>													
Taxes	6,764	3,055	6,658	3,833	3,890	6,764	4,132	3,396	6,959	8,775	4,372	6,143	64,741
Miscellaneous Receipts	1,002	1,373	1,697	1,454	1,709	2,641	1,589	1,718	1,519	1,793	1,621	2,193	20,309
Federal Grants	5	21	0	4	53	3	2	0	13	0	2	37	140
<b>TOTAL RECEIPTS</b>	<b>7,771</b>	<b>4,449</b>	<b>8,355</b>	<b>5,291</b>	<b>5,652</b>	<b>9,408</b>	<b>5,723</b>	<b>5,114</b>	<b>8,491</b>	<b>10,568</b>	<b>5,995</b>	<b>8,373</b>	<b>85,190</b>
<b>DISBURSEMENTS:</b>													
School Aid	133	2,730	2,021	135	581	3,267	831	1,206	1,663	365	455	6,669	20,056
Higher Education	16	18	379	295	356	60	146	318	197	29	302	502	2,618
All Other Education	3	61	332	89	102	345	89	26	427	76	206	219	1,975
STAR	0	0	400	0	0	188	6	36	159	2,477	0	10	3,276
Medicaid - DOH	1,199	1,538	1,043	1,250	1,667	925	1,523	1,370	1,182	1,430	1,297	1,436	15,860
Public Health	2	51	94	245	286	144	98	164	206	170	164	297	1,921
Mental Hygiene	78	82	554	188	80	559	343	67	606	285	201	600	3,643
Children and Families	3	15	130	107	220	211	68	75	201	113	78	322	1,543
Temporary & Disability Assistance	62	327	145	168	142	115	99	115	100	100	30	110	1,513
Transportation	119	546	291	330	428	388	300	535	792	176	218	255	4,378
Unrestricted Aid	0	12	294	1	2	89	11	1	206	2	3	142	763
All Other	5	28	210	304	46	133	(52)	76	95	91	95	128	1,159
<b>Total Local Assistance Grants</b>	<b>1,620</b>	<b>5,408</b>	<b>5,893</b>	<b>3,112</b>	<b>3,910</b>	<b>6,424</b>	<b>3,462</b>	<b>3,989</b>	<b>5,834</b>	<b>5,314</b>	<b>3,049</b>	<b>10,690</b>	<b>58,705</b>
Personal Service	980	1,072	962	927	1,224	929	1,180	1,065	945	1,228	980	1,006	12,518
Non-Personal Service	79	347	438	397	508	427	506	485	566	480	568	674	5,475
<b>Total State Operations</b>	<b>1,059</b>	<b>1,419</b>	<b>1,400</b>	<b>1,324</b>	<b>1,732</b>	<b>1,356</b>	<b>1,686</b>	<b>1,570</b>	<b>1,511</b>	<b>1,708</b>	<b>1,548</b>	<b>1,680</b>	<b>17,993</b>
General State Charges	438	469	363	467	454	453	506	408	429	512	241	1,877	6,617
Debt service	176	387	420	195	374	769	102	213	1,077	93	482	1,812	6,100
Capital Projects	1	0	0	0	1	0	0	0	0	0	0	3	5
<b>TOTAL DISBURSEMENTS</b>	<b>3,294</b>	<b>7,683</b>	<b>8,076</b>	<b>5,098</b>	<b>6,471</b>	<b>9,002</b>	<b>5,756</b>	<b>6,180</b>	<b>8,851</b>	<b>7,627</b>	<b>5,320</b>	<b>16,062</b>	<b>89,420</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	2,221	1,936	2,374	2,305	1,976	2,178	2,105	1,388	2,202	2,684	1,041	4,262	26,056
Transfers to other funds	(1,992)	(1,455)	(2,095)	(2,194)	(1,458)	(1,755)	(1,824)	(1,114)	(2,004)	(2,360)	(675)	(3,660)	(21,970)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>229</b>	<b>481</b>	<b>279</b>	<b>111</b>	<b>518</b>	<b>423</b>	<b>281</b>	<b>274</b>	<b>198</b>	<b>324</b>	<b>366</b>	<b>602</b>	<b>4,086</b>
Excess/(Deficiency) of Receipts over Disbursements	4,706	(2,753)	558	304	(301)	829	248	(792)	(162)	3,265	1,041	(7,087)	(144)
<b>CLOSING BALANCE</b>	<b>8,553</b>	<b>5,800</b>	<b>6,358</b>	<b>6,662</b>	<b>6,361</b>	<b>7,190</b>	<b>7,438</b>	<b>6,646</b>	<b>6,484</b>	<b>9,749</b>	<b>10,790</b>	<b>3,703</b>	<b>3,703</b>



**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2013**  
(dollars in millions)

	2012		2013											
	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Results	Projected	Projected	Projected	Projected	Projected								
<b>OPENING BALANCE</b>	(288)	(317)	(317)	(362)	(446)	(590)	(629)	(567)	(669)	(798)	(885)	(1,544)	(288)	
<b>RECEIPTS:</b>														
Taxes	90	98	128	112	110	133	107	116	140	117	116	132	1,399	
Miscellaneous Receipts	241	205	231	307	416	346	370	280	214	283	(345)	1,665	4,213	
Federal Grants	0	0	0	0	0	3	0	0	0	0	0	2	5	
<b>TOTAL RECEIPTS</b>	<b>331</b>	<b>303</b>	<b>359</b>	<b>419</b>	<b>526</b>	<b>482</b>	<b>477</b>	<b>396</b>	<b>354</b>	<b>400</b>	<b>(229)</b>	<b>1,799</b>	<b>5,617</b>	
<b>DISBURSEMENTS:</b>														
Local Assistance Grants	7	40	85	121	68	75	92	151	308	145	161	31	1,284	
Total Local Assistance Grants	7	40	85	121	68	75	92	151	308	145	161	31	1,284	
Economic Development	12	4	2	15	1	1	4	13	22	34	10	57	175	
Parks & the Environment	4	23	20	13	21	16	27	36	36	35	35	202	468	
Transportation	92	122	151	174	203	174	204	266	254	242	230	365	2,477	
Health & Social Welfare	0	1	1	2	1	1	1	4	5	4	3	11	34	
Mental Hygiene	4	5	8	6	17	6	(1)	10	14	20	14	30	133	
Public Protection	3	29	25	16	17	15	21	25	26	32	32	58	299	
Education	26	85	111	266	155	65	124	86	82	86	52	70	1,208	
All Other	2	12	18	15	13	9	16	10	10	8	11	8	132	
Total Capital Projects	143	281	336	507	428	287	396	450	449	461	387	801	4,926	
<b>TOTAL DISBURSEMENTS</b>	<b>150</b>	<b>321</b>	<b>421</b>	<b>628</b>	<b>496</b>	<b>362</b>	<b>488</b>	<b>601</b>	<b>757</b>	<b>606</b>	<b>548</b>	<b>832</b>	<b>6,210</b>	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	(116)	113	118	221	(14)	1	171	163	334	179	119	377	1,666	
Transfers to other funds	(94)	(95)	(101)	(96)	(160)	(160)	(98)	(93)	(93)	(93)	(34)	(351)	(1,468)	
Bond and note proceeds	0	0	0	0	0	0	0	33	33	33	33	268	400	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(210)</b>	<b>18</b>	<b>17</b>	<b>125</b>	<b>(174)</b>	<b>(159)</b>	<b>73</b>	<b>103</b>	<b>274</b>	<b>119</b>	<b>118</b>	<b>294</b>	<b>598</b>	
Excess/(Deficiency) of Receipts over Disbursements	(29)	0	(45)	(84)	(144)	(39)	62	(102)	(129)	(87)	(659)	1,261	5	
<b>CLOSING BALANCE</b>	<b>(317)</b>	<b>(317)</b>	<b>(362)</b>	<b>(446)</b>	<b>(590)</b>	<b>(629)</b>	<b>(567)</b>	<b>(669)</b>	<b>(798)</b>	<b>(885)</b>	<b>(1,544)</b>	<b>(283)</b>	<b>(283)</b>	

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2013**  
(dollars in millions)

	2012		2013		2013		2013		2013		2013		
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(161)	(177)	(144)	(118)	(91)	(100)	(146)	(24)	(71)	(119)	(168)	(209)	(161)
<b>RECEIPTS:</b>													
Taxes	0	0	0	1	(1)	1	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	30	166	177	180	147	197	300	168	168	168	168	317	2,186
<b>TOTAL RECEIPTS</b>	<b>30</b>	<b>166</b>	<b>177</b>	<b>181</b>	<b>146</b>	<b>198</b>	<b>300</b>	<b>168</b>	<b>168</b>	<b>167</b>	<b>168</b>	<b>317</b>	<b>2,186</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	10	43	56	39	35	121	276	41	41	40	35	83	820
Total Local Assistance Grants	10	43	56	39	35	121	276	41	41	40	35	83	820
Economic Development	0	0	0	0	0	0	0	0	0	1	1	1	3
Parks & the Environment	0	0	0	1	0	0	0	2	2	3	3	4	15
Transportation	35	88	92	112	117	120	(103)	102	102	102	114	89	970
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	0	0	1	1	1	0	0	1	2	2	2	8	18
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	1	2	2	1	2	3	5	3	2	1	(12)	15	25
Total Capital Projects	36	90	95	115	120	123	(98)	108	108	109	108	117	1,031
<b>TOTAL DISBURSEMENTS</b>	<b>46</b>	<b>133</b>	<b>151</b>	<b>154</b>	<b>155</b>	<b>244</b>	<b>178</b>	<b>149</b>	<b>149</b>	<b>149</b>	<b>143</b>	<b>200</b>	<b>1,851</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	0	0	0	0	0	0	0	(64)	(65)	(65)	(64)	(63)	(321)
Transfers to other funds	0	0	0	0	0	0	0	(2)	(2)	(2)	(2)	(3)	(11)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(66)</b>	<b>(67)</b>	<b>(67)</b>	<b>(66)</b>	<b>(66)</b>	<b>(332)</b>
Excess/(Deficiency) of Receipts over Disbursements	(16)	33	26	27	(9)	(46)	122	(47)	(48)	(49)	(41)	51	3
<b>CLOSING BALANCE</b>	<b>(177)</b>	<b>(144)</b>	<b>(118)</b>	<b>(91)</b>	<b>(100)</b>	<b>(146)</b>	<b>(24)</b>	<b>(71)</b>	<b>(119)</b>	<b>(168)</b>	<b>(209)</b>	<b>(158)</b>	<b>(158)</b>

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2013  
(dollars in millions)**

	2012 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	1,594	1,992	2,211	1,911	2,953	3,547	2,114	1,385	1,408	540	108	229	1,594
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	400	0	0	188	6	36	159	2,477	0	10	3,276
User Taxes and Fees	196	167	189	194	172	183	189	170	194	200	148	145	2,147
Business Taxes	58	57	214	60	62	227	61	67	243	70	65	333	1,517
Other Taxes	140	116	67	90	81	65	96	95	80	135	130	85	1,180
Total Taxes	394	340	870	344	315	663	352	368	676	2,862	343	573	8,120
HCRA	325	401	385	365	358	342	363	376	385	389	375	499	4,585
State University Income	64	170	388	260	441	554	353	271	253	432	527	318	4,041
Lottery	291	309	246	251	258	282	249	251	254	286	244	267	3,198
Medicaid	69	62	70	62	63	69	62	65	69	69	70	63	794
Motor vehicle fees	20	16	109	30	42	35	29	39	41	26	35	60	482
Other receipts	110	297	11	301	360	309	332	242	195	339	125	37	2,658
Total Miscellaneous Receipts	879	1,255	1,209	1,269	1,522	1,591	1,420	1,244	1,198	1,551	1,376	1,244	15,758
Federal Grants	1,969	3,034	3,047	3,509	3,718	3,015	3,425	3,259	2,791	3,142	3,205	6,059	40,173
<b>TOTAL RECEIPTS</b>	3,242	4,629	5,126	5,122	5,555	5,269	5,197	4,871	4,665	7,575	4,924	7,876	64,051
<b>DISBURSEMENTS:</b>													
School Aid	124	509	567	127	158	2,118	408	255	275	410	560	548	6,059
Higher Education	0	0	0	0	1	1	0	0	1	1	1	35	40
All Other Education	49	146	80	30	43	36	63	32	61	138	115	110	903
STAR	0	0	400	0	0	188	6	36	159	2,477	0	10	3,276
Medicaid - DOH	2,018	2,532	2,357	2,074	2,601	2,193	2,978	2,347	1,840	2,292	1,917	3,962	29,111
Public Health	(13)	142	151	336	263	156	215	226	269	239	227	280	2,491
Mental Hygiene	88	99	183	198	92	171	197	78	249	192	85	244	1,876
Children and Families	2	4	156	5	141	173	9	83	103	103	103	121	1,003
Temporary & Disability Assistance	19	20	320	285	551	320	514	366	311	249	311	249	3,525
Transportation	121	523	293	336	406	390	301	514	780	179	211	266	4,320
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	6	77	80	345	142	210	(20)	106	85	66	(73)	(228)	796
Total Local Assistance Grants	2,414	4,052	4,587	3,736	4,398	5,904	4,671	4,043	4,133	6,408	3,457	5,597	53,400
Personal Service	425	488	458	553	697	538	581	662	564	788	612	662	7,008
Non-Personal Service	34	259	358	323	406	406	481	406	454	381	472	586	4,566
Total State Operations	459	747	816	876	1,103	944	1,062	1,068	1,018	1,149	1,084	1,248	11,574
General State Charges	25	30	312	40	20	92	334	81	340	428	110	468	2,280
Capital Projects	1	0	0	0	1	0	0	0	0	0	0	3	5
<b>TOTAL DISBURSEMENTS</b>	2,899	4,829	5,715	4,652	5,522	6,940	6,067	5,192	5,491	7,985	4,651	7,316	67,259
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	67	921	582	813	930	474	458	608	394	351	263	1,836	7,697
Transfers to other funds	(12)	(502)	(293)	(241)	(369)	(236)	(317)	(264)	(436)	(373)	(415)	(918)	(4,376)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	55	419	289	572	561	238	141	344	(42)	(22)	(152)	918	3,321
Excess/(Deficiency) of Receipts over Disbursements	398	219	(300)	1,042	594	(1,433)	(729)	23	(868)	(432)	121	1,478	113
<b>CLOSING BALANCE</b>	1,992	2,211	1,911	2,953	3,547	2,114	1,385	1,408	540	108	229	1,707	1,707

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**FY 2013**  
(dollars in millions)

	2012 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	1,632	2,065	2,701	2,784	3,132	3,758	2,520	2,384	2,357	1,407	1,076	1,188		1,632
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	400	0	0	188	6	36	159	2,477	0	10	0	3,276
User Taxes and Fees	196	167	189	194	172	183	189	170	194	200	148	145	0	2,147
Business Taxes	58	57	214	60	62	227	61	67	243	70	65	333	0	1,517
Other Taxes	140	116	67	90	81	65	81	95	80	135	130	85	0	1,180
Total Taxes	394	340	870	344	315	663	352	368	676	2,892	343	573	0	8,120
HCRA	325	401	385	365	358	342	385	376	385	389	375	499	0	4,585
State University Income	64	170	388	260	441	554	363	271	253	432	527	318	0	4,041
Lottery	291	309	246	251	288	282	249	251	254	296	244	267	0	3,198
Medicaid	69	62	70	62	63	69	70	65	69	69	70	63	0	794
Motor vehicle fees	20	16	109	30	42	35	29	39	41	26	35	60	0	482
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	98	260	2	289	332	297	321	231	184	310	113	25	0	2,472
Total Miscellaneous Receipts	867	1,218	1,200	1,267	1,494	1,579	1,409	1,233	1,187	1,522	1,364	1,232	0	15,572
Federal Grants	1	7	0	2	(1)	3	2	0	0	0	0	(13)	0	1
TOTAL RECEIPTS	1,262	1,565	2,070	1,613	1,808	2,245	1,763	1,601	1,863	4,404	1,707	1,792	0	23,693
<b>DISBURSEMENTS:</b>														
School Aid	0	0	316	0	0	1,984	126	125	125	125	125	127	0	3,063
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	0	32
All Other Education	0	0	0	1	2	1	0	2	0	2	2	14	0	14
STAR	0	0	400	0	0	188	6	36	159	2,477	0	10	0	3,276
Medicaid - DOH	268	509	331	332	472	335	476	351	481	535	399	563	0	5,052
Public Health	1	40	65	208	123	69	77	118	154	124	119	159	0	1,257
Mental Hygiene	77	82	175	186	79	163	176	66	226	174	75	219	0	1,698
Children and Families	0	1	0	0	0	1	0	0	0	0	0	(1)	0	1
Temporary & Disability Assistance	1	0	0	1	2	1	0	0	0	0	0	(1)	0	4
Transportation	119	522	291	330	404	388	300	511	777	176	208	254	0	4,280
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	36	15	212	30	26	19	18	19	21	(129)	(46)	0	222
Total Local Assistance Grants	469	1,190	1,593	1,270	1,112	3,156	1,180	1,227	1,943	3,634	799	1,316	0	18,689
Personal Service	384	441	413	511	630	492	526	618	514	701	559	568	0	6,357
Non-Personal Service	26	199	292	279	329	281	378	337	384	307	381	445	0	3,638
Total State Operations	410	640	705	790	959	773	904	955	898	1,008	940	1,013	0	9,995
General State Charges	25	27	273	34	20	55	320	77	284	418	92	369	0	1,994
Capital Projects	1	0	0	0	1	0	0	0	0	0	0	3	0	5
TOTAL DISBURSEMENTS	905	1,857	2,571	2,094	2,092	3,984	2,404	2,259	3,125	5,060	1,831	2,701	0	30,883
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	76	966	621	834	948	516	572	649	435	383	305	1,987	(616)	7,696
Transfers to other funds	0	(38)	(37)	(5)	(38)	(15)	(67)	(18)	(123)	(68)	(69)	(531)	616	(383)
NET OTHER FINANCING SOURCES/(USES)	76	928	584	829	910	501	505	631	312	325	236	1,466	0	7,303
Excess/(Deficiency) of Receipts over Disbursements	433	636	83	348	626	(1,238)	(136)	(27)	(950)	(331)	112	557	0	113
<b>CLOSING BALANCE</b>	2,065	2,701	2,784	3,132	3,758	2,520	2,384	2,357	1,407	1,076	1,188	1,745	0	1,745



**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2013**  
(dollars in millions)

	2012		2013											
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected	January Projected	February Projected	March Projected	Total	
<b>OPENING BALANCE</b>	428	851	1,081	639	1,126	1,057	388	1,405	1,808	1,130	2,609	3,103	428	
<b>RECEIPTS:</b>														
Taxes	1,548	672	1,282	858	874	1,320	901	720	1,291	2,044	970	928	13,408	
Miscellaneous Receipts	18	62	81	20	97	119	73	92	98	92	93	151	996	
Federal Grants	0	0	0	2	38	0	0	0	0	0	2	37	79	
<b>TOTAL RECEIPTS</b>	<u>1,566</u>	<u>734</u>	<u>1,363</u>	<u>880</u>	<u>1,009</u>	<u>1,439</u>	<u>974</u>	<u>812</u>	<u>1,389</u>	<u>2,136</u>	<u>1,065</u>	<u>1,116</u>	<u>14,483</u>	
<b>DISBURSEMENTS:</b>														
State Operations	1	2	4	5	2	3	1	2	15	0	5	7	47	
Debt Service	176	387	420	195	374	769	102	213	1,077	93	482	1,812	6,100	
<b>TOTAL DISBURSEMENTS</b>	<u>177</u>	<u>389</u>	<u>424</u>	<u>200</u>	<u>376</u>	<u>772</u>	<u>103</u>	<u>215</u>	<u>1,092</u>	<u>93</u>	<u>487</u>	<u>1,819</u>	<u>6,147</u>	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	615	560	303	790	451	272	852	328	426	881	323	504	6,305	
Transfers to other funds	(1,581)	(675)	(1,684)	(983)	(1,153)	(1,608)	(706)	(522)	(1,401)	(1,445)	(407)	(2,420)	(14,585)	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<u>(966)</u>	<u>(115)</u>	<u>(1,381)</u>	<u>(193)</u>	<u>(702)</u>	<u>(1,336)</u>	<u>146</u>	<u>(194)</u>	<u>(975)</u>	<u>(564)</u>	<u>(84)</u>	<u>(1,916)</u>	<u>(8,280)</u>	
Excess/(Deficiency) of Receipts over Disbursements	423	230	(442)	487	(69)	(669)	1,017	403	(678)	1,479	494	(2,619)	56	
<b>CLOSING BALANCE</b>	<u>851</u>	<u>1,081</u>	<u>639</u>	<u>1,126</u>	<u>1,057</u>	<u>388</u>	<u>1,405</u>	<u>1,808</u>	<u>1,130</u>	<u>2,609</u>	<u>3,103</u>	<u>484</u>	<u>484</u>	

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(dollars in millions)**

	2012 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	3,360	7,986	4,849	5,005	5,946	5,460	6,009	5,848	4,957	4,700	7,728	8,078	3,360
<b>RECEIPTS:</b>													
Taxes	6,854	3,153	6,786	3,946	3,999	6,898	4,239	3,512	7,099	8,892	4,488	6,274	66,140
Miscellaneous Receipts	1,255	1,615	1,937	1,763	2,153	2,999	1,970	2,009	1,744	2,104	1,288	3,871	24,708
Federal Grants	2,003	3,214	3,224	3,691	3,919	3,215	3,725	3,427	3,427	3,310	3,375	6,428	42,503
<b>TOTAL RECEIPTS</b>	10,112	7,982	11,947	9,400	10,071	13,112	9,934	8,948	11,815	14,306	9,151	16,573	133,351
<b>DISBURSEMENTS:</b>													
School Aid	257	3,239	2,272	262	739	3,401	1,113	1,336	1,813	650	890	7,090	23,062
Higher Education	16	18	379	295	357	61	146	318	198	30	303	505	2,626
All Other Education	52	207	412	118	143	380	152	56	486	212	319	327	2,864
STAR	0	0	400	0	0	188	6	36	159	2,477	0	10	3,276
Medicaid - DOH	2,949	3,561	3,069	2,992	3,796	2,783	4,025	3,366	2,541	3,187	2,815	4,835	39,919
Public Health	(12)	153	180	373	426	231	236	272	321	285	272	418	3,155
Mental Hygiene	89	99	562	200	93	567	364	79	629	303	211	625	3,821
Children and Families	5	18	286	112	361	383	77	158	304	216	181	444	2,545
Temporary & Disability Assistance	80	347	465	452	691	382	613	481	411	411	341	360	5,034
Transportation	121	547	293	336	430	390	301	538	795	179	221	267	4,418
Unrestricted Aid	0	12	294	1	2	89	11	1	206	2	3	142	763
All Other	25	152	416	597	261	513	277	356	510	321	347	62	3,837
<b>Total Local Assistance Grants</b>	3,582	8,353	9,028	5,738	7,299	9,368	7,321	6,997	8,373	8,273	5,903	15,085	95,320
Personal Service	1,021	1,119	1,007	969	1,291	975	1,235	1,129	995	1,295	1,033	1,100	13,169
Non-Personal Service	87	407	504	441	585	552	609	554	636	554	659	815	6,403
<b>Total State Operations</b>	1,108	1,526	1,511	1,410	1,876	1,527	1,844	1,683	1,631	1,849	1,692	1,915	19,572
General State Charges	438	472	402	473	454	490	520	412	485	522	259	1,976	6,903
Debt service	176	387	420	195	374	769	102	213	1,077	93	482	1,812	6,100
Capital Projects	180	371	431	622	549	410	298	558	557	570	495	921	5,962
<b>TOTAL DISBURSEMENTS</b>	5,484	11,109	11,792	8,438	10,552	12,564	10,085	9,863	12,123	11,307	8,831	21,709	133,857
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	2,096	2,004	2,453	2,505	1,944	2,137	2,162	1,446	2,430	2,756	1,054	4,415	27,402
Transfers to other funds	(2,098)	(2,014)	(2,452)	(2,526)	(1,949)	(2,136)	(2,172)	(1,455)	(2,412)	(2,760)	(1,057)	(4,401)	(27,432)
Bond and note proceeds	0	0	0	0	0	0	0	0	33	33	33	268	400
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(2)	(10)	1	(21)	(5)	1	(10)	24	51	29	30	282	370
Excess/(Deficiency) of Receipts over Disbursements	4,626	(3,137)	156	941	(486)	549	(161)	(891)	(257)	3,028	350	(4,854)	(136)
<b>CLOSING BALANCE</b>	7,986	4,849	5,005	5,946	5,460	6,009	5,848	4,957	4,700	7,728	8,078	3,224	3,224

**CASHFLOW  
STATE FUNDS  
FY 2013  
(dollars in millions)**

	2012		2013							Intra-Fund Transfer Eliminations	Total		
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected			January Projected	February Projected
<b>OPENING BALANCE</b>	3,559	8,236	5,483	5,996	6,216	5,771	6,561	6,871	5,977	5,686	8,864	9,246	3,559
<b>RECEIPTS:</b>													
Taxes	6,854	3,153	6,786	3,945	4,000	6,897	4,239	3,512	7,099	8,892	4,488	6,275	0
Miscellaneous Receipts	1,243	1,578	1,928	1,761	2,125	2,987	1,959	1,998	1,733	2,076	1,276	3,858	0
Federal Grants	5	21	0	4	53	6	2	0	13	0	2	39	0
<b>TOTAL RECEIPTS</b>	8,102	4,752	8,714	5,710	6,178	9,890	6,200	5,510	8,845	10,968	5,766	10,172	0
<b>DISBURSEMENTS:</b>													
School Aid	133	2,730	2,021	135	581	3,267	831	1,206	1,663	365	455	6,669	0
Higher Education	16	18	379	295	356	60	146	318	197	29	302	502	0
All Other Education	3	61	332	89	102	345	89	26	427	76	206	219	0
STAR	0	0	400	0	0	188	6	36	159	2,477	0	10	0
Medicaid - DOH	1,199	1,538	1,043	1,250	1,667	925	1,523	1,370	1,162	1,430	1,297	1,436	0
Public Health	2	51	94	245	286	144	98	164	206	170	164	297	0
Mental Hygiene	78	82	554	188	80	559	343	67	606	285	201	600	0
Children and Families	3	15	130	107	220	211	68	75	201	113	78	322	0
Temporary & Disability Assistance	62	327	145	168	142	115	99	115	100	100	30	110	0
Transportation	119	546	291	330	428	388	300	535	792	176	218	255	0
Unrestricted Aid	0	12	294	1	2	89	11	1	206	2	3	142	0
All Other	12	68	295	425	114	208	40	227	403	236	256	159	0
<b>Total Local Assistance Grants</b>	1,627	5,448	5,978	3,233	3,978	6,499	3,554	4,140	6,142	5,459	3,210	10,721	0
Personal Service	980	1,072	962	927	1,224	929	1,180	1,085	945	1,228	980	1,006	0
Non-Personal Service	79	347	438	397	508	427	506	485	566	480	568	674	0
<b>Total State Operations</b>	1,059	1,419	1,400	1,324	1,732	1,356	1,686	1,570	1,511	1,708	1,548	1,680	0
General State Charges	438	469	363	467	454	453	506	408	429	512	241	1,877	0
Debt service	176	387	420	195	374	769	102	213	1,077	93	482	1,812	0
Capital Projects	144	281	336	507	429	287	396	450	449	461	387	804	0
<b>TOTAL DISBURSEMENTS</b>	3,444	8,004	8,497	5,726	6,967	9,364	6,244	6,781	9,608	8,233	5,868	16,894	0
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	2,105	2,049	2,492	2,526	1,962	2,179	2,276	1,551	2,536	2,863	1,160	4,639	(616)
Transfers to other funds	(2,086)	(1,550)	(2,196)	(2,290)	(1,618)	(1,915)	(1,922)	(1,207)	(2,097)	(2,453)	(709)	(4,011)	616
Bond and note proceeds	0	0	0	0	0	0	0	33	33	33	33	268	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	19	499	296	236	344	264	354	377	472	443	484	896	0
Excess/(Deficiency) of Receipts over Disbursements	4,677	(2,753)	513	220	(445)	790	310	(894)	(291)	3,178	382	(5,826)	0
<b>CLOSING BALANCE</b>	8,236	5,483	5,996	6,216	5,771	6,561	6,871	5,977	5,686	8,864	9,246	3,420	3,420

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2013 THROUGH FY 2016**  
(millions of dollars)

	<u>FY 2013</u> <u>Mid-Year</u>	<u>FY 2014</u> <u>Projected</u>	<u>FY 2015</u> <u>Projected</u>	<u>FY 2016</u> <u>Projected</u>
<b>Opening fund balance</b>	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Receipts:</b>				
Taxes	1,124	1,101	1,079	1,057
Miscellaneous receipts	<u>4,585</u>	<u>4,867</u>	<u>5,015</u>	<u>5,071</u>
<b>Total receipts</b>	<u>5,709</u>	<u>5,968</u>	<u>6,094</u>	<u>6,128</u>
<b>Disbursements:</b>				
Medical Assistance Account	3,473	3,599	3,803	3,896
HCRA Program Account	476	491	501	501
Hospital Indigent Care Fund	792	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	137	222	240	260
Child Health Plus (CHP)	363	397	455	386
Public Health	129	129	129	129
All Other	<u>342</u>	<u>338</u>	<u>174</u>	<u>164</u>
<b>Total disbursements</b>	<u>5,712</u>	<u>5,968</u>	<u>6,094</u>	<u>6,128</u>
<b>Change in fund balance</b>	<u>(3)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

*Note: Statutory authorization for HCRA expires on March 31, 2014.*

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2013**  
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
<b>Opening fund balance</b>	<u>3</u>	<u>0</u>	<u>3</u>
<b>Receipts:</b>			
Taxes	1,146	(22)	1,124
Miscellaneous receipts	4,775	(190)	4,585
<b>Total receipts</b>	<u>5,921</u>	<u>(212)</u>	<u>5,709</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,679	(206)	3,473
HCRA Program Account	476	0	476
Hospital Indigent Care Fund	792	0	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	162	(25)	137
Child Health Plus (CHP)	342	21	363
Public Health	129	0	129
All Other	344	(2)	342
<b>Total disbursements</b>	<u>5,924</u>	<u>(212)</u>	<u>5,712</u>
<b>Change in fund balance</b>	<u>(3)</u>	<u>0</u>	<u>(3)</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2012 and FY 2013**  
**(millions of dollars)**

	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Mid-Year</u>	<u>Annual</u> <u>Change</u>
<b>Opening fund balance</b>	<u>159</u>	<u>3</u>	<u>(156)</u>
<b>Receipts:</b>			
Taxes	1,162	1,124	(38)
Miscellaneous receipts	<u>4,155</u>	<u>4,585</u>	<u>430</u>
<b>Total receipts</b>	<u>5,317</u>	<u>5,709</u>	<u>392</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,398	3,473	75
HCRA Program Account	461	476	15
Hospital Indigent Care Fund	785	792	7
Elderly Pharmaceutical Insurance Coverage (EPIC)	102	137	35
Child Health Plus (CHP)	350	363	13
Public Health	137	129	(8)
All Other	<u>240</u>	<u>342</u>	<u>102</u>
<b>Total disbursements</b>	<u>5,473</u>	<u>5,712</u>	<u>239</u>
<b>Change in fund balance</b>	<u>(156)</u>	<u>(3)</u>	<u>153</u>
<b>Closing fund balance</b>	<u>3</u>	<u>0</u>	<u>(3)</u>

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2013**  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening fund balance</b>	3	152	234	390	322	302	333	373	371	280	185	182	3
<b>Receipts:</b>													
Taxes	96	99	97	106	103	86	102	94	97	94	72	78	1,124
Miscellaneous receipts	325	401	385	365	358	342	385	376	385	389	375	499	4,585
<b>Total receipts</b>	421	500	482	471	461	428	487	470	482	483	447	577	5,709
<b>Disbursements:</b>													
Medical Assistance Account	202	315	198	269	286	273	282	286	281	400	263	418	3,473
HCRA Program Account	0	5	9	134	47	28	8	34	72	43	32	64	476
Hospital Indigent Care Fund	69	65	64	64	62	63	63	66	66	66	66	78	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	5	6	10	11	3	14	12	12	19	22	23	137
Child Health Plus (CHP)	0	19	17	49	33	9	35	44	45	35	33	44	363
Public Health	0	9	15	11	15	10	14	12	9	10	15	9	129
All Other	1	0	17	2	27	11	31	18	88	5	19	123	342
<b>Total disbursements</b>	272	418	326	539	481	397	447	472	573	578	450	759	5,712
<b>Change in fund balance</b>	149	82	156	(68)	(20)	31	40	(2)	(91)	(95)	(3)	(182)	(3)
<b>Closing fund balance</b>	152	234	390	322	302	333	373	371	280	185	182	0	0

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS**  
(millions of dollars)

	FY 2013			FY 2014			FY 2015			FY 2016		
	Internal Service	Enterprise	Fiduciary									
<b>Opening Fund Balance</b>	42	97	(86)	(15)	99	(85)	(92)	101	(84)	(158)	103	(83)
<b>Receipts:</b>												
Unemployment Taxes	0	2,356	0	0	2,481	0	0	2,472	0	0	2,472	0
Miscellaneous Receipts	1,152	170	1	1,119	159	1	1,130	152	1	1,095	139	1
Federal Receipts	0	3,300	0	0	1,000	0	0	1,000	0	0	1,000	0
<b>Total receipts</b>	<u>1,152</u>	<u>5,826</u>	<u>1</u>	<u>1,119</u>	<u>3,640</u>	<u>1</u>	<u>1,130</u>	<u>3,624</u>	<u>1</u>	<u>1,095</u>	<u>3,611</u>	<u>1</u>
<b>Disbursements:</b>												
Departmental Operations:												
Personal Service	123	6	0	127	6	0	129	6	0	132	7	0
Non-Personal Service	1,053	160	0	1,099	149	0	1,071	142	0	1,064	130	0
Unemployment Benefits	0	5,656	0	0	3,481	0	0	3,472	0	0	3,472	0
General State Charges	64	2	0	70	2	0	73	2	0	78	3	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<u>1,240</u>	<u>5,824</u>	<u>0</u>	<u>1,296</u>	<u>3,638</u>	<u>0</u>	<u>1,273</u>	<u>3,622</u>	<u>0</u>	<u>1,274</u>	<u>3,612</u>	<u>0</u>
<b>Other Financing Sources (Uses):</b>												
Transfers from Other Funds	120	0	0	158	0	0	126	0	0	116	0	0
Transfers to Other Funds	(89)	0	0	(58)	0	0	(49)	0	0	(31)	0	0
	<u>31</u>	<u>0</u>	<u>0</u>	<u>100</u>	<u>0</u>	<u>0</u>	<u>77</u>	<u>0</u>	<u>0</u>	<u>85</u>	<u>0</u>	<u>0</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(57)</u>	<u>2</u>	<u>1</u>	<u>(77)</u>	<u>2</u>	<u>1</u>	<u>(66)</u>	<u>2</u>	<u>1</u>	<u>(94)</u>	<u>(1)</u>	<u>1</u>
<b>Closing Fund Balance</b>	<u>(15)</u>	<u>99</u>	<u>(85)</u>	<u>(92)</u>	<u>101</u>	<u>(84)</u>	<u>(158)</u>	<u>103</u>	<u>(83)</u>	<u>(252)</u>	<u>102</u>	<u>(82)</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b><i>Agriculture and Markets, Department of</i></b>	<b>42,751</b>	<b>50,711</b>	<b>52,534</b>	<b>54,335</b>	<b>58,134</b>	<b>56,980</b>
Local Assistance Grants	15,014	24,603	22,339	22,297	24,697	23,097
State Operations	27,737	26,108	30,195	32,038	33,437	33,883
Personal Service	21,529	18,509	24,060	25,205	26,454	27,021
Non-Personal Service/Indirect Costs	6,208	7,599	6,135	6,833	6,983	6,862
<b><i>Development Authority of the North Country</i></b>	<b>10</b>	<b>0</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	10	0	70	0	0	0
<b><i>Economic Development, Department of</i></b>	<b>45,618</b>	<b>84,421</b>	<b>86,699</b>	<b>68,851</b>	<b>76,052</b>	<b>76,307</b>
Local Assistance Grants	25,790	51,314	65,998	47,409	54,772	54,772
State Operations	19,828	33,107	20,701	21,442	21,280	21,535
Personal Service	13,863	11,354	12,526	13,749	13,321	13,576
Non-Personal Service/Indirect Costs	5,965	21,753	8,175	7,693	7,959	7,959
<b><i>Empire State Development Corporation</i></b>	<b>35,741</b>	<b>76,487</b>	<b>84,088</b>	<b>71,280</b>	<b>71,172</b>	<b>68,149</b>
Local Assistance Grants	35,741	76,487	84,088	71,280	71,172	68,149
<b><i>Financial Services, Department of</i></b>	<b>11,283</b>	<b>95</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	11,145	95	0	0	0	0
State Operations	138	0	0	0	0	0
Personal Service	138	0	0	0	0	0
<b><i>Olympic Regional Development Authority</i></b>	<b>3,471</b>	<b>3,543</b>	<b>2,929</b>	<b>3,056</b>	<b>3,138</b>	<b>3,138</b>
State Operations	3,471	3,543	2,929	3,056	3,138	3,138
Personal Service	2,890	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	581	694	444	534	590	590
<b>Functional Total</b>	<b>138,874</b>	<b>215,257</b>	<b>226,320</b>	<b>197,522</b>	<b>208,496</b>	<b>204,574</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b><i>Adirondack Park Agency</i></b>	<b>4,637</b>	<b>4,299</b>	<b>4,146</b>	<b>4,175</b>	<b>4,251</b>	<b>4,337</b>
State Operations	4,637	4,299	4,146	4,175	4,251	4,337
Personal Service	4,234	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	403	520	355	355	355	355
<b><i>Environmental Conservation, Department of</i></b>	<b>105,995</b>	<b>115,047</b>	<b>96,981</b>	<b>97,750</b>	<b>99,316</b>	<b>100,659</b>
Local Assistance Grants	2,425	4,760	6,325	4,042	4,042	4,042
State Operations	103,570	110,287	90,656	93,708	95,274	96,617
Personal Service	88,184	96,204	80,702	83,754	85,320	86,663
Non-Personal Service/Indirect Costs	15,386	14,083	9,954	9,954	9,954	9,954
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>131,990</b>	<b>128,296</b>	<b>120,006</b>	<b>110,837</b>	<b>112,185</b>	<b>113,722</b>
Local Assistance Grants	11,025	6,656	11,262	2,750	2,750	2,750
State Operations	120,965	121,640	108,744	108,087	109,435	110,972
Personal Service	109,167	110,931	100,742	100,300	101,648	103,185
Non-Personal Service/Indirect Costs	11,798	10,709	8,002	7,787	7,787	7,787
<b>Functional Total</b>	<b>242,622</b>	<b>247,642</b>	<b>221,133</b>	<b>212,762</b>	<b>215,752</b>	<b>218,718</b>
<b>TRANSPORTATION</b>						
<b><i>Transportation, Department of</i></b>	<b>98,892</b>	<b>99,132</b>	<b>100,958</b>	<b>100,206</b>	<b>100,206</b>	<b>100,206</b>
Local Assistance Grants	97,038	98,110	98,303	97,551	97,551	97,551
State Operations	1,854	1,022	2,655	2,655	2,655	2,655
Personal Service	0	0	500	500	500	500
Non-Personal Service/Indirect Costs	1,854	1,022	2,155	2,155	2,155	2,155
<b>Functional Total</b>	<b>98,892</b>	<b>99,132</b>	<b>100,958</b>	<b>100,206</b>	<b>100,206</b>	<b>100,206</b>
<b>HEALTH</b>						
<b><i>Aging, Office for the</i></b>	<b>118,710</b>	<b>113,753</b>	<b>117,433</b>	<b>117,050</b>	<b>123,617</b>	<b>130,463</b>
Local Assistance Grants	117,034	111,616	115,697	115,314	121,881	128,689
State Operations	1,676	2,137	1,736	1,736	1,736	1,774
Personal Service	1,641	1,931	1,555	1,555	1,555	1,586
Non-Personal Service/Indirect Costs	35	206	181	181	181	188
<b><i>Health, Department of</i></b>	<b>8,316,084</b>	<b>11,118,360</b>	<b>11,680,565</b>	<b>12,222,253</b>	<b>12,599,680</b>	<b>13,398,257</b>
<b>Medical Assistance</b>	<b>6,963,485</b>	<b>9,782,693</b>	<b>10,290,973</b>	<b>10,847,496</b>	<b>11,229,216</b>	<b>12,041,736</b>
Local Assistance Grants	6,940,238	9,767,471	10,239,618	10,791,141	11,173,361	11,985,881
State Operations	23,247	15,222	51,355	56,355	55,855	55,855
Personal Service	500	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	22,747	13,784	50,855	55,855	55,355	55,355
<b>Medicaid Administration</b>	<b>538,370</b>	<b>533,293</b>	<b>568,750</b>	<b>552,250</b>	<b>502,750</b>	<b>443,250</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Local Assistance Grants	538,370	533,293	568,750	552,250	502,750	443,250
<b>Public Health</b>	<b>814,229</b>	<b>802,374</b>	<b>820,842</b>	<b>822,507</b>	<b>867,714</b>	<b>913,271</b>
Local Assistance Grants	647,875	649,730	663,907	636,021	660,658	686,622
State Operations	166,354	152,644	156,935	186,486	207,056	226,649
Personal Service	60,522	60,955	56,284	71,335	84,905	104,498
Non-Personal Service/Indirect Costs	105,832	91,689	100,651	115,151	122,151	122,151
<b>Medicaid Inspector General, Office of the</b>	<b>24,095</b>	<b>21,548</b>	<b>21,089</b>	<b>21,077</b>	<b>21,463</b>	<b>21,499</b>
State Operations	24,095	21,548	21,089	21,077	21,463	21,499
Personal Service	16,930	16,030	14,673	14,331	14,367	14,403
Non-Personal Service/Indirect Costs	7,165	5,518	6,416	6,746	7,096	7,096
<b>Functional Total</b>	<b>8,458,889</b>	<b>11,253,661</b>	<b>11,819,087</b>	<b>12,360,380</b>	<b>12,744,760</b>	<b>13,550,219</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,928,797</b>	<b>1,824,164</b>	<b>1,826,214</b>	<b>1,999,203</b>	<b>2,209,083</b>	<b>2,285,755</b>
<b>OCFS</b>	<b>1,859,442</b>	<b>1,710,996</b>	<b>1,738,720</b>	<b>1,909,394</b>	<b>2,115,522</b>	<b>2,188,117</b>
Local Assistance Grants	1,594,168	1,486,807	1,454,767	1,624,472	1,846,671	1,922,562
State Operations	265,274	224,189	283,953	284,922	268,851	265,555
Personal Service	163,689	143,835	182,960	176,441	160,048	158,198
Non-Personal Service/Indirect Costs	101,585	80,354	100,993	108,481	108,803	107,357
<b>OCFS - Other</b>	<b>69,355</b>	<b>113,168</b>	<b>87,494</b>	<b>89,809</b>	<b>93,561</b>	<b>97,638</b>
Local Assistance Grants	69,355	113,168	87,494	89,809	93,561	97,638
<b>Housing and Community Renewal, Division of</b>	<b>62,719</b>	<b>60,205</b>	<b>64,164</b>	<b>56,155</b>	<b>56,469</b>	<b>56,954</b>
Local Assistance Grants	43,306	44,110	49,117	41,117	41,117	41,117
State Operations	19,413	16,095	15,047	15,038	15,352	15,837
Personal Service	11,572	8,692	9,479	9,464	9,762	10,182
Non-Personal Service/Indirect Costs	7,841	7,403	5,568	5,574	5,590	5,655
<b>Human Rights, Division of</b>	<b>14,165</b>	<b>12,000</b>	<b>11,197</b>	<b>11,376</b>	<b>11,687</b>	<b>12,032</b>
State Operations	14,165	12,000	11,197	11,376	11,687	12,032
Personal Service	12,932	10,679	10,283	10,345	10,625	10,940
Non-Personal Service/Indirect Costs	1,233	1,321	914	1,031	1,062	1,092
<b>Labor, Department of</b>	<b>11,516</b>	<b>3,512</b>	<b>4,837</b>	<b>2,725</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	11,114	3,512	4,837	2,725	0	0
State Operations	402	0	0	0	0	0
Personal Service	309	0	0	0	0	0
Non-Personal Service/Indirect Costs	93	0	0	0	0	0
<b>National and Community Service</b>	<b>381</b>	<b>332</b>	<b>601</b>	<b>683</b>	<b>687</b>	<b>687</b>
Local Assistance Grants	0	38	350	350	350	350
State Operations	381	294	251	333	337	337
Personal Service	304	292	210	325	328	328
Non-Personal Service/Indirect Costs	77	2	41	8	9	9
<b>Prevention of Domestic Violence, Office for</b>	<b>1,928</b>	<b>1,797</b>	<b>2,092</b>	<b>2,293</b>	<b>2,245</b>	<b>2,290</b>
Local Assistance Grants	666	541	685	685	685	685
State Operations	1,262	1,256	1,407	1,608	1,560	1,605
Personal Service	1,098	1,040	1,260	1,388	1,443	1,477
Non-Personal Service/Indirect Costs	164	216	147	220	117	128
<b>Temporary and Disability Assistance, Office of</b>	<b>1,254,805</b>	<b>1,443,874</b>	<b>1,705,031</b>	<b>1,604,727</b>	<b>1,514,241</b>	<b>1,540,524</b>
<b>Welfare Assistance</b>	<b>1,043,865</b>	<b>1,266,866</b>	<b>1,405,273</b>	<b>1,296,673</b>	<b>1,195,022</b>	<b>1,221,735</b>
Local Assistance Grants	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<b>All Other</b>	<b>210,940</b>	<b>177,008</b>	<b>299,758</b>	<b>308,054</b>	<b>319,219</b>	<b>318,789</b>
Local Assistance Grants	152,334	137,649	103,493	103,096	103,096	104,496
State Operations	58,606	39,359	196,265	204,958	216,123	214,293
Personal Service	14,094	(3,913)	77,688	85,979	90,656	93,056
Non-Personal Service/Indirect Costs	44,512	43,272	118,577	118,979	125,467	121,237
<b>Welfare Inspector General, Office of</b>	<b>326</b>	<b>287</b>	<b>293</b>	<b>307</b>	<b>318</b>	<b>325</b>
State Operations	326	287	293	307	318	325
Personal Service	326	287	293	307	318	325
<b>Functional Total</b>	<b>3,274,637</b>	<b>3,346,171</b>	<b>3,614,429</b>	<b>3,677,469</b>	<b>3,794,730</b>	<b>3,898,567</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>142,096</b>	<b>39,742</b>	<b>37,739</b>	<b>26,053</b>	<b>26,053</b>	<b>26,053</b>
<b>OASAS</b>	<b>93,007</b>	<b>7,591</b>	<b>5,059</b>	<b>4,728</b>	<b>4,728</b>	<b>4,728</b>
Local Assistance Grants	93,007	7,591	5,059	4,728	4,728	4,728
<b>OASAS - Other</b>	<b>49,089</b>	<b>32,151</b>	<b>32,680</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>
Local Assistance Grants	49,089	32,151	32,680	21,325	21,325	21,325

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Mental Health, Office of</b>	<b>539,404</b>	<b>427,441</b>	<b>383,781</b>	<b>389,050</b>	<b>416,494</b>	<b>461,550</b>
<b>OMH</b>	<b>115,992</b>	<b>19,293</b>	<b>1,132</b>	<b>800</b>	<b>800</b>	<b>800</b>
Local Assistance Grants	115,741	19,185	332	0	0	0
State Operations	251	108	800	800	800	800
Personal Service	167	0	0	0	0	0
Non-Personal Service/Indirect Costs	84	108	800	800	800	800
<b>OMH - Other</b>	<b>423,412</b>	<b>408,148</b>	<b>382,649</b>	<b>388,250</b>	<b>415,694</b>	<b>460,750</b>
Local Assistance Grants	423,412	408,148	382,649	388,250	415,694	460,750
<b>People with Developmental Disabilities, Office for</b>	<b>1,557,858</b>	<b>1,594,653</b>	<b>1,524,553</b>	<b>1,581,961</b>	<b>1,658,192</b>	<b>1,774,224</b>
<b>OPWDD</b>	<b>119,052</b>	<b>10,256</b>	<b>950</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	119,052	10,256	950	0	0	0
<b>OPWDD - Other</b>	<b>1,438,806</b>	<b>1,584,397</b>	<b>1,523,603</b>	<b>1,581,961</b>	<b>1,658,192</b>	<b>1,774,224</b>
Local Assistance Grants	1,438,806	1,584,397	1,523,603	1,581,961	1,658,192	1,774,224
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>4,434</b>	<b>3,695</b>	<b>5,320</b>	<b>5,921</b>	<b>6,027</b>	<b>6,142</b>
Local Assistance Grants	229	170	170	170	170	170
State Operations	4,205	3,525	5,150	5,751	5,857	5,972
Personal Service	3,228	2,650	4,183	4,728	4,811	4,901
Non-Personal Service/Indirect Costs	977	875	967	1,023	1,046	1,071
<b>Functional Total</b>	<b>2,243,792</b>	<b>2,065,531</b>	<b>1,951,393</b>	<b>2,002,985</b>	<b>2,106,766</b>	<b>2,267,969</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,419</b>	<b>2,251</b>	<b>2,672</b>	<b>2,711</b>	<b>2,787</b>	<b>2,864</b>
State Operations	2,419	2,251	2,672	2,711	2,787	2,864
Personal Service	2,082	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	337	361	274	297	306	316
<b>Correctional Services, Department of</b>	<b>2,586,638</b>	<b>2,475,776</b>	<b>2,708,312</b>	<b>2,582,036</b>	<b>2,653,629</b>	<b>2,810,513</b>
Local Assistance Grants	10,386	5,594	6,051	6,000	6,000	6,000
State Operations	2,574,252	2,470,182	2,702,261	2,576,036	2,647,629	2,804,513
Personal Service	2,043,633	1,959,956	2,231,446	2,085,665	2,136,783	2,272,227
Non-Personal Service/Indirect Costs	530,619	510,226	470,815	490,371	510,846	532,286
General State Charges	2,000	0	0	0	0	0
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>
Local Assistance Grants	0	0	0	11,500	11,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>183,551</b>	<b>170,156</b>	<b>178,587</b>	<b>175,837</b>	<b>173,460</b>	<b>174,877</b>
Local Assistance Grants	127,375	119,311	126,756	122,046	118,856	118,856
State Operations	56,176	50,845	51,831	53,791	54,604	56,021
Personal Service	34,492	32,650	32,040	34,127	34,974	35,930
Non-Personal Service/Indirect Costs	21,684	18,195	19,791	19,664	19,630	20,091
<b>Disaster Assistance</b>	<b>0</b>	<b>20,811</b>	<b>76,000</b>	<b>64,702</b>	<b>(400)</b>	<b>0</b>
Local Assistance Grants	0	0	77,000	86,126	(400)	0
State Operations	0	20,811	(1,000)	(21,424)	0	0
Personal Service	0	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	0	11,126	(1,000)	(21,424)	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>30,067</b>	<b>27,006</b>	<b>21,295</b>	<b>21,007</b>	<b>12,037</b>	<b>10,588</b>
Local Assistance Grants	17,552	19,575	14,088	13,800	5,963	4,222
State Operations	12,515	7,431	7,207	7,207	6,074	6,366
Personal Service	6,197	6,637	7,207	7,207	6,074	6,366
Non-Personal Service/Indirect Costs	6,318	794	0	0	0	0
<b>Judicial Commissions</b>	<b>4,944</b>	<b>4,979</b>	<b>5,452</b>	<b>5,588</b>	<b>5,795</b>	<b>5,990</b>
State Operations	4,944	4,979	5,452	5,588	5,795	5,990
Personal Service	3,723	3,794	4,093	4,135	4,237	4,357
Non-Personal Service/Indirect Costs	1,221	1,185	1,359	1,453	1,558	1,633
<b>Military and Naval Affairs, Division of</b>	<b>21,953</b>	<b>21,669</b>	<b>22,398</b>	<b>22,654</b>	<b>23,007</b>	<b>23,376</b>
Local Assistance Grants	725	761	867	850	850	850
State Operations	21,228	20,908	21,531	21,804	22,157	22,526
Personal Service	17,690	15,798	15,744	15,872	16,077	16,294
Non-Personal Service/Indirect Costs	3,538	5,110	5,787	5,932	6,080	6,232
<b>Public Security and Emergency Response</b>	<b>0</b>	<b>0</b>	<b>600</b>	<b>600</b>	<b>600</b>	<b>600</b>
State Operations	0	0	600	600	600	600
Personal Service	0	0	600	600	600	600
<b>State Police, Division of</b>	<b>463,968</b>	<b>432,573</b>	<b>554,450</b>	<b>571,035</b>	<b>572,633</b>	<b>585,637</b>
State Operations	463,968	432,573	554,450	571,035	572,633	585,637
Personal Service	421,015	400,214	514,900	514,378	520,644	528,648
Non-Personal Service/Indirect Costs	42,953	32,359	39,550	56,657	51,989	56,989

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Functional Total</b>	<b>3,293,540</b>	<b>3,155,221</b>	<b>3,569,766</b>	<b>3,457,670</b>	<b>3,455,048</b>	<b>3,626,945</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,182,813</b>	<b>1,202,410</b>	<b>1,219,184</b>	<b>1,342,367</b>	<b>1,405,128</b>	<b>1,473,272</b>
Local Assistance Grants	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
<b>Higher Education Services Corporation, New York State</b>	<b>789,025</b>	<b>910,001</b>	<b>903,933</b>	<b>985,349</b>	<b>1,014,412</b>	<b>1,025,891</b>
Local Assistance Grants	791,507	907,514	903,933	985,349	1,014,412	1,025,891
State Operations	(2,482)	2,487	0	0	0	0
Non-Personal Service/Indirect Costs	(2,482)	2,487	0	0	0	0
<b>State University of New York</b>	<b>1,710,963</b>	<b>1,627,533</b>	<b>1,356,218</b>	<b>678,106</b>	<b>678,106</b>	<b>678,106</b>
Local Assistance Grants	472,818	481,591	462,404	468,051	468,051	468,051
State Operations	1,029,227	942,702	683,759	0	0	0
Personal Service	760,404	703,450	548,343	0	0	0
Non-Personal Service/Indirect Costs	268,823	239,252	135,416	0	0	0
General State Charges	208,918	203,240	210,055	210,055	210,055	210,055
<b>Functional Total</b>	<b>3,682,801</b>	<b>3,739,944</b>	<b>3,479,335</b>	<b>3,005,822</b>	<b>3,097,646</b>	<b>3,177,269</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>45,173</b>	<b>33,659</b>	<b>39,955</b>	<b>35,957</b>	<b>36,003</b>	<b>36,053</b>
Local Assistance Grants	40,479	29,571	35,835	31,835	31,835	31,835
State Operations	4,694	4,088	4,120	4,122	4,168	4,218
Personal Service	3,098	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,596	1,822	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>20,206,427</b>	<b>18,507,638</b>	<b>19,006,748</b>	<b>19,866,498</b>	<b>20,748,081</b>	<b>21,816,086</b>
<b>School Aid</b>	<b>18,705,305</b>	<b>16,777,944</b>	<b>17,003,331</b>	<b>17,831,835</b>	<b>18,629,727</b>	<b>19,585,362</b>
Local Assistance Grants	18,705,305	16,777,944	17,003,331	17,831,835	18,629,727	19,585,362
<b>Special Education Categorical Programs</b>	<b>924,218</b>	<b>1,175,990</b>	<b>1,357,636</b>	<b>1,455,616</b>	<b>1,546,216</b>	<b>1,657,416</b>
Local Assistance Grants	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<b>All Other</b>	<b>576,904</b>	<b>553,704</b>	<b>645,781</b>	<b>579,047</b>	<b>572,138</b>	<b>573,308</b>
Local Assistance Grants	534,933	508,706	603,447	535,168	527,927	528,712
State Operations	40,446	43,412	42,334	43,879	44,211	44,596
Personal Service	24,420	24,983	24,498	24,543	24,875	25,260
Non-Personal Service/Indirect Costs	16,026	18,429	17,836	19,336	19,336	19,336
General State Charges	1,525	1,586	0	0	0	0
<b>Functional Total</b>	<b>20,251,600</b>	<b>18,541,297</b>	<b>19,046,703</b>	<b>19,902,455</b>	<b>20,784,084</b>	<b>21,852,139</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>22,928</b>	<b>20,635</b>	<b>22,112</b>	<b>22,741</b>	<b>23,647</b>	<b>24,603</b>
State Operations	22,928	20,635	22,112	22,741	23,647	24,603
Personal Service	20,633	19,252	19,881	21,325	22,203	23,130
Non-Personal Service/Indirect Costs	2,295	1,383	2,231	1,416	1,444	1,473
<b>Civil Service, Department of</b>	<b>16,392</b>	<b>13,755</b>	<b>13,496</b>	<b>13,889</b>	<b>15,035</b>	<b>15,428</b>
State Operations	16,392	13,755	13,496	13,889	15,035	15,428
Personal Service	15,599	12,935	12,818	13,198	14,357	14,745
Non-Personal Service/Indirect Costs	793	820	678	691	678	683
<b>Deferred Compensation Board</b>	<b>113</b>	<b>46</b>	<b>53</b>	<b>57</b>	<b>56</b>	<b>57</b>
State Operations	113	46	53	57	56	57
Personal Service	30	30	29	32	30	31
Non-Personal Service/Indirect Costs	83	16	24	25	26	26
<b>Elections, State Board of</b>	<b>6,096</b>	<b>5,566</b>	<b>7,570</b>	<b>5,175</b>	<b>35,316</b>	<b>5,462</b>
Local Assistance Grants	582	415	2,700	0	30,000	0
State Operations	5,514	5,151	4,870	5,175	5,316	5,462
Personal Service	4,205	4,065	4,065	4,250	4,370	4,495
Non-Personal Service/Indirect Costs	1,309	1,086	805	925	946	967
<b>Employee Relations, Office of</b>	<b>3,000</b>	<b>2,604</b>	<b>2,632</b>	<b>2,806</b>	<b>2,728</b>	<b>2,811</b>
State Operations	3,000	2,604	2,632	2,806	2,728	2,811
Personal Service	2,909	2,529	2,551	2,724	2,646	2,728
Non-Personal Service/Indirect Costs	91	75	81	82	82	83
<b>General Services, Office of</b>	<b>119,460</b>	<b>109,503</b>	<b>148,627</b>	<b>152,622</b>	<b>134,518</b>	<b>136,325</b>
Local Assistance Grants	28	0	19	0	0	0
State Operations	119,432	109,503	148,608	152,622	134,518	136,325
Personal Service	52,715	45,756	48,560	55,938	56,567	56,975
Non-Personal Service/Indirect Costs	66,717	63,747	100,048	96,684	77,951	79,350
<b>Inspector General, Office of the</b>	<b>5,633</b>	<b>5,392</b>	<b>5,828</b>	<b>6,696</b>	<b>6,949</b>	<b>7,175</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
State Operations	5,633	5,392	5,828	6,696	6,949	7,175
Personal Service	5,519	5,067	5,388	6,195	6,367	6,551
Non-Personal Service/Indirect Costs	114	325	440	501	582	624
<b>Labor Management Committees</b>	<b>32,243</b>	<b>23,192</b>	<b>27,638</b>	<b>32,737</b>	<b>34,944</b>	<b>45,960</b>
State Operations	32,243	23,192	27,638	32,737	34,944	45,960
Personal Service	9,872	8,359	4,600	5,537	6,119	7,129
Non-Personal Service/Indirect Costs	22,371	14,833	23,038	27,200	28,825	38,831
<b>Public Employment Relations Board</b>	<b>3,660</b>	<b>3,309</b>	<b>3,340</b>	<b>3,838</b>	<b>3,761</b>	<b>3,853</b>
State Operations	3,660	3,309	3,340	3,838	3,761	3,853
Personal Service	3,211	2,907	2,938	3,336	3,046	3,138
Non-Personal Service/Indirect Costs	449	402	402	502	715	715
<b>Public Integrity, Commission on</b>	<b>3,794</b>	<b>3,217</b>	<b>3,700</b>	<b>6,705</b>	<b>4,819</b>	<b>4,939</b>
State Operations	3,794	3,217	3,700	6,705	4,819	4,939
Personal Service	3,017	2,492	2,850	3,409	3,501	3,599
Non-Personal Service/Indirect Costs	777	725	850	3,296	1,318	1,340
<b>Regulatory Reform, Governor's Office of</b>	<b>1,653</b>	<b>83</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	1,653	83	0	0	0	0
Personal Service	1,537	80	0	0	0	0
Non-Personal Service/Indirect Costs	116	3	0	0	0	0
<b>State, Department of</b>	<b>36,483</b>	<b>23,909</b>	<b>30,912</b>	<b>19,187</b>	<b>19,706</b>	<b>19,969</b>
Local Assistance Grants	19,682	9,417	15,579	3,338	3,338	3,338
State Operations	16,801	14,492	15,333	15,849	16,368	16,631
Personal Service	12,295	10,688	12,721	13,091	13,468	13,731
Non-Personal Service/Indirect Costs	4,506	3,804	2,612	2,758	2,900	2,900
<b>Tax Appeals, Division of</b>	<b>3,134</b>	<b>2,850</b>	<b>3,101</b>	<b>3,174</b>	<b>3,255</b>	<b>3,309</b>
State Operations	3,134	2,850	3,101	3,174	3,255	3,309
Personal Service	2,776	2,483	2,900	2,962	3,034	3,088
Non-Personal Service/Indirect Costs	358	367	201	212	221	221
<b>Taxation and Finance, Department of</b>	<b>366,317</b>	<b>314,780</b>	<b>324,461</b>	<b>325,015</b>	<b>335,037</b>	<b>341,752</b>
Local Assistance Grants	5,270	6,487	926	926	926	926
State Operations	361,047	308,293	323,535	324,089	334,111	340,826
Personal Service	296,271	249,825	260,866	259,558	267,933	274,648
Non-Personal Service/Indirect Costs	64,776	58,468	62,669	64,531	66,178	66,178
<b>Technology, Office for</b>	<b>22,902</b>	<b>19,129</b>	<b>21,994</b>	<b>21,305</b>	<b>23,877</b>	<b>23,485</b>
Local Assistance Grants	884	171	0	0	0	0
State Operations	22,018	18,958	21,994	21,305	23,877	23,485
Personal Service	11,208	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	10,810	8,502	8,408	7,710	9,970	9,239
<b>Veterans' Affairs, Division of</b>	<b>14,069</b>	<b>12,884</b>	<b>14,106</b>	<b>13,442</b>	<b>13,275</b>	<b>13,503</b>
Local Assistance Grants	8,044	7,572	8,117	7,517	7,577	7,637
State Operations	6,025	5,312	5,989	5,925	5,698	5,866
Personal Service	5,570	4,868	5,050	5,481	5,259	5,416
Non-Personal Service/Indirect Costs	455	444	939	444	439	450
<b>Functional Total</b>	<b>657,877</b>	<b>560,854</b>	<b>629,570</b>	<b>629,389</b>	<b>656,923</b>	<b>648,631</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>167,190</b>	<b>152,602</b>	<b>155,642</b>	<b>157,172</b>	<b>160,521</b>	<b>164,148</b>
Local Assistance Grants	31,598	32,005	32,024	32,024	32,024	32,024
State Operations	135,592	120,597	123,618	125,148	128,497	132,124
Personal Service	107,384	93,084	97,964	98,828	101,494	104,421
Non-Personal Service/Indirect Costs	28,208	27,513	25,654	26,320	27,003	27,703
<b>Executive Chamber</b>	<b>12,880</b>	<b>13,256</b>	<b>13,578</b>	<b>14,376</b>	<b>14,888</b>	<b>15,641</b>
State Operations	12,880	13,256	13,578	14,376	14,888	15,641
Personal Service	10,963	10,210	10,900	11,600	12,045	12,730
Non-Personal Service/Indirect Costs	1,917	3,046	2,678	2,776	2,843	2,911
<b>Judiciary</b>	<b>2,339,911</b>	<b>2,306,525</b>	<b>2,312,000</b>	<b>2,444,446</b>	<b>2,569,206</b>	<b>2,696,602</b>
Local Assistance Grants	4,884	2,502	2,500	17,500	17,500	17,500
State Operations	1,792,790	1,731,513	1,749,600	1,807,614	1,892,952	1,986,894
Personal Service	1,467,042	1,408,958	1,410,600	1,456,134	1,503,142	1,559,092
Non-Personal Service/Indirect Costs	325,748	322,555	339,000	351,480	389,810	427,802
General State Charges	542,237	572,510	559,900	619,332	658,754	692,208
<b>Law, Department of</b>	<b>110,613</b>	<b>98,360</b>	<b>98,914</b>	<b>96,220</b>	<b>98,947</b>	<b>101,937</b>
State Operations	110,613	98,360	98,914	96,220	98,947	101,937
Personal Service	96,314	83,740	83,937	83,944	86,364	89,040
Non-Personal Service/Indirect Costs	14,299	14,620	14,977	12,276	12,583	12,897
<b>Legislature</b>	<b>221,740</b>	<b>196,024</b>	<b>217,845</b>	<b>220,399</b>	<b>222,995</b>	<b>225,633</b>
State Operations	221,740	196,024	217,845	220,399	222,995	225,633

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Personal Service	174,096	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	47,644	44,142	52,561	53,875	55,222	56,602
<b>Lieutenant Governor, Office of the</b>	<b>304</b>	<b>408</b>	<b>614</b>	<b>614</b>	<b>665</b>	<b>680</b>
State Operations	304	408	614	614	665	680
Personal Service	281	289	480	480	515	543
Non-Personal Service/Indirect Costs	23	119	134	134	150	137
<b>Functional Total</b>	<b>2,852,638</b>	<b>2,767,175</b>	<b>2,798,593</b>	<b>2,933,227</b>	<b>3,067,222</b>	<b>3,204,641</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>738,940</b>	<b>721,034</b>	<b>728,181</b>	<b>739,188</b>	<b>752,782</b>	<b>763,629</b>
Local Assistance Grants	738,940	721,034	728,181	739,188	752,782	763,629
<b>Efficiency Incentive Grants Program</b>	<b>4,604</b>	<b>4,714</b>	<b>6,956</b>	<b>4,485</b>	<b>2,000</b>	<b>0</b>
Local Assistance Grants	4,604	4,714	6,956	4,485	2,000	0
<b>Miscellaneous Financial Assistance</b>	<b>3,920</b>	<b>1,960</b>	<b>2,000</b>	<b>1,960</b>	<b>1,960</b>	<b>1,960</b>
Local Assistance Grants	3,920	1,960	2,000	1,960	1,960	1,960
<b>Municipalities with VLT Facilities</b>	<b>25,800</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>
Local Assistance Grants	25,800	25,867	25,867	25,867	25,867	25,867
<b>Small Government Assistance</b>	<b>2,066</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	2,066	217	218	218	218	218
<b>Functional Total</b>	<b>775,330</b>	<b>753,792</b>	<b>763,222</b>	<b>771,718</b>	<b>782,827</b>	<b>791,674</b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>3,432,021</b>	<b>3,941,782</b>	<b>3,848,192</b>	<b>4,208,544</b>	<b>4,491,920</b>	<b>4,704,503</b>
State Operations	5,561	3,676	0	0	0	0
Personal Service	3,835	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,726	1,585	0	0	0	0
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
<b>Long-Term Debt Service</b>	<b>0</b>	<b>551</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	551	0	0	0	0
Non-Personal Service/Indirect Costs	0	551	0	0	0	0
<b>Miscellaneous</b>	<b>(37,343)</b>	<b>(55,015)</b>	<b>320,136</b>	<b>331,358</b>	<b>325,973</b>	<b>497,245</b>
Local Assistance Grants	(44,723)	(60,566)	252,452	433,674	347,288	447,559
State Operations	1,549	1,447	63,264	(106,736)	(25,735)	45,266
Personal Service	25	51	25,052	(24,948)	(9,947)	45,054
Non-Personal Service/Indirect Costs	1,524	1,396	38,212	(81,788)	(15,788)	212
General State Charges	5,831	4,104	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<b>3,394,678</b>	<b>3,887,318</b>	<b>4,168,328</b>	<b>4,539,902</b>	<b>4,817,893</b>	<b>5,201,748</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>49,366,170</b>	<b>50,632,995</b>	<b>52,388,837</b>	<b>53,791,507</b>	<b>55,832,353</b>	<b>58,743,300</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	42,751	50,711	52,534	54,335	58,134	56,980
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	45,618	84,421	86,699	68,851	76,052	76,307
Empire State Development Corporation	35,741	76,487	84,088	71,280	71,172	68,149
Financial Services, Department of	11,283	95	0	0	0	0
Olympic Regional Development Authority	3,471	3,543	2,929	3,056	3,138	3,138
<b>Functional Total</b>	<b>138,874</b>	<b>215,257</b>	<b>226,320</b>	<b>197,522</b>	<b>208,496</b>	<b>204,574</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	105,995	115,047	96,981	97,750	99,316	100,659
Parks, Recreation and Historic Preservation, Office of	131,990	128,296	120,006	110,837	112,185	113,722
<b>Functional Total</b>	<b>242,622</b>	<b>247,642</b>	<b>221,133</b>	<b>212,762</b>	<b>215,752</b>	<b>218,718</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	98,892	99,132	100,958	100,206	100,206	100,206
<b>Functional Total</b>	<b>98,892</b>	<b>99,132</b>	<b>100,958</b>	<b>100,206</b>	<b>100,206</b>	<b>100,206</b>
<b>HEALTH</b>						
Aging, Office for the	118,710	113,753	117,433	117,050	123,617	130,463
Health, Department of	8,316,084	11,118,360	11,680,565	12,222,253	12,599,680	13,398,257
<i>Medical Assistance</i>	6,963,485	9,782,693	10,290,973	10,847,496	11,229,216	12,041,736
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	814,229	802,374	820,842	822,507	867,714	913,271
Medicaid Inspector General, Office of the	24,095	21,548	21,089	21,077	21,463	21,499
<b>Functional Total</b>	<b>8,458,889</b>	<b>11,253,661</b>	<b>11,819,087</b>	<b>12,360,380</b>	<b>12,744,760</b>	<b>13,550,219</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,928,797	1,824,164	1,826,214	1,999,203	2,209,083	2,285,755
<i>OCFS</i>	1,859,442	1,710,996	1,738,720	1,909,394	2,115,522	2,188,117
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	62,719	60,205	64,164	56,155	56,469	56,954
Human Rights, Division of	14,165	12,000	11,197	11,376	11,687	12,032
Labor, Department of	11,516	3,512	4,837	2,725	0	0
National and Community Service	381	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,928	1,797	2,092	2,293	2,245	2,290
Temporary and Disability Assistance, Office of	1,254,805	1,443,874	1,705,031	1,604,727	1,514,241	1,540,524
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<i>All Other</i>	210,940	177,008	299,758	308,054	319,219	318,789
Welfare Inspector General, Office of	326	287	293	307	318	325
<b>Functional Total</b>	<b>3,274,637</b>	<b>3,346,171</b>	<b>3,614,429</b>	<b>3,677,469</b>	<b>3,794,730</b>	<b>3,898,567</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	142,096	39,742	37,739	26,053	26,053	26,053
<i>OASAS</i>	93,007	7,591	5,059	4,728	4,728	4,728
<i>OASAS - Other</i>	49,089	32,151	32,680	21,325	21,325	21,325
Mental Health, Office of	539,404	427,441	383,781	389,050	416,494	461,550
<i>OMH</i>	115,992	19,293	1,132	800	800	800
<i>OMH - Other</i>	423,412	408,148	382,649	388,250	415,694	460,750
People with Developmental Disabilities, Office for	1,557,858	1,594,653	1,524,553	1,581,961	1,658,192	1,774,224
<i>OPWDD</i>	119,052	10,256	950	0	0	0
<i>OPWDD - Other</i>	1,438,806	1,584,397	1,523,603	1,581,961	1,658,192	1,774,224
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,434	3,695	5,320	5,921	6,027	6,142
<b>Functional Total</b>	<b>2,243,792</b>	<b>2,065,531</b>	<b>1,951,393</b>	<b>2,002,985</b>	<b>2,106,766</b>	<b>2,267,969</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,586,638	2,475,776	2,708,312	2,582,036	2,653,629	2,810,513
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	183,551	170,156	178,587	175,837	173,460	174,877
Disaster Assistance	0	20,811	76,000	64,702	(400)	0
Homeland Security and Emergency Services, Division of	30,067	27,006	21,295	21,007	12,037	10,588
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	21,953	21,669	22,398	22,654	23,007	23,376
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	463,968	432,573	554,450	571,035	572,633	585,637
<b>Functional Total</b>	<b>3,293,540</b>	<b>3,155,221</b>	<b>3,569,766</b>	<b>3,457,670</b>	<b>3,455,048</b>	<b>3,626,945</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	789,025	910,001	903,933	985,349	1,014,412	1,025,891
State University of New York	1,710,963	1,627,533	1,356,218	678,106	678,106	678,106

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<b>3,682,801</b>	<b>3,739,944</b>	<b>3,479,335</b>	<b>3,005,822</b>	<b>3,097,646</b>	<b>3,177,269</b>
<b>EDUCATION</b>						
Arts, Council on the	45,173	33,659	39,955	35,957	36,003	36,053
Education, Department of	20,206,427	18,507,638	19,006,748	19,866,498	20,748,081	21,816,086
<i>School Aid</i>	18,705,305	16,777,944	17,003,331	17,831,835	18,629,727	19,585,362
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<i>All Other</i>	576,904	553,704	645,781	579,047	572,138	573,308
<b>Functional Total</b>	<b>20,251,600</b>	<b>18,541,297</b>	<b>19,046,703</b>	<b>19,902,455</b>	<b>20,784,084</b>	<b>21,852,139</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,928	20,635	22,112	22,741	23,647	24,603
Civil Service, Department of	16,392	13,755	13,496	13,889	15,035	15,428
Deferred Compensation Board	113	46	53	57	56	57
Elections, State Board of	6,096	5,566	7,570	5,175	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,632	2,806	2,728	2,811
General Services, Office of	119,460	109,503	148,627	152,622	134,518	136,325
Inspector General, Office of the	5,633	5,392	5,828	6,696	6,949	7,175
Labor Management Committees	32,243	23,192	27,638	32,737	34,944	45,960
Public Employment Relations Board	3,660	3,309	3,340	3,838	3,761	3,853
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	36,483	23,909	30,912	19,187	19,706	19,969
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	366,317	314,780	324,461	325,015	335,037	341,752
Technology, Office for	22,902	19,129	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	14,069	12,884	14,106	13,442	13,275	13,503
<b>Functional Total</b>	<b>657,877</b>	<b>560,854</b>	<b>629,570</b>	<b>629,389</b>	<b>656,923</b>	<b>648,631</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	167,190	152,602	155,642	157,172	160,521	164,148
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	2,339,911	2,306,525	2,312,000	2,444,446	2,569,206	2,696,602
Law, Department of	110,613	98,360	98,914	96,220	98,947	101,937
Legislature	221,740	196,024	217,845	220,399	222,995	225,633
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>2,852,638</b>	<b>2,767,175</b>	<b>2,798,593</b>	<b>2,933,227</b>	<b>3,067,222</b>	<b>3,204,641</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<b>775,330</b>	<b>753,792</b>	<b>763,222</b>	<b>771,718</b>	<b>782,827</b>	<b>791,674</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,432,021	3,941,782	3,848,192	4,208,544	4,491,920	4,704,503
Long-Term Debt Service	0	551	0	0	0	0
Miscellaneous	(37,343)	(55,015)	320,136	331,358	325,973	497,245
<b>Functional Total</b>	<b>3,394,678</b>	<b>3,887,318</b>	<b>4,168,328</b>	<b>4,539,902</b>	<b>4,817,893</b>	<b>5,201,748</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>49,366,170</b>	<b>50,632,995</b>	<b>52,388,837</b>	<b>53,791,507</b>	<b>55,832,353</b>	<b>58,743,300</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	15,014	24,603	22,339	22,297	24,697	23,097
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	25,790	51,314	65,998	47,409	54,772	54,772
Empire State Development Corporation	35,741	76,487	84,088	71,280	71,172	68,149
Financial Services, Department of	11,145	95	0	0	0	0
<b>Functional Total</b>	<b>87,700</b>	<b>152,499</b>	<b>172,495</b>	<b>140,986</b>	<b>150,641</b>	<b>146,018</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,425	4,760	6,325	4,042	4,042	4,042
Parks, Recreation and Historic Preservation, Office of	11,025	6,656	11,262	2,750	2,750	2,750
<b>Functional Total</b>	<b>13,450</b>	<b>11,416</b>	<b>17,587</b>	<b>6,792</b>	<b>6,792</b>	<b>6,792</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	97,038	98,110	98,303	97,551	97,551	97,551
<b>Functional Total</b>	<b>97,038</b>	<b>98,110</b>	<b>98,303</b>	<b>97,551</b>	<b>97,551</b>	<b>97,551</b>
<b>HEALTH</b>						
Aging, Office for the	117,034	111,616	115,697	115,314	121,881	128,689
Health, Department of	8,126,483	10,950,494	11,472,275	11,979,412	12,336,769	13,115,753
<i>Medical Assistance</i>	6,940,238	9,767,471	10,239,618	10,791,141	11,173,361	11,985,881
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	647,875	649,730	663,907	636,021	660,658	686,622
<b>Functional Total</b>	<b>8,243,517</b>	<b>11,062,110</b>	<b>11,587,972</b>	<b>12,094,726</b>	<b>12,458,650</b>	<b>13,244,442</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,663,523	1,599,975	1,542,261	1,714,281	1,940,232	2,020,200
<i>OCFS</i>	1,594,168	1,486,807	1,454,767	1,624,472	1,846,671	1,922,562
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	43,306	44,110	49,117	41,117	41,117	41,117
Labor, Department of	11,114	3,512	4,837	2,725	0	0
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,196,199	1,404,515	1,508,766	1,399,769	1,298,118	1,326,231
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<i>All Other</i>	152,334	137,649	103,493	103,096	103,096	104,496
<b>Functional Total</b>	<b>2,914,808</b>	<b>3,052,691</b>	<b>3,106,016</b>	<b>3,158,927</b>	<b>3,280,502</b>	<b>3,388,583</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	142,096	39,742	37,739	26,053	26,053	26,053
<i>OASAS</i>	93,007	7,591	5,059	4,728	4,728	4,728
<i>OASAS - Other</i>	49,089	32,151	32,680	21,325	21,325	21,325
Mental Health, Office of	539,153	427,333	382,981	388,250	415,694	460,750
<i>OMH</i>	115,741	19,185	332	0	0	0
<i>OMH - Other</i>	423,412	408,148	382,649	388,250	415,694	460,750
People with Developmental Disabilities, Office for	1,557,858	1,594,653	1,524,553	1,581,961	1,658,192	1,774,224
<i>OPWDD</i>	119,052	10,256	950	0	0	0
<i>OPWDD - Other</i>	1,438,806	1,584,397	1,523,603	1,581,961	1,658,192	1,774,224
Quality of Care and Advocacy for Persons With Disabilities, Commission on	229	170	170	170	170	170
<b>Functional Total</b>	<b>2,239,336</b>	<b>2,061,898</b>	<b>1,945,443</b>	<b>1,996,434</b>	<b>2,100,109</b>	<b>2,261,197</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	10,386	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	127,375	119,311	126,756	122,046	118,856	118,856
Disaster Assistance	0	0	77,000	86,126	(400)	0
Homeland Security and Emergency Services, Division of	17,552	19,575	14,088	13,800	5,963	4,222
Military and Naval Affairs, Division of	725	761	867	850	850	850
<b>Functional Total</b>	<b>156,038</b>	<b>145,241</b>	<b>224,762</b>	<b>240,322</b>	<b>142,769</b>	<b>142,428</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	791,507	907,514	903,933	985,349	1,014,412	1,025,891
State University of New York	472,818	481,591	462,404	468,051	468,051	468,051
<b>Functional Total</b>	<b>2,447,138</b>	<b>2,591,515</b>	<b>2,585,521</b>	<b>2,795,767</b>	<b>2,887,591</b>	<b>2,967,214</b>
<b>EDUCATION</b>						
Arts, Council on the	40,479	29,571	35,835	31,835	31,835	31,835
Education, Department of	20,164,456	18,462,640	18,964,414	19,822,619	20,703,870	21,771,490
<i>School Aid</i>	18,705,305	16,777,944	17,003,331	17,831,835	18,629,727	19,585,362
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<i>All Other</i>	534,933	508,706	603,447	535,168	527,927	528,712

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Functional Total</b>	<u>20,204,935</u>	<u>18,492,211</u>	<u>19,000,249</u>	<u>19,854,454</u>	<u>20,735,705</u>	<u>21,803,325</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	582	415	2,700	0	30,000	0
General Services, Office of	28	0	19	0	0	0
State, Department of	19,682	9,417	15,579	3,338	3,338	3,338
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	884	171	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,517	7,577	7,637
<b>Functional Total</b>	<u>34,490</u>	<u>24,062</u>	<u>27,341</u>	<u>11,781</u>	<u>41,841</u>	<u>11,901</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	4,884	2,502	2,500	17,500	17,500	17,500
<b>Functional Total</b>	<u>36,482</u>	<u>34,507</u>	<u>34,524</u>	<u>49,524</u>	<u>49,524</u>	<u>49,524</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<u>775,330</u>	<u>753,792</u>	<u>763,222</u>	<u>771,718</u>	<u>782,827</u>	<u>791,674</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(44,723)	(60,566)	252,452	433,674	347,288	447,559
<b>Functional Total</b>	<u>(44,723)</u>	<u>(60,566)</u>	<u>252,452</u>	<u>433,674</u>	<u>347,288</u>	<u>447,559</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>37,205,539</u></u>	<u><u>38,419,486</u></u>	<u><u>39,815,887</u></u>	<u><u>41,652,656</u></u>	<u><u>43,081,790</u></u>	<u><u>45,358,208</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	27,737	26,108	30,195	32,038	33,437	33,883
Economic Development, Department of	19,828	33,107	20,701	21,442	21,280	21,535
Financial Services, Department of	138	0	0	0	0	0
Olympic Regional Development Authority	3,471	3,543	2,929	3,056	3,138	3,138
<b>Functional Total</b>	<b>51,174</b>	<b>62,758</b>	<b>53,825</b>	<b>56,536</b>	<b>57,855</b>	<b>58,556</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	103,570	110,287	90,656	93,708	95,274	96,617
Parks, Recreation and Historic Preservation, Office of	120,965	121,640	108,744	108,087	109,435	110,972
<b>Functional Total</b>	<b>229,172</b>	<b>236,226</b>	<b>203,546</b>	<b>205,970</b>	<b>208,960</b>	<b>211,926</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	1,854	1,022	2,655	2,655	2,655	2,655
<b>Functional Total</b>	<b>1,854</b>	<b>1,022</b>	<b>2,655</b>	<b>2,655</b>	<b>2,655</b>	<b>2,655</b>
<b>HEALTH</b>						
Aging, Office for the	1,676	2,137	1,736	1,736	1,736	1,774
Health, Department of	189,601	167,866	208,290	242,841	262,911	282,504
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	166,354	152,644	156,935	186,486	207,056	226,649
Medicaid Inspector General, Office of the	24,095	21,548	21,089	21,077	21,463	21,499
<b>Functional Total</b>	<b>215,372</b>	<b>191,551</b>	<b>231,115</b>	<b>265,654</b>	<b>286,110</b>	<b>305,777</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	265,274	224,189	283,953	284,922	268,851	265,555
<i>OCFS</i>	265,274	224,189	283,953	284,922	268,851	265,555
Housing and Community Renewal, Division of	19,413	16,095	15,047	15,038	15,352	15,837
Human Rights, Division of	14,165	12,000	11,197	11,376	11,687	12,032
Labor, Department of	402	0	0	0	0	0
National and Community Service	381	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,262	1,256	1,407	1,608	1,560	1,605
Temporary and Disability Assistance, Office of	58,606	39,359	196,265	204,958	216,123	214,293
<i>All Other</i>	58,606	39,359	196,265	204,958	216,123	214,293
Welfare Inspector General, Office of	326	287	293	307	318	325
<b>Functional Total</b>	<b>359,829</b>	<b>293,480</b>	<b>508,413</b>	<b>518,542</b>	<b>514,228</b>	<b>509,984</b>
<b>MENTAL HYGIENE</b>						
Mental Health, Office of	251	108	800	800	800	800
<i>OMH</i>	251	108	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,205	3,525	5,150	5,751	5,857	5,972
<b>Functional Total</b>	<b>4,456</b>	<b>3,633</b>	<b>5,950</b>	<b>6,551</b>	<b>6,657</b>	<b>6,772</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,574,252	2,470,182	2,702,261	2,576,036	2,647,629	2,804,513
Criminal Justice Services, Division of	56,176	50,845	51,831	53,791	54,604	56,021
Disaster Assistance	0	20,811	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	12,515	7,431	7,207	7,207	6,074	6,366
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	21,228	20,908	21,531	21,804	22,157	22,526
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	463,968	432,573	554,450	571,035	572,633	585,637
<b>Functional Total</b>	<b>3,135,502</b>	<b>3,009,980</b>	<b>3,345,004</b>	<b>3,217,348</b>	<b>3,312,279</b>	<b>3,484,517</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	(2,482)	2,487	0	0	0	0
State University of New York	1,029,227	942,702	683,759	0	0	0
<b>Functional Total</b>	<b>1,026,745</b>	<b>945,189</b>	<b>683,759</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	4,694	4,088	4,120	4,122	4,168	4,218
Education, Department of	40,446	43,412	42,334	43,879	44,211	44,596
<i>All Other</i>	40,446	43,412	42,334	43,879	44,211	44,596
<b>Functional Total</b>	<b>45,140</b>	<b>47,500</b>	<b>46,454</b>	<b>48,001</b>	<b>48,379</b>	<b>48,814</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,928	20,635	22,112	22,741	23,647	24,603
Civil Service, Department of	16,392	13,755	13,496	13,889	15,035	15,428
Deferred Compensation Board	113	46	53	57	56	57
Elections, State Board of	5,514	5,151	4,870	5,175	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,632	2,806	2,728	2,811
General Services, Office of	119,432	109,503	148,608	152,622	134,518	136,325
Inspector General, Office of the	5,633	5,392	5,828	6,696	6,949	7,175

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Labor Management Committees	32,243	23,192	27,638	32,737	34,944	45,960
Public Employment Relations Board	3,660	3,309	3,340	3,838	3,761	3,853
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	16,801	14,492	15,333	15,849	16,368	16,631
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	361,047	308,293	323,535	324,089	334,111	340,826
Technology, Office for	22,018	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,025	5,312	5,989	5,925	5,698	5,866
<b>Functional Total</b>	<b>623,387</b>	<b>536,792</b>	<b>602,229</b>	<b>617,608</b>	<b>615,082</b>	<b>636,730</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	135,592	120,597	123,618	125,148	128,497	132,124
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	1,792,790	1,731,513	1,749,600	1,807,614	1,892,952	1,986,894
Law, Department of	110,613	98,360	98,914	96,220	98,947	101,937
Legislature	221,740	196,024	217,845	220,399	222,995	225,633
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>2,273,919</b>	<b>2,160,158</b>	<b>2,204,169</b>	<b>2,264,371</b>	<b>2,358,944</b>	<b>2,462,909</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	0	551	0	0	0	0
Miscellaneous	1,549	1,447	63,264	(106,736)	(25,735)	45,266
<b>Functional Total</b>	<b>7,110</b>	<b>5,674</b>	<b>63,264</b>	<b>(106,736)</b>	<b>(25,735)</b>	<b>45,266</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>7,973,660</b>	<b>7,493,963</b>	<b>7,950,383</b>	<b>7,096,500</b>	<b>7,385,414</b>	<b>7,773,906</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	21,529	18,509	24,060	25,205	26,454	27,021
Economic Development, Department of	13,863	11,354	12,526	13,749	13,321	13,576
Financial Services, Department of	138	0	0	0	0	0
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
<b>Functional Total</b>	<b>38,420</b>	<b>32,712</b>	<b>39,071</b>	<b>41,476</b>	<b>42,323</b>	<b>43,145</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	88,184	96,204	80,702	83,754	85,320	86,663
Parks, Recreation and Historic Preservation, Office of	109,167	110,931	100,742	100,300	101,648	103,185
<b>Functional Total</b>	<b>201,585</b>	<b>210,914</b>	<b>185,235</b>	<b>187,874</b>	<b>190,864</b>	<b>193,830</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	0	0	500	500	500	500
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>500</b>	<b>500</b>	<b>500</b>	<b>500</b>
<b>HEALTH</b>						
Aging, Office for the	1,641	1,931	1,555	1,555	1,555	1,586
Health, Department of	61,022	62,393	56,784	71,835	85,405	104,998
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	60,522	60,955	56,284	71,335	84,905	104,498
Medicaid Inspector General, Office of the	16,930	16,030	14,673	14,331	14,367	14,403
<b>Functional Total</b>	<b>79,593</b>	<b>80,354</b>	<b>73,012</b>	<b>87,721</b>	<b>101,327</b>	<b>120,987</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	163,689	143,835	182,960	176,441	160,048	158,198
<i>OCFS</i>	163,689	143,835	182,960	176,441	160,048	158,198
Housing and Community Renewal, Division of	11,572	8,692	9,479	9,464	9,762	10,182
Human Rights, Division of	12,932	10,679	10,283	10,345	10,625	10,940
Labor, Department of	309	0	0	0	0	0
National and Community Service	304	292	210	325	328	328
Prevention of Domestic Violence, Office for	1,098	1,040	1,260	1,388	1,443	1,477
Temporary and Disability Assistance, Office of	14,094	(3,913)	77,688	85,979	90,656	93,056
<i>All Other</i>	14,094	(3,913)	77,688	85,979	90,656	93,056
Welfare Inspector General, Office of	326	287	293	307	318	325
<b>Functional Total</b>	<b>204,324</b>	<b>160,912</b>	<b>282,173</b>	<b>284,249</b>	<b>273,180</b>	<b>274,506</b>
<b>MENTAL HYGIENE</b>						
Mental Health, Office of	167	0	0	0	0	0
<i>OMH</i>	167	0	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	3,228	2,650	4,183	4,728	4,811	4,901
<b>Functional Total</b>	<b>3,395</b>	<b>2,650</b>	<b>4,183</b>	<b>4,728</b>	<b>4,811</b>	<b>4,901</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,043,633	1,959,956	2,231,446	2,085,665	2,136,783	2,272,227
Criminal Justice Services, Division of	34,492	32,650	32,040	34,127	34,974	35,930
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,197	6,637	7,207	7,207	6,074	6,366
Judicial Commissions	3,723	3,794	4,093	4,135	4,237	4,357
Military and Naval Affairs, Division of	17,690	15,798	15,744	15,872	16,077	16,294
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	421,015	400,214	514,900	514,378	520,644	528,648
<b>Functional Total</b>	<b>2,528,832</b>	<b>2,430,624</b>	<b>2,808,428</b>	<b>2,664,398</b>	<b>2,721,870</b>	<b>2,866,970</b>
<b>HIGHER EDUCATION</b>						
State University of New York	760,404	703,450	548,343	0	0	0
<b>Functional Total</b>	<b>760,404</b>	<b>703,450</b>	<b>548,343</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	24,420	24,983	24,498	24,543	24,875	25,260
<i>All Other</i>	24,420	24,983	24,498	24,543	24,875	25,260
<b>Functional Total</b>	<b>27,518</b>	<b>27,249</b>	<b>26,796</b>	<b>26,843</b>	<b>27,221</b>	<b>27,656</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,633	19,252	19,881	21,325	22,203	23,130
Civil Service, Department of	15,599	12,935	12,818	13,198	14,357	14,745
Deferred Compensation Board	30	30	29	32	30	31
Elections, State Board of	4,205	4,065	4,065	4,250	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,724	2,646	2,728

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
General Services, Office of	52,715	45,756	48,560	55,938	56,567	56,975
Inspector General, Office of the	5,519	5,067	5,388	6,195	6,367	6,551
Labor Management Committees	9,872	8,359	4,600	5,537	6,119	7,129
Public Employment Relations Board	3,211	2,907	2,938	3,336	3,046	3,138
Public Integrity, Commission on	3,017	2,492	2,850	3,409	3,501	3,599
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	12,295	10,688	12,721	13,091	13,468	13,731
Tax Appeals, Division of	2,776	2,483	2,900	2,962	3,034	3,088
Taxation and Finance, Department of	296,271	249,825	260,866	259,558	267,933	274,648
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	5,570	4,868	5,050	5,481	5,259	5,416
<b>Functional Total</b>	<b>447,367</b>	<b>381,792</b>	<b>398,803</b>	<b>410,631</b>	<b>422,807</b>	<b>433,650</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	107,384	93,084	97,964	98,828	101,494	104,421
Executive Chamber	10,963	10,210	10,900	11,600	12,045	12,730
Judiciary	1,467,042	1,408,958	1,410,600	1,456,134	1,503,142	1,559,092
Law, Department of	96,314	83,740	83,937	83,944	86,364	89,040
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	480	515	543
<b>Functional Total</b>	<b>1,856,080</b>	<b>1,748,163</b>	<b>1,769,165</b>	<b>1,817,510</b>	<b>1,871,333</b>	<b>1,934,857</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	25	51	25,052	(24,948)	(9,947)	45,054
<b>Functional Total</b>	<b>3,860</b>	<b>2,142</b>	<b>25,052</b>	<b>(24,948)</b>	<b>(9,947)</b>	<b>45,054</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>6,151,378</b>	<b>5,780,962</b>	<b>6,160,761</b>	<b>5,500,982</b>	<b>5,646,289</b>	<b>5,946,056</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	6,208	7,599	6,135	6,833	6,983	6,862
Economic Development, Department of	5,965	21,753	8,175	7,693	7,959	7,959
Olympic Regional Development Authority	581	694	444	534	590	590
<b>Functional Total</b>	<b>12,754</b>	<b>30,046</b>	<b>14,754</b>	<b>15,060</b>	<b>15,532</b>	<b>15,411</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	403	520	355	355	355	355
Environmental Conservation, Department of	15,386	14,083	9,954	9,954	9,954	9,954
Parks, Recreation and Historic Preservation, Office of	11,798	10,709	8,002	7,787	7,787	7,787
<b>Functional Total</b>	<b>27,587</b>	<b>25,312</b>	<b>18,311</b>	<b>18,096</b>	<b>18,096</b>	<b>18,096</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	1,854	1,022	2,155	2,155	2,155	2,155
<b>Functional Total</b>	<b>1,854</b>	<b>1,022</b>	<b>2,155</b>	<b>2,155</b>	<b>2,155</b>	<b>2,155</b>
<b>HEALTH</b>						
Aging, Office for the	35	206	181	181	181	188
Health, Department of	128,579	105,473	151,506	171,006	177,506	177,506
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	105,832	91,689	100,651	115,151	122,151	122,151
Medicaid Inspector General, Office of the	7,165	5,518	6,416	6,746	7,096	7,096
<b>Functional Total</b>	<b>135,779</b>	<b>111,197</b>	<b>158,103</b>	<b>177,933</b>	<b>184,783</b>	<b>184,790</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	101,585	80,354	100,993	108,481	108,803	107,357
<i>OCFS</i>	101,585	80,354	100,993	108,481	108,803	107,357
Housing and Community Renewal, Division of	7,841	7,403	5,568	5,574	5,590	5,655
Human Rights, Division of	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	93	0	0	0	0	0
National and Community Service	77	2	41	8	9	9
Prevention of Domestic Violence, Office for	164	216	147	220	117	128
Temporary and Disability Assistance, Office of	44,512	43,272	118,577	118,979	125,467	121,237
<i>All Other</i>	44,512	43,272	118,577	118,979	125,467	121,237
<b>Functional Total</b>	<b>155,505</b>	<b>132,568</b>	<b>226,240</b>	<b>234,293</b>	<b>241,048</b>	<b>235,478</b>
<b>MENTAL HYGIENE</b>						
Mental Health, Office of	84	108	800	800	800	800
<i>OMH</i>	84	108	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	977	875	967	1,023	1,046	1,071
<b>Functional Total</b>	<b>1,061</b>	<b>983</b>	<b>1,767</b>	<b>1,823</b>	<b>1,846</b>	<b>1,871</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	530,619	510,226	470,815	490,371	510,846	532,286
Criminal Justice Services, Division of	21,684	18,195	19,791	19,664	19,630	20,091
Disaster Assistance	0	11,126	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	6,318	794	0	0	0	0
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	3,538	5,110	5,787	5,932	6,080	6,232
State Police, Division of	42,953	32,359	39,550	56,657	51,989	56,989
<b>Functional Total</b>	<b>606,670</b>	<b>579,356</b>	<b>536,576</b>	<b>552,950</b>	<b>590,409</b>	<b>617,547</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	(2,482)	2,487	0	0	0	0
State University of New York	268,823	239,252	135,416	0	0	0
<b>Functional Total</b>	<b>266,341</b>	<b>241,739</b>	<b>135,416</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	16,026	18,429	17,836	19,336	19,336	19,336
<i>All Other</i>	16,026	18,429	17,836	19,336	19,336	19,336
<b>Functional Total</b>	<b>17,622</b>	<b>20,251</b>	<b>19,658</b>	<b>21,158</b>	<b>21,158</b>	<b>21,158</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	2,295	1,383	2,231	1,416	1,444	1,473
Civil Service, Department of	793	820	678	691	678	683
Deferred Compensation Board	83	16	24	25	26	26
Elections, State Board of	1,309	1,086	805	925	946	967
Employee Relations, Office of	91	75	81	82	82	83
General Services, Office of	66,717	63,747	100,048	96,684	77,951	79,350
Inspector General, Office of the	114	325	440	501	582	624
Labor Management Committees	22,371	14,833	23,038	27,200	28,825	38,831

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Public Employment Relations Board	449	402	402	502	715	715
Public Integrity, Commission on	777	725	850	3,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	4,506	3,804	2,612	2,758	2,900	2,900
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	64,776	58,468	62,669	64,531	66,178	66,178
Technology, Office for	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	455	444	939	444	439	450
<b>Functional Total</b>	<b>176,020</b>	<b>155,000</b>	<b>203,426</b>	<b>206,977</b>	<b>192,275</b>	<b>203,080</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	28,208	27,513	25,654	26,320	27,003	27,703
Executive Chamber	1,917	3,046	2,678	2,776	2,843	2,911
Judiciary	325,748	322,555	339,000	351,480	389,810	427,802
Law, Department of	14,299	14,620	14,977	12,276	12,583	12,897
Legislature	47,644	44,142	52,561	53,875	55,222	56,602
Lieutenant Governor, Office of the	23	119	134	134	150	137
<b>Functional Total</b>	<b>417,839</b>	<b>411,995</b>	<b>435,004</b>	<b>446,861</b>	<b>487,611</b>	<b>528,052</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	0	551	0	0	0	0
Miscellaneous	1,524	1,396	38,212	(81,788)	(15,788)	212
<b>Functional Total</b>	<b>3,250</b>	<b>3,532</b>	<b>38,212</b>	<b>(81,788)</b>	<b>(15,788)</b>	<b>212</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>1,822,282</b>	<b>1,713,001</b>	<b>1,789,622</b>	<b>1,595,518</b>	<b>1,739,125</b>	<b>1,827,850</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	2,000	0	0	0	0	0
<b>Functional Total</b>	<u>2,000</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>HIGHER EDUCATION</b>						
State University of New York	208,918	203,240	210,055	210,055	210,055	210,055
<b>Functional Total</b>	<u>208,918</u>	<u>203,240</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>
<b>EDUCATION</b>						
Education, Department of	1,525	1,586	0	0	0	0
<i>All Other</i>	1,525	1,586	0	0	0	0
<b>Functional Total</b>	<u>1,525</u>	<u>1,586</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	542,237	572,510	559,900	619,332	658,754	692,208
<b>Functional Total</b>	<u>542,237</u>	<u>572,510</u>	<u>559,900</u>	<u>619,332</u>	<u>658,754</u>	<u>692,208</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
Miscellaneous	5,831	4,104	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>3,432,291</u>	<u>3,942,210</u>	<u>3,852,612</u>	<u>4,212,964</u>	<u>4,496,340</u>	<u>4,708,923</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>4,186,971</u></u>	<u><u>4,719,546</u></u>	<u><u>4,622,567</u></u>	<u><u>5,042,351</u></u>	<u><u>5,365,149</u></u>	<u><u>5,611,186</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>77,623</b>	<b>78,458</b>	<b>75,089</b>	<b>76,658</b>	<b>81,932</b>	<b>81,348</b>
Local Assistance Grants	15,014	24,603	22,339	22,297	24,697	23,097
State Operations	57,860	52,515	51,187	52,812	55,624	56,522
Personal Service	31,416	27,412	27,500	28,449	29,774	30,436
Non-Personal Service/Indirect Costs	26,444	25,103	23,687	24,363	25,850	26,086
General State Charges	4,749	1,340	1,563	1,549	1,611	1,729
<b>Alcoholic Beverage Control, Division of</b>	<b>16,706</b>	<b>16,616</b>	<b>16,960</b>	<b>18,175</b>	<b>18,742</b>	<b>19,188</b>
State Operations	12,581	13,044	13,070	13,629	14,057	14,256
Personal Service	8,512	7,720	7,527	8,195	8,308	8,370
Non-Personal Service/Indirect Costs	4,069	5,324	5,543	5,434	5,749	5,886
General State Charges	4,125	3,572	3,890	4,546	4,685	4,932
<b>Development Authority of the North Country</b>	<b>10</b>	<b>0</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	10	0	70	0	0	0
<b>Economic Development, Department of</b>	<b>46,255</b>	<b>103,309</b>	<b>106,943</b>	<b>89,649</b>	<b>78,030</b>	<b>78,285</b>
Local Assistance Grants	25,790	69,580	84,264	66,229	54,772	54,772
State Operations	20,444	33,729	22,651	23,392	23,230	23,485
Personal Service	13,906	11,354	12,629	13,852	13,424	13,679
Non-Personal Service/Indirect Costs	6,538	22,375	10,022	9,540	9,806	9,806
General State Charges	21	0	28	28	28	28
<b>Empire State Development Corporation</b>	<b>35,741</b>	<b>76,487</b>	<b>84,088</b>	<b>71,280</b>	<b>71,172</b>	<b>68,149</b>
Local Assistance Grants	35,741	76,487	84,088	71,280	71,172	68,149
<b>Energy Research and Development Authority</b>	<b>15,307</b>	<b>16,938</b>	<b>16,158</b>	<b>16,158</b>	<b>16,477</b>	<b>16,808</b>
Local Assistance Grants	9,157	8,140	9,234	9,234	9,418	9,607
State Operations	4,744	6,456	5,286	5,286	5,389	5,497
Personal Service	2,894	4,776	3,432	3,432	3,500	3,570
Non-Personal Service/Indirect Costs	1,850	1,680	1,854	1,854	1,889	1,927
General State Charges	1,406	2,342	1,638	1,638	1,670	1,704
<b>Financial Services, Department of</b>	<b>507,291</b>	<b>506,105</b>	<b>486,283</b>	<b>513,675</b>	<b>518,571</b>	<b>526,626</b>
Local Assistance Grants	228,152	217,470	216,952	216,952	216,952	216,952
State Operations	212,310	210,028	202,401	210,553	212,795	215,395
Personal Service	152,126	142,332	144,757	152,595	154,769	157,291
Non-Personal Service/Indirect Costs	60,184	67,696	57,644	57,958	58,026	58,104
General State Charges	66,829	78,607	66,930	86,170	88,824	94,279
<b>Olympic Regional Development Authority</b>	<b>3,534</b>	<b>3,596</b>	<b>3,079</b>	<b>3,206</b>	<b>3,288</b>	<b>3,288</b>
State Operations	3,534	3,596	3,079	3,206	3,288	3,288
Personal Service	2,890	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	644	747	594	684	740	740
<b>Public Service Department</b>	<b>73,076</b>	<b>66,124</b>	<b>67,453</b>	<b>73,989</b>	<b>77,125</b>	<b>81,199</b>
Local Assistance Grants	0	0	90	200	200	200
State Operations	52,777	47,386	47,297	50,665	52,499	54,454
Personal Service	41,801	38,749	38,828	41,680	43,309	45,053
Non-Personal Service/Indirect Costs	10,976	8,637	8,469	8,985	9,190	9,401
General State Charges	20,299	18,738	20,066	23,124	24,426	26,545
<b>Racing and Wagering Board, State</b>	<b>21,573</b>	<b>19,553</b>	<b>18,248</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	18,056	17,270	15,194	0	0	0
Personal Service	12,062	10,940	9,059	0	0	0
Non-Personal Service/Indirect Costs	5,994	6,330	6,135	0	0	0
General State Charges	3,517	2,283	3,054	0	0	0
<b>Functional Total</b>	<b>797,116</b>	<b>887,186</b>	<b>874,371</b>	<b>862,790</b>	<b>865,337</b>	<b>874,891</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,637</b>	<b>4,299</b>	<b>4,146</b>	<b>4,175</b>	<b>4,251</b>	<b>4,337</b>
State Operations	4,637	4,299	4,146	4,175	4,251	4,337
Personal Service	4,234	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	403	520	355	355	355	355
<b>Environmental Conservation, Department of</b>	<b>296,195</b>	<b>284,724</b>	<b>278,294</b>	<b>273,919</b>	<b>274,062</b>	<b>278,333</b>
Local Assistance Grants	2,835	4,760	6,325	4,042	4,042	4,042
State Operations	255,522	245,162	235,215	231,807	232,772	235,599
Personal Service	186,181	188,153	173,639	173,218	176,893	179,581
Non-Personal Service/Indirect Costs	69,341	57,009	61,576	58,589	55,879	56,018
General State Charges	37,838	34,802	36,754	38,070	37,248	38,692
<b>Environmental Facilities Corporation</b>	<b>9,390</b>	<b>8,893</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	7,122	6,504	0	0	0	0
Personal Service	6,060	5,454	0	0	0	0
Non-Personal Service/Indirect Costs	1,062	1,050	0	0	0	0
General State Charges	2,268	2,389	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>214,344</b>	<b>201,969</b>	<b>199,739</b>	<b>191,366</b>	<b>193,922</b>	<b>196,002</b>
Local Assistance Grants	16,124	12,019	16,112	7,600	7,600	7,600
State Operations	177,022	183,216	174,936	174,936	177,429	179,464
Personal Service	135,297	138,928	128,763	129,318	131,176	133,211
Non-Personal Service/Indirect Costs	41,725	44,288	46,173	45,618	46,253	46,253
General State Charges	2,627	655	3,691	3,830	3,893	3,938
Capital Projects	18,571	6,079	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>524,566</b>	<b>499,885</b>	<b>482,179</b>	<b>469,460</b>	<b>472,235</b>	<b>478,672</b>
<b>TRANSPORTATION</b>						
<b>Motor Vehicles, Department of</b>	<b>95,962</b>	<b>92,162</b>	<b>93,626</b>	<b>99,695</b>	<b>102,365</b>	<b>108,014</b>
State Operations	68,522	67,400	69,091	71,593	73,154	76,063
Personal Service	51,578	48,313	49,130	50,650	51,790	54,228
Non-Personal Service/Indirect Costs	16,944	19,087	19,961	20,943	21,364	21,835
General State Charges	27,440	24,762	24,535	28,102	29,211	31,951
<b>Transportation, Department of</b>	<b>4,287,685</b>	<b>4,276,720</b>	<b>4,408,710</b>	<b>4,588,524</b>	<b>4,666,768</b>	<b>4,763,004</b>
Local Assistance Grants	4,253,828	4,229,733	4,377,939	4,556,412	4,634,412	4,729,612
State Operations	33,454	35,857	25,749	26,447	26,402	27,019
Personal Service	10,853	9,818	10,397	10,751	10,999	11,275
Non-Personal Service/Indirect Costs	22,601	26,039	15,352	15,696	15,403	15,744
General State Charges	403	10,781	5,022	5,665	5,954	6,373
Capital Projects	0	349	0	0	0	0
<b>Functional Total</b>	<b>4,383,647</b>	<b>4,368,882</b>	<b>4,502,336</b>	<b>4,688,219</b>	<b>4,769,133</b>	<b>4,871,018</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>118,718</b>	<b>113,753</b>	<b>117,434</b>	<b>117,051</b>	<b>123,618</b>	<b>130,464</b>
Local Assistance Grants	117,041	111,616	115,697	115,314	121,881	128,689
State Operations	1,677	2,137	1,737	1,737	1,737	1,775
Personal Service	1,641	1,931	1,555	1,555	1,555	1,586
Non-Personal Service/Indirect Costs	36	206	182	182	182	189
<b>Health, Department of</b>	<b>14,418,217</b>	<b>17,876,268</b>	<b>18,412,632</b>	<b>19,251,721</b>	<b>19,923,957</b>	<b>20,566,405</b>
<b>Medical Assistance</b>	<b>11,400,560</b>	<b>14,778,525</b>	<b>15,342,913</b>	<b>16,017,013</b>	<b>16,601,713</b>	<b>17,507,579</b>
Local Assistance Grants	11,377,313	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
State Operations	23,247	15,222	51,355	56,355	55,855	55,855
Personal Service	500	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	22,747	13,784	50,855	55,855	55,355	55,355
<b>Medicaid Administration</b>	<b>538,370</b>	<b>533,293</b>	<b>568,750</b>	<b>552,250</b>	<b>502,750</b>	<b>443,250</b>
Local Assistance Grants	538,370	533,293	568,750	552,250	502,750	443,250
<b>Public Health</b>	<b>2,479,287</b>	<b>2,564,450</b>	<b>2,500,969</b>	<b>2,682,458</b>	<b>2,819,494</b>	<b>2,615,576</b>
Local Assistance Grants	1,897,616	1,992,440	1,921,756	2,056,326	2,164,812	1,936,634
State Operations	548,077	540,034	544,634	588,676	615,979	637,952
Personal Service	289,360	266,560	275,144	293,615	311,384	333,436
Non-Personal Service/Indirect Costs	258,717	273,474	269,490	295,061	304,595	304,516
General State Charges	33,594	31,976	34,579	37,456	38,703	40,990
<b>Medicaid Inspector General, Office of the</b>	<b>28,084</b>	<b>25,284</b>	<b>24,789</b>	<b>24,777</b>	<b>25,163</b>	<b>25,199</b>
State Operations	27,990	25,258	24,789	24,777	25,163	25,199
Personal Service	20,818	19,738	18,373	18,031	18,067	18,103
Non-Personal Service/Indirect Costs	7,172	5,520	6,416	6,746	7,096	7,096
General State Charges	94	26	0	0	0	0
<b>Stem Cell and Innovation</b>	<b>37,289</b>	<b>43,702</b>	<b>43,500</b>	<b>51,000</b>	<b>61,373</b>	<b>62,673</b>
State Operations	36,971	43,470	43,500	51,000	61,373	62,673
Personal Service	534	441	468	472	472	472
Non-Personal Service/Indirect Costs	36,437	43,029	43,032	50,528	60,901	62,201
General State Charges	318	232	0	0	0	0
<b>Functional Total</b>	<b>14,602,308</b>	<b>18,059,007</b>	<b>18,598,355</b>	<b>19,444,549</b>	<b>20,134,111</b>	<b>20,784,741</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,991,084</b>	<b>1,898,337</b>	<b>1,861,376</b>	<b>2,034,334</b>	<b>2,245,188</b>	<b>2,321,967</b>
<b>OCFS</b>	<b>1,921,729</b>	<b>1,785,169</b>	<b>1,773,882</b>	<b>1,944,525</b>	<b>2,151,627</b>	<b>2,224,329</b>
Local Assistance Grants	1,598,134	1,490,719	1,455,617	1,625,322	1,847,521	1,923,412
State Operations	321,270	292,769	315,749	316,583	301,454	298,224
Personal Service	192,793	177,205	186,486	179,734	163,438	161,653
Non-Personal Service/Indirect Costs	128,477	115,564	129,263	136,849	138,016	136,571
General State Charges	2,325	1,681	2,516	2,620	2,652	2,693
<b>OCFS - Other</b>	<b>69,355</b>	<b>113,168</b>	<b>87,494</b>	<b>89,809</b>	<b>93,561</b>	<b>97,638</b>
Local Assistance Grants	69,355	113,168	87,494	89,809	93,561	97,638

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b><i>Housing and Community Renewal, Division of</i></b>	<b>121,230</b>	<b>115,024</b>	<b>122,371</b>	<b>118,996</b>	<b>121,111</b>	<b>123,551</b>
Local Assistance Grants	44,018	43,984	49,969	41,969	41,969	41,969
State Operations	61,015	55,910	55,222	57,669	58,644	60,173
Personal Service	46,367	40,611	42,345	44,323	45,161	46,570
Non-Personal Service/Indirect Costs	14,648	15,299	12,877	13,346	13,483	13,603
General State Charges	16,197	15,130	17,180	19,358	20,498	21,409
<b><i>Human Rights, Division of</i></b>	<b>14,165</b>	<b>12,000</b>	<b>11,197</b>	<b>11,376</b>	<b>11,687</b>	<b>12,032</b>
State Operations	14,165	12,000	11,197	11,376	11,687	12,032
Personal Service	12,932	10,679	10,283	10,345	10,625	10,940
Non-Personal Service/Indirect Costs	1,233	1,321	914	1,031	1,062	1,092
<b><i>Labor, Department of</i></b>	<b>71,832</b>	<b>60,962</b>	<b>68,377</b>	<b>72,600</b>	<b>68,176</b>	<b>70,399</b>
Local Assistance Grants	11,237	3,665	4,987	2,875	150	150
State Operations	44,994	42,274	48,081	51,934	49,028	50,121
Personal Service	32,161	29,954	29,625	32,567	33,273	33,967
Non-Personal Service/Indirect Costs	12,833	12,320	18,456	19,367	15,755	16,154
General State Charges	15,601	15,023	15,309	17,791	18,998	20,128
<b><i>National and Community Service</i></b>	<b>381</b>	<b>332</b>	<b>601</b>	<b>683</b>	<b>687</b>	<b>687</b>
Local Assistance Grants	0	38	350	350	350	350
State Operations	381	294	251	333	337	337
Personal Service	304	292	210	325	328	328
Non-Personal Service/Indirect Costs	77	2	41	8	9	9
<b><i>Prevention of Domestic Violence, Office for</i></b>	<b>1,956</b>	<b>1,802</b>	<b>2,098</b>	<b>2,298</b>	<b>2,250</b>	<b>2,295</b>
Local Assistance Grants	666	541	685	685	685	685
State Operations	1,290	1,261	1,413	1,613	1,565	1,610
Personal Service	1,098	1,040	1,260	1,388	1,443	1,477
Non-Personal Service/Indirect Costs	192	221	153	225	122	133
<b><i>Temporary and Disability Assistance, Office of</i></b>	<b>1,370,873</b>	<b>1,564,788</b>	<b>1,709,231</b>	<b>1,604,927</b>	<b>1,514,441</b>	<b>1,540,724</b>
<b><i>Welfare Assistance</i></b>	<b>1,043,865</b>	<b>1,266,866</b>	<b>1,405,273</b>	<b>1,296,673</b>	<b>1,195,022</b>	<b>1,221,735</b>
Local Assistance Grants	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<b><i>All Other</i></b>	<b>327,008</b>	<b>297,922</b>	<b>303,958</b>	<b>308,254</b>	<b>319,419</b>	<b>318,989</b>
Local Assistance Grants	158,474	146,587	107,493	103,096	103,096	104,496
State Operations	167,085	150,451	196,465	205,158	216,323	214,493
Personal Service	62,305	51,870	77,688	85,979	90,656	93,056
Non-Personal Service/Indirect Costs	104,780	98,581	118,777	119,179	125,667	121,437
General State Charges	1,449	884	0	0	0	0
<b><i>Welfare Inspector General, Office of</i></b>	<b>1,150</b>	<b>441</b>	<b>1,407</b>	<b>1,427</b>	<b>1,456</b>	<b>1,468</b>
State Operations	1,094	395	1,186	1,206	1,234	1,244
Personal Service	434	364	701	721	738	748
Non-Personal Service/Indirect Costs	660	31	485	485	496	496
General State Charges	56	46	221	221	222	224
<b><i>Workers' Compensation Board</i></b>	<b>194,002</b>	<b>199,035</b>	<b>196,210</b>	<b>201,791</b>	<b>205,077</b>	<b>212,064</b>
State Operations	150,850	157,884	151,757	150,979	153,019	156,306
Personal Service	89,052	85,890	86,300	91,587	92,301	94,633
Non-Personal Service/Indirect Costs	61,798	71,994	65,457	59,392	60,718	61,673
General State Charges	43,152	41,151	44,453	50,812	52,058	55,758
<b>Functional Total</b>	<b>3,766,673</b>	<b>3,852,721</b>	<b>3,972,868</b>	<b>4,048,432</b>	<b>4,170,073</b>	<b>4,285,187</b>
<b>MENTAL HYGIENE</b>						
<b><i>Alcoholism and Substance Abuse Services, Office of</i></b>	<b>404,678</b>	<b>410,589</b>	<b>417,021</b>	<b>429,787</b>	<b>450,457</b>	<b>471,298</b>
<b><i>OASAS</i></b>	<b>292,990</b>	<b>318,700</b>	<b>328,537</b>	<b>349,427</b>	<b>367,429</b>	<b>384,495</b>
Local Assistance Grants	246,366	274,694	282,009	302,060	318,495	332,574
State Operations	34,454	31,920	32,263	33,372	34,596	36,269
Personal Service	25,103	22,801	24,021	24,854	25,830	27,263
Non-Personal Service/Indirect Costs	9,351	9,119	8,242	8,518	8,766	9,006
General State Charges	12,170	12,086	14,265	13,995	14,338	15,652
<b><i>OASAS - Other</i></b>	<b>111,688</b>	<b>91,889</b>	<b>88,484</b>	<b>80,360</b>	<b>83,028</b>	<b>86,803</b>
Local Assistance Grants	49,089	32,151	32,680	21,325	21,325	21,325
State Operations	46,364	43,751	40,218	41,904	43,454	45,557
Personal Service	34,493	32,119	29,910	31,553	32,794	34,616
Non-Personal Service/Indirect Costs	11,871	11,632	10,308	10,351	10,660	10,941
General State Charges	16,235	15,987	15,586	17,131	18,249	19,921
<b><i>Mental Health, Office of</i></b>	<b>3,121,444</b>	<b>3,027,241</b>	<b>3,071,907</b>	<b>3,238,096</b>	<b>3,473,845</b>	<b>3,780,432</b>
<b><i>OMH</i></b>	<b>1,363,390</b>	<b>1,143,914</b>	<b>1,233,472</b>	<b>1,314,164</b>	<b>1,438,716</b>	<b>1,579,117</b>
Local Assistance Grants	682,773	716,057	711,037	771,436	862,446	974,836
State Operations	482,556	306,563	383,514	380,748	400,585	413,374
Personal Service	405,776	253,135	303,855	300,485	320,678	328,804

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Non-Personal Service/Indirect Costs	76,780	53,428	79,659	80,263	79,907	84,570
General State Charges	198,061	121,294	138,921	161,980	175,685	190,907
<b>OMH - Other</b>	<b>1,758,054</b>	<b>1,883,327</b>	<b>1,838,435</b>	<b>1,923,932</b>	<b>2,035,129</b>	<b>2,201,315</b>
Local Assistance Grants	423,412	408,148	382,649	388,250	415,694	460,750
State Operations	973,298	1,066,389	1,019,651	1,077,195	1,129,203	1,197,045
Personal Service	739,482	827,902	811,717	852,746	885,356	938,262
Non-Personal Service/Indirect Costs	233,816	238,487	207,934	224,449	243,847	258,783
General State Charges	361,344	408,790	436,135	458,487	490,232	543,520
<b>Mental Hygiene, Department of</b>	<b>345</b>	<b>411</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	345	411	0	0	0	0
Non-Personal Service/Indirect Costs	345	411	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>4,297,676</b>	<b>4,331,480</b>	<b>4,274,083</b>	<b>4,482,489</b>	<b>4,656,060</b>	<b>4,944,048</b>
<b>OPWDD</b>	<b>491,009</b>	<b>903,732</b>	<b>435,429</b>	<b>461,181</b>	<b>485,425</b>	<b>505,015</b>
Local Assistance Grants	497,629	692,788	435,253	461,000	485,244	504,834
State Operations	(6,620)	106,952	176	181	181	181
Personal Service	0	72,227	0	0	0	0
Non-Personal Service/Indirect Costs	(6,620)	34,725	176	181	181	181
General State Charges	0	103,992	0	0	0	0
<b>OPWDD - Other</b>	<b>3,806,667</b>	<b>3,427,748</b>	<b>3,838,654</b>	<b>4,021,308</b>	<b>4,170,635</b>	<b>4,439,033</b>
Local Assistance Grants	1,677,965	1,631,638	1,799,409	1,854,132	1,929,880	2,049,611
State Operations	1,548,712	1,378,983	1,481,584	1,539,097	1,576,976	1,663,245
Personal Service	1,168,196	1,052,886	1,119,528	1,165,242	1,191,675	1,267,575
Non-Personal Service/Indirect Costs	380,516	326,097	362,056	373,855	385,301	395,670
General State Charges	579,990	417,127	557,661	628,079	663,779	726,177
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>8,213</b>	<b>7,584</b>	<b>9,505</b>	<b>10,259</b>	<b>10,548</b>	<b>10,849</b>
Local Assistance Grants	623	560	620	620	620	620
State Operations	6,573	5,948	7,698	8,369	8,553	8,748
Personal Service	5,430	4,856	6,480	7,090	7,241	7,404
Non-Personal Service/Indirect Costs	1,143	1,092	1,218	1,279	1,312	1,344
General State Charges	1,017	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<b>7,832,356</b>	<b>7,777,305</b>	<b>7,772,516</b>	<b>8,160,631</b>	<b>8,590,910</b>	<b>9,206,627</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,419</b>	<b>2,251</b>	<b>2,672</b>	<b>2,711</b>	<b>2,787</b>	<b>2,864</b>
State Operations	2,419	2,251	2,672	2,711	2,787	2,864
Personal Service	2,082	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	337	361	274	297	306	316
<b>Correctional Services, Department of</b>	<b>2,587,693</b>	<b>2,477,126</b>	<b>2,709,781</b>	<b>2,583,905</b>	<b>2,655,498</b>	<b>2,812,382</b>
Local Assistance Grants	10,386	5,594	6,051	6,000	6,000	6,000
State Operations	2,575,307	2,471,532	2,703,688	2,577,860	2,649,450	2,806,331
Personal Service	2,043,633	1,959,956	2,231,528	2,085,747	2,136,867	2,272,313
Non-Personal Service/Indirect Costs	531,674	511,576	472,160	492,113	512,583	534,018
General State Charges	2,000	0	42	45	48	51
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>
Local Assistance Grants	0	0	0	11,500	11,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>213,480</b>	<b>215,019</b>	<b>230,926</b>	<b>228,760</b>	<b>225,194</b>	<b>226,628</b>
Local Assistance Grants	150,003	151,076	159,811	155,101	154,911	154,911
State Operations	63,391	63,882	70,920	73,581	70,203	71,632
Personal Service	34,806	32,972	32,419	34,510	35,363	36,326
Non-Personal Service/Indirect Costs	28,585	30,910	38,501	39,071	34,840	35,306
General State Charges	86	61	195	78	80	85
<b>Disaster Assistance</b>	<b>0</b>	<b>20,811</b>	<b>76,000</b>	<b>64,702</b>	<b>(400)</b>	<b>0</b>
Local Assistance Grants	0	0	77,000	86,126	(400)	0
State Operations	0	20,811	(1,000)	(21,424)	0	0
Personal Service	0	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	0	11,126	(1,000)	(21,424)	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>33,298</b>	<b>28,229</b>	<b>87,742</b>	<b>128,622</b>	<b>121,647</b>	<b>120,772</b>
Local Assistance Grants	17,552	19,575	36,717	89,290	87,089	84,973
State Operations	15,550	8,654	50,126	38,368	33,560	34,733
Personal Service	6,531	6,837	16,951	17,032	16,151	16,733
Non-Personal Service/Indirect Costs	9,019	1,817	33,175	21,336	17,409	18,000
General State Charges	196	0	899	964	998	1,066
<b>Indigent Legal Services, Office of</b>	<b>90,793</b>	<b>62,701</b>	<b>62,244</b>	<b>62,999</b>	<b>78,634</b>	<b>78,675</b>
Local Assistance Grants	65,769	62,292	61,100	61,400	77,000	77,000
State Operations	25,024	326	859	1,154	1,170	1,186
Personal Service	8	259	569	802	810	818
Non-Personal Service/Indirect Costs	25,016	67	290	352	360	368
General State Charges	0	83	285	445	464	489

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Judicial Commissions</b>	<b>4,944</b>	<b>4,979</b>	<b>5,452</b>	<b>5,588</b>	<b>5,795</b>	<b>5,990</b>
State Operations	4,944	4,979	5,452	5,588	5,795	5,990
Personal Service	3,723	3,794	4,093	4,135	4,237	4,357
Non-Personal Service/Indirect Costs	1,221	1,185	1,359	1,453	1,558	1,633
<b>Military and Naval Affairs, Division of</b>	<b>32,640</b>	<b>31,747</b>	<b>28,061</b>	<b>28,189</b>	<b>28,543</b>	<b>28,914</b>
Local Assistance Grants	3,659	3,761	867	850	850	850
State Operations	28,398	27,440	26,944	27,089	27,443	27,814
Personal Service	19,260	17,152	16,525	16,655	16,861	17,080
Non-Personal Service/Indirect Costs	9,138	10,288	10,419	10,434	10,582	10,734
General State Charges	583	546	250	250	250	250
<b>Public Security and Emergency Response</b>	<b>0</b>	<b>0</b>	<b>600</b>	<b>600</b>	<b>600</b>	<b>600</b>
State Operations	0	0	600	600	600	600
Personal Service	0	0	600	600	600	600
<b>State Police, Division of</b>	<b>677,826</b>	<b>630,378</b>	<b>662,454</b>	<b>667,679</b>	<b>672,649</b>	<b>687,434</b>
State Operations	657,091	611,384	641,330	645,667	650,010	663,505
Personal Service	582,393	557,865	561,224	560,932	567,737	576,218
Non-Personal Service/Indirect Costs	74,698	53,519	80,106	84,735	82,273	87,287
General State Charges	20,735	18,994	21,124	22,012	22,639	23,929
<b>Statewide Financial System</b>	<b>9,070</b>	<b>17,596</b>	<b>29,701</b>	<b>28,625</b>	<b>29,926</b>	<b>31,264</b>
State Operations	9,069	17,596	29,701	28,625	29,926	31,264
Personal Service	3,977	7,554	10,814	10,895	10,977	11,060
Non-Personal Service/Indirect Costs	5,092	10,042	18,887	17,730	18,949	20,204
General State Charges	1	0	0	0	0	0
<b>Statewide Wireless Network</b>	<b>28,253</b>	<b>87,696</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	18,369	0	0	0	0
State Operations	27,655	69,207	0	0	0	0
Personal Service	8,076	7,006	0	0	0	0
Non-Personal Service/Indirect Costs	19,579	62,201	0	0	0	0
General State Charges	598	120	0	0	0	0
<b>Victim Services, Office of</b>	<b>34,594</b>	<b>35,194</b>	<b>32,741</b>	<b>35,003</b>	<b>35,202</b>	<b>35,507</b>
Local Assistance Grants	29,185	29,239	26,182	28,182	28,182	28,182
State Operations	4,521	4,138	4,782	4,878	4,992	5,134
Personal Service	3,761	3,109	3,866	3,931	4,026	4,148
Non-Personal Service/Indirect Costs	760	1,029	916	947	966	986
General State Charges	888	1,817	1,777	1,943	2,028	2,191
<b>Functional Total</b>	<b>3,715,010</b>	<b>3,613,727</b>	<b>3,928,374</b>	<b>3,848,883</b>	<b>3,867,575</b>	<b>4,043,530</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,306,312</b>	<b>1,316,602</b>	<b>1,339,502</b>	<b>1,454,600</b>	<b>1,519,313</b>	<b>1,589,449</b>
Local Assistance Grants	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
State Operations	117,099	107,837	114,166	105,896	107,658	109,454
Personal Service	85,732	74,043	86,224	77,255	78,301	79,363
Non-Personal Service/Indirect Costs	31,367	33,794	27,942	28,641	29,357	30,091
General State Charges	6,400	6,355	6,152	6,337	6,527	6,723
<b>Higher Education - Miscellaneous</b>	<b>370</b>	<b>449</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>
State Operations	255	376	1,201	1,201	1,201	1,201
Personal Service	221	207	198	198	198	198
Non-Personal Service/Indirect Costs	34	169	1,003	1,003	1,003	1,003
General State Charges	115	73	99	99	99	99
<b>Higher Education Services Corporation, New York State</b>	<b>889,588</b>	<b>1,000,527</b>	<b>1,015,753</b>	<b>1,083,608</b>	<b>1,098,530</b>	<b>1,112,391</b>
Local Assistance Grants	813,707	923,514	935,933	1,001,349	1,014,412	1,025,891
State Operations	61,052	64,233	65,173	66,419	67,774	69,170
Personal Service	32,756	28,789	28,342	28,560	28,978	29,413
Non-Personal Service/Indirect Costs	28,296	35,444	36,831	37,859	38,796	39,757
General State Charges	14,829	12,780	14,647	15,840	16,344	17,330
<b>State University Construction Fund</b>	<b>18,915</b>	<b>22,625</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	14,438	16,809	0	0	0	0
Personal Service	12,562	13,612	0	0	0	0
Non-Personal Service/Indirect Costs	1,876	3,197	0	0	0	0
General State Charges	4,477	5,816	0	0	0	0
<b>State University of New York</b>	<b>5,880,864</b>	<b>6,471,148</b>	<b>6,592,801</b>	<b>6,685,093</b>	<b>6,838,295</b>	<b>6,995,978</b>
Local Assistance Grants	472,818	481,591	462,404	468,051	468,051	468,051
State Operations	4,880,006	5,429,621	5,534,334	5,611,876	5,745,294	5,882,191
Personal Service	3,239,364	3,324,528	3,443,118	3,441,717	3,522,960	3,606,357
Non-Personal Service/Indirect Costs	1,640,642	2,105,093	2,091,216	2,170,159	2,222,334	2,275,834
General State Charges	528,040	559,936	596,063	605,166	624,950	645,736
<b>Functional Total</b>	<b>8,096,049</b>	<b>8,811,351</b>	<b>8,949,356</b>	<b>9,224,601</b>	<b>9,457,438</b>	<b>9,699,118</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>45,173</b>	<b>33,659</b>	<b>40,053</b>	<b>36,055</b>	<b>36,101</b>	<b>36,151</b>
Local Assistance Grants	40,479	29,571	35,933	31,933	31,933	31,933
State Operations	4,694	4,088	4,120	4,122	4,168	4,218
Personal Service	3,098	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,596	1,822	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>26,717,031</b>	<b>24,749,120</b>	<b>25,462,101</b>	<b>26,534,693</b>	<b>27,603,569</b>	<b>28,777,377</b>
<b>School Aid</b>	<b>21,848,300</b>	<b>19,661,924</b>	<b>20,056,331</b>	<b>20,910,635</b>	<b>21,713,727</b>	<b>22,671,362</b>
Local Assistance Grants	21,848,300	19,661,924	20,056,331	20,910,635	21,713,727	22,671,362
<b>STAR Property Tax Relief</b>	<b>3,234,014</b>	<b>3,232,883</b>	<b>3,276,067</b>	<b>3,459,375</b>	<b>3,641,726</b>	<b>3,743,568</b>
Local Assistance Grants	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<b>Special Education Categorical Programs</b>	<b>924,218</b>	<b>1,175,990</b>	<b>1,357,636</b>	<b>1,455,616</b>	<b>1,546,216</b>	<b>1,657,416</b>
Local Assistance Grants	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<b>All Other</b>	<b>710,499</b>	<b>678,323</b>	<b>772,067</b>	<b>709,067</b>	<b>701,900</b>	<b>705,031</b>
Local Assistance Grants	549,521	521,878	616,900	548,081	540,840	541,625
State Operations	131,572	126,159	126,613	129,292	128,576	129,276
Personal Service	90,078	80,475	79,548	81,861	82,298	83,025
Non-Personal Service/Indirect Costs	41,494	45,684	47,065	47,431	46,278	46,251
General State Charges	29,406	30,286	28,554	31,694	32,484	34,130
<b>Functional Total</b>	<b>26,762,204</b>	<b>24,782,779</b>	<b>25,502,154</b>	<b>26,570,748</b>	<b>27,639,670</b>	<b>28,813,528</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>33,825</b>	<b>32,762</b>	<b>33,550</b>	<b>33,550</b>	<b>35,071</b>	<b>36,502</b>
State Operations	32,081	30,681	31,318	31,484	32,669	33,903
Personal Service	24,430	23,829	24,085	25,449	26,499	27,607
Non-Personal Service/Indirect Costs	7,651	6,852	7,233	6,035	6,170	6,296
General State Charges	1,744	2,081	2,232	2,066	2,402	2,599
<b>Civil Service, Department of</b>	<b>17,896</b>	<b>14,836</b>	<b>14,825</b>	<b>15,222</b>	<b>16,775</b>	<b>17,179</b>
State Operations	17,677	14,595	14,657	15,054	16,603	17,003
Personal Service	16,153	13,284	13,168	13,548	14,714	15,109
Non-Personal Service/Indirect Costs	1,524	1,311	1,489	1,506	1,889	1,894
General State Charges	219	241	168	168	172	176
<b>Deferred Compensation Board</b>	<b>681</b>	<b>621</b>	<b>796</b>	<b>826</b>	<b>858</b>	<b>867</b>
State Operations	519	457	605	618	633	642
Personal Service	374	378	392	399	408	417
Non-Personal Service/Indirect Costs	145	79	213	219	225	225
General State Charges	162	164	191	208	225	225
<b>Elections, State Board of</b>	<b>6,186</b>	<b>9,815</b>	<b>7,735</b>	<b>5,175</b>	<b>35,316</b>	<b>5,462</b>
Local Assistance Grants	582	415	2,700	0	30,000	0
State Operations	5,604	9,400	5,035	5,175	5,316	5,462
Personal Service	4,205	4,065	4,065	4,250	4,370	4,495
Non-Personal Service/Indirect Costs	1,399	5,335	970	925	946	967
<b>Employee Relations, Office of</b>	<b>3,000</b>	<b>2,604</b>	<b>2,688</b>	<b>2,864</b>	<b>2,786</b>	<b>2,869</b>
State Operations	3,000	2,604	2,688	2,864	2,786	2,869
Personal Service	2,909	2,529	2,551	2,724	2,646	2,728
Non-Personal Service/Indirect Costs	91	75	137	140	140	141
<b>Gaming Commission, New York State</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>187,589</b>	<b>191,903</b>	<b>192,536</b>
State Operations	0	0	0	170,423	174,413	175,046
Personal Service	0	0	0	34,087	34,628	35,261
Non-Personal Service/Indirect Costs	0	0	0	136,336	139,785	139,785
General State Charges	0	0	0	17,166	17,490	17,490
<b>General Services, Office of</b>	<b>128,633</b>	<b>121,489</b>	<b>158,965</b>	<b>163,465</b>	<b>145,328</b>	<b>147,454</b>
Local Assistance Grants	28	0	19	0	0	0
State Operations	127,315	119,994	157,045	161,329	143,120	145,100
Personal Service	56,079	48,873	51,616	59,264	60,482	60,970
Non-Personal Service/Indirect Costs	71,236	71,121	105,429	102,065	82,638	84,130
General State Charges	1,290	1,495	1,901	2,136	2,208	2,354
<b>Inspector General, Office of the</b>	<b>5,703</b>	<b>5,409</b>	<b>5,915</b>	<b>6,783</b>	<b>7,036</b>	<b>7,262</b>
State Operations	5,703	5,409	5,915	6,783	7,036	7,262
Personal Service	5,519	5,067	5,388	6,195	6,367	6,551
Non-Personal Service/Indirect Costs	184	342	527	588	669	711
<b>Labor Management Committees</b>	<b>32,335</b>	<b>23,215</b>	<b>27,938</b>	<b>33,037</b>	<b>35,244</b>	<b>46,260</b>
State Operations	32,335	23,215	27,938	33,037	35,244	46,260
Personal Service	9,872	8,359	4,600	5,537	6,119	7,129
Non-Personal Service/Indirect Costs	22,463	14,856	23,338	27,500	29,125	39,131

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Lottery, Division of the</b>	<b>162,910</b>	<b>150,306</b>	<b>172,990</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	152,955	140,484	161,247	0	0	0
Personal Service	21,057	20,276	24,676	0	0	0
Non-Personal Service/Indirect Costs	131,898	120,208	136,571	0	0	0
General State Charges	9,955	9,822	11,743	0	0	0
<b>Public Employment Relations Board</b>	<b>3,988</b>	<b>3,675</b>	<b>3,731</b>	<b>4,040</b>	<b>3,804</b>	<b>3,896</b>
State Operations	3,988	3,675	3,731	4,040	3,804	3,896
Personal Service	3,456	3,197	3,290	3,495	3,046	3,138
Non-Personal Service/Indirect Costs	532	478	441	545	758	758
<b>Public Integrity, Commission on</b>	<b>3,794</b>	<b>3,217</b>	<b>3,700</b>	<b>6,705</b>	<b>4,819</b>	<b>4,939</b>
State Operations	3,794	3,217	3,700	6,705	4,819	4,939
Personal Service	3,017	2,492	2,850	3,409	3,501	3,599
Non-Personal Service/Indirect Costs	777	725	850	3,296	1,318	1,340
<b>Regulatory Reform, Governor's Office of</b>	<b>1,653</b>	<b>83</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	1,653	83	0	0	0	0
Personal Service	1,537	80	0	0	0	0
Non-Personal Service/Indirect Costs	116	3	0	0	0	0
<b>State, Department of</b>	<b>75,478</b>	<b>61,000</b>	<b>71,109</b>	<b>63,904</b>	<b>66,408</b>	<b>68,016</b>
Local Assistance Grants	24,495	12,916	16,118	3,877	3,877	3,877
State Operations	41,797	40,716	46,054	49,183	51,309	52,178
Personal Service	31,270	28,357	31,445	32,693	33,425	34,086
Non-Personal Service/Indirect Costs	10,527	12,359	14,609	16,490	17,884	18,092
General State Charges	9,186	7,368	8,952	10,859	11,237	11,976
Capital Projects	0	0	(15)	(15)	(15)	(15)
<b>Tax Appeals, Division of</b>	<b>3,134</b>	<b>2,850</b>	<b>3,101</b>	<b>3,174</b>	<b>3,255</b>	<b>3,309</b>
State Operations	3,134	2,850	3,101	3,174	3,255	3,309
Personal Service	2,776	2,483	2,900	2,962	3,034	3,088
Non-Personal Service/Indirect Costs	358	367	201	212	221	221
<b>Taxation and Finance, Department of</b>	<b>441,466</b>	<b>401,033</b>	<b>415,599</b>	<b>418,545</b>	<b>429,888</b>	<b>436,603</b>
Local Assistance Grants	5,270	6,487	926	926	926	926
State Operations	412,940	382,913	393,151	394,799	405,915	412,630
Personal Service	323,767	300,408	301,881	300,966	309,755	316,470
Non-Personal Service/Indirect Costs	89,173	82,505	91,270	93,833	96,160	96,160
General State Charges	23,256	11,633	21,522	22,820	23,047	23,047
<b>Technology, Office for</b>	<b>22,902</b>	<b>19,129</b>	<b>21,994</b>	<b>21,305</b>	<b>23,877</b>	<b>23,485</b>
Local Assistance Grants	884	171	0	0	0	0
State Operations	22,018	18,958	21,994	21,305	23,877	23,485
Personal Service	11,208	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	10,810	8,502	8,408	7,710	9,970	9,239
<b>Veterans' Affairs, Division of</b>	<b>14,069</b>	<b>12,884</b>	<b>14,106</b>	<b>13,442</b>	<b>13,275</b>	<b>13,503</b>
Local Assistance Grants	8,044	7,572	8,117	7,517	7,577	7,637
State Operations	6,025	5,312	5,989	5,925	5,698	5,866
Personal Service	5,570	4,868	5,050	5,481	5,259	5,416
Non-Personal Service/Indirect Costs	455	444	939	444	439	450
<b>Functional Total</b>	<b>957,653</b>	<b>864,928</b>	<b>958,742</b>	<b>979,626</b>	<b>1,015,643</b>	<b>1,010,142</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>171,821</b>	<b>169,817</b>	<b>173,479</b>	<b>175,800</b>	<b>179,344</b>	<b>183,533</b>
Local Assistance Grants	31,598	32,005	32,024	32,024	32,024	32,024
State Operations	138,841	135,123	139,394	142,194	145,667	149,731
Personal Service	110,320	103,298	108,671	109,385	112,012	115,214
Non-Personal Service/Indirect Costs	28,521	31,825	30,723	32,809	33,655	34,517
General State Charges	1,382	2,689	2,061	1,582	1,653	1,778
<b>Executive Chamber</b>	<b>12,880</b>	<b>13,256</b>	<b>13,578</b>	<b>14,376</b>	<b>14,888</b>	<b>15,641</b>
State Operations	12,880	13,256	13,578	14,376	14,888	15,641
Personal Service	10,963	10,210	10,900	11,600	12,045	12,730
Non-Personal Service/Indirect Costs	1,917	3,046	2,678	2,776	2,843	2,911
<b>Judiciary</b>	<b>2,540,268</b>	<b>2,543,183</b>	<b>2,546,998</b>	<b>2,679,944</b>	<b>2,805,004</b>	<b>2,934,500</b>
Local Assistance Grants	119,798	114,108	106,700	121,700	121,700	121,700
State Operations	1,868,890	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Personal Service	1,525,120	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Non-Personal Service/Indirect Costs	343,770	362,957	387,650	400,130	438,460	476,752
General State Charges	551,580	601,767	584,398	643,830	683,352	717,306
<b>Law, Department of</b>	<b>169,761</b>	<b>168,624</b>	<b>179,139</b>	<b>177,633</b>	<b>182,598</b>	<b>188,090</b>
State Operations	161,877	160,461	165,109	162,671	166,906	171,479
Personal Service	111,750	106,462	110,920	110,913	113,920	117,232
Non-Personal Service/Indirect Costs	50,127	53,999	54,189	51,758	52,986	54,247
General State Charges	7,884	8,163	14,030	14,962	15,692	16,611

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Legislature</b>	<b>222,536</b>	<b>197,163</b>	<b>218,795</b>	<b>221,349</b>	<b>223,945</b>	<b>226,583</b>
State Operations	222,536	197,163	218,795	221,349	223,945	226,583
Personal Service	174,096	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	48,440	45,281	53,511	54,825	56,172	57,552
<b>Lieutenant Governor, Office of the</b>	<b>304</b>	<b>408</b>	<b>614</b>	<b>614</b>	<b>665</b>	<b>680</b>
State Operations	304	408	614	614	665	680
Personal Service	281	289	480	480	515	543
Non-Personal Service/Indirect Costs	23	119	134	134	150	137
<b>Functional Total</b>	<b>3,117,570</b>	<b>3,092,451</b>	<b>3,132,603</b>	<b>3,269,716</b>	<b>3,406,444</b>	<b>3,549,027</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>738,940</b>	<b>721,034</b>	<b>728,181</b>	<b>739,188</b>	<b>752,782</b>	<b>763,629</b>
Local Assistance Grants	738,940	721,034	728,181	739,188	752,782	763,629
<b>Efficiency Incentive Grants Program</b>	<b>4,604</b>	<b>4,714</b>	<b>6,956</b>	<b>4,485</b>	<b>2,000</b>	<b>0</b>
Local Assistance Grants	4,604	4,714	6,956	4,485	2,000	0
<b>Miscellaneous Financial Assistance</b>	<b>3,920</b>	<b>1,960</b>	<b>2,000</b>	<b>1,960</b>	<b>1,960</b>	<b>1,960</b>
Local Assistance Grants	3,920	1,960	2,000	1,960	1,960	1,960
<b>Municipalities with VLT Facilities</b>	<b>25,800</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>
Local Assistance Grants	25,800	25,867	25,867	25,867	25,867	25,867
<b>Small Government Assistance</b>	<b>2,066</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	2,066	217	218	218	218	218
<b>Functional Total</b>	<b>775,330</b>	<b>753,792</b>	<b>763,222</b>	<b>771,718</b>	<b>782,827</b>	<b>791,674</b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>3,432,021</b>	<b>3,941,782</b>	<b>3,848,192</b>	<b>4,208,544</b>	<b>4,491,920</b>	<b>4,704,503</b>
State Operations	5,561	3,676	0	0	0	0
Personal Service	3,835	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,726	1,585	0	0	0	0
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
<b>Long-Term Debt Service</b>	<b>5,677,515</b>	<b>5,909,754</b>	<b>6,146,701</b>	<b>6,462,370</b>	<b>6,530,775</b>	<b>6,691,841</b>
State Operations	62,846	45,732	46,925	46,925	46,925	46,925
Non-Personal Service/Indirect Costs	62,846	45,732	46,925	46,925	46,925	46,925
Debt Service	5,614,669	5,864,022	6,099,776	6,415,445	6,483,850	6,644,916
<b>Miscellaneous</b>	<b>(22,618)</b>	<b>(34,927)</b>	<b>(13,823)</b>	<b>(141,945)</b>	<b>(202,517)</b>	<b>(201,353)</b>
Local Assistance Grants	(34,402)	(45,178)	(23,724)	22,966	(32,829)	(31,829)
State Operations	4,886	5,007	4,200	(170,735)	(175,595)	(175,510)
Personal Service	2,217	2,356	2,402	(47,562)	(47,475)	(47,434)
Non-Personal Service/Indirect Costs	2,669	2,651	1,798	(123,173)	(128,120)	(128,076)
General State Charges	6,898	5,244	5,701	5,824	5,907	5,986
<b>Functional Total</b>	<b>9,086,918</b>	<b>9,816,609</b>	<b>9,981,070</b>	<b>10,528,969</b>	<b>10,820,178</b>	<b>11,194,991</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>84,417,400</b>	<b>87,180,623</b>	<b>89,418,146</b>	<b>92,868,342</b>	<b>95,991,574</b>	<b>99,603,146</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	77,623	78,458	75,089	76,658	81,932	81,348
Alcoholic Beverage Control, Division of	16,706	16,616	16,960	18,175	18,742	19,188
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	46,255	103,309	106,943	89,649	78,030	78,285
Empire State Development Corporation	35,741	76,487	84,088	71,280	71,172	68,149
Energy Research and Development Authority	15,307	16,938	16,158	16,158	16,477	16,808
Financial Services, Department of	507,291	506,105	486,283	513,675	518,571	526,626
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	73,076	66,124	67,453	73,989	77,125	81,199
Racing and Wagering Board, State	21,573	19,553	18,248	0	0	0
<b>Functional Total</b>	<b>797,116</b>	<b>887,186</b>	<b>874,371</b>	<b>862,790</b>	<b>865,337</b>	<b>874,891</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	296,195	284,724	278,294	273,919	274,062	278,333
Environmental Facilities Corporation	9,390	8,893	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	214,344	201,969	199,739	191,366	193,922	196,002
<b>Functional Total</b>	<b>524,566</b>	<b>499,885</b>	<b>482,179</b>	<b>469,460</b>	<b>472,235</b>	<b>478,672</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	95,962	92,162	93,626	99,695	102,365	108,014
Transportation, Department of	4,287,685	4,276,720	4,408,710	4,588,524	4,666,768	4,763,004
<b>Functional Total</b>	<b>4,383,647</b>	<b>4,368,882</b>	<b>4,502,336</b>	<b>4,688,219</b>	<b>4,769,133</b>	<b>4,871,018</b>
<b>HEALTH</b>						
Aging, Office for the	118,718	113,753	117,434	117,051	123,618	130,464
Health, Department of	14,418,217	17,876,268	18,412,632	19,251,721	19,923,957	20,566,405
<i>Medical Assistance</i>	11,400,560	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,479,287	2,564,450	2,500,969	2,682,458	2,819,494	2,615,576
Medicaid Inspector General, Office of the	28,084	25,284	24,789	24,777	25,163	25,199
Stem Cell and Innovation	37,289	43,702	43,500	51,000	61,373	62,673
<b>Functional Total</b>	<b>14,602,308</b>	<b>18,059,007</b>	<b>18,598,355</b>	<b>19,444,549</b>	<b>20,134,111</b>	<b>20,784,741</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,991,084	1,898,337	1,861,376	2,034,334	2,245,188	2,321,967
<i>OCFS</i>	1,921,729	1,785,169	1,773,882	1,944,525	2,151,627	2,224,329
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	121,230	115,024	122,371	118,996	121,111	123,551
Human Rights, Division of	14,165	12,000	11,197	11,376	11,687	12,032
Labor, Department of	71,832	60,962	68,377	72,600	68,176	70,399
National and Community Service	381	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,956	1,802	2,098	2,298	2,250	2,295
Temporary and Disability Assistance, Office of	1,370,873	1,564,788	1,709,231	1,604,927	1,514,441	1,540,724
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<i>All Other</i>	327,008	297,922	303,958	308,254	319,419	318,989
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	194,002	199,035	196,210	201,791	205,077	212,064
<b>Functional Total</b>	<b>3,766,673</b>	<b>3,852,721</b>	<b>3,972,868</b>	<b>4,048,432</b>	<b>4,170,073</b>	<b>4,285,187</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	404,678	410,589	417,021	429,787	450,457	471,298
<i>OASAS</i>	292,990	318,700	328,537	349,427	367,429	384,495
<i>OASAS - Other</i>	111,688	91,889	88,484	80,360	83,028	86,803
Mental Health, Office of	3,121,444	3,027,241	3,071,907	3,238,096	3,473,845	3,780,432
<i>OMH</i>	1,363,390	1,143,914	1,233,472	1,314,164	1,438,716	1,579,117
<i>OMH - Other</i>	1,758,054	1,883,327	1,838,435	1,923,932	2,035,129	2,201,315
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,297,676	4,331,480	4,274,083	4,482,489	4,656,060	4,944,048
<i>OPWDD</i>	491,009	903,732	435,429	461,181	485,425	505,015
<i>OPWDD - Other</i>	3,806,667	3,427,748	3,838,654	4,021,308	4,170,635	4,439,033
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,213	7,584	9,505	10,259	10,548	10,849
<b>Functional Total</b>	<b>7,832,356</b>	<b>7,777,305</b>	<b>7,772,516</b>	<b>8,160,631</b>	<b>8,590,910</b>	<b>9,206,627</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,587,693	2,477,126	2,709,781	2,583,905	2,655,498	2,812,382
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	213,480	215,019	230,926	228,760	225,194	226,628
Disaster Assistance	0	20,811	76,000	64,702	(400)	0
Homeland Security and Emergency Services, Division of	33,298	28,229	87,742	128,622	121,647	120,772
Indigent Legal Services, Office of	90,793	62,701	62,244	62,999	78,634	78,675
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Military and Naval Affairs, Division of	32,640	31,747	28,061	28,189	28,543	28,914
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	677,826	630,378	662,454	667,679	672,649	687,434
Statewide Financial System	9,070	17,596	29,701	28,625	29,926	31,264
Statewide Wireless Network	28,253	87,696	0	0	0	0
Victim Services, Office of	34,594	35,194	32,741	35,003	35,202	35,507
<b>Functional Total</b>	<b>3,715,010</b>	<b>3,613,727</b>	<b>3,928,374</b>	<b>3,848,883</b>	<b>3,867,575</b>	<b>4,043,530</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,306,312	1,316,602	1,339,502	1,454,600	1,519,313	1,589,449
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
Higher Education Services Corporation, New York State	889,588	1,000,527	1,015,753	1,083,608	1,098,530	1,112,391
State University Construction Fund	18,915	22,625	0	0	0	0
State University of New York	5,880,864	6,471,148	6,592,801	6,685,093	6,838,295	6,995,978
<b>Functional Total</b>	<b>8,096,049</b>	<b>8,811,351</b>	<b>8,949,356</b>	<b>9,224,601</b>	<b>9,457,438</b>	<b>9,699,118</b>
<b>EDUCATION</b>						
Arts, Council on the	45,173	33,659	40,053	36,055	36,101	36,151
Education, Department of	26,717,031	24,749,120	25,462,101	26,534,693	27,603,569	28,777,377
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,713,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<i>All Other</i>	710,499	678,323	772,067	709,067	701,900	705,031
<b>Functional Total</b>	<b>26,762,204</b>	<b>24,782,779</b>	<b>25,502,154</b>	<b>26,570,748</b>	<b>27,639,670</b>	<b>28,813,528</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	33,825	32,762	33,550	33,550	35,071	36,502
Civil Service, Department of	17,896	14,836	14,825	15,222	16,775	17,179
Deferred Compensation Board	681	621	796	826	858	867
Elections, State Board of	6,186	9,815	7,735	5,175	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,864	2,786	2,869
Gaming Commission, New York State	0	0	0	187,589	191,903	192,536
General Services, Office of	128,633	121,489	158,965	163,465	145,328	147,454
Inspector General, Office of the	5,703	5,409	5,915	6,783	7,036	7,262
Labor Management Committees	32,335	23,215	27,938	33,037	35,244	46,260
Lottery, Division of the	162,910	150,306	172,990	0	0	0
Public Employment Relations Board	3,988	3,675	3,731	4,040	3,804	3,896
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	75,478	61,000	71,109	63,904	66,408	68,016
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	441,466	401,033	415,599	418,545	429,888	436,603
Technology, Office for	22,902	19,129	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	14,069	12,884	14,106	13,442	13,275	13,503
<b>Functional Total</b>	<b>957,653</b>	<b>864,928</b>	<b>958,742</b>	<b>979,626</b>	<b>1,015,643</b>	<b>1,010,142</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	171,821	169,817	173,479	175,800	179,344	183,533
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	2,540,268	2,543,183	2,546,998	2,679,944	2,805,004	2,934,500
Law, Department of	169,761	168,624	179,139	177,633	182,598	188,090
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>3,117,570</b>	<b>3,092,451</b>	<b>3,132,603</b>	<b>3,269,716</b>	<b>3,406,444</b>	<b>3,549,027</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<b>775,330</b>	<b>753,792</b>	<b>763,222</b>	<b>771,718</b>	<b>782,827</b>	<b>791,674</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,432,021	3,941,782	3,848,192	4,208,544	4,491,920	4,704,503
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
Miscellaneous	(22,618)	(34,927)	(13,823)	(141,945)	(202,517)	(201,353)
<b>Functional Total</b>	<b>9,086,918</b>	<b>9,816,609</b>	<b>9,981,070</b>	<b>10,528,969</b>	<b>10,820,178</b>	<b>11,194,991</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>84,417,400</b>	<b>87,180,623</b>	<b>89,418,146</b>	<b>92,868,342</b>	<b>95,991,574</b>	<b>99,603,146</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	15,014	24,603	22,339	22,297	24,697	23,097
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	25,790	69,580	84,264	66,229	54,772	54,772
Empire State Development Corporation	35,741	76,487	84,088	71,280	71,172	68,149
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,418	9,607
Financial Services, Department of	228,152	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	0	90	200	200	200
<b>Functional Total</b>	<b>313,864</b>	<b>396,280</b>	<b>417,037</b>	<b>386,192</b>	<b>377,211</b>	<b>372,777</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,835	4,760	6,325	4,042	4,042	4,042
Parks, Recreation and Historic Preservation, Office of	16,124	12,019	16,112	7,600	7,600	7,600
<b>Functional Total</b>	<b>18,959</b>	<b>16,779</b>	<b>22,437</b>	<b>11,642</b>	<b>11,642</b>	<b>11,642</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	4,253,828	4,229,733	4,377,939	4,556,412	4,634,412	4,729,612
<b>Functional Total</b>	<b>4,253,828</b>	<b>4,229,733</b>	<b>4,377,939</b>	<b>4,556,412</b>	<b>4,634,412</b>	<b>4,729,612</b>
<b>HEALTH</b>						
Aging, Office for the	117,041	111,616	115,697	115,314	121,881	128,689
Health, Department of	13,813,299	17,289,036	17,782,064	18,569,234	19,213,420	19,831,608
<i>Medical Assistance</i>	11,377,313	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	1,897,616	1,992,440	1,921,756	2,056,326	2,164,812	1,936,634
<b>Functional Total</b>	<b>13,930,340</b>	<b>17,400,652</b>	<b>17,897,761</b>	<b>18,684,548</b>	<b>19,335,301</b>	<b>19,960,297</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,667,489	1,603,887	1,543,111	1,715,131	1,941,082	2,021,050
<i>OCFS</i>	1,598,134	1,490,719	1,455,617	1,625,322	1,847,521	1,923,412
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	44,018	43,984	49,969	41,969	41,969	41,969
Labor, Department of	11,237	3,665	4,987	2,875	150	150
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,202,339	1,413,453	1,512,766	1,399,769	1,298,118	1,326,231
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<i>All Other</i>	158,474	146,587	107,493	103,096	103,096	104,496
<b>Functional Total</b>	<b>2,925,749</b>	<b>3,065,568</b>	<b>3,111,868</b>	<b>3,160,779</b>	<b>3,282,354</b>	<b>3,390,435</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	295,455	306,845	314,689	323,385	339,820	353,899
<i>OASAS</i>	246,366	274,694	282,009	302,060	318,495	332,574
<i>OASAS - Other</i>	49,089	32,151	32,680	21,325	21,325	21,325
Mental Health, Office of	1,106,185	1,124,205	1,093,686	1,159,686	1,278,140	1,435,586
<i>OMH</i>	682,773	716,057	711,037	771,436	862,446	974,836
<i>OMH - Other</i>	423,412	408,148	382,649	388,250	415,694	460,750
People with Developmental Disabilities, Office for	2,175,594	2,324,426	2,234,662	2,315,132	2,415,124	2,554,445
<i>OPWDD</i>	497,629	692,788	435,253	461,000	485,244	504,834
<i>OPWDD - Other</i>	1,677,965	1,631,638	1,799,409	1,854,132	1,929,880	2,049,611
Quality of Care and Advocacy for Persons With Disabilities, Commission on	623	560	620	620	620	620
<b>Functional Total</b>	<b>3,577,857</b>	<b>3,756,036</b>	<b>3,643,657</b>	<b>3,798,823</b>	<b>4,033,704</b>	<b>4,344,550</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	10,386	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	150,003	151,076	159,811	155,101	154,911	154,911
Disaster Assistance	0	0	77,000	86,126	(400)	0
Homeland Security and Emergency Services, Division of	17,552	19,575	36,717	89,290	87,089	84,973
Indigent Legal Services, Office of	65,769	62,292	61,100	61,400	77,000	77,000
Military and Naval Affairs, Division of	3,659	3,761	867	850	850	850
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	29,185	29,239	26,182	28,182	28,182	28,182
<b>Functional Total</b>	<b>276,554</b>	<b>289,906</b>	<b>367,728</b>	<b>438,449</b>	<b>365,132</b>	<b>364,416</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	813,707	923,514	935,933	1,001,349	1,014,412	1,025,891
State University of New York	472,818	481,591	462,404	468,051	468,051	468,051
<b>Functional Total</b>	<b>2,469,338</b>	<b>2,607,515</b>	<b>2,617,521</b>	<b>2,811,767</b>	<b>2,887,591</b>	<b>2,967,214</b>
<b>EDUCATION</b>						
Arts, Council on the	40,479	29,571	35,933	31,933	31,933	31,933

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
**LOCAL ASSISTANCE GRANTS**  
(thousands of dollars)

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Education, Department of	26,556,053	24,592,675	25,306,934	26,373,707	27,442,509	28,613,971
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,713,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<i>All Other</i>	549,521	521,878	616,900	548,081	540,840	541,625
<b>Functional Total</b>	<u>26,596,532</u>	<u>24,622,246</u>	<u>25,342,867</u>	<u>26,405,640</u>	<u>27,474,442</u>	<u>28,645,904</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	582	415	2,700	0	30,000	0
General Services, Office of	28	0	19	0	0	0
State, Department of	24,495	12,916	16,118	3,877	3,877	3,877
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	884	171	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,517	7,577	7,637
<b>Functional Total</b>	<u>39,303</u>	<u>27,561</u>	<u>27,880</u>	<u>12,320</u>	<u>42,380</u>	<u>12,440</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	119,798	114,108	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<u>151,396</u>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<u>775,330</u>	<u>753,792</u>	<u>763,222</u>	<u>771,718</u>	<u>782,827</u>	<u>791,674</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(34,402)	(45,178)	(23,724)	22,966	(32,829)	(31,829)
<b>Functional Total</b>	<u>(34,402)</u>	<u>(45,178)</u>	<u>(23,724)</u>	<u>22,966</u>	<u>(32,829)</u>	<u>(31,829)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>55,294,648</u>	<u>57,267,003</u>	<u>58,704,917</u>	<u>61,214,980</u>	<u>63,347,891</u>	<u>65,712,856</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	57,860	52,515	51,187	52,812	55,624	56,522
Alcoholic Beverage Control, Division of	12,581	13,044	13,070	13,629	14,057	14,256
Economic Development, Department of	20,444	33,729	22,651	23,392	23,230	23,485
Energy Research and Development Authority	4,744	6,456	5,286	5,286	5,389	5,497
Financial Services, Department of	212,310	210,028	202,401	210,553	212,795	215,395
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	52,777	47,386	47,297	50,665	52,499	54,454
Racing and Wagering Board, State	18,056	17,270	15,194	0	0	0
<b>Functional Total</b>	<b>382,306</b>	<b>384,024</b>	<b>360,165</b>	<b>359,543</b>	<b>366,882</b>	<b>372,897</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	255,522	245,162	235,215	231,807	232,772	235,599
Environmental Facilities Corporation	7,122	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	177,022	183,216	174,936	174,936	177,429	179,464
<b>Functional Total</b>	<b>444,303</b>	<b>439,181</b>	<b>414,297</b>	<b>410,918</b>	<b>414,452</b>	<b>419,400</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	68,522	67,400	69,091	71,593	73,154	76,063
Transportation, Department of	33,454	35,857	25,749	26,447	26,402	27,019
<b>Functional Total</b>	<b>101,976</b>	<b>103,257</b>	<b>94,840</b>	<b>98,040</b>	<b>99,556</b>	<b>103,082</b>
<b>HEALTH</b>						
Aging, Office for the	1,677	2,137	1,737	1,737	1,737	1,775
Health, Department of	571,324	555,256	595,989	645,031	671,834	693,807
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	548,077	540,034	544,634	588,676	615,979	637,952
Medicaid Inspector General, Office of the	27,990	25,258	24,789	24,777	25,163	25,199
Stem Cell and Innovation	36,971	43,470	43,500	51,000	61,373	62,673
<b>Functional Total</b>	<b>637,962</b>	<b>626,121</b>	<b>666,015</b>	<b>722,545</b>	<b>760,107</b>	<b>783,454</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	321,270	292,769	315,749	316,583	301,454	298,224
<i>OCFS</i>	321,270	292,769	315,749	316,583	301,454	298,224
Housing and Community Renewal, Division of	61,015	55,910	55,222	57,669	58,644	60,173
Human Rights, Division of	14,165	12,000	11,197	11,376	11,687	12,032
Labor, Department of	44,994	42,274	48,081	51,934	49,028	50,121
National and Community Service	381	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,290	1,261	1,413	1,613	1,565	1,610
Temporary and Disability Assistance, Office of	167,085	150,451	196,465	205,158	216,323	214,493
<i>All Other</i>	167,085	150,451	196,465	205,158	216,323	214,493
Welfare Inspector General, Office of	1,094	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	150,850	157,884	151,757	150,979	153,019	156,306
<b>Functional Total</b>	<b>762,144</b>	<b>713,238</b>	<b>781,321</b>	<b>796,851</b>	<b>793,291</b>	<b>794,540</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	80,818	75,671	72,481	75,276	78,050	81,826
<i>OASAS</i>	34,454	31,920	32,263	33,372	34,596	36,269
<i>OASAS - Other</i>	46,364	43,751	40,218	41,904	43,454	45,557
Mental Health, Office of	1,455,854	1,372,952	1,403,165	1,457,943	1,529,788	1,610,419
<i>OMH</i>	482,556	306,563	383,514	380,748	400,585	413,374
<i>OMH - Other</i>	973,298	1,066,389	1,019,651	1,077,195	1,129,203	1,197,045
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	1,542,092	1,485,935	1,481,760	1,539,278	1,577,157	1,663,426
<i>OPWDD</i>	(6,620)	106,952	176	181	181	181
<i>OPWDD - Other</i>	1,548,712	1,378,983	1,481,584	1,539,097	1,576,976	1,663,245
Quality of Care and Advocacy for Persons With Disabilities, Commission on	6,573	5,948	7,698	8,369	8,553	8,748
<b>Functional Total</b>	<b>3,085,682</b>	<b>2,940,917</b>	<b>2,965,104</b>	<b>3,080,866</b>	<b>3,193,548</b>	<b>3,364,419</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,575,307	2,471,532	2,703,688	2,577,860	2,649,450	2,806,331
Criminal Justice Services, Division of	63,391	63,882	70,920	73,581	70,203	71,632
Disaster Assistance	0	20,811	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	15,550	8,654	50,126	38,368	33,560	34,733
Indigent Legal Services, Office of	25,024	326	859	1,154	1,170	1,186
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	28,398	27,440	26,944	27,089	27,443	27,814
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	657,091	611,384	641,330	645,667	650,010	663,505
Statewide Financial System	9,069	17,596	29,701	28,625	29,926	31,264
Statewide Wireless Network	27,655	69,207	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Victim Services, Office of	4,521	4,138	4,782	4,878	4,992	5,134
<b>Functional Total</b>	<b>3,413,369</b>	<b>3,302,200</b>	<b>3,536,074</b>	<b>3,384,697</b>	<b>3,475,936</b>	<b>3,651,053</b>
<b>HIGHER EDUCATION</b>						
City University of New York	117,099	107,837	114,166	105,896	107,658	109,454
Higher Education - Miscellaneous	255	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	61,052	64,233	65,173	66,419	67,774	69,170
State University Construction Fund	14,438	16,809	0	0	0	0
State University of New York	4,880,006	5,429,621	5,534,334	5,611,876	5,745,294	5,882,191
<b>Functional Total</b>	<b>5,072,850</b>	<b>5,618,876</b>	<b>5,714,874</b>	<b>5,785,392</b>	<b>5,921,927</b>	<b>6,062,016</b>
<b>EDUCATION</b>						
Arts, Council on the	4,694	4,088	4,120	4,122	4,168	4,218
Education, Department of	131,572	126,159	126,613	129,292	128,576	129,276
<i>All Other</i>	131,572	126,159	126,613	129,292	128,576	129,276
<b>Functional Total</b>	<b>136,266</b>	<b>130,247</b>	<b>130,733</b>	<b>133,414</b>	<b>132,744</b>	<b>133,494</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	32,081	30,681	31,318	31,484	32,669	33,903
Civil Service, Department of	17,677	14,595	14,657	15,054	16,603	17,003
Deferred Compensation Board	519	457	605	618	633	642
Elections, State Board of	5,604	9,400	5,035	5,175	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,864	2,786	2,869
Gaming Commission, New York State	0	0	0	170,423	174,413	175,046
General Services, Office of	127,315	119,994	157,045	161,329	143,120	145,100
Inspector General, Office of the	5,703	5,409	5,915	6,783	7,036	7,262
Labor Management Committees	32,335	23,215	27,938	33,037	35,244	46,260
Lottery, Division of the	152,955	140,484	161,247	0	0	0
Public Employment Relations Board	3,988	3,675	3,731	4,040	3,804	3,896
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	41,797	40,716	46,054	49,183	51,309	52,178
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	412,940	382,913	393,151	394,799	405,915	412,630
Technology, Office for	22,018	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,025	5,312	5,989	5,925	5,698	5,866
<b>Functional Total</b>	<b>872,538</b>	<b>804,563</b>	<b>884,168</b>	<b>911,898</b>	<b>916,497</b>	<b>939,850</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	138,841	135,123	139,394	142,194	145,667	149,731
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	1,868,890	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Law, Department of	161,877	160,461	165,109	162,671	166,906	171,479
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>2,405,328</b>	<b>2,333,719</b>	<b>2,393,390</b>	<b>2,455,618</b>	<b>2,552,023</b>	<b>2,659,608</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	4,886	5,007	4,200	(170,735)	(175,595)	(175,510)
<b>Functional Total</b>	<b>73,293</b>	<b>54,415</b>	<b>51,125</b>	<b>(123,810)</b>	<b>(128,670)</b>	<b>(128,585)</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>17,388,017</b>	<b>17,450,758</b>	<b>17,992,106</b>	<b>18,015,972</b>	<b>18,498,293</b>	<b>19,155,228</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,416	27,412	27,500	28,449	29,774	30,436
Alcoholic Beverage Control, Division of	8,512	7,720	7,527	8,195	8,308	8,370
Economic Development, Department of	13,906	11,354	12,629	13,852	13,424	13,679
Energy Research and Development Authority	2,894	4,776	3,432	3,432	3,500	3,570
Financial Services, Department of	152,126	142,332	144,757	152,595	154,769	157,291
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Public Service Department	41,801	38,749	38,828	41,680	43,309	45,053
Racing and Wagering Board, State	12,062	10,940	9,059	0	0	0
<b>Functional Total</b>	<b>265,607</b>	<b>246,132</b>	<b>246,217</b>	<b>250,725</b>	<b>255,632</b>	<b>260,947</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	186,181	188,153	173,639	173,218	176,893	179,581
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	135,297	138,928	128,763	129,318	131,176	133,211
<b>Functional Total</b>	<b>331,772</b>	<b>336,314</b>	<b>306,193</b>	<b>306,356</b>	<b>311,965</b>	<b>316,774</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	51,578	48,313	49,130	50,650	51,790	54,228
Transportation, Department of	10,853	9,818	10,397	10,751	10,999	11,275
<b>Functional Total</b>	<b>62,431</b>	<b>58,131</b>	<b>59,527</b>	<b>61,401</b>	<b>62,789</b>	<b>65,503</b>
<b>HEALTH</b>						
Aging, Office for the	1,641	1,931	1,555	1,555	1,555	1,586
Health, Department of	289,860	267,998	275,644	294,115	311,884	333,936
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	289,360	266,560	275,144	293,615	311,384	333,436
Medicaid Inspector General, Office of the	20,818	19,738	18,373	18,031	18,067	18,103
Stem Cell and Innovation	534	441	468	472	472	472
<b>Functional Total</b>	<b>312,853</b>	<b>290,108</b>	<b>296,040</b>	<b>314,173</b>	<b>331,978</b>	<b>354,097</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	192,793	177,205	186,486	179,734	163,438	161,653
<i>OCFS</i>	192,793	177,205	186,486	179,734	163,438	161,653
Housing and Community Renewal, Division of	46,367	40,611	42,345	44,323	45,161	46,570
Human Rights, Division of	12,932	10,679	10,283	10,345	10,625	10,940
Labor, Department of	32,161	29,954	29,625	32,567	33,273	33,967
National and Community Service	304	292	210	325	328	328
Prevention of Domestic Violence, Office for	1,098	1,040	1,260	1,388	1,443	1,477
Temporary and Disability Assistance, Office of	62,305	51,870	77,688	85,979	90,656	93,056
<i>All Other</i>	62,305	51,870	77,688	85,979	90,656	93,056
Welfare Inspector General, Office of	434	364	701	721	738	748
Workers' Compensation Board	89,052	85,890	86,300	91,587	92,301	94,633
<b>Functional Total</b>	<b>437,446</b>	<b>397,905</b>	<b>434,898</b>	<b>446,969</b>	<b>437,963</b>	<b>443,372</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	59,596	54,920	53,931	56,407	58,624	61,879
<i>OASAS</i>	25,103	22,801	24,021	24,854	25,830	27,263
<i>OASAS - Other</i>	34,493	32,119	29,910	31,553	32,794	34,616
Mental Health, Office of	1,145,258	1,081,037	1,115,572	1,153,231	1,206,034	1,267,066
<i>OMH</i>	405,776	253,135	303,855	300,485	320,678	328,804
<i>OMH - Other</i>	739,482	827,902	811,717	852,746	885,356	938,262
People with Developmental Disabilities, Office for	1,168,196	1,125,113	1,119,528	1,165,242	1,191,675	1,267,575
<i>OPWDD</i>	0	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,119,528	1,165,242	1,191,675	1,267,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,430	4,856	6,480	7,090	7,241	7,404
<b>Functional Total</b>	<b>2,378,480</b>	<b>2,265,926</b>	<b>2,295,511</b>	<b>2,381,970</b>	<b>2,463,574</b>	<b>2,603,924</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,043,633	1,959,956	2,231,528	2,085,747	2,136,867	2,272,313
Criminal Justice Services, Division of	34,806	32,972	32,419	34,510	35,363	36,326
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,531	6,837	16,951	17,032	16,151	16,733
Indigent Legal Services, Office of	8	259	569	802	810	818
Judicial Commissions	3,723	3,794	4,093	4,135	4,237	4,357
Military and Naval Affairs, Division of	19,260	17,152	16,525	16,655	16,861	17,080
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	582,393	557,865	561,224	560,932	567,737	576,218
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0
Victim Services, Office of	3,761	3,109	3,866	3,931	4,026	4,148

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<u>2,708,250</u>	<u>2,608,079</u>	<u>2,880,987</u>	<u>2,737,653</u>	<u>2,796,110</u>	<u>2,942,201</u>
<b>HIGHER EDUCATION</b>						
City University of New York	85,732	74,043	86,224	77,255	78,301	79,363
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,756	28,789	28,342	28,560	28,978	29,413
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	<u>3,239,364</u>	<u>3,324,528</u>	<u>3,443,118</u>	<u>3,441,717</u>	<u>3,522,960</u>	<u>3,606,357</u>
<b>Functional Total</b>	<u>3,370,635</u>	<u>3,441,179</u>	<u>3,557,882</u>	<u>3,547,730</u>	<u>3,630,437</u>	<u>3,715,331</u>
<b>EDUCATION</b>						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	<u>90,078</u>	<u>80,475</u>	<u>79,548</u>	<u>81,861</u>	<u>82,298</u>	<u>83,025</u>
<i>All Other</i>	<u>90,078</u>	<u>80,475</u>	<u>79,548</u>	<u>81,861</u>	<u>82,298</u>	<u>83,025</u>
<b>Functional Total</b>	<u>93,176</u>	<u>82,741</u>	<u>81,846</u>	<u>84,161</u>	<u>84,644</u>	<u>85,421</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	24,430	23,829	24,085	25,449	26,499	27,607
Civil Service, Department of	16,153	13,284	13,168	13,548	14,714	15,109
Deferred Compensation Board	374	378	392	399	408	417
Elections, State Board of	4,205	4,065	4,065	4,250	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,724	2,646	2,728
Gaming Commission, New York State	0	0	0	34,087	34,628	35,261
General Services, Office of	56,079	48,873	51,616	59,264	60,482	60,970
Inspector General, Office of the	5,519	5,067	5,388	6,195	6,367	6,551
Labor Management Committees	9,872	8,359	4,600	5,537	6,119	7,129
Lottery, Division of the	21,057	20,276	24,676	0	0	0
Public Employment Relations Board	3,456	3,197	3,290	3,495	3,046	3,138
Public Integrity, Commission on	3,017	2,492	2,850	3,409	3,501	3,599
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	31,270	28,357	31,445	32,693	33,425	34,086
Tax Appeals, Division of	2,776	2,483	2,900	2,962	3,034	3,088
Taxation and Finance, Department of	323,767	300,408	301,881	300,966	309,755	316,470
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	5,570	4,868	5,050	5,481	5,259	5,416
<b>Functional Total</b>	<u>523,199</u>	<u>479,001</u>	<u>491,543</u>	<u>514,054</u>	<u>528,160</u>	<u>540,310</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	110,320	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,963	10,210	10,900	11,600	12,045	12,730
Judiciary	1,525,120	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	111,750	106,462	110,920	110,913	113,920	117,232
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	480	515	543
<b>Functional Total</b>	<u>1,932,530</u>	<u>1,836,492</u>	<u>1,864,505</u>	<u>1,913,186</u>	<u>1,967,757</u>	<u>2,033,492</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	<u>2,217</u>	<u>2,356</u>	<u>2,402</u>	<u>(47,562)</u>	<u>(47,475)</u>	<u>(47,434)</u>
<b>Functional Total</b>	<u>6,052</u>	<u>4,447</u>	<u>2,402</u>	<u>(47,562)</u>	<u>(47,475)</u>	<u>(47,434)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>12,422,431</u></u>	<u><u>12,046,455</u></u>	<u><u>12,517,551</u></u>	<u><u>12,510,816</u></u>	<u><u>12,823,534</u></u>	<u><u>13,313,938</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	26,444	25,103	23,687	24,363	25,850	26,086
Alcoholic Beverage Control, Division of	4,069	5,324	5,543	5,434	5,749	5,886
Economic Development, Department of	6,538	22,375	10,022	9,540	9,806	9,806
Energy Research and Development Authority	1,850	1,680	1,854	1,854	1,889	1,927
Financial Services, Department of	60,184	67,696	57,644	57,958	58,026	58,104
Olympic Regional Development Authority	644	747	594	684	740	740
Public Service Department	10,976	8,637	8,469	8,985	9,190	9,401
Racing and Wagering Board, State	5,994	6,330	6,135	0	0	0
<b>Functional Total</b>	<b>116,699</b>	<b>137,892</b>	<b>113,948</b>	<b>108,818</b>	<b>111,250</b>	<b>111,950</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	403	520	355	355	355	355
Environmental Conservation, Department of	69,341	57,009	61,576	58,589	55,879	56,018
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	41,725	44,288	46,173	45,618	46,253	46,253
<b>Functional Total</b>	<b>112,531</b>	<b>102,867</b>	<b>108,104</b>	<b>104,562</b>	<b>102,487</b>	<b>102,626</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	16,944	19,087	19,961	20,943	21,364	21,835
Transportation, Department of	22,601	26,039	15,352	15,696	15,403	15,744
<b>Functional Total</b>	<b>39,545</b>	<b>45,126</b>	<b>35,313</b>	<b>36,639</b>	<b>36,767</b>	<b>37,579</b>
<b>HEALTH</b>						
Aging, Office for the	36	206	182	182	182	189
Health, Department of	281,464	287,258	320,345	350,916	359,950	359,871
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	258,717	273,474	269,490	295,061	304,595	304,516
Medicaid Inspector General, Office of the	7,172	5,520	6,416	6,746	7,096	7,096
Stem Cell and Innovation	36,437	43,029	43,032	50,528	60,901	62,201
<b>Functional Total</b>	<b>325,109</b>	<b>336,013</b>	<b>369,975</b>	<b>408,372</b>	<b>428,129</b>	<b>429,357</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	128,477	115,564	129,263	136,849	138,016	136,571
<i>OCFS</i>	128,477	115,564	129,263	136,849	138,016	136,571
Housing and Community Renewal, Division of	14,648	15,299	12,877	13,346	13,483	13,603
Human Rights, Division of	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	12,833	12,320	18,456	19,367	15,755	16,154
National and Community Service	77	2	41	8	9	9
Prevention of Domestic Violence, Office for	192	221	153	225	122	133
Temporary and Disability Assistance, Office of	104,780	98,581	118,777	119,179	125,667	121,437
<i>All Other</i>	104,780	98,581	118,777	119,179	125,667	121,437
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	61,798	71,994	65,457	59,392	60,718	61,673
<b>Functional Total</b>	<b>324,698</b>	<b>315,333</b>	<b>346,423</b>	<b>349,882</b>	<b>355,328</b>	<b>351,168</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	21,222	20,751	18,550	18,869	19,426	19,947
<i>OASAS</i>	9,351	9,119	8,242	8,518	8,766	9,006
<i>OASAS - Other</i>	11,871	11,632	10,308	10,351	10,660	10,941
Mental Health, Office of	310,596	291,915	287,593	304,712	323,754	343,353
<i>OMH</i>	76,780	53,428	79,659	80,263	79,907	84,570
<i>OMH - Other</i>	233,816	238,487	207,934	224,449	243,847	258,783
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	373,896	360,822	362,232	374,036	385,482	395,851
<i>OPWDD</i>	(6,620)	34,725	176	181	181	181
<i>OPWDD - Other</i>	380,516	326,097	362,056	373,855	385,301	395,670
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,143	1,092	1,218	1,279	1,312	1,344
<b>Functional Total</b>	<b>707,202</b>	<b>674,991</b>	<b>669,593</b>	<b>698,896</b>	<b>729,974</b>	<b>760,495</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	531,674	511,576	472,160	492,113	512,583	534,018
Criminal Justice Services, Division of	28,585	30,910	38,501	39,071	34,840	35,306
Disaster Assistance	0	11,126	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	9,019	1,817	33,175	21,336	17,409	18,000
Indigent Legal Services, Office of	25,016	67	290	352	360	368
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	9,138	10,288	10,419	10,434	10,582	10,734
State Police, Division of	74,698	53,519	80,106	84,735	82,273	87,287
Statewide Financial System	5,092	10,042	18,887	17,730	18,949	20,204
Statewide Wireless Network	19,579	62,201	0	0	0	0
Victim Services, Office of	760	1,029	916	947	966	986

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<u>705,119</u>	<u>694,121</u>	<u>655,087</u>	<u>647,044</u>	<u>679,826</u>	<u>708,852</u>
<b>HIGHER EDUCATION</b>						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	28,296	35,444	36,831	37,859	38,796	39,757
State University Construction Fund	1,876	3,197	0	0	0	0
State University of New York	<u>1,640,642</u>	<u>2,105,093</u>	<u>2,091,216</u>	<u>2,170,159</u>	<u>2,222,334</u>	<u>2,275,834</u>
<b>Functional Total</b>	<u>1,702,215</u>	<u>2,177,697</u>	<u>2,156,992</u>	<u>2,237,662</u>	<u>2,291,490</u>	<u>2,346,685</u>
<b>EDUCATION</b>						
Arts, Council on the	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	<u>41,494</u>	<u>45,684</u>	<u>47,065</u>	<u>47,431</u>	<u>46,278</u>	<u>46,251</u>
<i>All Other</i>	<u>41,494</u>	<u>45,684</u>	<u>47,065</u>	<u>47,431</u>	<u>46,278</u>	<u>46,251</u>
<b>Functional Total</b>	<u>43,090</u>	<u>47,506</u>	<u>48,887</u>	<u>49,253</u>	<u>48,100</u>	<u>48,073</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	7,651	6,852	7,233	6,035	6,170	6,296
Civil Service, Department of	1,524	1,311	1,489	1,506	1,889	1,894
Deferred Compensation Board	145	79	213	219	225	225
Elections, State Board of	1,399	5,335	970	925	946	967
Employee Relations, Office of	91	75	137	140	140	141
Gaming Commission, New York State	0	0	0	136,336	139,785	139,785
General Services, Office of	71,236	71,121	105,429	102,065	82,638	84,130
Inspector General, Office of the	184	342	527	588	669	711
Labor Management Committees	22,463	14,856	23,338	27,500	29,125	39,131
Lottery, Division of the	131,898	120,208	136,571	0	0	0
Public Employment Relations Board	532	478	441	545	758	758
Public Integrity, Commission on	777	725	850	3,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	10,527	12,359	14,609	16,490	17,884	18,092
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	89,173	82,505	91,270	93,833	96,160	96,160
Technology, Office for	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	455	444	939	444	439	450
<b>Functional Total</b>	<u>349,339</u>	<u>325,562</u>	<u>392,625</u>	<u>397,844</u>	<u>388,337</u>	<u>399,540</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	28,521	31,825	30,723	32,809	33,655	34,517
Executive Chamber	1,917	3,046	2,678	2,776	2,843	2,911
Judiciary	343,770	362,957	387,650	400,130	438,460	476,752
Law, Department of	50,127	53,999	54,189	51,758	52,986	54,247
Legislature	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	23	119	134	134	150	137
<b>Functional Total</b>	<u>472,798</u>	<u>497,227</u>	<u>528,885</u>	<u>542,432</u>	<u>584,266</u>	<u>626,116</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	<u>2,669</u>	<u>2,651</u>	<u>1,798</u>	<u>(123,173)</u>	<u>(128,120)</u>	<u>(128,076)</u>
<b>Functional Total</b>	<u>67,241</u>	<u>49,968</u>	<u>48,723</u>	<u>(76,248)</u>	<u>(81,195)</u>	<u>(81,151)</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>4,965,586</u></u>	<u><u>5,404,303</u></u>	<u><u>5,474,555</u></u>	<u><u>5,505,156</u></u>	<u><u>5,674,759</u></u>	<u><u>5,841,290</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,749	1,340	1,563	1,549	1,611	1,729
Alcoholic Beverage Control, Division of	4,125	3,572	3,890	4,546	4,685	4,932
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,638	1,670	1,704
Financial Services, Department of	66,829	78,607	66,930	86,170	88,824	94,279
Public Service Department	20,299	18,738	20,066	23,124	24,426	26,545
Racing and Wagering Board, State	3,517	2,283	3,054	0	0	0
<b>Functional Total</b>	<b>100,946</b>	<b>106,882</b>	<b>97,169</b>	<b>117,055</b>	<b>121,244</b>	<b>129,217</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	37,838	34,802	36,754	38,070	37,248	38,692
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,627	655	3,691	3,830	3,893	3,938
<b>Functional Total</b>	<b>42,733</b>	<b>37,846</b>	<b>40,445</b>	<b>41,900</b>	<b>41,141</b>	<b>42,630</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	27,440	24,762	24,535	28,102	29,211	31,951
Transportation, Department of	403	10,781	5,022	5,665	5,954	6,373
<b>Functional Total</b>	<b>27,843</b>	<b>35,543</b>	<b>29,557</b>	<b>33,767</b>	<b>35,165</b>	<b>38,324</b>
<b>HEALTH</b>						
Health, Department of	33,594	31,976	34,579	37,456	38,703	40,990
<i>Public Health</i>	33,594	31,976	34,579	37,456	38,703	40,990
Medicaid Inspector General, Office of the	94	26	0	0	0	0
Stem Cell and Innovation	318	232	0	0	0	0
<b>Functional Total</b>	<b>34,006</b>	<b>32,234</b>	<b>34,579</b>	<b>37,456</b>	<b>38,703</b>	<b>40,990</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,325	1,681	2,516	2,620	2,652	2,693
<i>OCFS</i>	2,325	1,681	2,516	2,620	2,652	2,693
Housing and Community Renewal, Division of	16,197	15,130	17,180	19,358	20,498	21,409
Labor, Department of	15,601	15,023	15,309	17,791	18,998	20,128
Temporary and Disability Assistance, Office of	1,449	884	0	0	0	0
<i>All Other</i>	1,449	884	0	0	0	0
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	44,453	50,812	52,058	55,758
<b>Functional Total</b>	<b>78,780</b>	<b>73,915</b>	<b>79,679</b>	<b>90,802</b>	<b>94,428</b>	<b>100,212</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	28,405	28,073	29,851	31,126	32,587	35,573
<i>OASAS</i>	12,170	12,086	14,265	13,995	14,338	15,652
<i>OASAS - Other</i>	16,235	15,987	15,586	17,131	18,249	19,921
Mental Health, Office of	559,405	530,084	575,056	620,467	665,917	734,427
<i>OMH</i>	198,061	121,294	138,921	161,980	175,685	190,907
<i>OMH - Other</i>	361,344	408,790	436,135	458,487	490,232	543,520
People with Developmental Disabilities, Office for	579,990	521,119	557,661	628,079	663,779	726,177
<i>OPWDD</i>	0	103,992	0	0	0	0
<i>OPWDD - Other</i>	579,990	417,127	557,661	628,079	663,779	726,177
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,017	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<b>1,168,817</b>	<b>1,080,352</b>	<b>1,163,755</b>	<b>1,280,942</b>	<b>1,363,658</b>	<b>1,497,658</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	2,000	0	42	45	48	51
Criminal Justice Services, Division of	86	61	195	78	80	85
Homeland Security and Emergency Services, Division of	196	0	899	964	998	1,066
Indigent Legal Services, Office of	0	83	285	445	464	489
Military and Naval Affairs, Division of	583	546	250	250	250	250
State Police, Division of	20,735	18,994	21,124	22,012	22,639	23,929
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	1,777	1,943	2,028	2,191
<b>Functional Total</b>	<b>25,087</b>	<b>21,621</b>	<b>24,572</b>	<b>25,737</b>	<b>26,507</b>	<b>28,061</b>
<b>HIGHER EDUCATION</b>						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,829	12,780	14,647	15,840	16,344	17,330
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	528,040	559,936	596,063	605,166	624,950	645,736
<b>Functional Total</b>	<b>553,861</b>	<b>584,960</b>	<b>616,961</b>	<b>627,442</b>	<b>647,920</b>	<b>669,888</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>EDUCATION</b>						
Education, Department of	29,406	30,286	28,554	31,694	32,484	34,130
<i>All Other</i>	29,406	30,286	28,554	31,694	32,484	34,130
<b>Functional Total</b>	<u>29,406</u>	<u>30,286</u>	<u>28,554</u>	<u>31,694</u>	<u>32,484</u>	<u>34,130</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,744	2,081	2,232	2,066	2,402	2,599
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
Gaming Commission, New York State	0	0	0	17,166	17,490	17,490
General Services, Office of	1,290	1,495	1,901	2,136	2,208	2,354
Lottery, Division of the	9,955	9,822	11,743	0	0	0
State, Department of	9,186	7,368	8,952	10,859	11,237	11,976
Taxation and Finance, Department of	23,256	11,633	21,522	22,820	23,047	23,047
<b>Functional Total</b>	<u>45,812</u>	<u>32,804</u>	<u>46,709</u>	<u>55,423</u>	<u>56,781</u>	<u>57,867</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,382	2,689	2,061	1,582	1,653	1,778
Judiciary	551,580	601,767	584,398	643,830	683,352	717,306
Law, Department of	7,884	8,163	14,030	14,962	15,692	16,611
<b>Functional Total</b>	<u>560,846</u>	<u>612,619</u>	<u>600,489</u>	<u>660,374</u>	<u>700,697</u>	<u>735,695</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
Miscellaneous	6,898	5,244	5,701	5,824	5,907	5,986
<b>Functional Total</b>	<u>3,433,358</u>	<u>3,943,350</u>	<u>3,853,893</u>	<u>4,214,368</u>	<u>4,497,827</u>	<u>4,710,489</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>6,101,495</u></u>	<u><u>6,592,412</u></u>	<u><u>6,616,362</u></u>	<u><u>7,216,960</u></u>	<u><u>7,656,555</u></u>	<u><u>8,085,161</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	18,571	6,079	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>18,571</u>	<u>6,079</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TRANSPORTATION</b>						
Transportation, Department of	0	349	0	0	0	0
<b>Functional Total</b>	<u>0</u>	<u>349</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>GENERAL GOVERNMENT</b>						
State, Department of	0	0	(15)	(15)	(15)	(15)
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>18,571</u>	<u>6,428</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	5,205	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	30,190	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	57,695	41,323	29,381	32,645	19,859	15,000
Empire State Development Corporation	895,913	877,318	437,065	587,602	607,103	439,492
Energy Research and Development Authority	16,403	14,629	12,400	12,000	13,000	13,000
Regional Economic Development Program	5,248	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,014,215</b>	<b>978,657</b>	<b>514,352</b>	<b>661,524</b>	<b>658,792</b>	<b>482,492</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	671,782	656,742	649,692	568,081	480,559	446,102
Environmental Facilities Corporation	356	1,454	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	40,592	26,118	81,341	54,627	41,940	28,228
<b>Functional Total</b>	<b>727,946</b>	<b>684,530</b>	<b>734,849</b>	<b>622,708</b>	<b>522,499</b>	<b>474,330</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	204,814	186,910	183,195	198,874	203,658	213,727
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	3,680,311	3,513,459	3,712,703	3,751,373	3,500,355	3,182,305
<b>Functional Total</b>	<b>4,103,515</b>	<b>3,897,032</b>	<b>4,231,298</b>	<b>4,135,647</b>	<b>3,889,413</b>	<b>3,726,403</b>
<b>HEALTH</b>						
Health, Department of	286,445	330,927	462,118	419,343	88,907	88,333
<i>Public Health</i>	286,445	330,927	462,118	419,343	88,907	88,333
<b>Functional Total</b>	<b>286,445</b>	<b>330,927</b>	<b>462,118</b>	<b>419,343</b>	<b>88,907</b>	<b>88,333</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	21,023	20,511	20,900	20,900	20,914	20,931
<i>OCFS</i>	21,023	20,511	20,900	20,900	20,914	20,931
Housing and Community Renewal, Division of	96,570	82,360	83,635	83,577	85,229	90,231
Temporary and Disability Assistance, Office of	45,000	45,000	40,000	30,000	30,000	30,000
<i>All Other</i>	45,000	45,000	40,000	30,000	30,000	30,000
<b>Functional Total</b>	<b>162,593</b>	<b>147,871</b>	<b>144,535</b>	<b>134,477</b>	<b>136,143</b>	<b>141,162</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	43,218	52,984	57,819	57,819	126,773	126,773
<i>OASAS</i>	43,218	52,984	57,819	57,819	126,773	126,773
Mental Health, Office of	129,125	94,524	134,090	142,109	217,191	217,294
<i>OMH</i>	129,125	94,524	134,090	142,109	217,191	217,294
People with Developmental Disabilities, Office for	24,369	41,719	49,099	43,099	43,099	43,099
<i>OPWDD</i>	24,369	41,719	49,099	43,099	43,099	43,099
<b>Functional Total</b>	<b>196,712</b>	<b>189,227</b>	<b>241,008</b>	<b>243,027</b>	<b>387,063</b>	<b>387,166</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	216,232	239,799	235,697	268,461	274,494	271,860
Disaster Assistance	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	2,416	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	23,746	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	535	125	0	0	0	0
State Police, Division of	14,597	30,466	37,800	17,874	13,865	13,865
<b>Functional Total</b>	<b>257,526</b>	<b>308,712</b>	<b>316,538</b>	<b>326,431</b>	<b>337,366</b>	<b>327,732</b>
<b>HIGHER EDUCATION</b>						
City University of New York	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
State University of New York	852,555	1,020,432	1,123,385	1,069,284	1,196,517	1,120,167
<b>Functional Total</b>	<b>895,990</b>	<b>1,072,450</b>	<b>1,212,004</b>	<b>1,132,350</b>	<b>1,243,242</b>	<b>1,162,125</b>
<b>EDUCATION</b>						
Education, Department of	13,398	22,384	46,637	47,118	32,400	37,400
<i>All Other</i>	13,398	22,384	46,637	47,118	32,400	37,400
<b>Functional Total</b>	<b>13,398</b>	<b>22,384</b>	<b>46,637</b>	<b>47,118</b>	<b>32,400</b>	<b>37,400</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	61,188	74,801	66,578	59,309	69,883	69,883
State, Department of	1,373	0	15	15	15	15
Technology, Office for	4,085	511	0	0	0	0
<b>Functional Total</b>	<b>66,646</b>	<b>75,312</b>	<b>66,593</b>	<b>59,324</b>	<b>69,898</b>	<b>69,898</b>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	9,640	2,311	0	0	0	0
<b>Functional Total</b>	<u>9,640</u>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	109,953	126,774	91,000	104,000	96,000	40,000
<b>Functional Total</b>	<u>109,953</u>	<u>126,774</u>	<u>91,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u>7,844,579</u>	<u>7,836,187</u>	<u>8,060,932</u>	<u>7,885,949</u>	<u>7,461,723</u>	<u>6,937,041</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>96,013</b>	<b>109,312</b>	<b>104,769</b>	<b>100,563</b>	<b>104,032</b>	<b>99,945</b>
Local Assistance Grants	15,014	24,603	22,339	22,297	24,697	23,097
State Operations	70,045	64,331	63,902	65,676	68,704	69,836
Personal Service	33,711	30,360	30,410	31,453	32,808	33,500
Non-Personal Service/Indirect Costs	36,334	33,971	33,492	34,223	35,896	36,336
General State Charges	5,749	2,422	3,022	3,313	3,301	3,512
Capital Projects	5,205	17,956	15,506	9,277	7,330	3,500
<b>Alcoholic Beverage Control, Division of</b>	<b>16,706</b>	<b>16,616</b>	<b>16,960</b>	<b>18,175</b>	<b>18,742</b>	<b>19,188</b>
State Operations	12,581	13,044	13,070	13,629	14,057	14,256
Personal Service	8,512	7,720	7,527	8,195	8,308	8,370
Non-Personal Service/Indirect Costs	4,069	5,324	5,543	5,434	5,749	5,886
General State Charges	4,125	3,572	3,890	4,546	4,685	4,932
<b>Development Authority of the North Country</b>	<b>10</b>	<b>0</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	10	0	70	0	0	0
<b>Economic Development Capital</b>	<b>30,190</b>	<b>17,655</b>	<b>12,500</b>	<b>12,500</b>	<b>5,000</b>	<b>5,000</b>
Local Assistance Grants	30,617	17,655	0	0	0	0
Capital Projects	(427)	0	12,500	12,500	5,000	5,000
<b>Economic Development, Department of</b>	<b>103,950</b>	<b>147,540</b>	<b>142,669</b>	<b>128,639</b>	<b>98,234</b>	<b>93,630</b>
Local Assistance Grants	75,494	112,990	90,364	72,329	54,872	54,872
State Operations	20,444	33,729	22,896	23,637	23,475	23,730
Personal Service	13,906	11,354	12,629	13,852	13,424	13,679
Non-Personal Service/Indirect Costs	6,538	22,375	10,267	9,785	10,051	10,051
General State Charges	21	0	28	28	28	28
Capital Projects	7,991	821	29,381	32,645	19,859	15,000
<b>Empire State Development Corporation</b>	<b>931,654</b>	<b>953,805</b>	<b>521,153</b>	<b>658,882</b>	<b>678,275</b>	<b>507,641</b>
Local Assistance Grants	926,844	945,359	423,588	526,280	543,272	421,809
Capital Projects	4,810	8,446	97,565	132,602	135,003	85,832
<b>Energy Research and Development Authority</b>	<b>31,710</b>	<b>31,567</b>	<b>28,558</b>	<b>28,158</b>	<b>29,477</b>	<b>29,808</b>
Local Assistance Grants	9,157	8,140	9,234	9,234	9,418	9,607
State Operations	4,744	6,456	5,286	5,286	5,389	5,497
Personal Service	2,894	4,776	3,432	3,432	3,500	3,570
Non-Personal Service/Indirect Costs	1,850	1,680	1,854	1,854	1,889	1,927
General State Charges	1,406	2,342	1,638	1,638	1,670	1,704
Capital Projects	16,403	14,629	12,400	12,000	13,000	13,000
<b>Financial Services, Department of</b>	<b>507,393</b>	<b>507,240</b>	<b>486,283</b>	<b>513,675</b>	<b>518,571</b>	<b>526,626</b>
Local Assistance Grants	228,152	217,470	216,952	216,952	216,952	216,952
State Operations	212,412	211,029	202,401	210,553	212,795	215,395
Personal Service	152,126	142,603	144,757	152,595	154,769	157,291
Non-Personal Service/Indirect Costs	60,286	68,426	57,644	57,958	58,026	58,104
General State Charges	66,829	78,741	66,930	86,170	88,824	94,279
<b>Olympic Regional Development Authority</b>	<b>3,534</b>	<b>3,596</b>	<b>3,079</b>	<b>3,206</b>	<b>3,288</b>	<b>3,288</b>
State Operations	3,534	3,596	3,079	3,206	3,288	3,288
Personal Service	2,890	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	644	747	594	684	740	740
<b>Public Service Department</b>	<b>75,288</b>	<b>68,432</b>	<b>69,884</b>	<b>76,341</b>	<b>79,233</b>	<b>83,338</b>
Local Assistance Grants	0	0	90	200	200	200
State Operations	54,403	48,882	48,997	52,225	53,922	55,877
Personal Service	43,289	40,067	40,285	43,038	44,539	46,283
Non-Personal Service/Indirect Costs	11,114	8,815	8,712	9,187	9,383	9,594
General State Charges	20,885	19,550	20,797	23,916	25,111	27,261
<b>Racing and Wagering Board, State</b>	<b>21,573</b>	<b>19,553</b>	<b>18,248</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	18,056	17,270	15,194	0	0	0
Personal Service	12,062	10,940	9,059	0	0	0
Non-Personal Service/Indirect Costs	5,994	6,330	6,135	0	0	0
General State Charges	3,517	2,283	3,054	0	0	0
<b>Regional Economic Development Program</b>	<b>5,248</b>	<b>6,058</b>	<b>2,500</b>	<b>2,500</b>	<b>1,500</b>	<b>1,500</b>
Local Assistance Grants	5,248	6,058	0	0	0	0
Capital Projects	0	0	2,500	2,500	1,500	1,500
<b>Strategic Investment Program</b>	<b>3,561</b>	<b>3,718</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>
Capital Projects	3,561	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,826,830</b>	<b>1,885,092</b>	<b>1,411,673</b>	<b>1,547,639</b>	<b>1,541,352</b>	<b>1,374,964</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,718</b>	<b>4,354</b>	<b>4,496</b>	<b>4,525</b>	<b>4,601</b>	<b>4,687</b>
State Operations	4,718	4,354	4,496	4,525	4,601	4,687

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Service	4,234	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	484	575	705	705	705	705
<b>Environmental Conservation, Department of</b>	<b>1,024,255</b>	<b>1,002,764</b>	<b>980,619</b>	<b>895,129</b>	<b>808,442</b>	<b>778,980</b>
Local Assistance Grants	369,290	358,448	257,775	209,492	149,042	149,042
State Operations	302,256	294,072	277,769	274,795	276,201	279,473
Personal Service	210,874	213,248	199,437	199,450	203,566	206,699
Non-Personal Service/Indirect Costs	91,382	80,824	78,332	75,345	72,635	72,774
General State Charges	47,382	47,190	46,833	48,211	47,640	49,363
Capital Projects	305,327	303,054	398,242	362,631	335,559	301,102
<b>Environmental Facilities Corporation</b>	<b>9,746</b>	<b>10,347</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	1,180	0	0	0	0
State Operations	7,122	6,504	0	0	0	0
Personal Service	6,060	5,454	0	0	0	0
Non-Personal Service/Indirect Costs	1,062	1,050	0	0	0	0
General State Charges	2,268	2,389	0	0	0	0
Capital Projects	356	274	0	0	0	0
<b>Hudson River Park Trust</b>	<b>15,216</b>	<b>216</b>	<b>3,816</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Projects	15,216	216	3,816	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>260,277</b>	<b>235,452</b>	<b>284,953</b>	<b>249,874</b>	<b>239,900</b>	<b>228,293</b>
Local Assistance Grants	18,960	15,759	17,382	8,870	8,870	8,870
State Operations	180,237	186,943	177,031	177,036	179,576	181,627
Personal Service	136,484	139,955	129,773	130,333	132,213	134,264
Non-Personal Service/Indirect Costs	43,753	46,988	47,258	46,703	47,363	47,363
General State Charges	2,719	655	4,199	4,341	4,514	4,568
Capital Projects	58,361	32,095	86,341	59,627	46,940	33,228
<b>Functional Total</b>	<b>1,314,212</b>	<b>1,253,133</b>	<b>1,273,884</b>	<b>1,149,528</b>	<b>1,052,943</b>	<b>1,011,960</b>
<b>TRANSPORTATION</b>						
<b>Metropolitan Transportation Authority</b>	<b>216,912</b>	<b>194,500</b>	<b>333,600</b>	<b>183,600</b>	<b>183,600</b>	<b>328,571</b>
Local Assistance Grants	216,912	194,500	183,600	183,600	183,600	18,571
Capital Projects	0	0	150,000	0	0	310,000
<b>Motor Vehicles, Department of</b>	<b>320,322</b>	<b>301,370</b>	<b>296,044</b>	<b>321,404</b>	<b>328,955</b>	<b>344,972</b>
Local Assistance Grants	15,734	18,475	14,800	16,000	16,000	16,000
State Operations	71,816	70,746	72,891	77,188	78,878	81,970
Personal Service	52,897	49,513	50,373	52,760	53,958	56,503
Non-Personal Service/Indirect Costs	18,919	21,233	22,518	24,428	24,920	25,467
General State Charges	27,958	25,239	25,158	29,342	30,419	33,275
Capital Projects	204,814	186,910	183,195	198,874	203,658	213,727
<b>Thruway Authority, New York State</b>	<b>1,478</b>	<b>2,163</b>	<b>1,800</b>	<b>1,800</b>	<b>1,800</b>	<b>1,800</b>
Local Assistance Grants	1,478	2,163	0	0	0	0
Capital Projects	0	0	1,800	1,800	1,800	1,800
<b>Transportation, Department of</b>	<b>8,017,474</b>	<b>7,830,434</b>	<b>8,171,633</b>	<b>8,390,808</b>	<b>8,218,135</b>	<b>7,996,713</b>
Local Assistance Grants	4,892,214	4,757,704	5,019,024	5,206,264	5,297,709	5,341,763
State Operations	40,374	42,373	33,337	34,222	34,341	35,151
Personal Service	15,310	13,730	15,439	15,903	16,263	16,662
Non-Personal Service/Indirect Costs	25,064	28,643	17,898	18,319	18,078	18,489
General State Charges	2,519	13,072	7,551	8,698	8,924	9,542
Capital Projects	3,082,367	3,017,285	3,111,721	3,141,624	2,877,161	2,610,257
<b>Functional Total</b>	<b>8,556,186</b>	<b>8,328,467</b>	<b>8,803,077</b>	<b>8,897,612</b>	<b>8,732,490</b>	<b>8,672,056</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>232,453</b>	<b>227,242</b>	<b>218,487</b>	<b>218,134</b>	<b>224,960</b>	<b>231,988</b>
Local Assistance Grants	222,625	217,808	208,466	208,083	214,650	221,458
State Operations	9,828	9,434	9,786	9,816	10,075	10,295
Personal Service	8,323	7,978	8,586	8,616	8,875	9,088
Non-Personal Service/Indirect Costs	1,505	1,456	1,200	1,200	1,200	1,207
General State Charges	0	0	235	235	235	235
<b>Health, Department of</b>	<b>43,795,710</b>	<b>44,663,159</b>	<b>44,406,622</b>	<b>47,277,107</b>	<b>51,068,190</b>	<b>54,064,706</b>
<b>Medical Assistance</b>	<b>38,624,934</b>	<b>39,256,878</b>	<b>38,809,761</b>	<b>41,489,955</b>	<b>45,462,111</b>	<b>48,658,917</b>
Local Assistance Grants	38,601,687	39,241,656	38,758,406	41,433,600	45,406,256	48,603,062
State Operations	23,247	15,222	51,355	56,355	55,855	55,855
Personal Service	500	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	22,747	13,784	50,855	55,855	55,355	55,355
<b>Medicaid Administration</b>	<b>956,269</b>	<b>976,377</b>	<b>1,160,500</b>	<b>1,151,400</b>	<b>1,077,200</b>	<b>958,200</b>
Local Assistance Grants	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
<b>Public Health</b>	<b>4,214,507</b>	<b>4,429,904</b>	<b>4,436,361</b>	<b>4,635,752</b>	<b>4,528,879</b>	<b>4,447,589</b>
Local Assistance Grants	3,396,015	3,593,923	3,603,950	3,740,585	3,595,012	3,470,596

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>State Operations</b>	<b>750,575</b>	<b>772,802</b>	<b>759,221</b>	<b>818,037</b>	<b>854,922</b>	<b>894,687</b>
Personal Service	346,059	318,107	325,176	358,302	385,622	425,434
Non-Personal Service/Indirect Costs	404,516	454,695	434,045	459,735	469,300	469,253
General State Charges	60,449	55,907	59,690	63,630	65,445	68,806
Capital Projects	7,468	7,272	13,500	13,500	13,500	13,500
<b>Medicaid Inspector General, Office of the</b>	<b>66,843</b>	<b>60,837</b>	<b>66,243</b>	<b>65,925</b>	<b>67,827</b>	<b>68,637</b>
State Operations	57,844	52,040	55,505	55,176	56,847	57,373
Personal Service	41,629	39,477	36,760	36,100	37,048	37,548
Non-Personal Service/Indirect Costs	16,215	12,563	18,745	19,076	19,799	19,825
General State Charges	8,999	8,797	10,738	10,749	10,980	11,264
<b>Stem Cell and Innovation</b>	<b>37,289</b>	<b>43,702</b>	<b>43,500</b>	<b>51,000</b>	<b>61,373</b>	<b>62,673</b>
State Operations	36,971	43,470	43,500	51,000	61,373	62,673
Personal Service	534	441	468	472	472	472
Non-Personal Service/Indirect Costs	36,437	43,029	43,032	50,528	60,901	62,201
General State Charges	318	232	0	0	0	0
<b>Functional Total</b>	<b>44,132,295</b>	<b>44,994,940</b>	<b>44,734,852</b>	<b>47,612,166</b>	<b>51,422,350</b>	<b>54,428,004</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>3,144,866</b>	<b>3,183,934</b>	<b>2,984,297</b>	<b>3,154,756</b>	<b>3,368,279</b>	<b>3,446,066</b>
<b>OCFS</b>	<b>3,075,511</b>	<b>3,070,766</b>	<b>2,896,803</b>	<b>3,064,947</b>	<b>3,274,718</b>	<b>3,348,428</b>
Local Assistance Grants	2,640,673	2,657,157	2,457,417	2,627,122	2,849,321	2,925,212
State Operations	404,378	380,630	406,057	405,374	392,604	390,004
Personal Service	220,446	203,272	215,568	207,299	191,823	190,656
Non-Personal Service/Indirect Costs	183,932	177,358	190,489	198,075	200,781	199,348
General State Charges	12,215	12,485	12,429	11,551	11,893	12,312
Capital Projects	18,245	20,494	20,900	20,900	20,900	20,900
<b>OCFS - Other</b>	<b>69,355</b>	<b>113,168</b>	<b>87,494</b>	<b>89,809</b>	<b>93,561</b>	<b>97,638</b>
Local Assistance Grants	69,355	113,168	87,494	89,809	93,561	97,638
<b>Housing and Community Renewal, Division of</b>	<b>403,244</b>	<b>482,652</b>	<b>271,077</b>	<b>268,534</b>	<b>272,841</b>	<b>280,561</b>
Local Assistance Grants	308,912	396,383	181,941	173,881	175,533	180,533
State Operations	71,483	65,256	65,136	67,682	68,935	70,795
Personal Service	55,025	48,144	49,961	52,037	53,077	54,778
Non-Personal Service/Indirect Costs	16,458	17,112	15,175	15,645	15,858	16,017
General State Charges	19,849	18,713	21,000	23,969	25,371	26,229
Capital Projects	3,000	2,300	3,000	3,002	3,002	3,004
<b>Human Rights, Division of</b>	<b>19,139</b>	<b>17,311</b>	<b>17,408</b>	<b>17,884</b>	<b>18,457</b>	<b>19,070</b>
State Operations	18,092	17,262	15,439	15,809	16,254	16,740
Personal Service	14,686	13,420	13,013	13,265	13,637	14,050
Non-Personal Service/Indirect Costs	3,406	3,842	2,426	2,544	2,617	2,690
General State Charges	1,047	49	1,969	2,075	2,203	2,330
<b>Labor, Department of</b>	<b>710,215</b>	<b>641,800</b>	<b>697,934</b>	<b>715,482</b>	<b>711,341</b>	<b>726,799</b>
Local Assistance Grants	195,795	164,683	193,507	181,922	179,197	179,197
State Operations	407,013	368,493	381,869	387,135	388,338	394,307
Personal Service	249,256	241,039	243,444	252,903	257,651	263,141
Non-Personal Service/Indirect Costs	157,757	127,454	138,425	134,232	130,687	131,166
General State Charges	107,407	108,624	122,558	146,425	143,806	153,295
<b>National and Community Service</b>	<b>24,731</b>	<b>21,606</b>	<b>14,601</b>	<b>14,687</b>	<b>14,909</b>	<b>14,909</b>
Local Assistance Grants	0	38	350	350	350	350
State Operations	24,731	21,568	14,251	14,337	14,559	14,559
Personal Service	661	674	564	683	690	690
Non-Personal Service/Indirect Costs	24,070	20,894	13,687	13,654	13,869	13,869
<b>Prevention of Domestic Violence, Office for</b>	<b>1,946</b>	<b>1,825</b>	<b>2,098</b>	<b>2,298</b>	<b>2,253</b>	<b>2,303</b>
Local Assistance Grants	666	541	685	685	685	685
State Operations	1,280	1,284	1,413	1,613	1,568	1,618
Personal Service	1,088	1,063	1,260	1,388	1,446	1,485
Non-Personal Service/Indirect Costs	192	221	153	225	122	133
<b>Temporary and Disability Assistance, Office of</b>	<b>5,278,082</b>	<b>5,317,739</b>	<b>5,452,712</b>	<b>5,117,548</b>	<b>5,030,087</b>	<b>5,060,740</b>
<b>Welfare Assistance</b>	<b>3,717,714</b>	<b>3,787,292</b>	<b>4,041,043</b>	<b>3,709,603</b>	<b>3,607,952</b>	<b>3,634,665</b>
Local Assistance Grants	3,717,714	3,787,292	4,041,043	3,709,603	3,607,952	3,634,665
<b>All Other</b>	<b>1,560,368</b>	<b>1,530,447</b>	<b>1,411,669</b>	<b>1,407,945</b>	<b>1,422,135</b>	<b>1,426,075</b>
Local Assistance Grants	1,183,687	1,167,432	1,032,593	1,018,196	1,018,196	1,019,596
State Operations	329,230	319,774	334,953	345,286	358,966	361,506
Personal Service	166,482	154,615	150,994	160,856	167,983	173,075
Non-Personal Service/Indirect Costs	162,748	165,159	183,959	184,430	190,983	188,431
General State Charges	47,451	43,241	44,123	44,463	44,973	44,973
<b>Welfare Inspector General, Office of</b>	<b>1,150</b>	<b>441</b>	<b>1,407</b>	<b>1,427</b>	<b>1,456</b>	<b>1,468</b>
State Operations	1,094	395	1,186	1,206	1,234	1,244

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Personal Service	434	364	701	721	738	748
Non-Personal Service/Indirect Costs	660	31	485	485	496	496
General State Charges	56	46	221	221	222	224
<b>Workers' Compensation Board</b>	<b>198,709</b>	<b>203,545</b>	<b>199,742</b>	<b>205,415</b>	<b>208,701</b>	<b>215,688</b>
State Operations	155,557	162,394	155,289	154,603	156,643	159,930
Personal Service	89,052	85,890	86,300	91,587	92,301	94,633
Non-Personal Service/Indirect Costs	66,505	76,504	68,989	63,016	64,342	65,297
General State Charges	43,152	41,151	44,453	50,812	52,058	55,758
<b>Functional Total</b>	<b>9,782,082</b>	<b>9,870,853</b>	<b>9,641,276</b>	<b>9,498,031</b>	<b>9,628,324</b>	<b>9,767,604</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>600,042</b>	<b>592,713</b>	<b>608,688</b>	<b>623,979</b>	<b>713,711</b>	<b>734,650</b>
<b>OASAS</b>	<b>488,354</b>	<b>500,824</b>	<b>520,204</b>	<b>543,619</b>	<b>630,683</b>	<b>647,847</b>
Local Assistance Grants	434,709	450,222	456,790	473,525	559,027	573,106
State Operations	39,783	38,370	39,269	40,468	41,303	43,061
Personal Service	29,054	27,687	29,498	30,379	30,906	32,390
Non-Personal Service/Indirect Costs	10,729	10,683	9,771	10,089	10,397	10,671
General State Charges	12,184	12,086	14,265	16,430	17,270	18,597
Capital Projects	1,678	146	9,880	13,196	13,083	13,083
<b>OASAS - Other</b>	<b>111,688</b>	<b>91,889</b>	<b>88,484</b>	<b>80,360</b>	<b>83,028</b>	<b>86,803</b>
Local Assistance Grants	49,089	32,151	32,680	21,325	21,325	21,325
State Operations	46,364	43,751	40,218	41,904	43,454	45,557
Personal Service	34,493	32,119	29,910	31,553	32,794	34,616
Non-Personal Service/Indirect Costs	11,871	11,632	10,308	10,351	10,660	10,941
General State Charges	16,235	15,987	15,586	17,131	18,249	19,921
<b>Developmental Disabilities Planning Council</b>	<b>2,894</b>	<b>3,908</b>	<b>4,201</b>	<b>4,201</b>	<b>4,200</b>	<b>4,200</b>
State Operations	2,894	2,573	3,740	3,597	3,508	3,482
Personal Service	1,190	980	1,197	1,211	1,230	1,253
Non-Personal Service/Indirect Costs	1,704	1,593	2,543	2,386	2,278	2,229
General State Charges	0	1,335	461	604	692	718
<b>Mental Health, Office of</b>	<b>3,332,889</b>	<b>3,171,087</b>	<b>3,257,944</b>	<b>3,432,215</b>	<b>3,743,029</b>	<b>4,049,733</b>
<b>OMH</b>	<b>1,574,835</b>	<b>1,287,760</b>	<b>1,419,509</b>	<b>1,508,283</b>	<b>1,707,900</b>	<b>1,848,418</b>
Local Assistance Grants	823,456	795,093	818,487	886,877	1,052,887	1,165,277
State Operations	483,127	308,056	384,241	381,485	401,322	414,111
Personal Service	405,883	253,990	304,433	301,069	321,262	329,388
Non-Personal Service/Indirect Costs	77,244	54,066	79,808	80,416	80,060	84,723
General State Charges	198,566	121,600	139,211	162,323	176,011	191,247
Capital Projects	69,686	63,011	77,570	77,598	77,680	77,783
<b>OMH - Other</b>	<b>1,758,054</b>	<b>1,883,327</b>	<b>1,838,435</b>	<b>1,923,932</b>	<b>2,035,129</b>	<b>2,201,315</b>
Local Assistance Grants	423,412	408,148	382,649	388,250	415,694	460,750
State Operations	973,298	1,066,389	1,019,651	1,077,195	1,129,203	1,197,045
Personal Service	739,482	827,902	811,717	852,746	885,356	938,262
Non-Personal Service/Indirect Costs	233,816	238,487	207,934	224,449	243,847	258,783
General State Charges	361,344	408,790	436,135	458,487	490,232	543,520
<b>Mental Hygiene, Department of</b>	<b>345</b>	<b>411</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	345	411	0	0	0	0
Non-Personal Service/Indirect Costs	345	411	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>4,334,649</b>	<b>4,370,972</b>	<b>4,356,858</b>	<b>4,560,139</b>	<b>4,733,710</b>	<b>5,021,698</b>
<b>OPWDD</b>	<b>527,982</b>	<b>943,224</b>	<b>518,204</b>	<b>538,831</b>	<b>563,075</b>	<b>582,665</b>
Local Assistance Grants	502,659	691,809	439,132	464,879	489,123	508,713
State Operations	1,686	108,957	33,782	34,658	34,658	34,658
Personal Service	42	72,350	116	116	116	116
Non-Personal Service/Indirect Costs	1,644	36,607	33,666	34,542	34,542	34,542
General State Charges	19	104,039	70	74	74	74
Capital Projects	23,618	38,419	45,220	39,220	39,220	39,220
<b>OPWDD - Other</b>	<b>3,806,667</b>	<b>3,427,748</b>	<b>3,838,654</b>	<b>4,021,308</b>	<b>4,170,635</b>	<b>4,439,033</b>
Local Assistance Grants	1,677,965	1,631,638	1,799,409	1,854,132	1,929,880	2,049,611
State Operations	1,548,712	1,378,983	1,481,584	1,539,097	1,576,976	1,663,245
Personal Service	1,168,196	1,052,886	1,119,528	1,165,242	1,191,675	1,267,575
Non-Personal Service/Indirect Costs	380,516	326,097	362,056	373,855	385,301	395,670
General State Charges	579,990	417,127	557,661	628,079	663,779	726,177
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>14,708</b>	<b>13,903</b>	<b>17,074</b>	<b>17,581</b>	<b>18,102</b>	<b>18,651</b>
Local Assistance Grants	623	560	620	620	620	620
State Operations	12,393	11,635	14,873	15,582	15,991	16,427
Personal Service	7,012	6,184	7,105	7,283	7,447	7,618
Non-Personal Service/Indirect Costs	5,381	5,451	7,768	8,299	8,544	8,809
General State Charges	1,692	1,708	1,581	1,379	1,491	1,604

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Functional Total</b>	<b>8,285,527</b>	<b>8,152,994</b>	<b>8,244,765</b>	<b>8,638,115</b>	<b>9,212,752</b>	<b>9,828,932</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,419</b>	<b>2,251</b>	<b>2,672</b>	<b>2,711</b>	<b>2,787</b>	<b>2,864</b>
State Operations	2,419	2,251	2,672	2,711	2,787	2,864
Personal Service	2,082	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	337	361	274	297	306	316
<b>Correctional Services, Department of</b>	<b>2,834,565</b>	<b>2,721,925</b>	<b>2,992,980</b>	<b>2,881,751</b>	<b>2,959,706</b>	<b>3,114,015</b>
Local Assistance Grants	10,624	6,141	6,051	6,000	6,000	6,000
State Operations	2,604,952	2,474,865	2,749,742	2,605,814	2,677,731	2,834,671
Personal Service	2,072,488	1,962,381	2,276,340	2,112,424	2,163,837	2,299,342
Non-Personal Service/Indirect Costs	532,464	512,484	473,402	493,390	513,894	535,329
General State Charges	2,757	1,120	1,490	1,476	1,481	1,484
Capital Projects	216,232	239,799	235,697	268,461	274,494	271,860
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>
Local Assistance Grants	0	0	0	11,500	11,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>269,469</b>	<b>270,905</b>	<b>286,451</b>	<b>262,510</b>	<b>257,628</b>	<b>260,841</b>
Local Assistance Grants	186,974	188,439	197,750	173,901	173,711	173,711
State Operations	82,243	82,091	88,306	85,445	82,215	83,810
Personal Service	45,227	42,682	41,053	39,763	40,760	41,886
Non-Personal Service/Indirect Costs	37,016	39,409	47,253	45,682	41,455	41,924
General State Charges	252	375	395	3,164	1,702	3,320
<b>Disaster Assistance</b>	<b>0</b>	<b>38,565</b>	<b>76,000</b>	<b>64,702</b>	<b>(400)</b>	<b>0</b>
Local Assistance Grants	0	0	77,000	86,126	(400)	0
State Operations	0	20,811	(1,000)	(21,424)	0	0
Personal Service	0	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	0	11,126	(1,000)	(21,424)	0	0
Capital Projects	0	17,754	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>360,463</b>	<b>466,966</b>	<b>494,492</b>	<b>536,492</b>	<b>522,017</b>	<b>516,161</b>
Local Assistance Grants	326,323	428,194	411,488	468,061	465,860	463,744
State Operations	28,612	30,400	63,189	51,451	46,743	48,035
Personal Service	12,781	14,835	23,202	23,303	22,522	23,223
Non-Personal Service/Indirect Costs	15,831	15,565	39,987	28,148	24,221	24,812
General State Charges	3,112	3,896	3,815	3,880	3,914	3,982
Capital Projects	2,416	4,476	16,000	13,100	5,500	400
<b>Indigent Legal Services, Office of</b>	<b>90,793</b>	<b>62,701</b>	<b>62,244</b>	<b>62,999</b>	<b>78,634</b>	<b>78,675</b>
Local Assistance Grants	65,769	62,292	61,100	61,400	77,000	77,000
State Operations	25,024	326	859	1,154	1,170	1,186
Personal Service	8	259	569	802	810	818
Non-Personal Service/Indirect Costs	25,016	67	290	352	360	368
General State Charges	0	83	285	445	464	489
<b>Judicial Commissions</b>	<b>4,944</b>	<b>4,979</b>	<b>5,452</b>	<b>5,588</b>	<b>5,795</b>	<b>5,990</b>
State Operations	4,944	4,979	5,452	5,588	5,795	5,990
Personal Service	3,723	3,794	4,093	4,135	4,237	4,357
Non-Personal Service/Indirect Costs	1,221	1,185	1,359	1,453	1,558	1,633
<b>Military and Naval Affairs, Division of</b>	<b>99,704</b>	<b>86,751</b>	<b>96,001</b>	<b>96,273</b>	<b>113,258</b>	<b>111,940</b>
Local Assistance Grants	3,825	3,569	867	850	850	850
State Operations	63,905	61,069	61,344	61,678	62,152	62,734
Personal Service	41,684	39,164	38,671	38,990	39,316	39,746
Non-Personal Service/Indirect Costs	22,221	21,905	22,673	22,688	22,836	22,988
General State Charges	8,228	6,021	6,749	6,749	6,749	6,749
Capital Projects	23,746	16,092	27,041	26,996	43,507	41,607
<b>Public Security and Emergency Response</b>	<b>535</b>	<b>125</b>	<b>600</b>	<b>600</b>	<b>600</b>	<b>600</b>
State Operations	0	0	600	600	600	600
Personal Service	0	0	600	600	600	600
Capital Projects	535	125	0	0	0	0
<b>State Police, Division of</b>	<b>715,440</b>	<b>681,902</b>	<b>707,854</b>	<b>693,203</b>	<b>694,214</b>	<b>708,999</b>
State Operations	679,514	632,064	648,930	653,317	657,710	671,205
Personal Service	590,458	567,477	566,324	566,082	572,937	581,418
Non-Personal Service/Indirect Costs	89,056	64,587	82,606	87,235	84,773	89,787
General State Charges	21,329	19,372	21,124	22,012	22,639	23,929
Capital Projects	14,597	30,466	37,800	17,874	13,865	13,865
<b>Statewide Financial System</b>	<b>9,070</b>	<b>17,596</b>	<b>29,701</b>	<b>28,625</b>	<b>29,926</b>	<b>31,264</b>
State Operations	9,069	17,596	29,701	28,625	29,926	31,264
Personal Service	3,977	7,554	10,814	10,895	10,977	11,060
Non-Personal Service/Indirect Costs	5,092	10,042	18,887	17,730	18,949	20,204
General State Charges	1	0	0	0	0	0
<b>Statewide Wireless Network</b>	<b>28,253</b>	<b>87,696</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Local Assistance Grants	0	18,369	0	0	0	0
State Operations	27,655	69,207	0	0	0	0
Personal Service	8,076	7,006	0	0	0	0
Non-Personal Service/Indirect Costs	19,579	62,201	0	0	0	0
General State Charges	598	120	0	0	0	0
<b>Victim Services, Office of</b>	<b>69,522</b>	<b>76,444</b>	<b>65,197</b>	<b>67,502</b>	<b>67,764</b>	<b>68,166</b>
Local Assistance Grants	62,560	68,834	56,310	58,310	58,310	58,310
State Operations	6,074	5,793	6,784	6,895	7,072	7,293
Personal Service	4,950	4,398	5,366	5,446	5,604	5,805
Non-Personal Service/Indirect Costs	1,124	1,395	1,418	1,449	1,468	1,488
General State Charges	888	1,817	2,103	2,297	2,382	2,563
<b>Functional Total</b>	<b>4,485,177</b>	<b>4,518,806</b>	<b>4,819,644</b>	<b>4,714,456</b>	<b>4,743,429</b>	<b>4,912,015</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,348,692</b>	<b>1,341,784</b>	<b>1,391,629</b>	<b>1,507,666</b>	<b>1,566,038</b>	<b>1,631,407</b>
Local Assistance Grants	1,215,592	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
State Operations	117,099	107,837	114,166	105,896	107,658	109,454
Personal Service	85,732	74,043	86,224	77,255	78,301	79,363
Non-Personal Service/Indirect Costs	31,367	33,794	27,942	28,641	29,357	30,091
General State Charges	6,400	6,355	6,152	6,337	6,527	6,723
Capital Projects	9,601	25,182	52,127	53,066	46,725	41,958
<b>Higher Education - Miscellaneous</b>	<b>370</b>	<b>449</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>
State Operations	255	376	1,201	1,201	1,201	1,201
Personal Service	221	207	198	198	198	198
Non-Personal Service/Indirect Costs	34	169	1,003	1,003	1,003	1,003
General State Charges	115	73	99	99	99	99
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>33,834</b>	<b>26,836</b>	<b>36,492</b>	<b>10,000</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	34,266	26,430	36,492	10,000	0	0
Capital Projects	(432)	406	0	0	0	0
<b>Higher Education Services Corporation, New York State</b>	<b>956,654</b>	<b>1,005,423</b>	<b>1,022,805</b>	<b>1,090,660</b>	<b>1,105,582</b>	<b>1,119,443</b>
Local Assistance Grants	872,756	924,035	935,933	1,001,349	1,014,412	1,025,891
State Operations	69,013	68,594	71,806	73,052	74,407	75,803
Personal Service	32,916	28,928	29,178	29,396	29,814	30,249
Non-Personal Service/Indirect Costs	36,097	39,666	42,628	43,656	44,593	45,554
General State Charges	14,885	12,794	15,066	16,259	16,763	17,749
<b>State University Construction Fund</b>	<b>18,915</b>	<b>22,625</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	14,438	16,809	0	0	0	0
Personal Service	12,562	13,612	0	0	0	0
Non-Personal Service/Indirect Costs	1,876	3,197	0	0	0	0
General State Charges	4,477	5,816	0	0	0	0
<b>State University of New York</b>	<b>7,142,170</b>	<b>7,803,680</b>	<b>7,959,038</b>	<b>7,997,229</b>	<b>8,277,664</b>	<b>8,358,997</b>
Local Assistance Grants	562,529	498,156	470,345	475,992	475,992	475,992
State Operations	5,199,205	5,731,626	5,769,194	5,846,736	5,980,154	6,117,051
Personal Service	3,248,683	3,332,878	3,450,347	3,448,946	3,530,189	3,613,586
Non-Personal Service/Indirect Costs	1,950,522	2,398,748	2,318,847	2,397,790	2,449,965	2,503,465
General State Charges	528,116	559,990	596,114	605,217	625,001	645,787
Capital Projects	852,320	1,013,908	1,123,385	1,069,284	1,196,517	1,120,167
<b>Functional Total</b>	<b>9,500,635</b>	<b>10,200,797</b>	<b>10,411,264</b>	<b>10,606,855</b>	<b>10,950,584</b>	<b>11,111,147</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>46,018</b>	<b>35,370</b>	<b>40,573</b>	<b>36,575</b>	<b>36,621</b>	<b>36,671</b>
Local Assistance Grants	41,324	31,282	36,353	32,353	32,353	32,353
State Operations	4,694	4,088	4,220	4,222	4,268	4,318
Personal Service	3,098	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,596	1,822	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>32,821,070</b>	<b>29,680,252</b>	<b>29,635,194</b>	<b>30,888,866</b>	<b>31,839,003</b>	<b>32,866,554</b>
<b>School Aid</b>	<b>26,487,723</b>	<b>23,221,100</b>	<b>23,062,312</b>	<b>23,851,835</b>	<b>24,603,527</b>	<b>25,636,062</b>
Local Assistance Grants	26,487,723	23,221,100	23,062,312	23,851,835	24,603,527	25,636,062
<b>STAR Property Tax Relief</b>	<b>3,234,014</b>	<b>3,232,883</b>	<b>3,276,067</b>	<b>3,459,375</b>	<b>3,641,726</b>	<b>3,743,568</b>
Local Assistance Grants	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<b>Special Education Categorical Programs</b>	<b>2,159,415</b>	<b>2,287,338</b>	<b>2,131,236</b>	<b>2,244,916</b>	<b>2,351,516</b>	<b>2,479,116</b>
Local Assistance Grants	2,159,415	2,287,338	2,131,236	2,244,916	2,351,516	2,479,116
<b>All Other</b>	<b>939,918</b>	<b>938,931</b>	<b>1,165,579</b>	<b>1,332,740</b>	<b>1,242,234</b>	<b>1,007,808</b>
Local Assistance Grants	588,174	591,804	746,387	817,779	760,581	623,965
State Operations	282,877	269,680	315,758	405,125	383,106	276,396
Personal Service	175,944	158,373	162,941	165,568	166,788	167,528
Non-Personal Service/Indirect Costs	106,933	111,307	152,817	239,557	216,318	108,868

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
General State Charges	64,236	69,217	70,797	76,718	80,147	84,047
Capital Projects	4,631	8,230	32,637	33,118	18,400	23,400
<b>Functional Total</b>	<b>32,867,088</b>	<b>29,715,622</b>	<b>29,675,767</b>	<b>30,925,441</b>	<b>31,875,624</b>	<b>32,903,225</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>33,825</b>	<b>32,762</b>	<b>33,550</b>	<b>33,550</b>	<b>35,071</b>	<b>36,502</b>
State Operations	32,081	30,681	31,318	31,484	32,669	33,903
Personal Service	24,430	23,829	24,085	25,449	26,499	27,607
Non-Personal Service/Indirect Costs	7,651	6,852	7,233	6,035	6,170	6,296
General State Charges	1,744	2,081	2,232	2,066	2,402	2,599
<b>Civil Service, Department of</b>	<b>17,896</b>	<b>14,836</b>	<b>14,825</b>	<b>15,222</b>	<b>16,775</b>	<b>17,179</b>
State Operations	17,677	14,595	14,657	15,054	16,603	17,003
Personal Service	16,153	13,284	13,168	13,548	14,714	15,109
Non-Personal Service/Indirect Costs	1,524	1,311	1,489	1,506	1,889	1,894
General State Charges	219	241	168	168	172	176
<b>Deferred Compensation Board</b>	<b>681</b>	<b>621</b>	<b>796</b>	<b>826</b>	<b>858</b>	<b>867</b>
State Operations	519	457	605	618	633	642
Personal Service	374	378	392	399	408	417
Non-Personal Service/Indirect Costs	145	79	213	219	225	225
General State Charges	162	164	191	208	225	225
<b>Elections, State Board of</b>	<b>93,673</b>	<b>17,743</b>	<b>18,335</b>	<b>27,175</b>	<b>35,316</b>	<b>5,462</b>
Local Assistance Grants	25,813	9,325	5,300	0	30,000	0
State Operations	67,860	8,292	13,035	27,175	5,316	5,462
Personal Service	4,374	4,105	4,065	4,250	4,370	4,495
Non-Personal Service/Indirect Costs	63,486	4,187	8,970	22,925	946	967
General State Charges	0	126	0	0	0	0
<b>Employee Relations, Office of</b>	<b>3,000</b>	<b>2,604</b>	<b>2,688</b>	<b>2,864</b>	<b>2,786</b>	<b>2,869</b>
State Operations	3,000	2,604	2,688	2,864	2,786	2,869
Personal Service	2,909	2,529	2,551	2,724	2,646	2,728
Non-Personal Service/Indirect Costs	91	75	137	140	140	141
<b>Gaming Commission, New York State</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>187,589</b>	<b>191,903</b>	<b>192,536</b>
State Operations	0	0	0	170,423	174,413	175,046
Personal Service	0	0	0	34,087	34,628	35,261
Non-Personal Service/Indirect Costs	0	0	0	136,336	139,785	139,785
General State Charges	0	0	0	17,166	17,490	17,490
<b>General Services, Office of</b>	<b>197,098</b>	<b>202,539</b>	<b>230,780</b>	<b>228,011</b>	<b>220,448</b>	<b>222,574</b>
Local Assistance Grants	28	0	269	250	250	250
State Operations	134,592	126,243	162,032	166,316	148,107	150,087
Personal Service	56,079	48,873	51,616	59,264	60,482	60,970
Non-Personal Service/Indirect Costs	78,513	77,370	110,416	107,052	87,625	89,117
General State Charges	1,290	1,495	1,901	2,136	2,208	2,354
Capital Projects	61,188	74,801	66,578	59,309	69,883	69,883
<b>Inspector General, Office of the</b>	<b>5,703</b>	<b>5,409</b>	<b>5,915</b>	<b>6,783</b>	<b>7,036</b>	<b>7,262</b>
State Operations	5,703	5,409	5,915	6,783	7,036	7,262
Personal Service	5,519	5,067	5,388	6,195	6,367	6,551
Non-Personal Service/Indirect Costs	184	342	527	588	669	711
<b>Labor Management Committees</b>	<b>32,335</b>	<b>23,215</b>	<b>27,938</b>	<b>33,037</b>	<b>35,244</b>	<b>46,260</b>
State Operations	32,335	23,215	27,938	33,037	35,244	46,260
Personal Service	9,872	8,359	4,600	5,537	6,119	7,129
Non-Personal Service/Indirect Costs	22,463	14,856	23,338	27,500	29,125	39,131
<b>Lottery, Division of the</b>	<b>162,910</b>	<b>150,306</b>	<b>172,990</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	152,955	140,484	161,247	0	0	0
Personal Service	21,057	20,276	24,676	0	0	0
Non-Personal Service/Indirect Costs	131,898	120,208	136,571	0	0	0
General State Charges	9,955	9,822	11,743	0	0	0
<b>Public Employment Relations Board</b>	<b>3,988</b>	<b>3,675</b>	<b>3,731</b>	<b>4,040</b>	<b>3,804</b>	<b>3,896</b>
State Operations	3,988	3,675	3,731	4,040	3,804	3,896
Personal Service	3,456	3,197	3,290	3,495	3,046	3,138
Non-Personal Service/Indirect Costs	532	478	441	545	758	758
<b>Public Integrity, Commission on</b>	<b>3,794</b>	<b>3,217</b>	<b>3,700</b>	<b>6,705</b>	<b>4,819</b>	<b>4,939</b>
State Operations	3,794	3,217	3,700	6,705	4,819	4,939
Personal Service	3,017	2,492	2,850	3,409	3,501	3,599
Non-Personal Service/Indirect Costs	777	725	850	3,296	1,318	1,340
<b>Regulatory Reform, Governor's Office of</b>	<b>1,653</b>	<b>83</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	1,653	83	0	0	0	0
Personal Service	1,537	80	0	0	0	0
Non-Personal Service/Indirect Costs	116	3	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>State, Department of</b>	<b>187,929</b>	<b>130,222</b>	<b>136,300</b>	<b>129,575</b>	<b>132,203</b>	<b>134,026</b>
Local Assistance Grants	130,029	76,614	71,575	59,334	59,334	59,334
State Operations	46,241	44,627	53,460	56,730	59,055	60,007
Personal Service	34,671	31,461	34,953	36,254	37,088	37,817
Non-Personal Service/Indirect Costs	11,570	13,166	18,507	20,476	21,967	22,190
General State Charges	10,286	8,981	11,265	13,511	13,814	14,685
Capital Projects	1,373	0	0	0	0	0
<b>Tax Appeals, Division of</b>	<b>3,134</b>	<b>2,850</b>	<b>3,101</b>	<b>3,174</b>	<b>3,255</b>	<b>3,309</b>
State Operations	3,134	2,850	3,101	3,174	3,255	3,309
Personal Service	2,776	2,483	2,900	2,962	3,034	3,088
Non-Personal Service/Indirect Costs	358	367	201	212	221	221
<b>Taxation and Finance, Department of</b>	<b>441,608</b>	<b>401,710</b>	<b>415,809</b>	<b>418,760</b>	<b>430,108</b>	<b>436,823</b>
Local Assistance Grants	5,270	6,487	926	926	926	926
State Operations	413,082	383,589	393,361	395,014	406,135	412,850
Personal Service	323,769	300,408	301,881	300,966	309,755	316,470
Non-Personal Service/Indirect Costs	89,313	83,181	91,480	94,048	96,380	96,380
General State Charges	23,256	11,634	21,522	22,820	23,047	23,047
<b>Technology, Office for</b>	<b>31,341</b>	<b>19,640</b>	<b>21,994</b>	<b>21,305</b>	<b>23,877</b>	<b>23,485</b>
Local Assistance Grants	2,086	682	0	0	0	0
State Operations	26,372	18,958	21,994	21,305	23,877	23,485
Personal Service	11,208	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	15,164	8,502	8,408	7,710	9,970	9,239
Capital Projects	2,883	0	0	0	0	0
<b>Veterans' Affairs, Division of</b>	<b>15,368</b>	<b>13,680</b>	<b>15,766</b>	<b>15,124</b>	<b>14,985</b>	<b>15,241</b>
Local Assistance Grants	8,044	7,572	8,117	7,517	7,577	7,637
State Operations	6,893	5,875	7,299	7,257	7,058	7,254
Personal Service	6,232	5,289	5,807	6,248	6,041	6,212
Non-Personal Service/Indirect Costs	661	586	1,492	1,009	1,017	1,042
General State Charges	431	233	350	350	350	350
<b>Functional Total</b>	<b>1,235,936</b>	<b>1,025,112</b>	<b>1,108,218</b>	<b>1,133,740</b>	<b>1,158,488</b>	<b>1,153,230</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>171,821</b>	<b>169,817</b>	<b>173,479</b>	<b>175,800</b>	<b>179,344</b>	<b>183,533</b>
Local Assistance Grants	31,598	32,005	32,024	32,024	32,024	32,024
State Operations	138,841	135,123	139,394	142,194	145,667	149,731
Personal Service	110,320	103,298	108,671	109,385	112,012	115,214
Non-Personal Service/Indirect Costs	28,521	31,825	30,723	32,809	33,655	34,517
General State Charges	1,382	2,689	2,061	1,582	1,653	1,778
<b>Executive Chamber</b>	<b>12,880</b>	<b>13,256</b>	<b>13,578</b>	<b>14,376</b>	<b>14,888</b>	<b>15,641</b>
State Operations	12,880	13,256	13,578	14,376	14,888	15,641
Personal Service	10,963	10,210	10,900	11,600	12,045	12,730
Non-Personal Service/Indirect Costs	1,917	3,046	2,678	2,776	2,843	2,911
<b>Judiciary</b>	<b>2,555,700</b>	<b>2,552,278</b>	<b>2,554,498</b>	<b>2,687,444</b>	<b>2,812,504</b>	<b>2,942,000</b>
Local Assistance Grants	119,798	114,108	106,700	121,700	121,700	121,700
State Operations	1,874,663	1,834,013	1,863,400	1,921,914	2,007,452	2,102,994
Personal Service	1,526,975	1,466,250	1,468,250	1,514,284	1,561,492	1,618,742
Non-Personal Service/Indirect Costs	347,688	367,763	395,150	407,630	445,960	484,252
General State Charges	551,599	601,846	584,398	643,830	683,352	717,306
Capital Projects	9,640	2,311	0	0	0	0
<b>Law, Department of</b>	<b>200,712</b>	<b>200,999</b>	<b>215,348</b>	<b>217,352</b>	<b>222,328</b>	<b>229,192</b>
State Operations	185,050	185,671	192,710	190,823	195,482	200,711
Personal Service	129,276	123,150	130,394	130,602	133,947	137,628
Non-Personal Service/Indirect Costs	55,774	62,521	62,316	60,221	61,535	63,083
General State Charges	15,662	15,328	22,638	26,529	26,846	28,481
<b>Legislature</b>	<b>222,536</b>	<b>197,163</b>	<b>218,795</b>	<b>221,349</b>	<b>223,945</b>	<b>226,583</b>
State Operations	222,536	197,163	218,795	221,349	223,945	226,583
Personal Service	174,096	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	48,440	45,281	53,511	54,825	56,172	57,552
<b>Lieutenant Governor, Office of the</b>	<b>304</b>	<b>408</b>	<b>614</b>	<b>614</b>	<b>665</b>	<b>680</b>
State Operations	304	408	614	614	665	680
Personal Service	281	289	480	480	515	543
Non-Personal Service/Indirect Costs	23	119	134	134	150	137
<b>Functional Total</b>	<b>3,163,953</b>	<b>3,133,921</b>	<b>3,176,312</b>	<b>3,316,935</b>	<b>3,453,674</b>	<b>3,597,629</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>738,940</b>	<b>721,034</b>	<b>728,181</b>	<b>739,188</b>	<b>752,782</b>	<b>763,629</b>
Local Assistance Grants	738,940	721,034	728,181	739,188	752,782	763,629

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Efficiency Incentive Grants Program</b>	<b>4,604</b>	<b>4,714</b>	<b>6,956</b>	<b>4,485</b>	<b>2,000</b>	<b>0</b>
Local Assistance Grants	4,604	4,714	6,956	4,485	2,000	0
<b>Miscellaneous Financial Assistance</b>	<b>3,920</b>	<b>1,960</b>	<b>2,000</b>	<b>1,960</b>	<b>1,960</b>	<b>1,960</b>
Local Assistance Grants	3,920	1,960	2,000	1,960	1,960	1,960
<b>Municipalities with VLT Facilities</b>	<b>25,800</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>
Local Assistance Grants	25,800	25,867	25,867	25,867	25,867	25,867
<b>Small Government Assistance</b>	<b>2,066</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	2,066	217	218	218	218	218
<b>Functional Total</b>	<b><u>775,330</u></b>	<b><u>753,792</u></b>	<b><u>763,222</u></b>	<b><u>771,718</u></b>	<b><u>782,827</u></b>	<b><u>791,674</u></b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>3,432,021</b>	<b>3,941,782</b>	<b>3,848,192</b>	<b>4,208,544</b>	<b>4,491,920</b>	<b>4,704,503</b>
State Operations	5,561	3,676	0	0	0	0
Personal Service	3,835	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,726	1,585	0	0	0	0
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
<b>Long-Term Debt Service</b>	<b>5,677,515</b>	<b>5,909,754</b>	<b>6,146,701</b>	<b>6,462,370</b>	<b>6,530,775</b>	<b>6,691,841</b>
State Operations	62,846	45,732	46,925	46,925	46,925	46,925
Non-Personal Service/Indirect Costs	62,846	45,732	46,925	46,925	46,925	46,925
Debt Service	5,614,669	5,864,022	6,099,776	6,415,445	6,483,850	6,644,916
<b>Miscellaneous</b>	<b>(209,841)</b>	<b>(181,217)</b>	<b>(203,823)</b>	<b>(318,945)</b>	<b>(387,517)</b>	<b>(442,353)</b>
Local Assistance Grants	(326,844)	(317,408)	(304,724)	(258,034)	(313,829)	(312,829)
State Operations	4,886	5,007	4,200	(170,735)	(175,595)	(175,510)
Personal Service	2,217	2,356	2,402	(47,562)	(47,475)	(47,434)
Non-Personal Service/Indirect Costs	2,669	2,651	1,798	(123,173)	(128,120)	(128,076)
General State Charges	6,898	5,244	5,701	5,824	5,907	5,986
Capital Projects	105,219	125,940	91,000	104,000	96,000	40,000
<b>Functional Total</b>	<b><u>8,899,695</u></b>	<b><u>9,670,319</u></b>	<b><u>9,791,070</u></b>	<b><u>10,351,969</u></b>	<b><u>10,635,178</u></b>	<b><u>10,953,991</u></b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b><u>134,824,946</u></b>	<b><u>133,503,848</u></b>	<b><u>133,855,024</u></b>	<b><u>139,164,205</u></b>	<b><u>145,190,015</u></b>	<b><u>150,506,431</u></b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	96,013	109,312	104,769	100,563	104,032	99,945
Alcoholic Beverage Control, Division of	16,706	16,616	16,960	18,175	18,742	19,188
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,190	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	103,950	147,540	142,669	128,639	98,234	93,630
Empire State Development Corporation	931,654	953,805	521,153	658,882	678,275	507,641
Energy Research and Development Authority	31,710	31,567	28,558	28,158	29,477	29,808
Financial Services, Department of	507,393	507,240	486,283	513,675	518,571	526,626
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	75,288	68,432	69,884	76,341	79,233	83,338
Racing and Wagering Board, State	21,573	19,553	18,248	0	0	0
Regional Economic Development Program	5,248	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,826,830</b>	<b>1,885,092</b>	<b>1,411,673</b>	<b>1,547,639</b>	<b>1,541,352</b>	<b>1,374,964</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,718	4,354	4,496	4,525	4,601	4,687
Environmental Conservation, Department of	1,024,255	1,002,764	980,619	895,129	808,442	778,980
Environmental Facilities Corporation	9,746	10,347	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	260,277	235,452	284,953	249,874	239,900	228,293
<b>Functional Total</b>	<b>1,314,212</b>	<b>1,253,133</b>	<b>1,273,884</b>	<b>1,149,528</b>	<b>1,052,943</b>	<b>1,011,960</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	320,322	301,370	296,044	321,404	328,955	344,972
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	8,017,474	7,830,434	8,171,633	8,390,808	8,218,135	7,996,713
<b>Functional Total</b>	<b>8,556,186</b>	<b>8,328,467</b>	<b>8,803,077</b>	<b>8,897,612</b>	<b>8,732,490</b>	<b>8,672,056</b>
<b>HEALTH</b>						
Aging, Office for the	232,453	227,242	218,487	218,134	224,960	231,988
Health, Department of	43,795,710	44,663,159	44,406,622	47,277,107	51,068,190	54,064,706
<i>Medical Assistance</i>	38,624,934	39,256,878	38,809,761	41,489,955	45,462,111	48,658,917
<i>Medicaid Administration</i>	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
<i>Public Health</i>	4,214,507	4,429,904	4,436,361	4,635,752	4,528,879	4,447,589
Medicaid Inspector General, Office of the	66,843	60,837	66,243	65,925	67,827	68,637
Stem Cell and Innovation	37,289	43,702	43,500	51,000	61,373	62,673
<b>Functional Total</b>	<b>44,132,295</b>	<b>44,994,940</b>	<b>44,734,852</b>	<b>47,612,166</b>	<b>51,422,350</b>	<b>54,428,004</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	3,144,866	3,183,934	2,984,297	3,154,756	3,368,279	3,446,066
<i>OCFS</i>	3,075,511	3,070,766	2,896,803	3,064,947	3,274,718	3,348,428
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	403,244	482,652	271,077	268,534	272,841	280,561
Human Rights, Division of	19,139	17,311	17,408	17,884	18,457	19,070
Labor, Department of	710,215	641,800	697,934	715,482	711,341	726,799
National and Community Service	24,731	21,606	14,601	14,687	14,909	14,909
Prevention of Domestic Violence, Office for	1,946	1,825	2,098	2,298	2,253	2,303
Temporary and Disability Assistance, Office of	5,278,082	5,317,739	5,452,712	5,117,548	5,030,087	5,060,740
<i>Welfare Assistance</i>	3,717,714	3,787,292	4,041,043	3,709,603	3,607,952	3,634,665
<i>All Other</i>	1,560,368	1,530,447	1,411,669	1,407,945	1,422,135	1,426,075
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	198,709	203,545	199,742	205,415	208,701	215,688
<b>Functional Total</b>	<b>9,782,082</b>	<b>9,870,853</b>	<b>9,641,276</b>	<b>9,498,031</b>	<b>9,628,324</b>	<b>9,767,604</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	600,042	592,713	608,688	623,979	713,711	734,650
<i>OASAS</i>	488,354	500,824	520,204	543,619	630,683	647,847
<i>OASAS - Other</i>	111,688	91,889	88,484	80,360	83,028	86,803
Developmental Disabilities Planning Council	2,894	3,908	4,201	4,201	4,200	4,200
Mental Health, Office of	3,332,889	3,171,087	3,257,944	3,432,215	3,743,029	4,049,733
<i>OMH</i>	1,574,835	1,287,760	1,419,509	1,508,283	1,707,900	1,848,418
<i>OMH - Other</i>	1,758,054	1,883,327	1,838,435	1,923,932	2,035,129	2,201,315
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,334,649	4,370,972	4,356,858	4,560,139	4,733,710	5,021,698
<i>OPWDD</i>	527,982	943,224	518,204	538,831	563,075	582,665
<i>OPWDD - Other</i>	3,806,667	3,427,748	3,838,654	4,021,308	4,170,635	4,439,033
Quality of Care and Advocacy for Persons With Disabilities, Commission on	14,708	13,903	17,074	17,581	18,102	18,651
<b>Functional Total</b>	<b>8,285,527</b>	<b>8,152,994</b>	<b>8,244,765</b>	<b>8,638,115</b>	<b>9,212,752</b>	<b>9,828,932</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,834,565	2,721,925	2,992,980	2,881,751	2,959,706	3,114,015
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	269,469	270,905	286,451	262,510	257,628	260,841
Disaster Assistance	0	38,565	76,000	64,702	(400)	0
Homeland Security and Emergency Services, Division of	360,463	466,966	494,492	536,492	522,017	516,161
Indigent Legal Services, Office of	90,793	62,701	62,244	62,999	78,634	78,675
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	99,704	86,751	96,001	96,273	113,258	111,940
Public Security and Emergency Response	535	125	600	600	600	600
State Police, Division of	715,440	681,902	707,854	693,203	694,214	708,999
Statewide Financial System	9,070	17,596	29,701	28,625	29,926	31,264
Statewide Wireless Network	28,253	87,696	0	0	0	0
Victim Services, Office of	69,522	76,444	65,197	67,502	67,764	68,166
<b>Functional Total</b>	<b>4,485,177</b>	<b>4,518,806</b>	<b>4,819,644</b>	<b>4,714,456</b>	<b>4,743,429</b>	<b>4,912,015</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,348,692	1,341,784	1,391,629	1,507,666	1,566,038	1,631,407
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
Higher Education Services Corporation, New York State	956,654	1,005,423	1,022,805	1,090,660	1,105,582	1,119,443
State University Construction Fund	18,915	22,625	0	0	0	0
State University of New York	7,142,170	7,803,680	7,959,038	7,997,229	8,277,664	8,358,997
<b>Functional Total</b>	<b>9,500,635</b>	<b>10,200,797</b>	<b>10,411,264</b>	<b>10,606,855</b>	<b>10,950,584</b>	<b>11,111,147</b>
<b>EDUCATION</b>						
Arts, Council on the	46,018	35,370	40,573	36,575	36,621	36,671
Education, Department of	32,821,070	29,680,252	29,635,194	30,888,866	31,839,003	32,866,554
<i>School Aid</i>	26,487,723	23,221,100	23,062,312	23,851,835	24,603,527	25,636,062
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	2,159,415	2,287,338	2,131,236	2,244,916	2,351,516	2,479,116
<i>All Other</i>	939,918	938,931	1,165,579	1,332,740	1,242,234	1,007,808
<b>Functional Total</b>	<b>32,867,088</b>	<b>29,715,622</b>	<b>29,675,767</b>	<b>30,925,441</b>	<b>31,875,624</b>	<b>32,903,225</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	33,825	32,762	33,550	33,550	35,071	36,502
Civil Service, Department of	17,896	14,836	14,825	15,222	16,775	17,179
Deferred Compensation Board	681	621	796	826	858	867
Elections, State Board of	93,673	17,743	18,335	27,175	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,864	2,786	2,869
Gaming Commission, New York State	0	0	0	187,589	191,903	192,536
General Services, Office of	197,098	202,539	230,780	228,011	220,448	222,574
Inspector General, Office of the	5,703	5,409	5,915	6,783	7,036	7,262
Labor Management Committees	32,335	23,215	27,938	33,037	35,244	46,260
Lottery, Division of the	162,910	150,306	172,990	0	0	0
Public Employment Relations Board	3,988	3,675	3,731	4,040	3,804	3,896
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	187,929	130,222	136,300	129,575	132,203	134,026
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	441,608	401,710	415,809	418,760	430,108	436,823
Technology, Office for	31,341	19,640	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	15,368	13,680	15,766	15,124	14,985	15,241
<b>Functional Total</b>	<b>1,235,936</b>	<b>1,025,112</b>	<b>1,108,218</b>	<b>1,133,740</b>	<b>1,158,488</b>	<b>1,153,230</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	171,821	169,817	173,479	175,800	179,344	183,533
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	2,555,700	2,552,278	2,554,498	2,687,444	2,812,504	2,942,000
Law, Department of	200,712	200,999	215,348	217,352	222,328	229,192
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>3,163,953</b>	<b>3,133,921</b>	<b>3,176,312</b>	<b>3,316,935</b>	<b>3,453,674</b>	<b>3,597,629</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<b>775,330</b>	<b>753,792</b>	<b>763,222</b>	<b>771,718</b>	<b>782,827</b>	<b>791,674</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,432,021	3,941,782	3,848,192	4,208,544	4,491,920	4,704,503
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Miscellaneous	(209,841)	(181,217)	(203,823)	(318,945)	(387,517)	(442,353)
<b>Functional Total</b>	<u>8,899,695</u>	<u>9,670,319</u>	<u>9,791,070</u>	<u>10,351,969</u>	<u>10,635,178</u>	<u>10,953,991</u>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<u>134,824,946</u>	<u>133,503,848</u>	<u>133,855,024</u>	<u>139,164,205</u>	<u>145,190,015</u>	<u>150,506,431</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	15,014	24,603	22,339	22,297	24,697	23,097
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,617	17,655	0	0	0	0
Economic Development, Department of	75,494	112,990	90,364	72,329	54,872	54,872
Empire State Development Corporation	926,844	945,359	423,588	526,280	543,272	421,809
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,418	9,607
Financial Services, Department of	228,152	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	0	90	200	200	200
Regional Economic Development Program	5,248	6,058	0	0	0	0
<b>Functional Total</b>	<b>1,290,536</b>	<b>1,332,275</b>	<b>762,637</b>	<b>847,292</b>	<b>849,411</b>	<b>726,537</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	369,290	358,448	257,775	209,492	149,042	149,042
Environmental Facilities Corporation	0	1,180	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	18,960	15,759	17,382	8,870	8,870	8,870
<b>Functional Total</b>	<b>388,250</b>	<b>375,387</b>	<b>275,157</b>	<b>218,362</b>	<b>157,912</b>	<b>157,912</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600	18,571
Motor Vehicles, Department of	15,734	18,475	14,800	16,000	16,000	16,000
Thruway Authority, New York State	1,478	2,163	0	0	0	0
Transportation, Department of	4,892,214	4,757,704	5,019,024	5,206,264	5,297,709	5,341,763
<b>Functional Total</b>	<b>5,126,338</b>	<b>4,972,842</b>	<b>5,217,424</b>	<b>5,405,864</b>	<b>5,497,309</b>	<b>5,376,334</b>
<b>HEALTH</b>						
Aging, Office for the	222,625	217,808	208,466	208,083	214,650	221,458
Health, Department of	42,953,971	43,811,956	43,522,856	46,325,585	50,078,468	53,031,858
<i>Medical Assistance</i>	38,601,687	39,241,656	38,758,406	41,433,600	45,406,256	48,603,062
<i>Medicaid Administration</i>	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
<i>Public Health</i>	3,396,015	3,593,923	3,603,950	3,740,585	3,595,012	3,470,596
<b>Functional Total</b>	<b>43,176,596</b>	<b>44,029,764</b>	<b>43,731,322</b>	<b>46,533,668</b>	<b>50,293,118</b>	<b>53,253,316</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,710,028	2,770,325	2,544,911	2,716,931	2,942,882	3,022,850
<i>OCFS</i>	2,640,673	2,657,157	2,457,417	2,627,122	2,849,321	2,925,212
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	308,912	396,383	181,941	173,881	175,533	180,533
Labor, Department of	195,795	164,683	193,507	181,922	179,197	179,197
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	4,901,401	4,954,724	5,073,636	4,727,799	4,626,148	4,654,261
<i>Welfare Assistance</i>	3,717,714	3,787,292	4,041,043	3,709,603	3,607,952	3,634,665
<i>All Other</i>	1,183,687	1,167,432	1,032,593	1,018,196	1,018,196	1,019,596
<b>Functional Total</b>	<b>8,116,802</b>	<b>8,286,694</b>	<b>7,995,030</b>	<b>7,801,568</b>	<b>7,924,795</b>	<b>8,037,876</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	483,798	482,373	489,470	494,850	580,352	594,431
<i>OASAS</i>	434,709	450,222	456,790	473,525	559,027	573,106
<i>OASAS - Other</i>	49,089	32,151	32,680	21,325	21,325	21,325
Mental Health, Office of	1,246,868	1,203,241	1,201,136	1,275,127	1,468,581	1,626,027
<i>OMH</i>	823,456	795,093	818,487	886,877	1,052,887	1,165,277
<i>OMH - Other</i>	423,412	408,148	382,649	388,250	415,694	460,750
People with Developmental Disabilities, Office for	2,180,624	2,323,447	2,238,541	2,319,011	2,419,003	2,558,324
<i>OPWDD</i>	502,659	691,809	439,132	464,879	489,123	508,713
<i>OPWDD - Other</i>	1,677,965	1,631,638	1,799,409	1,854,132	1,929,880	2,049,611
Quality of Care and Advocacy for Persons With Disabilities, Commission on	623	560	620	620	620	620
<b>Functional Total</b>	<b>3,911,913</b>	<b>4,009,621</b>	<b>3,929,767</b>	<b>4,089,608</b>	<b>4,468,556</b>	<b>4,779,402</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	10,624	6,141	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	186,974	188,439	197,750	173,901	173,711	173,711
Disaster Assistance	0	0	77,000	86,126	(400)	0
Homeland Security and Emergency Services, Division of	326,323	428,194	411,488	468,061	465,860	463,744
Indigent Legal Services, Office of	65,769	62,292	61,100	61,400	77,000	77,000
Military and Naval Affairs, Division of	3,825	3,569	867	850	850	850
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	62,560	68,834	56,310	58,310	58,310	58,310
<b>Functional Total</b>	<b>656,075</b>	<b>775,838</b>	<b>810,566</b>	<b>866,148</b>	<b>792,831</b>	<b>792,115</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,215,592	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Higher Education Facilities Capital Matching Grants Program	34,266	26,430	36,492	10,000	0	0
Higher Education Services Corporation, New York State	872,756	924,035	935,933	1,001,349	1,014,412	1,025,891
State University of New York	562,529	498,156	470,345	475,992	475,992	475,992
<b>Functional Total</b>	<u>2,685,143</u>	<u>2,651,031</u>	<u>2,661,954</u>	<u>2,829,708</u>	<u>2,895,532</u>	<u>2,975,155</u>
<b>EDUCATION</b>						
Arts, Council on the	41,324	31,282	36,353	32,353	32,353	32,353
Education, Department of	32,469,326	29,333,125	29,216,002	30,373,905	31,357,350	32,482,711
<i>School Aid</i>	26,487,723	23,221,100	23,062,312	23,851,835	24,603,527	25,636,062
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	2,159,415	2,287,338	2,131,236	2,244,916	2,351,516	2,479,116
<i>All Other</i>	588,174	591,804	746,387	817,779	760,581	623,965
<b>Functional Total</b>	<u>32,510,650</u>	<u>29,364,407</u>	<u>29,252,355</u>	<u>30,406,258</u>	<u>31,389,703</u>	<u>32,515,064</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	25,813	9,325	5,300	0	30,000	0
General Services, Office of	28	0	269	250	250	250
State, Department of	130,029	76,614	71,575	59,334	59,334	59,334
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	2,086	682	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,517	7,577	7,637
<b>Functional Total</b>	<u>171,270</u>	<u>100,680</u>	<u>86,187</u>	<u>68,027</u>	<u>98,087</u>	<u>68,147</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	119,798	114,108	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<u>151,396</u>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<u>775,330</u>	<u>753,792</u>	<u>763,222</u>	<u>771,718</u>	<u>782,827</u>	<u>791,674</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(326,844)	(317,408)	(304,724)	(258,034)	(313,829)	(312,829)
<b>Functional Total</b>	<u>(326,844)</u>	<u>(317,408)</u>	<u>(304,724)</u>	<u>(258,034)</u>	<u>(313,829)</u>	<u>(312,829)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>98,633,455</u>	<u>96,481,036</u>	<u>95,319,621</u>	<u>99,733,911</u>	<u>104,989,976</u>	<u>109,314,427</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	70,045	64,331	63,902	65,676	68,704	69,836
Alcoholic Beverage Control, Division of	12,581	13,044	13,070	13,629	14,057	14,256
Economic Development, Department of	20,444	33,729	22,896	23,637	23,475	23,730
Energy Research and Development Authority	4,744	6,456	5,286	5,286	5,389	5,497
Financial Services, Department of	212,412	211,029	202,401	210,553	212,795	215,395
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	54,403	48,882	48,997	52,225	53,922	55,877
Racing and Wagering Board, State	18,056	17,270	15,194	0	0	0
<b>Functional Total</b>	<b>396,219</b>	<b>398,337</b>	<b>374,825</b>	<b>374,212</b>	<b>381,630</b>	<b>387,879</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,718	4,354	4,496	4,525	4,601	4,687
Environmental Conservation, Department of	302,256	294,072	277,769	274,795	276,201	279,473
Environmental Facilities Corporation	7,122	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	180,237	186,943	177,031	177,036	179,576	181,627
<b>Functional Total</b>	<b>494,333</b>	<b>491,873</b>	<b>459,296</b>	<b>456,356</b>	<b>460,378</b>	<b>465,787</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	71,816	70,746	72,891	77,188	78,878	81,970
Transportation, Department of	40,374	42,373	33,337	34,222	34,341	35,151
<b>Functional Total</b>	<b>112,190</b>	<b>113,119</b>	<b>106,228</b>	<b>111,410</b>	<b>113,219</b>	<b>117,121</b>
<b>HEALTH</b>						
Aging, Office for the	9,828	9,434	9,786	9,816	10,075	10,295
Health, Department of	773,822	788,024	810,576	874,392	910,777	950,542
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	750,575	772,802	759,221	818,037	854,922	894,687
Medicaid Inspector General, Office of the	57,844	52,040	55,505	55,176	56,847	57,373
Stem Cell and Innovation	36,971	43,470	43,500	51,000	61,373	62,673
<b>Functional Total</b>	<b>878,465</b>	<b>892,968</b>	<b>919,367</b>	<b>990,384</b>	<b>1,039,072</b>	<b>1,080,883</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	404,378	380,630	406,057	405,374	392,604	390,004
<i>OCFS</i>	404,378	380,630	406,057	405,374	392,604	390,004
Housing and Community Renewal, Division of	71,483	65,256	65,136	67,682	68,935	70,795
Human Rights, Division of	18,092	17,262	15,439	15,809	16,254	16,740
Labor, Department of	407,013	368,493	381,869	387,135	388,338	394,307
National and Community Service	24,731	21,568	14,251	14,337	14,559	14,559
Prevention of Domestic Violence, Office for	1,280	1,284	1,413	1,613	1,568	1,618
Temporary and Disability Assistance, Office of	329,230	319,774	334,953	345,286	358,966	361,506
<i>All Other</i>	329,230	319,774	334,953	345,286	358,966	361,506
Welfare Inspector General, Office of	1,094	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	155,557	162,394	155,289	154,603	156,643	159,930
<b>Functional Total</b>	<b>1,412,858</b>	<b>1,337,056</b>	<b>1,375,593</b>	<b>1,393,045</b>	<b>1,399,101</b>	<b>1,410,703</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	86,147	82,121	79,487	82,372	84,757	88,618
<i>OASAS</i>	39,783	38,370	39,269	40,468	41,303	43,061
<i>OASAS - Other</i>	46,364	43,751	40,218	41,904	43,454	45,557
Developmental Disabilities Planning Council	2,894	2,573	3,740	3,597	3,508	3,482
Mental Health, Office of	1,456,425	1,374,445	1,403,892	1,458,680	1,530,525	1,611,156
<i>OMH</i>	483,127	308,056	384,241	381,485	401,322	414,111
<i>OMH - Other</i>	973,298	1,066,389	1,019,651	1,077,195	1,129,203	1,197,045
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	1,550,398	1,487,940	1,515,366	1,573,755	1,611,634	1,697,903
<i>OPWDD</i>	1,686	108,957	33,782	34,658	34,658	34,658
<i>OPWDD - Other</i>	1,548,712	1,378,983	1,481,584	1,539,097	1,576,976	1,663,245
Quality of Care and Advocacy for Persons With Disabilities, Commission on	12,393	11,635	14,873	15,582	15,991	16,427
<b>Functional Total</b>	<b>3,108,602</b>	<b>2,959,125</b>	<b>3,017,358</b>	<b>3,133,986</b>	<b>3,246,415</b>	<b>3,417,586</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,604,952	2,474,865	2,749,742	2,605,814	2,677,731	2,834,671
Criminal Justice Services, Division of	82,243	82,091	88,306	85,445	82,215	83,810
Disaster Assistance	0	20,811	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	28,612	30,400	63,189	51,451	46,743	48,035
Indigent Legal Services, Office of	25,024	326	859	1,154	1,170	1,186
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	63,905	61,069	61,344	61,678	62,152	62,734
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	679,514	632,064	648,930	653,317	657,710	671,205
Statewide Financial System	9,069	17,596	29,701	28,625	29,926	31,264

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
**STATE OPERATIONS**  
(thousands of dollars)

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Statewide Wireless Network	27,655	69,207	0	0	0	0
Victim Services, Office of	6,074	5,793	6,784	6,895	7,072	7,293
<b>Functional Total</b>	<b>3,534,411</b>	<b>3,401,452</b>	<b>3,656,579</b>	<b>3,481,854</b>	<b>3,573,901</b>	<b>3,749,652</b>
<b>HIGHER EDUCATION</b>						
City University of New York	117,099	107,837	114,166	105,896	107,658	109,454
Higher Education - Miscellaneous	255	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	69,013	68,594	71,806	73,052	74,407	75,803
State University Construction Fund	14,438	16,809	0	0	0	0
State University of New York	5,199,205	5,731,626	5,769,194	5,846,736	5,980,154	6,117,051
<b>Functional Total</b>	<b>5,400,010</b>	<b>5,925,242</b>	<b>5,956,367</b>	<b>6,026,885</b>	<b>6,163,420</b>	<b>6,303,509</b>
<b>EDUCATION</b>						
Arts, Council on the	4,694	4,088	4,220	4,222	4,268	4,318
Education, Department of	282,877	269,680	315,758	405,125	383,106	276,396
<i>All Other</i>	282,877	269,680	315,758	405,125	383,106	276,396
<b>Functional Total</b>	<b>287,571</b>	<b>273,768</b>	<b>319,978</b>	<b>409,347</b>	<b>387,374</b>	<b>280,714</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	32,081	30,681	31,318	31,484	32,669	33,903
Civil Service, Department of	17,677	14,595	14,657	15,054	16,603	17,003
Deferred Compensation Board	519	457	605	618	633	642
Elections, State Board of	67,860	8,292	13,035	27,175	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,864	2,786	2,869
Gaming Commission, New York State	0	0	0	170,423	174,413	175,046
General Services, Office of	134,592	126,243	162,032	166,316	148,107	150,087
Inspector General, Office of the	5,703	5,409	5,915	6,783	7,036	7,262
Labor Management Committees	32,335	23,215	27,938	33,037	35,244	46,260
Lottery, Division of the	152,955	140,484	161,247	0	0	0
Public Employment Relations Board	3,988	3,675	3,731	4,040	3,804	3,896
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	46,241	44,627	53,460	56,730	59,055	60,007
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	413,082	383,589	393,361	395,014	406,135	412,850
Technology, Office for	26,372	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,893	5,875	7,299	7,257	7,058	7,254
<b>Functional Total</b>	<b>951,879</b>	<b>814,854</b>	<b>906,081</b>	<b>947,979</b>	<b>930,810</b>	<b>954,274</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	138,841	135,123	139,394	142,194	145,667	149,731
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	1,874,663	1,834,013	1,863,400	1,921,914	2,007,452	2,102,994
Law, Department of	185,050	185,671	192,710	190,823	195,482	200,711
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>2,434,274</b>	<b>2,365,634</b>	<b>2,428,491</b>	<b>2,491,270</b>	<b>2,588,099</b>	<b>2,696,340</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	4,886	5,007	4,200	(170,735)	(175,595)	(175,510)
<b>Functional Total</b>	<b>73,293</b>	<b>54,415</b>	<b>51,125</b>	<b>(123,810)</b>	<b>(128,670)</b>	<b>(128,585)</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>19,084,105</b>	<b>19,027,843</b>	<b>19,571,288</b>	<b>19,692,918</b>	<b>20,154,749</b>	<b>20,735,863</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	33,711	30,360	30,410	31,453	32,808	33,500
Alcoholic Beverage Control, Division of	8,512	7,720	7,527	8,195	8,308	8,370
Economic Development, Department of	13,906	11,354	12,629	13,852	13,424	13,679
Energy Research and Development Authority	2,894	4,776	3,432	3,432	3,500	3,570
Financial Services, Department of	152,126	142,603	144,757	152,595	154,769	157,291
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Public Service Department	43,289	40,067	40,285	43,038	44,539	46,283
Racing and Wagering Board, State	12,062	10,940	9,059	0	0	0
<b>Functional Total</b>	<b>269,390</b>	<b>250,669</b>	<b>250,584</b>	<b>255,087</b>	<b>259,896</b>	<b>265,241</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	210,874	213,248	199,437	199,450	203,566	206,699
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	136,484	139,955	129,773	130,333	132,213	134,264
<b>Functional Total</b>	<b>357,652</b>	<b>362,436</b>	<b>333,001</b>	<b>333,603</b>	<b>339,675</b>	<b>344,945</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	52,897	49,513	50,373	52,760	53,958	56,503
Transportation, Department of	15,310	13,730	15,439	15,903	16,263	16,662
<b>Functional Total</b>	<b>68,207</b>	<b>63,243</b>	<b>65,812</b>	<b>68,663</b>	<b>70,221</b>	<b>73,165</b>
<b>HEALTH</b>						
Aging, Office for the	8,323	7,978	8,586	8,616	8,875	9,088
Health, Department of	346,559	319,545	325,676	358,802	386,122	425,934
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	346,059	318,107	325,176	358,302	385,622	425,434
Medicaid Inspector General, Office of the	41,629	39,477	36,760	36,100	37,048	37,548
Stem Cell and Innovation	534	441	468	472	472	472
<b>Functional Total</b>	<b>397,045</b>	<b>367,441</b>	<b>371,490</b>	<b>403,990</b>	<b>432,517</b>	<b>473,042</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	220,446	203,272	215,568	207,299	191,823	190,656
<i>OCFS</i>	220,446	203,272	215,568	207,299	191,823	190,656
Housing and Community Renewal, Division of	55,025	48,144	49,961	52,037	53,077	54,778
Human Rights, Division of	14,686	13,420	13,013	13,265	13,637	14,050
Labor, Department of	249,256	241,039	243,444	252,903	257,651	263,141
National and Community Service	661	674	564	683	690	690
Prevention of Domestic Violence, Office for	1,088	1,063	1,260	1,388	1,446	1,485
Temporary and Disability Assistance, Office of	166,482	154,615	150,994	160,856	167,983	173,075
<i>All Other</i>	166,482	154,615	150,994	160,856	167,983	173,075
Welfare Inspector General, Office of	434	364	701	721	738	748
Workers' Compensation Board	89,052	85,890	86,300	91,587	92,301	94,633
<b>Functional Total</b>	<b>797,130</b>	<b>748,481</b>	<b>761,805</b>	<b>780,739</b>	<b>779,346</b>	<b>793,256</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	63,547	59,806	59,408	61,932	63,700	67,006
<i>OASAS</i>	29,054	27,687	29,498	30,379	30,906	32,390
<i>OASAS - Other</i>	34,493	32,119	29,910	31,553	32,794	34,616
Developmental Disabilities Planning Council	1,190	980	1,197	1,211	1,230	1,253
Mental Health, Office of	1,145,365	1,081,892	1,116,150	1,153,815	1,206,618	1,267,650
<i>OMH</i>	405,883	253,990	304,433	301,069	321,262	329,388
<i>OMH - Other</i>	739,482	827,902	811,717	852,746	885,356	938,262
People with Developmental Disabilities, Office for	1,168,238	1,125,236	1,119,644	1,165,358	1,191,791	1,267,691
<i>OPWDD</i>	42	72,350	116	116	116	116
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,119,528	1,165,242	1,191,675	1,267,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,012	6,184	7,105	7,283	7,447	7,618
<b>Functional Total</b>	<b>2,385,352</b>	<b>2,274,098</b>	<b>2,303,504</b>	<b>2,389,599</b>	<b>2,470,786</b>	<b>2,611,218</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,072,488	1,962,381	2,276,340	2,112,424	2,163,837	2,299,342
Criminal Justice Services, Division of	45,227	42,682	41,053	39,763	40,760	41,886
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	12,781	14,835	23,202	23,303	22,522	23,223
Indigent Legal Services, Office of	8	259	569	802	810	818
Judicial Commissions	3,723	3,794	4,093	4,135	4,237	4,357
Military and Naval Affairs, Division of	41,684	39,164	38,671	38,990	39,316	39,746
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	590,458	567,477	566,324	566,082	572,937	581,418
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Victim Services, Office of	4,950	4,398	5,366	5,446	5,604	5,805
<b>Functional Total</b>	<u>2,785,454</u>	<u>2,661,125</u>	<u>2,969,430</u>	<u>2,804,854</u>	<u>2,864,081</u>	<u>3,010,803</u>
<b>HIGHER EDUCATION</b>						
City University of New York	85,732	74,043	86,224	77,255	78,301	79,363
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,916	28,928	29,178	29,396	29,814	30,249
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	3,248,683	3,332,878	3,450,347	3,448,946	3,530,189	3,613,586
<b>Functional Total</b>	<u>3,380,114</u>	<u>3,449,668</u>	<u>3,565,947</u>	<u>3,555,795</u>	<u>3,638,502</u>	<u>3,723,396</u>
<b>EDUCATION</b>						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	175,944	158,373	162,941	165,568	166,788	167,528
<i>All Other</i>	175,944	158,373	162,941	165,568	166,788	167,528
<b>Functional Total</b>	<u>179,042</u>	<u>160,639</u>	<u>165,239</u>	<u>167,868</u>	<u>169,134</u>	<u>169,924</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	24,430	23,829	24,085	25,449	26,499	27,607
Civil Service, Department of	16,153	13,284	13,168	13,548	14,714	15,109
Deferred Compensation Board	374	378	392	399	408	417
Elections, State Board of	4,374	4,105	4,065	4,250	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,724	2,646	2,728
Gaming Commission, New York State	0	0	0	34,087	34,628	35,261
General Services, Office of	56,079	48,873	51,616	59,264	60,482	60,970
Inspector General, Office of the	5,519	5,067	5,388	6,195	6,367	6,551
Labor Management Committees	9,872	8,359	4,600	5,537	6,119	7,129
Lottery, Division of the	21,057	20,276	24,676	0	0	0
Public Employment Relations Board	3,456	3,197	3,290	3,495	3,046	3,138
Public Integrity, Commission on	3,017	2,492	2,850	3,409	3,501	3,599
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	34,671	31,461	34,953	36,254	37,088	37,817
Tax Appeals, Division of	2,776	2,483	2,900	2,962	3,034	3,088
Taxation and Finance, Department of	323,769	300,408	301,881	300,966	309,755	316,470
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	6,232	5,289	5,807	6,248	6,041	6,212
<b>Functional Total</b>	<u>527,433</u>	<u>482,566</u>	<u>495,808</u>	<u>518,382</u>	<u>532,605</u>	<u>544,837</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	110,320	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,963	10,210	10,900	11,600	12,045	12,730
Judiciary	1,526,975	1,466,250	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	129,276	123,150	130,394	130,602	133,947	137,628
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	480	515	543
<b>Functional Total</b>	<u>1,951,911</u>	<u>1,855,079</u>	<u>1,883,979</u>	<u>1,932,875</u>	<u>1,987,784</u>	<u>2,053,888</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	2,217	2,356	2,402	(47,562)	(47,475)	(47,434)
<b>Functional Total</b>	<u>6,052</u>	<u>4,447</u>	<u>2,402</u>	<u>(47,562)</u>	<u>(47,475)</u>	<u>(47,434)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>13,104,782</u>	<u>12,679,892</u>	<u>13,169,001</u>	<u>13,163,893</u>	<u>13,497,072</u>	<u>14,016,281</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	36,334	33,971	33,492	34,223	35,896	36,336
Alcoholic Beverage Control, Division of	4,069	5,324	5,543	5,434	5,749	5,886
Economic Development, Department of	6,538	22,375	10,267	9,785	10,051	10,051
Energy Research and Development Authority	1,850	1,680	1,854	1,854	1,889	1,927
Financial Services, Department of	60,286	68,426	57,644	57,958	58,026	58,104
Olympic Regional Development Authority	644	747	594	684	740	740
Public Service Department	11,114	8,815	8,712	9,187	9,383	9,594
Racing and Wagering Board, State	5,994	6,330	6,135	0	0	0
<b>Functional Total</b>	<b>126,829</b>	<b>147,668</b>	<b>124,241</b>	<b>119,125</b>	<b>121,734</b>	<b>122,638</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	484	575	705	705	705	705
Environmental Conservation, Department of	91,382	80,824	78,332	75,345	72,635	72,774
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	43,753	46,988	47,258	46,703	47,363	47,363
<b>Functional Total</b>	<b>136,681</b>	<b>129,437</b>	<b>126,295</b>	<b>122,753</b>	<b>120,703</b>	<b>120,842</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	18,919	21,233	22,518	24,428	24,920	25,467
Transportation, Department of	25,064	28,643	17,898	18,319	18,078	18,489
<b>Functional Total</b>	<b>43,983</b>	<b>49,876</b>	<b>40,416</b>	<b>42,747</b>	<b>42,998</b>	<b>43,956</b>
<b>HEALTH</b>						
Aging, Office for the	1,505	1,456	1,200	1,200	1,200	1,207
Health, Department of	427,263	468,479	484,900	515,590	524,655	524,608
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	404,516	454,695	434,045	459,735	469,300	469,253
Medicaid Inspector General, Office of the	16,215	12,563	18,745	19,076	19,799	19,825
Stem Cell and Innovation	36,437	43,029	43,032	50,528	60,901	62,201
<b>Functional Total</b>	<b>481,420</b>	<b>525,527</b>	<b>547,877</b>	<b>586,394</b>	<b>606,555</b>	<b>607,841</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	183,932	177,358	190,489	198,075	200,781	199,348
<i>OCFS</i>	183,932	177,358	190,489	198,075	200,781	199,348
Housing and Community Renewal, Division of	16,458	17,112	15,175	15,645	15,858	16,017
Human Rights, Division of	3,406	3,842	2,426	2,544	2,617	2,690
Labor, Department of	157,757	127,454	138,425	134,232	130,687	131,166
National and Community Service	24,070	20,894	13,687	13,654	13,869	13,869
Prevention of Domestic Violence, Office for	192	221	153	225	122	133
Temporary and Disability Assistance, Office of	162,748	165,159	183,959	184,430	190,983	188,431
<i>All Other</i>	162,748	165,159	183,959	184,430	190,983	188,431
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	66,505	76,504	68,989	63,016	64,342	65,297
<b>Functional Total</b>	<b>615,728</b>	<b>588,575</b>	<b>613,788</b>	<b>612,306</b>	<b>619,755</b>	<b>617,447</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	22,600	22,315	20,079	20,440	21,057	21,612
<i>OASAS</i>	10,729	10,683	9,771	10,089	10,397	10,671
<i>OASAS - Other</i>	11,871	11,632	10,308	10,351	10,660	10,941
Developmental Disabilities Planning Council	1,704	1,593	2,543	2,386	2,278	2,229
Mental Health, Office of	311,060	292,553	287,742	304,865	323,907	343,506
<i>OMH</i>	77,244	54,066	79,808	80,416	80,060	84,723
<i>OMH - Other</i>	233,816	238,487	207,934	224,449	243,847	258,783
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	382,160	362,704	395,722	408,397	419,843	430,212
<i>OPWDD</i>	1,644	36,607	33,666	34,542	34,542	34,542
<i>OPWDD - Other</i>	380,516	326,097	362,056	373,855	385,301	395,670
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,381	5,451	7,768	8,299	8,544	8,809
<b>Functional Total</b>	<b>723,250</b>	<b>685,027</b>	<b>713,854</b>	<b>744,387</b>	<b>775,629</b>	<b>806,368</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	532,464	512,484	473,402	493,390	513,894	535,329
Criminal Justice Services, Division of	37,016	39,409	47,253	45,682	41,455	41,924
Disaster Assistance	0	11,126	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	15,831	15,565	39,987	28,148	24,221	24,812
Indigent Legal Services, Office of	25,016	67	290	352	360	368
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	22,221	21,905	22,673	22,688	22,836	22,988
State Police, Division of	89,056	64,587	82,606	87,235	84,773	89,787
Statewide Financial System	5,092	10,042	18,887	17,730	18,949	20,204
Statewide Wireless Network	19,579	62,201	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Victim Services, Office of	1,124	1,395	1,418	1,449	1,468	1,488
<b>Functional Total</b>	<b>748,957</b>	<b>740,327</b>	<b>687,149</b>	<b>677,000</b>	<b>709,820</b>	<b>738,849</b>
<b>HIGHER EDUCATION</b>						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	36,097	39,666	42,628	43,656	44,593	45,554
State University Construction Fund	1,876	3,197	0	0	0	0
State University of New York	1,950,522	2,398,748	2,318,847	2,397,790	2,449,965	2,503,465
<b>Functional Total</b>	<b>2,019,896</b>	<b>2,475,574</b>	<b>2,390,420</b>	<b>2,471,090</b>	<b>2,524,918</b>	<b>2,580,113</b>
<b>EDUCATION</b>						
Arts, Council on the	1,596	1,822	1,922	1,922	1,922	1,922
Education, Department of	106,933	111,307	152,817	239,557	216,318	108,868
<i>All Other</i>	106,933	111,307	152,817	239,557	216,318	108,868
<b>Functional Total</b>	<b>108,529</b>	<b>113,129</b>	<b>154,739</b>	<b>241,479</b>	<b>218,240</b>	<b>110,790</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	7,651	6,852	7,233	6,035	6,170	6,296
Civil Service, Department of	1,524	1,311	1,489	1,506	1,889	1,894
Deferred Compensation Board	145	79	213	219	225	225
Elections, State Board of	63,486	4,187	8,970	22,925	946	967
Employee Relations, Office of	91	75	137	140	140	141
Gaming Commission, New York State	0	0	0	136,336	139,785	139,785
General Services, Office of	78,513	77,370	110,416	107,052	87,625	89,117
Inspector General, Office of the	184	342	527	588	669	711
Labor Management Committees	22,463	14,856	23,338	27,500	29,125	39,131
Lottery, Division of the	131,898	120,208	136,571	0	0	0
Public Employment Relations Board	532	478	441	545	758	758
Public Integrity, Commission on	777	725	850	3,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	11,570	13,166	18,507	20,476	21,967	22,190
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	89,313	83,181	91,480	94,048	96,380	96,380
Technology, Office for	15,164	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	661	586	1,492	1,009	1,017	1,042
<b>Functional Total</b>	<b>424,446</b>	<b>332,288</b>	<b>410,273</b>	<b>429,597</b>	<b>398,205</b>	<b>409,437</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	28,521	31,825	30,723	32,809	33,655	34,517
Executive Chamber	1,917	3,046	2,678	2,776	2,843	2,911
Judiciary	347,688	367,763	395,150	407,630	445,960	484,252
Law, Department of	55,774	62,521	62,316	60,221	61,535	63,083
Legislature	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	23	119	134	134	150	137
<b>Functional Total</b>	<b>482,363</b>	<b>510,555</b>	<b>544,512</b>	<b>558,395</b>	<b>600,315</b>	<b>642,452</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,669	2,651	1,798	(123,173)	(128,120)	(128,076)
<b>Functional Total</b>	<b>67,241</b>	<b>49,968</b>	<b>48,723</b>	<b>(76,248)</b>	<b>(81,195)</b>	<b>(81,151)</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,979,323</b>	<b>6,347,951</b>	<b>6,402,287</b>	<b>6,529,025</b>	<b>6,657,677</b>	<b>6,719,582</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	5,749	2,422	3,022	3,313	3,301	3,512
Alcoholic Beverage Control, Division of	4,125	3,572	3,890	4,546	4,685	4,932
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,638	1,670	1,704
Financial Services, Department of	66,829	78,741	66,930	86,170	88,824	94,279
Public Service Department	20,885	19,550	20,797	23,916	25,111	27,261
Racing and Wagering Board, State	3,517	2,283	3,054	0	0	0
<b>Functional Total</b>	<b>102,532</b>	<b>108,910</b>	<b>99,359</b>	<b>119,611</b>	<b>123,619</b>	<b>131,716</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	47,382	47,190	46,833	48,211	47,640	49,363
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,719	655	4,199	4,341	4,514	4,568
<b>Functional Total</b>	<b>52,369</b>	<b>50,234</b>	<b>51,032</b>	<b>52,552</b>	<b>52,154</b>	<b>53,931</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	27,958	25,239	25,158	29,342	30,419	33,275
Transportation, Department of	2,519	13,072	7,551	8,698	8,924	9,542
<b>Functional Total</b>	<b>30,477</b>	<b>38,311</b>	<b>32,709</b>	<b>38,040</b>	<b>39,343</b>	<b>42,817</b>
<b>HEALTH</b>						
Aging, Office for the	0	0	235	235	235	235
Health, Department of	60,449	55,907	59,690	63,630	65,445	68,806
<i>Public Health</i>	60,449	55,907	59,690	63,630	65,445	68,806
Medicaid Inspector General, Office of the	8,999	8,797	10,738	10,749	10,980	11,264
Stem Cell and Innovation	318	232	0	0	0	0
<b>Functional Total</b>	<b>69,766</b>	<b>64,936</b>	<b>70,663</b>	<b>74,614</b>	<b>76,660</b>	<b>80,305</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	12,215	12,485	12,429	11,551	11,893	12,312
<i>OCFS</i>	12,215	12,485	12,429	11,551	11,893	12,312
Housing and Community Renewal, Division of	19,849	18,713	21,000	23,969	25,371	26,229
Human Rights, Division of	1,047	49	1,969	2,075	2,203	2,330
Labor, Department of	107,407	108,624	122,558	146,425	143,806	153,295
Temporary and Disability Assistance, Office of	47,451	43,241	44,123	44,463	44,973	44,973
<i>All Other</i>	47,451	43,241	44,123	44,463	44,973	44,973
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	44,453	50,812	52,058	55,758
<b>Functional Total</b>	<b>231,177</b>	<b>224,309</b>	<b>246,753</b>	<b>279,516</b>	<b>280,526</b>	<b>295,121</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	28,419	28,073	29,851	33,561	35,519	38,518
<i>OASAS</i>	12,184	12,086	14,265	16,430	17,270	18,597
<i>OASAS - Other</i>	16,235	15,987	15,586	17,131	18,249	19,921
Developmental Disabilities Planning Council	0	1,335	461	604	692	718
Mental Health, Office of	559,910	530,390	575,346	620,810	666,243	734,767
<i>OMH</i>	198,566	121,600	139,211	162,323	176,011	191,247
<i>OMH - Other</i>	361,344	408,790	436,135	458,487	490,232	543,520
People with Developmental Disabilities, Office for	580,009	521,166	557,731	628,153	663,853	726,251
<i>OPWDD</i>	19	104,039	70	74	74	74
<i>OPWDD - Other</i>	579,990	417,127	557,661	628,079	663,779	726,177
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,692	1,708	1,581	1,379	1,491	1,604
<b>Functional Total</b>	<b>1,170,030</b>	<b>1,082,672</b>	<b>1,164,970</b>	<b>1,284,507</b>	<b>1,367,798</b>	<b>1,501,858</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	2,757	1,120	1,490	1,476	1,481	1,484
Criminal Justice Services, Division of	252	375	395	3,164	1,702	3,320
Homeland Security and Emergency Services, Division of	3,112	3,896	3,815	3,880	3,914	3,982
Indigent Legal Services, Office of	0	83	285	445	464	489
Military and Naval Affairs, Division of	8,228	6,021	6,749	6,749	6,749	6,749
State Police, Division of	21,329	19,372	21,124	22,012	22,639	23,929
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	2,103	2,297	2,382	2,563
<b>Functional Total</b>	<b>37,165</b>	<b>32,804</b>	<b>35,961</b>	<b>40,023</b>	<b>39,331</b>	<b>42,516</b>
<b>HIGHER EDUCATION</b>						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,885	12,794	15,066	16,259	16,763	17,749
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	528,116	559,990	596,114	605,217	625,001	645,787

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Functional Total</b>	553,993	585,028	617,431	627,912	648,390	670,358
<b>EDUCATION</b>						
Education, Department of	64,236	69,217	70,797	76,718	80,147	84,047
<i>All Other</i>	64,236	69,217	70,797	76,718	80,147	84,047
<b>Functional Total</b>	64,236	69,217	70,797	76,718	80,147	84,047
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,744	2,081	2,232	2,066	2,402	2,599
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
Elections, State Board of	0	126	0	0	0	0
Gaming Commission, New York State	0	0	0	17,166	17,490	17,490
General Services, Office of	1,290	1,495	1,901	2,136	2,208	2,354
Lottery, Division of the	9,955	9,822	11,743	0	0	0
State, Department of	10,286	8,981	11,265	13,511	13,814	14,685
Taxation and Finance, Department of	23,256	11,634	21,522	22,820	23,047	23,047
Veterans' Affairs, Division of	431	233	350	350	350	350
<b>Functional Total</b>	47,343	34,777	49,372	58,425	59,708	60,926
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,382	2,689	2,061	1,582	1,653	1,778
Judiciary	551,599	601,846	584,398	643,830	683,352	717,306
Law, Department of	15,662	15,328	22,638	26,529	26,846	28,481
<b>Functional Total</b>	568,643	619,863	609,097	671,941	711,851	747,565
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
Miscellaneous	6,898	5,244	5,701	5,824	5,907	5,986
<b>Functional Total</b>	3,433,358	3,943,350	3,853,893	4,214,368	4,497,827	4,710,489
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>6,361,089</u>	<u>6,854,411</u>	<u>6,902,037</u>	<u>7,538,227</u>	<u>7,977,354</u>	<u>8,421,649</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	5,205	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	(427)	0	12,500	12,500	5,000	5,000
Economic Development, Department of	7,991	821	29,381	32,645	19,859	15,000
Empire State Development Corporation	4,810	8,446	97,565	132,602	135,003	85,832
Energy Research and Development Authority	16,403	14,629	12,400	12,000	13,000	13,000
Regional Economic Development Program	0	0	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>37,543</b>	<b>45,570</b>	<b>174,852</b>	<b>206,524</b>	<b>186,692</b>	<b>128,832</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	305,327	303,054	398,242	362,631	335,559	301,102
Environmental Facilities Corporation	356	274	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	58,361	32,095	86,341	59,627	46,940	33,228
<b>Functional Total</b>	<b>379,260</b>	<b>335,639</b>	<b>488,399</b>	<b>422,258</b>	<b>382,499</b>	<b>334,330</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	150,000	0	0	310,000
Motor Vehicles, Department of	204,814	186,910	183,195	198,874	203,658	213,727
Thruway Authority, New York State	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,082,367	3,017,285	3,111,721	3,141,624	2,877,161	2,610,257
<b>Functional Total</b>	<b>3,287,181</b>	<b>3,204,195</b>	<b>3,446,716</b>	<b>3,342,298</b>	<b>3,082,619</b>	<b>3,135,784</b>
<b>HEALTH</b>						
Health, Department of	7,468	7,272	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,468	7,272	13,500	13,500	13,500	13,500
<b>Functional Total</b>	<b>7,468</b>	<b>7,272</b>	<b>13,500</b>	<b>13,500</b>	<b>13,500</b>	<b>13,500</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	18,245	20,494	20,900	20,900	20,900	20,900
<i>OCFS</i>	18,245	20,494	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	3,000	2,300	3,000	3,002	3,002	3,004
<b>Functional Total</b>	<b>21,245</b>	<b>22,794</b>	<b>23,900</b>	<b>23,902</b>	<b>23,902</b>	<b>23,904</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,678	146	9,880	13,196	13,083	13,083
<i>OASAS</i>	1,678	146	9,880	13,196	13,083	13,083
Mental Health, Office of	69,686	63,011	77,570	77,598	77,680	77,783
<i>OMH</i>	69,686	63,011	77,570	77,598	77,680	77,783
People with Developmental Disabilities, Office for	23,618	38,419	45,220	39,220	39,220	39,220
<i>OPWDD</i>	23,618	38,419	45,220	39,220	39,220	39,220
<b>Functional Total</b>	<b>94,982</b>	<b>101,576</b>	<b>132,670</b>	<b>130,014</b>	<b>129,983</b>	<b>130,086</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	216,232	239,799	235,697	268,461	274,494	271,860
Disaster Assistance	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	2,416	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	23,746	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	535	125	0	0	0	0
State Police, Division of	14,597	30,466	37,800	17,874	13,865	13,865
<b>Functional Total</b>	<b>257,526</b>	<b>308,712</b>	<b>316,538</b>	<b>326,431</b>	<b>337,366</b>	<b>327,732</b>
<b>HIGHER EDUCATION</b>						
City University of New York	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	(432)	406	0	0	0	0
State University of New York	852,320	1,013,908	1,123,385	1,069,284	1,196,517	1,120,167
<b>Functional Total</b>	<b>861,489</b>	<b>1,039,496</b>	<b>1,175,512</b>	<b>1,122,350</b>	<b>1,243,242</b>	<b>1,162,125</b>
<b>EDUCATION</b>						
Education, Department of	4,631	8,230	32,637	33,118	18,400	23,400
<i>All Other</i>	4,631	8,230	32,637	33,118	18,400	23,400
<b>Functional Total</b>	<b>4,631</b>	<b>8,230</b>	<b>32,637</b>	<b>33,118</b>	<b>18,400</b>	<b>23,400</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	61,188	74,801	66,578	59,309	69,883	69,883
State, Department of	1,373	0	0	0	0	0
Technology, Office for	2,883	0	0	0	0	0
<b>Functional Total</b>	<b>65,444</b>	<b>74,801</b>	<b>66,578</b>	<b>59,309</b>	<b>69,883</b>	<b>69,883</b>

CASH DISBURSEMENTS BY FUNCTION  
 ALL GOVERNMENTAL FUNDS  
 CAPITAL PROJECTS  
 (thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ELECTED OFFICIALS</b>						
Judiciary	9,640	2,311	0	0	0	0
<b>Functional Total</b>	<u>9,640</u>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	105,219	125,940	91,000	104,000	96,000	40,000
<b>Functional Total</b>	<u>105,219</u>	<u>125,940</u>	<u>91,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>5,131,628</u></u>	<u><u>5,276,536</u></u>	<u><u>5,962,302</u></u>	<u><u>5,783,704</u></u>	<u><u>5,584,086</u></u>	<u><u>5,389,576</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	82,828	96,414	90,595	85,935	89,262	84,848
Alcoholic Beverage Control, Division of	16,706	16,616	16,960	18,175	18,742	19,188
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,190	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	103,950	144,632	136,324	122,294	97,889	93,285
Empire State Development Corporation	931,654	953,805	521,153	658,882	678,275	507,641
Energy Research and Development Authority	31,710	31,567	28,558	28,158	29,477	29,808
Financial Services, Department of	507,291	506,105	486,283	513,675	518,571	526,626
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	73,076	66,124	67,453	73,989	77,125	81,199
Racing and Wagering Board, State	21,573	19,553	18,248	0	0	0
Regional Economic Development Program	5,248	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,811,331</b>	<b>1,865,843</b>	<b>1,388,723</b>	<b>1,524,314</b>	<b>1,524,129</b>	<b>1,357,383</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	662,504	651,642	719,036	679,048	652,079	621,848
Environmental Facilities Corporation	9,746	10,347	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	253,619	226,698	278,280	243,193	233,062	221,430
<b>Functional Total</b>	<b>945,722</b>	<b>893,202</b>	<b>1,005,278</b>	<b>926,416</b>	<b>889,392</b>	<b>847,615</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	300,776	279,072	276,821	298,569	306,023	321,741
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	6,287,184	6,353,525	6,616,982	6,765,058	6,778,516	6,834,221
<b>Functional Total</b>	<b>6,806,350</b>	<b>6,829,260</b>	<b>7,229,203</b>	<b>7,249,027</b>	<b>7,269,939</b>	<b>7,486,333</b>
<b>HEALTH</b>						
Aging, Office for the	118,718	113,753	117,434	117,051	123,618	130,464
Health, Department of	14,607,760	18,156,155	18,785,632	19,581,946	19,937,457	20,579,905
<i>Medical Assistance</i>	11,400,560	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,668,830	2,844,337	2,873,969	3,012,683	2,832,994	2,629,076
Medicaid Inspector General, Office of the	28,084	25,284	24,789	24,777	25,163	25,199
Stem Cell and Innovation	37,289	43,702	43,500	51,000	61,373	62,673
<b>Functional Total</b>	<b>14,791,851</b>	<b>18,338,894</b>	<b>18,971,355</b>	<b>19,774,774</b>	<b>20,147,611</b>	<b>20,798,241</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,012,107	1,918,848	1,882,276	2,055,234	2,266,102	2,342,898
<i>OCFS</i>	1,942,752	1,805,680	1,794,782	1,965,425	2,172,541	2,245,260
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	214,800	195,084	203,006	199,571	203,338	210,778
Human Rights, Division of	14,165	12,000	11,197	11,376	11,687	12,032
Labor, Department of	71,832	60,962	68,377	72,600	68,176	70,399
National and Community Service	381	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,956	1,802	2,098	2,298	2,250	2,295
Temporary and Disability Assistance, Office of	1,415,873	1,609,788	1,749,231	1,634,927	1,544,441	1,570,724
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<i>All Other</i>	372,008	342,922	343,958	338,254	349,419	348,989
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	194,002	199,035	196,210	201,791	205,077	212,064
<b>Functional Total</b>	<b>3,926,266</b>	<b>3,998,292</b>	<b>4,114,403</b>	<b>4,179,907</b>	<b>4,303,214</b>	<b>4,423,345</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	447,896	463,573	474,840	487,606	577,230	598,071
<i>OASAS</i>	336,208	371,684	386,356	407,246	494,202	511,268
<i>OASAS - Other</i>	111,688	91,889	88,484	80,360	83,028	86,803
Mental Health, Office of	3,250,569	3,121,765	3,205,997	3,380,205	3,691,036	3,997,726
<i>OMH</i>	1,492,515	1,238,438	1,367,562	1,456,273	1,655,907	1,796,411
<i>OMH - Other</i>	1,758,054	1,883,327	1,838,435	1,923,932	2,035,129	2,201,315
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,322,045	4,373,199	4,323,182	4,525,588	4,699,159	4,987,147
<i>OPWDD</i>	515,378	945,451	484,528	504,280	528,524	548,114
<i>OPWDD - Other</i>	3,806,667	3,427,748	3,838,654	4,021,308	4,170,635	4,439,033
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,213	7,584	9,505	10,259	10,548	10,849
<b>Functional Total</b>	<b>8,029,068</b>	<b>7,966,532</b>	<b>8,013,524</b>	<b>8,403,658</b>	<b>8,977,973</b>	<b>9,593,793</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,803,925	2,716,925	2,945,478	2,852,366	2,929,992	3,084,242
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	213,480	215,019	230,926	228,760	225,194	226,628

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Disaster Assistance	0	38,565	76,000	64,702	(400)	0
Homeland Security and Emergency Services, Division of	35,714	32,705	103,742	141,722	127,147	121,172
Indigent Legal Services, Office of	90,793	62,701	62,244	62,999	78,634	78,675
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	39,023	39,755	37,740	37,823	42,688	41,159
Public Security and Emergency Response	496	125	600	600	600	600
State Police, Division of	692,423	660,844	700,254	685,553	686,514	701,299
Statewide Financial System	9,070	17,596	29,701	28,625	29,926	31,264
Statewide Wireless Network	28,253	87,696	0	0	0	0
Victim Services, Office of	34,594	35,194	32,741	35,003	35,202	35,507
<b>Functional Total</b>	<b>3,955,134</b>	<b>3,914,355</b>	<b>4,227,550</b>	<b>4,157,952</b>	<b>4,175,579</b>	<b>4,341,900</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,315,913	1,341,784	1,391,629	1,507,666	1,566,038	1,631,407
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
Higher Education Services Corporation, New York State	889,588	1,000,527	1,015,753	1,083,608	1,098,530	1,112,391
State University Construction Fund	18,915	22,625	0	0	0	0
State University of New York	6,733,419	7,491,580	7,716,186	7,754,377	8,034,812	8,116,145
<b>Functional Total</b>	<b>8,992,039</b>	<b>9,883,801</b>	<b>10,161,360</b>	<b>10,356,951</b>	<b>10,700,680</b>	<b>10,861,243</b>
<b>EDUCATION</b>						
Arts, Council on the	45,173	33,659	40,053	36,055	36,101	36,151
Education, Department of	26,730,429	24,771,504	25,508,738	26,581,811	27,635,969	28,814,777
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,713,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<i>All Other</i>	723,897	700,707	818,704	756,185	734,300	742,431
<b>Functional Total</b>	<b>26,775,602</b>	<b>24,805,163</b>	<b>25,548,791</b>	<b>26,617,866</b>	<b>27,672,070</b>	<b>28,850,928</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	33,825	32,762	33,550	33,550	35,071	36,502
Civil Service, Department of	17,896	14,836	14,825	15,222	16,775	17,179
Deferred Compensation Board	681	621	796	826	858	867
Elections, State Board of	6,186	9,815	7,735	5,175	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,864	2,786	2,869
Gaming Commission, New York State	0	0	0	187,589	191,903	192,536
General Services, Office of	189,821	196,290	225,543	222,774	215,211	217,337
Inspector General, Office of the	5,703	5,409	5,915	6,783	7,036	7,262
Labor Management Committees	32,335	23,215	27,938	33,037	35,244	46,260
Lottery, Division of the	162,910	150,306	172,990	0	0	0
Public Employment Relations Board	3,988	3,675	3,731	4,040	3,804	3,896
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	76,851	61,000	71,124	63,919	66,423	68,031
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	441,466	401,033	415,599	418,545	429,888	436,603
Technology, Office for	26,987	19,640	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	14,069	12,884	14,106	13,442	13,275	13,503
<b>Functional Total</b>	<b>1,024,299</b>	<b>940,240</b>	<b>1,025,335</b>	<b>1,038,950</b>	<b>1,085,541</b>	<b>1,080,040</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	171,821	169,817	173,479	175,800	179,344	183,533
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	2,549,908	2,545,494	2,546,998	2,679,944	2,805,004	2,934,500
Law, Department of	169,761	168,624	179,139	177,633	182,598	188,090
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>3,127,210</b>	<b>3,094,762</b>	<b>3,132,603</b>	<b>3,269,716</b>	<b>3,406,444</b>	<b>3,549,027</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<b>775,330</b>	<b>753,792</b>	<b>763,222</b>	<b>771,718</b>	<b>782,827</b>	<b>791,674</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,432,021	3,941,782	3,848,192	4,208,544	4,491,920	4,704,503
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
Miscellaneous	49,061	57,204	52,177	(37,945)	(106,517)	(161,353)
<b>Functional Total</b>	<b>9,158,597</b>	<b>9,908,740</b>	<b>10,047,070</b>	<b>10,632,969</b>	<b>10,916,178</b>	<b>11,234,991</b>
<b>TOTAL STATE FUNDS SPENDING</b>	<b>90,118,799</b>	<b>93,192,876</b>	<b>95,628,417</b>	<b>98,904,218</b>	<b>101,851,577</b>	<b>105,216,513</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	15,014	24,603	22,339	22,297	24,697	23,097
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,617	17,655	0	0	0	0
Economic Development, Department of	75,494	110,082	84,264	66,229	54,772	54,772
Empire State Development Corporation	926,844	945,359	423,588	526,280	543,272	421,809
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,418	9,607
Financial Services, Department of	228,152	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	0	90	200	200	200
Regional Economic Development Program	5,248	6,058	0	0	0	0
<b>Functional Total</b>	<b>1,290,536</b>	<b>1,329,367</b>	<b>756,537</b>	<b>841,192</b>	<b>849,311</b>	<b>726,437</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	70,523	74,946	61,325	59,042	59,042	59,042
Environmental Facilities Corporation	0	1,180	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	16,933	12,121	16,112	7,600	7,600	7,600
<b>Functional Total</b>	<b>87,456</b>	<b>88,247</b>	<b>77,437</b>	<b>66,642</b>	<b>66,642</b>	<b>66,642</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600	18,571
Thruway Authority, New York State	1,478	2,163	0	0	0	0
Transportation, Department of	4,266,828	4,243,459	4,444,675	4,638,374	4,725,238	4,810,299
<b>Functional Total</b>	<b>4,485,218</b>	<b>4,440,122</b>	<b>4,628,275</b>	<b>4,821,974</b>	<b>4,908,838</b>	<b>4,828,870</b>
<b>HEALTH</b>						
Aging, Office for the	117,041	111,616	115,697	115,314	121,881	128,689
Health, Department of	13,995,374	17,561,651	18,141,564	18,885,959	19,213,420	19,831,608
<i>Medical Assistance</i>	11,377,313	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,079,691	2,265,055	2,281,256	2,373,051	2,164,812	1,936,634
<b>Functional Total</b>	<b>14,112,415</b>	<b>17,673,267</b>	<b>18,257,261</b>	<b>19,001,273</b>	<b>19,335,301</b>	<b>19,960,297</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,670,267	1,603,904	1,543,111	1,715,131	1,941,082	2,021,050
<i>OCFS</i>	1,600,912	1,490,736	1,455,617	1,625,322	1,847,521	1,923,412
<i>OCFS - Other</i>	69,355	113,168	87,494	89,809	93,561	97,638
Housing and Community Renewal, Division of	137,588	124,044	130,604	122,544	124,196	129,196
Labor, Department of	11,237	3,665	4,987	2,875	150	150
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,247,339	1,458,453	1,552,766	1,429,769	1,328,118	1,356,231
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,405,273	1,296,673	1,195,022	1,221,735
<i>All Other</i>	203,474	191,587	147,493	133,096	133,096	134,496
<b>Functional Total</b>	<b>3,067,097</b>	<b>3,190,645</b>	<b>3,232,503</b>	<b>3,271,354</b>	<b>3,394,581</b>	<b>3,507,662</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	336,995	359,683	362,628	368,008	453,510	467,589
<i>OASAS</i>	287,906	327,532	329,948	346,683	432,185	446,264
<i>OASAS - Other</i>	49,089	32,151	32,680	21,325	21,325	21,325
Mental Health, Office of	1,165,624	1,155,718	1,150,206	1,224,197	1,417,651	1,575,097
<i>OMH</i>	742,212	747,570	767,557	835,947	1,001,957	1,114,347
<i>OMH - Other</i>	423,412	408,148	382,649	388,250	415,694	460,750
People with Developmental Disabilities, Office for	2,176,345	2,327,726	2,238,541	2,319,011	2,419,003	2,558,324
<i>OPWDD</i>	498,380	696,088	439,132	464,879	489,123	508,713
<i>OPWDD - Other</i>	1,677,965	1,631,638	1,799,409	1,854,132	1,929,880	2,049,611
Quality of Care and Advocacy for Persons With Disabilities, Commission on	623	560	620	620	620	620
<b>Functional Total</b>	<b>3,679,587</b>	<b>3,843,687</b>	<b>3,751,995</b>	<b>3,911,836</b>	<b>4,290,784</b>	<b>4,601,630</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	10,386	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	0	11,500	11,500	12,500
Criminal Justice Services, Division of	150,003	151,076	159,811	155,101	154,911	154,911
Disaster Assistance	0	0	77,000	86,126	(400)	0
Homeland Security and Emergency Services, Division of	17,552	19,575	36,717	89,290	87,089	84,973
Indigent Legal Services, Office of	65,769	62,292	61,100	61,400	77,000	77,000
Military and Naval Affairs, Division of	3,659	3,761	867	850	850	850
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	29,185	29,239	26,182	28,182	28,182	28,182
<b>Functional Total</b>	<b>276,554</b>	<b>289,906</b>	<b>367,728</b>	<b>438,449</b>	<b>365,132</b>	<b>364,416</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Facilities Capital Matching Grants Program	34,266	26,430	36,492	10,000	0	0
Higher Education Services Corporation, New York State	813,707	923,514	935,933	1,001,349	1,014,412	1,025,891

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
State University of New York	473,053	488,115	462,404	468,051	468,051	468,051
<b>Functional Total</b>	<u>2,503,839</u>	<u>2,640,469</u>	<u>2,654,013</u>	<u>2,821,767</u>	<u>2,887,591</u>	<u>2,967,214</u>
<b>EDUCATION</b>						
Arts, Council on the	40,479	29,571	35,933	31,933	31,933	31,933
Education, Department of	26,564,820	24,606,829	25,320,934	26,387,707	27,456,509	28,627,971
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,713,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,546,216	1,657,416
<i>All Other</i>	558,288	536,032	630,900	562,081	554,840	555,625
<b>Functional Total</b>	<u>26,605,299</u>	<u>24,636,400</u>	<u>25,356,867</u>	<u>26,419,640</u>	<u>27,488,442</u>	<u>28,659,904</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	582	415	2,700	0	30,000	0
General Services, Office of	28	0	19	0	0	0
State, Department of	24,495	12,916	16,118	3,877	3,877	3,877
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	2,086	682	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,517	7,577	7,637
<b>Functional Total</b>	<u>40,505</u>	<u>28,072</u>	<u>27,880</u>	<u>12,320</u>	<u>42,380</u>	<u>12,440</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	119,798	114,108	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<u>151,396</u>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	738,940	721,034	728,181	739,188	752,782	763,629
Efficiency Incentive Grants Program	4,604	4,714	6,956	4,485	2,000	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
<b>Functional Total</b>	<u>775,330</u>	<u>753,792</u>	<u>763,222</u>	<u>771,718</u>	<u>782,827</u>	<u>791,674</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(33,259)	(44,673)	(23,724)	22,966	(32,829)	(31,829)
<b>Functional Total</b>	<u>(33,259)</u>	<u>(44,673)</u>	<u>(23,724)</u>	<u>22,966</u>	<u>(32,829)</u>	<u>(31,829)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>57,041,973</u>	<u>59,015,414</u>	<u>59,988,718</u>	<u>62,554,855</u>	<u>64,532,724</u>	<u>66,609,081</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	57,860	52,515	51,187	52,812	55,624	56,522
Alcoholic Beverage Control, Division of	12,581	13,044	13,070	13,629	14,057	14,256
Economic Development, Department of	20,444	33,729	22,651	23,392	23,230	23,485
Energy Research and Development Authority	4,744	6,456	5,286	5,286	5,389	5,497
Financial Services, Department of	212,310	210,028	202,401	210,553	212,795	215,395
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	52,777	47,386	47,297	50,665	52,499	54,454
Racing and Wagering Board, State	18,056	17,270	15,194	0	0	0
<b>Functional Total</b>	<b>382,306</b>	<b>384,024</b>	<b>360,165</b>	<b>359,543</b>	<b>366,882</b>	<b>372,897</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	255,522	245,162	235,215	231,807	232,772	235,599
Environmental Facilities Corporation	7,122	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	177,022	183,216	174,936	174,936	177,429	179,464
<b>Functional Total</b>	<b>444,303</b>	<b>439,181</b>	<b>414,297</b>	<b>410,918</b>	<b>414,452</b>	<b>419,400</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	68,522	67,400	69,091	71,593	73,154	76,063
Transportation, Department of	33,454	35,857	25,749	26,447	26,402	27,019
<b>Functional Total</b>	<b>101,976</b>	<b>103,257</b>	<b>94,840</b>	<b>98,040</b>	<b>99,556</b>	<b>103,082</b>
<b>HEALTH</b>						
Aging, Office for the	1,677	2,137	1,737	1,737	1,737	1,775
Health, Department of	571,324	555,256	595,989	645,031	671,834	693,807
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	548,077	540,034	544,634	588,676	615,979	637,952
Medicaid Inspector General, Office of the	27,990	25,258	24,789	24,777	25,163	25,199
Stem Cell and Innovation	36,971	43,470	43,500	51,000	61,373	62,673
<b>Functional Total</b>	<b>637,962</b>	<b>626,121</b>	<b>666,015</b>	<b>722,545</b>	<b>760,107</b>	<b>783,454</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	321,270	292,769	315,749	316,583	301,463	298,244
<i>OCFS</i>	321,270	292,769	315,749	316,583	301,463	298,244
Housing and Community Renewal, Division of	61,015	55,910	55,222	57,669	58,644	60,173
Human Rights, Division of	14,165	12,000	11,197	11,376	11,687	12,032
Labor, Department of	44,994	42,274	48,081	51,934	49,028	50,121
National and Community Service	381	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,290	1,261	1,413	1,613	1,565	1,610
Temporary and Disability Assistance, Office of	167,085	150,451	196,465	205,158	216,323	214,493
<i>All Other</i>	167,085	150,451	196,465	205,158	216,323	214,493
Welfare Inspector General, Office of	1,094	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	150,850	157,884	151,757	150,979	153,019	156,306
<b>Functional Total</b>	<b>762,144</b>	<b>713,238</b>	<b>781,321</b>	<b>796,851</b>	<b>793,300</b>	<b>794,560</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	80,818	75,671	72,481	75,276	78,050	81,826
<i>OASAS</i>	34,454	31,920	32,263	33,372	34,596	36,269
<i>OASAS - Other</i>	46,364	43,751	40,218	41,904	43,454	45,557
Mental Health, Office of	1,455,854	1,372,952	1,403,165	1,457,943	1,529,788	1,610,419
<i>OMH</i>	482,556	306,563	383,514	380,748	400,585	413,374
<i>OMH - Other</i>	973,298	1,066,389	1,019,651	1,077,195	1,129,203	1,197,045
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	1,542,092	1,485,935	1,481,760	1,539,278	1,577,157	1,663,426
<i>OPWDD</i>	(6,620)	106,952	176	181	181	181
<i>OPWDD - Other</i>	1,548,712	1,378,983	1,481,584	1,539,097	1,576,976	1,663,245
Quality of Care and Advocacy for Persons With Disabilities, Commission on	6,573	5,948	7,698	8,369	8,553	8,748
<b>Functional Total</b>	<b>3,085,682</b>	<b>2,940,917</b>	<b>2,965,104</b>	<b>3,080,866</b>	<b>3,193,548</b>	<b>3,364,419</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,575,307	2,471,532	2,703,688	2,577,860	2,649,450	2,806,331
Criminal Justice Services, Division of	63,391	63,882	70,920	73,581	70,203	71,632
Disaster Assistance	0	20,811	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	15,550	8,654	50,126	38,368	33,560	34,733
Indigent Legal Services, Office of	25,024	326	859	1,154	1,170	1,186
Judicial Commissions	4,944	4,979	5,452	5,588	5,795	5,990
Military and Naval Affairs, Division of	28,398	27,440	26,944	27,089	27,443	27,814
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	657,091	611,384	641,330	645,667	650,010	663,505
Statewide Financial System	9,069	17,596	29,701	28,625	29,926	31,264
Statewide Wireless Network	27,655	69,207	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Victim Services, Office of	4,521	4,138	4,782	4,878	4,992	5,134
<b>Functional Total</b>	<b>3,413,369</b>	<b>3,302,200</b>	<b>3,536,074</b>	<b>3,384,697</b>	<b>3,475,936</b>	<b>3,651,053</b>
<b>HIGHER EDUCATION</b>						
City University of New York	117,099	107,837	114,166	105,896	107,658	109,454
Higher Education - Miscellaneous	255	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	61,052	64,233	65,173	66,419	67,774	69,170
State University Construction Fund	14,438	16,809	0	0	0	0
State University of New York	4,880,006	5,429,621	5,534,334	5,611,876	5,745,294	5,882,191
<b>Functional Total</b>	<b>5,072,850</b>	<b>5,618,876</b>	<b>5,714,874</b>	<b>5,785,392</b>	<b>5,921,927</b>	<b>6,062,016</b>
<b>EDUCATION</b>						
Arts, Council on the	4,694	4,088	4,120	4,122	4,168	4,218
Education, Department of	131,572	126,159	126,613	129,292	128,576	129,276
<i>All Other</i>	131,572	126,159	126,613	129,292	128,576	129,276
<b>Functional Total</b>	<b>136,266</b>	<b>130,247</b>	<b>130,733</b>	<b>133,414</b>	<b>132,744</b>	<b>133,494</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	32,081	30,681	31,318	31,484	32,669	33,903
Civil Service, Department of	17,677	14,595	14,657	15,054	16,603	17,003
Deferred Compensation Board	519	457	605	618	633	642
Elections, State Board of	5,604	9,400	5,035	5,175	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,864	2,786	2,869
Gaming Commission, New York State	0	0	0	170,423	174,413	175,046
General Services, Office of	127,315	119,994	157,045	161,329	143,120	145,100
Inspector General, Office of the	5,703	5,409	5,915	6,783	7,036	7,262
Labor Management Committees	32,335	23,215	27,938	33,037	35,244	46,260
Lottery, Division of the	152,955	140,484	161,247	0	0	0
Public Employment Relations Board	3,988	3,675	3,731	4,040	3,804	3,896
Public Integrity, Commission on	3,794	3,217	3,700	6,705	4,819	4,939
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	41,797	40,716	46,054	49,183	51,309	52,178
Tax Appeals, Division of	3,134	2,850	3,101	3,174	3,255	3,309
Taxation and Finance, Department of	412,940	382,913	393,151	394,799	405,915	412,630
Technology, Office for	22,018	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,025	5,312	5,989	5,925	5,698	5,866
<b>Functional Total</b>	<b>872,538</b>	<b>804,563</b>	<b>884,168</b>	<b>911,898</b>	<b>916,497</b>	<b>939,850</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	138,841	135,123	139,394	142,194	145,667	149,731
Executive Chamber	12,880	13,256	13,578	14,376	14,888	15,641
Judiciary	1,868,890	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Law, Department of	161,877	160,461	165,109	162,671	166,906	171,479
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
<b>Functional Total</b>	<b>2,405,328</b>	<b>2,333,719</b>	<b>2,393,390</b>	<b>2,455,618</b>	<b>2,552,023</b>	<b>2,659,608</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	4,886	5,007	4,200	(170,735)	(175,595)	(175,510)
<b>Functional Total</b>	<b>73,293</b>	<b>54,415</b>	<b>51,125</b>	<b>(123,810)</b>	<b>(128,670)</b>	<b>(128,585)</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>17,388,017</b>	<b>17,450,758</b>	<b>17,992,106</b>	<b>18,015,972</b>	<b>18,498,302</b>	<b>19,155,248</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,416	27,412	27,500	28,449	29,774	30,436
Alcoholic Beverage Control, Division of	8,512	7,720	7,527	8,195	8,308	8,370
Economic Development, Department of	13,906	11,354	12,629	13,852	13,424	13,679
Energy Research and Development Authority	2,894	4,776	3,432	3,432	3,500	3,570
Financial Services, Department of	152,126	142,332	144,757	152,595	154,769	157,291
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Public Service Department	41,801	38,749	38,828	41,680	43,309	45,053
Racing and Wagering Board, State	12,062	10,940	9,059	0	0	0
<b>Functional Total</b>	<b>265,607</b>	<b>246,132</b>	<b>246,217</b>	<b>250,725</b>	<b>255,632</b>	<b>260,947</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	186,181	188,153	173,639	173,218	176,893	179,581
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	135,297	138,928	128,763	129,318	131,176	133,211
<b>Functional Total</b>	<b>331,772</b>	<b>336,314</b>	<b>306,193</b>	<b>306,356</b>	<b>311,965</b>	<b>316,774</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	51,578	48,313	49,130	50,650	51,790	54,228
Transportation, Department of	10,853	9,818	10,397	10,751	10,999	11,275
<b>Functional Total</b>	<b>62,431</b>	<b>58,131</b>	<b>59,527</b>	<b>61,401</b>	<b>62,789</b>	<b>65,503</b>
<b>HEALTH</b>						
Aging, Office for the	1,641	1,931	1,555	1,555	1,555	1,586
Health, Department of	289,860	267,998	275,644	294,115	311,884	333,936
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	289,360	266,560	275,144	293,615	311,384	333,436
Medicaid Inspector General, Office of the	20,818	19,738	18,373	18,031	18,067	18,103
Stem Cell and Innovation	534	441	468	472	472	472
<b>Functional Total</b>	<b>312,853</b>	<b>290,108</b>	<b>296,040</b>	<b>314,173</b>	<b>331,978</b>	<b>354,097</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	192,793	177,205	186,486	179,734	163,447	161,672
<i>OCFS</i>	192,793	177,205	186,486	179,734	163,447	161,672
Housing and Community Renewal, Division of	46,367	40,611	42,345	44,323	45,161	46,570
Human Rights, Division of	12,932	10,679	10,283	10,345	10,625	10,940
Labor, Department of	32,161	29,954	29,625	32,567	33,273	33,967
National and Community Service	304	292	210	325	328	328
Prevention of Domestic Violence, Office for	1,098	1,040	1,260	1,388	1,443	1,477
Temporary and Disability Assistance, Office of	62,305	51,870	77,688	85,979	90,656	93,056
<i>All Other</i>	62,305	51,870	77,688	85,979	90,656	93,056
Welfare Inspector General, Office of	434	364	701	721	738	748
Workers' Compensation Board	89,052	85,890	86,300	91,587	92,301	94,633
<b>Functional Total</b>	<b>437,446</b>	<b>397,905</b>	<b>434,898</b>	<b>446,969</b>	<b>437,972</b>	<b>443,391</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	59,596	54,920	53,931	56,407	58,624	61,879
<i>OASAS</i>	25,103	22,801	24,021	24,854	25,830	27,263
<i>OASAS - Other</i>	34,493	32,119	29,910	31,553	32,794	34,616
Mental Health, Office of	1,145,258	1,081,037	1,115,572	1,153,231	1,206,034	1,267,066
<i>OMH</i>	405,776	253,135	303,855	300,485	320,678	328,804
<i>OMH - Other</i>	739,482	827,902	811,717	852,746	885,356	938,262
People with Developmental Disabilities, Office for	1,168,196	1,125,113	1,119,528	1,165,242	1,191,675	1,267,575
<i>OPWDD</i>	0	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,119,528	1,165,242	1,191,675	1,267,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,430	4,856	6,480	7,090	7,241	7,404
<b>Functional Total</b>	<b>2,378,480</b>	<b>2,265,926</b>	<b>2,295,511</b>	<b>2,381,970</b>	<b>2,463,574</b>	<b>2,603,924</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,043,633	1,959,956	2,231,528	2,085,747	2,136,867	2,272,313
Criminal Justice Services, Division of	34,806	32,972	32,419	34,510	35,363	36,326
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,531	6,837	16,951	17,032	16,151	16,733
Indigent Legal Services, Office of	8	259	569	802	810	818
Judicial Commissions	3,723	3,794	4,093	4,135	4,237	4,357
Military and Naval Affairs, Division of	19,260	17,152	16,525	16,655	16,861	17,080
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	582,393	557,865	561,224	560,932	567,737	576,218
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0
Victim Services, Office of	3,761	3,109	3,866	3,931	4,026	4,148

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<u>2,708,250</u>	<u>2,608,079</u>	<u>2,880,987</u>	<u>2,737,653</u>	<u>2,796,110</u>	<u>2,942,201</u>
<b>HIGHER EDUCATION</b>						
City University of New York	85,732	74,043	86,224	77,255	78,301	79,363
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,756	28,789	28,342	28,560	28,978	29,413
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	<u>3,239,364</u>	<u>3,324,528</u>	<u>3,443,118</u>	<u>3,441,717</u>	<u>3,522,960</u>	<u>3,606,357</u>
<b>Functional Total</b>	<u>3,370,635</u>	<u>3,441,179</u>	<u>3,557,882</u>	<u>3,547,730</u>	<u>3,630,437</u>	<u>3,715,331</u>
<b>EDUCATION</b>						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	<u>90,078</u>	<u>80,475</u>	<u>79,548</u>	<u>81,861</u>	<u>82,298</u>	<u>83,025</u>
<i>All Other</i>	<u>90,078</u>	<u>80,475</u>	<u>79,548</u>	<u>81,861</u>	<u>82,298</u>	<u>83,025</u>
<b>Functional Total</b>	<u>93,176</u>	<u>82,741</u>	<u>81,846</u>	<u>84,161</u>	<u>84,644</u>	<u>85,421</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	24,430	23,829	24,085	25,449	26,499	27,607
Civil Service, Department of	16,153	13,284	13,168	13,548	14,714	15,109
Deferred Compensation Board	374	378	392	399	408	417
Elections, State Board of	4,205	4,065	4,065	4,250	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,724	2,646	2,728
Gaming Commission, New York State	0	0	0	34,087	34,628	35,261
General Services, Office of	56,079	48,873	51,616	59,264	60,482	60,970
Inspector General, Office of the	5,519	5,067	5,388	6,195	6,367	6,551
Labor Management Committees	9,872	8,359	4,600	5,537	6,119	7,129
Lottery, Division of the	21,057	20,276	24,676	0	0	0
Public Employment Relations Board	3,456	3,197	3,290	3,495	3,046	3,138
Public Integrity, Commission on	3,017	2,492	2,850	3,409	3,501	3,599
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	31,270	28,357	31,445	32,693	33,425	34,086
Tax Appeals, Division of	2,776	2,483	2,900	2,962	3,034	3,088
Taxation and Finance, Department of	323,767	300,408	301,881	300,966	309,755	316,470
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	5,570	4,868	5,050	5,481	5,259	5,416
<b>Functional Total</b>	<u>523,199</u>	<u>479,001</u>	<u>491,543</u>	<u>514,054</u>	<u>528,160</u>	<u>540,310</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	110,320	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,963	10,210	10,900	11,600	12,045	12,730
Judiciary	1,525,120	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	111,750	106,462	110,920	110,913	113,920	117,232
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	480	515	543
<b>Functional Total</b>	<u>1,932,530</u>	<u>1,836,492</u>	<u>1,864,505</u>	<u>1,913,186</u>	<u>1,967,757</u>	<u>2,033,492</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	2,217	2,356	2,402	(47,562)	(47,475)	(47,434)
<b>Functional Total</b>	<u>6,052</u>	<u>4,447</u>	<u>2,402</u>	<u>(47,562)</u>	<u>(47,475)</u>	<u>(47,434)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>12,422,431</u></u>	<u><u>12,046,455</u></u>	<u><u>12,517,551</u></u>	<u><u>12,510,816</u></u>	<u><u>12,823,543</u></u>	<u><u>13,313,957</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	26,444	25,103	23,687	24,363	25,850	26,086
Alcoholic Beverage Control, Division of	4,069	5,324	5,543	5,434	5,749	5,886
Economic Development, Department of	6,538	22,375	10,022	9,540	9,806	9,806
Energy Research and Development Authority	1,850	1,680	1,854	1,854	1,889	1,927
Financial Services, Department of	60,184	67,696	57,644	57,958	58,026	58,104
Olympic Regional Development Authority	644	747	594	684	740	740
Public Service Department	10,976	8,637	8,469	8,985	9,190	9,401
Racing and Wagering Board, State	5,994	6,330	6,135	0	0	0
<b>Functional Total</b>	<b>116,699</b>	<b>137,892</b>	<b>113,948</b>	<b>108,818</b>	<b>111,250</b>	<b>111,950</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	403	520	355	355	355	355
Environmental Conservation, Department of	69,341	57,009	61,576	58,589	55,879	56,018
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	41,725	44,288	46,173	45,618	46,253	46,253
<b>Functional Total</b>	<b>112,531</b>	<b>102,867</b>	<b>108,104</b>	<b>104,562</b>	<b>102,487</b>	<b>102,626</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	16,944	19,087	19,961	20,943	21,364	21,835
Transportation, Department of	22,601	26,039	15,352	15,696	15,403	15,744
<b>Functional Total</b>	<b>39,545</b>	<b>45,126</b>	<b>35,313</b>	<b>36,639</b>	<b>36,767</b>	<b>37,579</b>
<b>HEALTH</b>						
Aging, Office for the	36	206	182	182	182	189
Health, Department of	281,464	287,258	320,345	350,916	359,950	359,871
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	258,717	273,474	269,490	295,061	304,595	304,516
Medicaid Inspector General, Office of the	7,172	5,520	6,416	6,746	7,096	7,096
Stem Cell and Innovation	36,437	43,029	43,032	50,528	60,901	62,201
<b>Functional Total</b>	<b>325,109</b>	<b>336,013</b>	<b>369,975</b>	<b>408,372</b>	<b>428,129</b>	<b>429,357</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	128,477	115,564	129,263	136,849	138,016	136,572
<i>OCFS</i>	128,477	115,564	129,263	136,849	138,016	136,572
Housing and Community Renewal, Division of	14,648	15,299	12,877	13,346	13,483	13,603
Human Rights, Division of	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	12,833	12,320	18,456	19,367	15,755	16,154
National and Community Service	77	2	41	8	9	9
Prevention of Domestic Violence, Office for	192	221	153	225	122	133
Temporary and Disability Assistance, Office of	104,780	98,581	118,777	119,179	125,667	121,437
<i>All Other</i>	104,780	98,581	118,777	119,179	125,667	121,437
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	61,798	71,994	65,457	59,392	60,718	61,673
<b>Functional Total</b>	<b>324,698</b>	<b>315,333</b>	<b>346,423</b>	<b>349,882</b>	<b>355,328</b>	<b>351,169</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	21,222	20,751	18,550	18,869	19,426	19,947
<i>OASAS</i>	9,351	9,119	8,242	8,518	8,766	9,006
<i>OASAS - Other</i>	11,871	11,632	10,308	10,351	10,660	10,941
Mental Health, Office of	310,596	291,915	287,593	304,712	323,754	343,353
<i>OMH</i>	76,780	53,428	79,659	80,263	79,907	84,570
<i>OMH - Other</i>	233,816	238,487	207,934	224,449	243,847	258,783
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	373,896	360,822	362,232	374,036	385,482	395,851
<i>OPWDD</i>	(6,620)	34,725	176	181	181	181
<i>OPWDD - Other</i>	380,516	326,097	362,056	373,855	385,301	395,670
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,143	1,092	1,218	1,279	1,312	1,344
<b>Functional Total</b>	<b>707,202</b>	<b>674,991</b>	<b>669,593</b>	<b>698,896</b>	<b>729,974</b>	<b>760,495</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	531,674	511,576	472,160	492,113	512,583	534,018
Criminal Justice Services, Division of	28,585	30,910	38,501	39,071	34,840	35,306
Disaster Assistance	0	11,126	(1,000)	(21,424)	0	0
Homeland Security and Emergency Services, Division of	9,019	1,817	33,175	21,336	17,409	18,000
Indigent Legal Services, Office of	25,016	67	290	352	360	368
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	9,138	10,288	10,419	10,434	10,582	10,734
State Police, Division of	74,698	53,519	80,106	84,735	82,273	87,287
Statewide Financial System	5,092	10,042	18,887	17,730	18,949	20,204
Statewide Wireless Network	19,579	62,201	0	0	0	0
Victim Services, Office of	760	1,029	916	947	966	986

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<u>705,119</u>	<u>694,121</u>	<u>655,087</u>	<u>647,044</u>	<u>679,826</u>	<u>708,852</u>
<b>HIGHER EDUCATION</b>						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	28,296	35,444	36,831	37,859	38,796	39,757
State University Construction Fund	1,876	3,197	0	0	0	0
State University of New York	<u>1,640,642</u>	<u>2,105,093</u>	<u>2,091,216</u>	<u>2,170,159</u>	<u>2,222,334</u>	<u>2,275,834</u>
<b>Functional Total</b>	<u>1,702,215</u>	<u>2,177,697</u>	<u>2,156,992</u>	<u>2,237,662</u>	<u>2,291,490</u>	<u>2,346,685</u>
<b>EDUCATION</b>						
Arts, Council on the	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	<u>41,494</u>	<u>45,684</u>	<u>47,065</u>	<u>47,431</u>	<u>46,278</u>	<u>46,251</u>
<i>All Other</i>	<u>41,494</u>	<u>45,684</u>	<u>47,065</u>	<u>47,431</u>	<u>46,278</u>	<u>46,251</u>
<b>Functional Total</b>	<u>43,090</u>	<u>47,506</u>	<u>48,887</u>	<u>49,253</u>	<u>48,100</u>	<u>48,073</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	7,651	6,852	7,233	6,035	6,170	6,296
Civil Service, Department of	1,524	1,311	1,489	1,506	1,889	1,894
Deferred Compensation Board	145	79	213	219	225	225
Elections, State Board of	1,399	5,335	970	925	946	967
Employee Relations, Office of	91	75	137	140	140	141
Gaming Commission, New York State	0	0	0	136,336	139,785	139,785
General Services, Office of	71,236	71,121	105,429	102,065	82,638	84,130
Inspector General, Office of the	184	342	527	588	669	711
Labor Management Committees	22,463	14,856	23,338	27,500	29,125	39,131
Lottery, Division of the	131,898	120,208	136,571	0	0	0
Public Employment Relations Board	532	478	441	545	758	758
Public Integrity, Commission on	777	725	850	3,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	10,527	12,359	14,609	16,490	17,884	18,092
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	89,173	82,505	91,270	93,833	96,160	96,160
Technology, Office for	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	455	444	939	444	439	450
<b>Functional Total</b>	<u>349,339</u>	<u>325,562</u>	<u>392,625</u>	<u>397,844</u>	<u>388,337</u>	<u>399,540</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	28,521	31,825	30,723	32,809	33,655	34,517
Executive Chamber	1,917	3,046	2,678	2,776	2,843	2,911
Judiciary	343,770	362,957	387,650	400,130	438,460	476,752
Law, Department of	50,127	53,999	54,189	51,758	52,986	54,247
Legislature	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	23	119	134	134	150	137
<b>Functional Total</b>	<u>472,798</u>	<u>497,227</u>	<u>528,885</u>	<u>542,432</u>	<u>584,266</u>	<u>626,116</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,669	2,651	1,798	(123,173)	(128,120)	(128,076)
<b>Functional Total</b>	<u>67,241</u>	<u>49,968</u>	<u>48,723</u>	<u>(76,248)</u>	<u>(81,195)</u>	<u>(81,151)</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>4,965,586</u>	<u>5,404,303</u>	<u>5,474,555</u>	<u>5,505,156</u>	<u>5,674,759</u>	<u>5,841,291</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,749	1,340	1,563	1,549	1,611	1,729
Alcoholic Beverage Control, Division of	4,125	3,572	3,890	4,546	4,685	4,932
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,638	1,670	1,704
Financial Services, Department of	66,829	78,607	66,930	86,170	88,824	94,279
Public Service Department	20,299	18,738	20,066	23,124	24,426	26,545
Racing and Wagering Board, State	3,517	2,283	3,054	0	0	0
<b>Functional Total</b>	<b>100,946</b>	<b>106,882</b>	<b>97,169</b>	<b>117,055</b>	<b>121,244</b>	<b>129,217</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	37,838	34,802	36,754	38,070	37,248	38,692
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,627	655	3,691	3,830	3,893	3,938
<b>Functional Total</b>	<b>42,733</b>	<b>37,846</b>	<b>40,445</b>	<b>41,900</b>	<b>41,141</b>	<b>42,630</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	27,440	24,762	24,535	28,102	29,211	31,951
Transportation, Department of	403	10,781	5,022	5,665	5,954	6,373
<b>Functional Total</b>	<b>27,843</b>	<b>35,543</b>	<b>29,557</b>	<b>33,767</b>	<b>35,165</b>	<b>38,324</b>
<b>HEALTH</b>						
Health, Department of	33,594	31,976	34,579	37,456	38,703	40,990
<i>Public Health</i>	33,594	31,976	34,579	37,456	38,703	40,990
Medicaid Inspector General, Office of the	94	26	0	0	0	0
Stem Cell and Innovation	318	232	0	0	0	0
<b>Functional Total</b>	<b>34,006</b>	<b>32,234</b>	<b>34,579</b>	<b>37,456</b>	<b>38,703</b>	<b>40,990</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,325	1,681	2,516	2,620	2,657	2,704
<i>OCFS</i>	2,325	1,681	2,516	2,620	2,657	2,704
Housing and Community Renewal, Division of	16,197	15,130	17,180	19,358	20,498	21,409
Labor, Department of	15,601	15,023	15,309	17,791	18,998	20,128
Temporary and Disability Assistance, Office of	1,449	884	0	0	0	0
<i>All Other</i>	1,449	884	0	0	0	0
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	44,453	50,812	52,058	55,758
<b>Functional Total</b>	<b>78,780</b>	<b>73,915</b>	<b>79,679</b>	<b>90,802</b>	<b>94,433</b>	<b>100,223</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	28,405	28,073	29,851	31,126	32,587	35,573
<i>OASAS</i>	12,170	12,086	14,265	13,995	14,338	15,652
<i>OASAS - Other</i>	16,235	15,987	15,586	17,131	18,249	19,921
Mental Health, Office of	559,405	530,084	575,056	620,467	665,917	734,427
<i>OMH</i>	198,061	121,294	138,921	161,980	175,685	190,907
<i>OMH - Other</i>	361,344	408,790	436,135	458,487	490,232	543,520
People with Developmental Disabilities, Office for	579,990	521,119	557,661	628,079	663,779	726,177
<i>OPWDD</i>	0	103,992	0	0	0	0
<i>OPWDD - Other</i>	579,990	417,127	557,661	628,079	663,779	726,177
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,017	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<b>1,168,817</b>	<b>1,080,352</b>	<b>1,163,755</b>	<b>1,280,942</b>	<b>1,363,658</b>	<b>1,497,658</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	2,000	0	42	45	48	51
Criminal Justice Services, Division of	86	61	195	78	80	85
Homeland Security and Emergency Services, Division of	196	0	899	964	998	1,066
Indigent Legal Services, Office of	0	83	285	445	464	489
Military and Naval Affairs, Division of	583	546	250	250	250	250
State Police, Division of	20,735	18,994	21,124	22,012	22,639	23,929
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	1,777	1,943	2,028	2,191
<b>Functional Total</b>	<b>25,087</b>	<b>21,621</b>	<b>24,572</b>	<b>25,737</b>	<b>26,507</b>	<b>28,061</b>
<b>HIGHER EDUCATION</b>						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,829	12,780	14,647	15,840	16,344	17,330
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	528,040	559,936	596,063	605,166	624,950	645,736
<b>Functional Total</b>	<b>553,861</b>	<b>584,960</b>	<b>616,961</b>	<b>627,442</b>	<b>647,920</b>	<b>669,888</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>EDUCATION</b>						
Education, Department of	29,406	30,286	28,554	31,694	32,484	34,130
<i>All Other</i>	29,406	30,286	28,554	31,694	32,484	34,130
<b>Functional Total</b>	<u>29,406</u>	<u>30,286</u>	<u>28,554</u>	<u>31,694</u>	<u>32,484</u>	<u>34,130</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,744	2,081	2,232	2,066	2,402	2,599
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
Gaming Commission, New York State	0	0	0	17,166	17,490	17,490
General Services, Office of	1,290	1,495	1,901	2,136	2,208	2,354
Lottery, Division of the	9,955	9,822	11,743	0	0	0
State, Department of	9,186	7,368	8,952	10,859	11,237	11,976
Taxation and Finance, Department of	23,256	11,633	21,522	22,820	23,047	23,047
<b>Functional Total</b>	<u>45,812</u>	<u>32,804</u>	<u>46,709</u>	<u>55,423</u>	<u>56,781</u>	<u>57,867</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,382	2,689	2,061	1,582	1,653	1,778
Judiciary	551,580	601,767	584,398	643,830	683,352	717,306
Law, Department of	7,884	8,163	14,030	14,962	15,692	16,611
<b>Functional Total</b>	<u>560,846</u>	<u>612,619</u>	<u>600,489</u>	<u>660,374</u>	<u>700,697</u>	<u>735,695</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	3,426,460	3,938,106	3,848,192	4,208,544	4,491,920	4,704,503
Miscellaneous	6,898	5,244	5,701	5,824	5,907	5,986
<b>Functional Total</b>	<u>3,433,358</u>	<u>3,943,350</u>	<u>3,853,893</u>	<u>4,214,368</u>	<u>4,497,827</u>	<u>4,710,489</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>6,101,495</u></u>	<u><u>6,592,412</u></u>	<u><u>6,616,362</u></u>	<u><u>7,216,960</u></u>	<u><u>7,656,560</u></u>	<u><u>8,085,172</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	5,205	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	(427)	0	12,500	12,500	5,000	5,000
Economic Development, Department of	7,991	821	29,381	32,645	19,859	15,000
Empire State Development Corporation	4,810	8,446	97,565	132,602	135,003	85,832
Energy Research and Development Authority	16,403	14,629	12,400	12,000	13,000	13,000
Regional Economic Development Program	0	0	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>37,543</b>	<b>45,570</b>	<b>174,852</b>	<b>206,524</b>	<b>186,692</b>	<b>128,832</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	298,621	296,732	385,742	350,129	323,017	288,515
Environmental Facilities Corporation	356	274	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	57,037	30,706	83,541	56,827	44,140	30,428
<b>Functional Total</b>	<b>371,230</b>	<b>327,928</b>	<b>473,099</b>	<b>406,956</b>	<b>367,157</b>	<b>318,943</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	150,000	0	0	310,000
Motor Vehicles, Department of	204,814	186,910	183,195	198,874	203,658	213,727
Thruway Authority, New York State	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	1,986,499	2,063,428	2,141,536	2,094,572	2,020,922	1,990,530
<b>Functional Total</b>	<b>2,191,313</b>	<b>2,250,338</b>	<b>2,476,531</b>	<b>2,295,246</b>	<b>2,226,380</b>	<b>2,516,057</b>
<b>HEALTH</b>						
Health, Department of	7,468	7,272	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,468	7,272	13,500	13,500	13,500	13,500
<b>Functional Total</b>	<b>7,468</b>	<b>7,272</b>	<b>13,500</b>	<b>13,500</b>	<b>13,500</b>	<b>13,500</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	18,245	20,494	20,900	20,900	20,900	20,900
<i>OCFS</i>	18,245	20,494	20,900	20,900	20,900	20,900
<b>Functional Total</b>	<b>18,245</b>	<b>20,494</b>	<b>20,900</b>	<b>20,900</b>	<b>20,900</b>	<b>20,900</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,678	146	9,880	13,196	13,083	13,083
<i>OASAS</i>	1,678	146	9,880	13,196	13,083	13,083
Mental Health, Office of	69,686	63,011	77,570	77,598	77,680	77,783
<i>OMH</i>	69,686	63,011	77,570	77,598	77,680	77,783
People with Developmental Disabilities, Office for	23,618	38,419	45,220	39,220	39,220	39,220
<i>OPWDD</i>	23,618	38,419	45,220	39,220	39,220	39,220
<b>Functional Total</b>	<b>94,982</b>	<b>101,576</b>	<b>132,670</b>	<b>130,014</b>	<b>129,983</b>	<b>130,086</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	216,232	239,799	235,697	268,461	274,494	271,860
Disaster Assistance	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	2,416	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	6,383	8,008	9,679	9,634	14,145	12,245
Public Security and Emergency Response	496	125	0	0	0	0
State Police, Division of	14,597	30,466	37,800	17,874	13,865	13,865
<b>Functional Total</b>	<b>240,124</b>	<b>300,628</b>	<b>299,176</b>	<b>309,069</b>	<b>308,004</b>	<b>298,370</b>
<b>HIGHER EDUCATION</b>						
City University of New York	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	(432)	406	0	0	0	0
State University of New York	852,320	1,013,908	1,123,385	1,069,284	1,196,517	1,120,167
<b>Functional Total</b>	<b>861,489</b>	<b>1,039,496</b>	<b>1,175,512</b>	<b>1,122,350</b>	<b>1,243,242</b>	<b>1,162,125</b>
<b>EDUCATION</b>						
Education, Department of	4,631	8,230	32,637	33,118	18,400	23,400
<i>All Other</i>	4,631	8,230	32,637	33,118	18,400	23,400
<b>Functional Total</b>	<b>4,631</b>	<b>8,230</b>	<b>32,637</b>	<b>33,118</b>	<b>18,400</b>	<b>23,400</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	61,188	74,801	66,578	59,309	69,883	69,883
State, Department of	1,373	0	0	0	0	0
Technology, Office for	2,883	0	0	0	0	0
<b>Functional Total</b>	<b>65,444</b>	<b>74,801</b>	<b>66,578</b>	<b>59,309</b>	<b>69,883</b>	<b>69,883</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	9,640	2,311	0	0	0	0
<b>Functional Total</b>	<b>9,640</b>	<b>2,311</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	70,536	91,626	66,000	104,000	96,000	40,000
<b>Functional Total</b>	<u>70,536</u>	<u>91,626</u>	<u>66,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>3,972,645</u>	<u>4,270,270</u>	<u>4,931,455</u>	<u>4,700,986</u>	<u>4,680,141</u>	<u>4,722,096</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	0	18,266	18,266	18,820	0	0
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,418	9,607
Financial Services, Department of	217,007	217,375	216,952	216,952	216,952	216,952
Public Service Department	0	0	90	200	200	200
<b>Functional Total</b>	<b>226,164</b>	<b>243,781</b>	<b>244,542</b>	<b>245,206</b>	<b>226,570</b>	<b>226,759</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	410	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,099	5,363	4,850	4,850	4,850	4,850
<b>Functional Total</b>	<b>5,509</b>	<b>5,363</b>	<b>4,850</b>	<b>4,850</b>	<b>4,850</b>	<b>4,850</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	4,156,790	4,131,623	4,279,636	4,458,861	4,536,861	4,632,061
<b>Functional Total</b>	<b>4,156,790</b>	<b>4,131,623</b>	<b>4,279,636</b>	<b>4,458,861</b>	<b>4,536,861</b>	<b>4,632,061</b>
<b>HEALTH</b>						
Aging, Office for the	7	0	0	0	0	0
Health, Department of	5,686,816	6,338,542	6,309,789	6,589,822	6,876,651	6,715,855
<i>Medical Assistance</i>	4,437,075	4,995,832	5,051,940	5,169,517	5,372,497	5,465,843
<i>Public Health</i>	1,249,741	1,342,710	1,257,849	1,420,305	1,504,154	1,250,012
<b>Functional Total</b>	<b>5,686,823</b>	<b>6,338,542</b>	<b>6,309,789</b>	<b>6,589,822</b>	<b>6,876,651</b>	<b>6,715,855</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	3,966	3,912	850	850	850	850
<i>OCFS</i>	3,966	3,912	850	850	850	850
Housing and Community Renewal, Division of	712	(126)	852	852	852	852
Labor, Department of	123	153	150	150	150	150
Temporary and Disability Assistance, Office of	6,140	8,938	4,000	0	0	0
<i>All Other</i>	6,140	8,938	4,000	0	0	0
<b>Functional Total</b>	<b>10,941</b>	<b>12,877</b>	<b>5,852</b>	<b>1,852</b>	<b>1,852</b>	<b>1,852</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	153,359	267,103	276,950	297,332	313,767	327,846
<i>OASAS</i>	153,359	267,103	276,950	297,332	313,767	327,846
Mental Health, Office of	567,032	696,872	710,705	771,436	862,446	974,836
<i>OMH</i>	567,032	696,872	710,705	771,436	862,446	974,836
People with Developmental Disabilities, Office for	617,736	729,773	710,109	733,171	756,932	780,221
<i>OPWDD</i>	378,577	682,532	434,303	461,000	485,244	504,834
<i>OPWDD - Other</i>	239,159	47,241	275,806	272,171	271,688	275,387
Quality of Care and Advocacy for Persons With Disabilities, Commission on	394	390	450	450	450	450
<b>Functional Total</b>	<b>1,338,521</b>	<b>1,694,138</b>	<b>1,698,214</b>	<b>1,802,389</b>	<b>1,933,595</b>	<b>2,083,353</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	22,628	31,765	33,055	33,055	36,055	36,055
Homeland Security and Emergency Services, Division of	0	0	22,629	75,490	81,126	80,751
Indigent Legal Services, Office of	65,769	62,292	61,100	61,400	77,000	77,000
Military and Naval Affairs, Division of	2,934	3,000	0	0	0	0
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	29,185	29,239	26,182	28,182	28,182	28,182
<b>Functional Total</b>	<b>120,516</b>	<b>144,665</b>	<b>142,966</b>	<b>198,127</b>	<b>222,363</b>	<b>221,988</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	22,200	16,000	32,000	16,000	0	0
<b>Functional Total</b>	<b>22,200</b>	<b>16,000</b>	<b>32,000</b>	<b>16,000</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,391,597	6,130,035	6,342,520	6,551,088	6,738,639	6,842,481
<i>School Aid</i>	3,142,995	2,883,980	3,053,000	3,078,800	3,084,000	3,086,000
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>All Other</i>	14,588	13,172	13,453	12,913	12,913	12,913
<b>Functional Total</b>	<b>6,391,597</b>	<b>6,130,035</b>	<b>6,342,618</b>	<b>6,551,186</b>	<b>6,738,737</b>	<b>6,842,579</b>
<b>GENERAL GOVERNMENT</b>						
State, Department of	4,813	3,499	539	539	539	539
<b>Functional Total</b>	<b>4,813</b>	<b>3,499</b>	<b>539</b>	<b>539</b>	<b>539</b>	<b>539</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	114,914	111,606	104,200	104,200	104,200	104,200
<b>Functional Total</b>	<b>114,914</b>	<b>111,606</b>	<b>104,200</b>	<b>104,200</b>	<b>104,200</b>	<b>104,200</b>

CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	10,321	15,388	(276,176)	(410,708)	(380,117)	(479,388)
<b>Functional Total</b>	<u>10,321</u>	<u>15,388</u>	<u>(276,176)</u>	<u>(410,708)</u>	<u>(380,117)</u>	<u>(479,388)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>18,089,109</u></u>	<u><u>18,847,517</u></u>	<u><u>18,889,030</u></u>	<u><u>19,562,324</u></u>	<u><u>20,266,101</u></u>	<u><u>20,354,648</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	9,887	8,903	3,440	3,244	3,320	3,415
Alcoholic Beverage Control, Division of	8,512	7,720	7,527	8,195	8,308	8,370
Economic Development, Department of	43	0	103	103	103	103
Energy Research and Development Authority	2,894	4,776	3,432	3,432	3,500	3,570
Financial Services, Department of	151,988	142,332	144,757	152,595	154,769	157,291
Public Service Department	41,801	38,749	38,828	41,680	43,309	45,053
Racing and Wagering Board, State	12,062	10,940	9,059	0	0	0
<b>Functional Total</b>	<b>227,187</b>	<b>213,420</b>	<b>207,146</b>	<b>209,249</b>	<b>213,309</b>	<b>217,802</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	97,997	91,949	92,937	89,464	91,573	92,918
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	26,130	27,997	28,021	29,018	29,528	30,026
<b>Functional Total</b>	<b>130,187</b>	<b>125,400</b>	<b>120,958</b>	<b>118,482</b>	<b>121,101</b>	<b>122,944</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	51,578	48,313	49,130	50,650	51,790	54,228
Transportation, Department of	10,853	9,818	9,897	10,251	10,499	10,775
<b>Functional Total</b>	<b>62,431</b>	<b>58,131</b>	<b>59,027</b>	<b>60,901</b>	<b>62,289</b>	<b>65,003</b>
<b>HEALTH</b>						
Health, Department of	228,838	205,605	218,860	222,280	226,479	228,938
<i>Public Health</i>	228,838	205,605	218,860	222,280	226,479	228,938
Medicaid Inspector General, Office of the	3,888	3,708	3,700	3,700	3,700	3,700
Stem Cell and Innovation	534	441	468	472	472	472
<b>Functional Total</b>	<b>233,260</b>	<b>209,754</b>	<b>223,028</b>	<b>226,452</b>	<b>230,651</b>	<b>233,110</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	29,104	33,370	3,526	3,293	3,390	3,455
<i>OCFS</i>	29,104	33,370	3,526	3,293	3,390	3,455
Housing and Community Renewal, Division of	34,795	31,919	32,866	34,859	35,399	36,388
Labor, Department of	31,852	29,954	29,625	32,567	33,273	33,967
Temporary and Disability Assistance, Office of	48,211	55,783	0	0	0	0
<i>All Other</i>	48,211	55,783	0	0	0	0
Welfare Inspector General, Office of	108	77	408	414	420	423
Workers' Compensation Board	89,052	85,890	86,300	91,587	92,301	94,633
<b>Functional Total</b>	<b>233,122</b>	<b>236,993</b>	<b>152,725</b>	<b>162,720</b>	<b>164,783</b>	<b>168,866</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	59,596	54,920	53,931	56,407	58,624	61,879
<i>OASAS</i>	25,103	22,801	24,021	24,854	25,830	27,263
<i>OASAS - Other</i>	34,493	32,119	29,910	31,553	32,794	34,616
Mental Health, Office of	1,145,091	1,081,037	1,115,572	1,153,231	1,206,034	1,267,066
<i>OMH</i>	405,609	253,135	303,855	300,485	320,678	328,804
<i>OMH - Other</i>	739,482	827,902	811,717	852,746	885,356	938,262
People with Developmental Disabilities, Office for	1,168,196	1,125,113	1,119,528	1,165,242	1,191,675	1,267,575
<i>OPWDD</i>	0	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,119,528	1,165,242	1,191,675	1,267,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,202	2,206	2,297	2,362	2,430	2,503
<b>Functional Total</b>	<b>2,375,085</b>	<b>2,263,276</b>	<b>2,291,328</b>	<b>2,377,242</b>	<b>2,458,763</b>	<b>2,599,023</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	0	0	82	82	84	86
Criminal Justice Services, Division of	314	322	379	383	389	396
Homeland Security and Emergency Services, Division of	334	200	9,744	9,825	10,077	10,367
Indigent Legal Services, Office of	8	259	569	802	810	818
Military and Naval Affairs, Division of	1,570	1,354	781	783	784	786
State Police, Division of	161,378	157,651	46,324	46,554	47,093	47,570
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0
Victim Services, Office of	3,761	3,109	3,866	3,931	4,026	4,148
<b>Functional Total</b>	<b>179,418</b>	<b>177,455</b>	<b>72,559</b>	<b>73,255</b>	<b>74,240</b>	<b>75,231</b>
<b>HIGHER EDUCATION</b>						
City University of New York	85,732	74,043	86,224	77,255	78,301	79,363
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,756	28,789	28,342	28,560	28,978	29,413
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	2,478,960	2,621,078	2,894,775	3,441,717	3,522,960	3,606,357
<b>Functional Total</b>	<b>2,610,231</b>	<b>2,737,729</b>	<b>3,009,539</b>	<b>3,547,730</b>	<b>3,630,437</b>	<b>3,715,331</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>EDUCATION</b>						
Education, Department of	65,658	55,492	55,050	57,318	57,423	57,765
<i>All Other</i>	65,658	55,492	55,050	57,318	57,423	57,765
<b>Functional Total</b>	<u>65,658</u>	<u>55,492</u>	<u>55,050</u>	<u>57,318</u>	<u>57,423</u>	<u>57,765</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	3,797	4,577	4,204	4,124	4,296	4,477
Civil Service, Department of	554	349	350	350	357	364
Deferred Compensation Board	344	348	363	367	378	386
Gaming Commission, New York State	0	0	0	34,087	34,628	35,261
General Services, Office of	3,364	3,117	3,056	3,326	3,915	3,995
Lottery, Division of the	21,057	20,276	24,676	0	0	0
Public Employment Relations Board	245	290	352	159	0	0
State, Department of	18,975	17,669	18,724	19,602	19,957	20,355
Taxation and Finance, Department of	27,496	50,583	41,015	41,408	41,822	41,822
<b>Functional Total</b>	<u>75,832</u>	<u>97,209</u>	<u>92,740</u>	<u>103,423</u>	<u>105,353</u>	<u>106,660</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	2,936	10,214	10,707	10,557	10,518	10,793
Judiciary	58,078	55,393	57,650	58,150	58,350	59,650
Law, Department of	15,436	22,722	26,983	26,969	27,556	28,192
<b>Functional Total</b>	<u>76,450</u>	<u>88,329</u>	<u>95,340</u>	<u>95,676</u>	<u>96,424</u>	<u>98,635</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,192	2,305	(22,650)	(22,614)	(37,528)	(92,488)
<b>Functional Total</b>	<u>2,192</u>	<u>2,305</u>	<u>(22,650)</u>	<u>(22,614)</u>	<u>(37,528)</u>	<u>(92,488)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>6,271,053</u></u>	<u><u>6,265,493</u></u>	<u><u>6,356,790</u></u>	<u><u>7,009,834</u></u>	<u><u>7,177,245</u></u>	<u><u>7,367,882</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	20,236	17,504	17,552	17,530	18,867	19,224
Alcoholic Beverage Control, Division of	4,069	5,324	5,543	5,434	5,749	5,886
Economic Development, Department of	573	622	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,850	1,680	1,854	1,854	1,889	1,927
Financial Services, Department of	60,184	67,696	57,644	57,958	58,026	58,104
Olympic Regional Development Authority	63	53	150	150	150	150
Public Service Department	10,976	8,637	8,469	8,985	9,190	9,401
Racing and Wagering Board, State	5,994	6,330	6,135	0	0	0
<b>Functional Total</b>	<b>103,945</b>	<b>107,846</b>	<b>99,194</b>	<b>93,758</b>	<b>95,718</b>	<b>96,539</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	53,955	42,926	51,622	48,635	45,925	46,064
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	29,927	33,579	38,171	37,831	38,466	38,466
<b>Functional Total</b>	<b>84,944</b>	<b>77,555</b>	<b>89,793</b>	<b>86,466</b>	<b>84,391</b>	<b>84,530</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	16,944	19,087	19,961	20,943	21,364	21,835
Transportation, Department of	20,747	25,017	13,197	13,541	13,248	13,589
<b>Functional Total</b>	<b>37,691</b>	<b>44,104</b>	<b>33,158</b>	<b>34,484</b>	<b>34,612</b>	<b>35,424</b>
<b>HEALTH</b>						
Aging, Office for the	1	0	1	1	1	1
Health, Department of	152,885	181,785	168,839	179,910	182,444	182,365
<i>Public Health</i>	152,885	181,785	168,839	179,910	182,444	182,365
Medicaid Inspector General, Office of the	7	2	0	0	0	0
Stem Cell and Innovation	36,437	43,029	43,032	50,528	60,901	62,201
<b>Functional Total</b>	<b>189,330</b>	<b>224,816</b>	<b>211,872</b>	<b>230,439</b>	<b>243,346</b>	<b>244,567</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	26,892	35,210	28,270	28,368	29,213	29,214
<i>OCFS</i>	26,892	35,210	28,270	28,368	29,213	29,214
Housing and Community Renewal, Division of	6,807	7,896	7,309	7,772	7,893	7,948
Labor, Department of	12,740	12,320	18,456	19,367	15,755	16,154
Prevention of Domestic Violence, Office for	28	5	6	5	5	5
Temporary and Disability Assistance, Office of	60,268	55,309	200	200	200	200
<i>All Other</i>	60,268	55,309	200	200	200	200
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	61,798	71,994	65,457	59,392	60,718	61,673
<b>Functional Total</b>	<b>169,193</b>	<b>182,765</b>	<b>120,183</b>	<b>115,589</b>	<b>114,280</b>	<b>115,690</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	21,222	20,751	18,550	18,869	19,426	19,947
<i>OASAS</i>	9,351	9,119	8,242	8,518	8,766	9,006
<i>OASAS - Other</i>	11,871	11,632	10,308	10,351	10,660	10,941
Mental Health, Office of	310,512	291,807	286,793	303,912	322,954	342,553
<i>OMH</i>	76,696	53,320	78,859	79,463	79,107	83,770
<i>OMH - Other</i>	233,816	238,487	207,934	224,449	243,847	258,783
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	373,896	360,822	362,232	374,036	385,482	395,851
<i>OPWDD</i>	(6,620)	34,725	176	181	181	181
<i>OPWDD - Other</i>	380,516	326,097	362,056	373,855	385,301	395,670
Quality of Care and Advocacy for Persons With Disabilities, Commission on	166	217	251	256	266	273
<b>Functional Total</b>	<b>706,141</b>	<b>674,008</b>	<b>667,826</b>	<b>697,073</b>	<b>728,128</b>	<b>758,624</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,055	1,350	1,345	1,742	1,737	1,732
Criminal Justice Services, Division of	6,901	12,715	18,710	19,407	15,210	15,215
Homeland Security and Emergency Services, Division of	2,701	1,023	33,175	21,336	17,409	18,000
Indigent Legal Services, Office of	25,016	67	290	352	360	368
Military and Naval Affairs, Division of	5,600	5,178	4,632	4,502	4,502	4,502
State Police, Division of	31,745	21,160	40,556	28,078	30,284	30,298
Statewide Financial System	5,092	10,042	18,887	17,730	18,949	20,204
Statewide Wireless Network	19,579	62,201	0	0	0	0
Victim Services, Office of	760	1,029	916	947	966	986
<b>Functional Total</b>	<b>98,449</b>	<b>114,765</b>	<b>118,511</b>	<b>94,094</b>	<b>89,417</b>	<b>91,305</b>
<b>HIGHER EDUCATION</b>						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	30,778	32,957	36,831	37,859	38,796	39,757
State University Construction Fund	1,876	3,197	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2011 Results</b>	<b>FY 2012 Results</b>	<b>FY 2013 Updated</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
State University of New York	1,371,819	1,865,841	1,955,800	2,170,159	2,222,334	2,275,834
<b>Functional Total</b>	<b>1,435,874</b>	<b>1,935,958</b>	<b>2,021,576</b>	<b>2,237,662</b>	<b>2,291,490</b>	<b>2,346,685</b>
<b>EDUCATION</b>						
Education, Department of	25,468	27,255	29,229	28,095	26,942	26,915
<i>All Other</i>	25,468	27,255	29,229	28,095	26,942	26,915
<b>Functional Total</b>	<b>25,468</b>	<b>27,255</b>	<b>29,229</b>	<b>28,095</b>	<b>26,942</b>	<b>26,915</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	5,356	5,469	5,002	4,619	4,726	4,823
Civil Service, Department of	731	491	811	815	1,211	1,211
Deferred Compensation Board	62	63	189	194	199	199
Elections, State Board of	90	4,249	165	0	0	0
Employee Relations, Office of	0	0	56	58	58	58
Gaming Commission, New York State	0	0	0	136,336	139,785	139,785
General Services, Office of	4,519	7,374	5,381	5,381	4,687	4,780
Inspector General, Office of the	70	17	87	87	87	87
Labor Management Committees	92	23	300	300	300	300
Lottery, Division of the	131,898	120,208	136,571	0	0	0
Public Employment Relations Board	83	76	39	43	43	43
State, Department of	6,021	8,555	11,997	13,732	14,984	15,192
Taxation and Finance, Department of	24,397	24,037	28,601	29,302	29,982	29,982
<b>Functional Total</b>	<b>173,319</b>	<b>170,562</b>	<b>189,199</b>	<b>190,867</b>	<b>196,062</b>	<b>196,460</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	313	4,312	5,069	6,489	6,652	6,814
Judiciary	18,022	40,402	48,650	48,650	48,650	48,950
Law, Department of	35,828	39,379	39,212	39,482	40,403	41,350
Legislature	796	1,139	950	950	950	950
<b>Functional Total</b>	<b>54,959</b>	<b>85,232</b>	<b>93,881</b>	<b>95,571</b>	<b>96,655</b>	<b>98,064</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,145	1,255	(36,414)	(41,385)	(112,332)	(128,288)
<b>Functional Total</b>	<b>1,145</b>	<b>1,255</b>	<b>(36,414)</b>	<b>(41,385)</b>	<b>(112,332)</b>	<b>(128,288)</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>3,080,458</b>	<b>3,646,121</b>	<b>3,638,008</b>	<b>3,862,713</b>	<b>3,888,709</b>	<b>3,966,515</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	4,749	1,340	1,563	1,549	1,611	1,729
Alcoholic Beverage Control, Division of	4,125	3,572	3,890	4,546	4,685	4,932
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,638	1,670	1,704
Financial Services, Department of	66,829	78,607	66,930	86,170	88,824	94,279
Public Service Department	20,299	18,738	20,066	23,124	24,426	26,545
Racing and Wagering Board, State	3,517	2,283	3,054	0	0	0
<b>Functional Total</b>	<b>100,946</b>	<b>106,882</b>	<b>97,169</b>	<b>117,055</b>	<b>121,244</b>	<b>129,217</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	37,838	34,802	36,754	38,070	37,248	38,692
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,627	655	3,691	3,830	3,893	3,938
<b>Functional Total</b>	<b>42,733</b>	<b>37,846</b>	<b>40,445</b>	<b>41,900</b>	<b>41,141</b>	<b>42,630</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	27,440	24,762	24,535	28,102	29,211	31,951
Transportation, Department of	403	10,781	5,022	5,665	5,954	6,373
<b>Functional Total</b>	<b>27,843</b>	<b>35,543</b>	<b>29,557</b>	<b>33,767</b>	<b>35,165</b>	<b>38,324</b>
<b>HEALTH</b>						
Health, Department of	33,594	31,976	34,579	37,456	38,703	40,990
<i>Public Health</i>	33,594	31,976	34,579	37,456	38,703	40,990
Medicaid Inspector General, Office of the	94	26	0	0	0	0
Stem Cell and Innovation	318	232	0	0	0	0
<b>Functional Total</b>	<b>34,006</b>	<b>32,234</b>	<b>34,579</b>	<b>37,456</b>	<b>38,703</b>	<b>40,990</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,325	1,681	2,516	2,620	2,652	2,693
<i>OCFS</i>	2,325	1,681	2,516	2,620	2,652	2,693
Housing and Community Renewal, Division of	16,197	15,130	17,180	19,358	20,498	21,409
Labor, Department of	15,601	15,023	15,309	17,791	18,998	20,128
Temporary and Disability Assistance, Office of	1,449	884	0	0	0	0
<i>All Other</i>	1,449	884	0	0	0	0
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	44,453	50,812	52,058	55,758
<b>Functional Total</b>	<b>78,780</b>	<b>73,915</b>	<b>79,679</b>	<b>90,802</b>	<b>94,428</b>	<b>100,212</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	28,405	28,073	29,851	31,126	32,587	35,573
<i>OASAS</i>	12,170	12,086	14,265	13,995	14,338	15,652
<i>OASAS - Other</i>	16,235	15,987	15,586	17,131	18,249	19,921
Mental Health, Office of	559,405	530,084	575,056	620,467	665,917	734,427
<i>OMH</i>	198,061	121,294	138,921	161,980	175,685	190,907
<i>OMH - Other</i>	361,344	408,790	436,135	458,487	490,232	543,520
People with Developmental Disabilities, Office for	579,990	521,119	557,661	628,079	663,779	726,177
<i>OPWDD</i>	0	103,992	0	0	0	0
<i>OPWDD - Other</i>	579,990	417,127	557,661	628,079	663,779	726,177
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,017	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<b>1,168,817</b>	<b>1,080,352</b>	<b>1,163,755</b>	<b>1,280,942</b>	<b>1,363,658</b>	<b>1,497,658</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	0	0	42	45	48	51
Criminal Justice Services, Division of	86	61	195	78	80	85
Homeland Security and Emergency Services, Division of	196	0	899	964	998	1,066
Indigent Legal Services, Office of	0	83	285	445	464	489
Military and Naval Affairs, Division of	583	546	250	250	250	250
State Police, Division of	20,735	18,994	21,124	22,012	22,639	23,929
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	1,777	1,943	2,028	2,191
<b>Functional Total</b>	<b>23,087</b>	<b>21,621</b>	<b>24,572</b>	<b>25,737</b>	<b>26,507</b>	<b>28,061</b>
<b>HIGHER EDUCATION</b>						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,829	12,780	14,647	15,840	16,344	17,330
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	319,122	356,696	386,008	395,111	414,895	435,681
<b>Functional Total</b>	<b>344,943</b>	<b>381,720</b>	<b>406,906</b>	<b>417,387</b>	<b>437,865</b>	<b>459,833</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>EDUCATION</b>						
Education, Department of	27,881	28,700	28,554	31,694	32,484	34,130
<i>All Other</i>	27,881	28,700	28,554	31,694	32,484	34,130
<b>Functional Total</b>	<u>27,881</u>	<u>28,700</u>	<u>28,554</u>	<u>31,694</u>	<u>32,484</u>	<u>34,130</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,744	2,081	2,232	2,066	2,402	2,599
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
Gaming Commission, New York State	0	0	0	17,166	17,490	17,490
General Services, Office of	1,290	1,495	1,901	2,136	2,208	2,354
Lottery, Division of the	9,955	9,822	11,743	0	0	0
State, Department of	9,186	7,368	8,952	10,859	11,237	11,976
Taxation and Finance, Department of	23,256	11,633	21,522	22,820	23,047	23,047
<b>Functional Total</b>	<u>45,812</u>	<u>32,804</u>	<u>46,709</u>	<u>55,423</u>	<u>56,781</u>	<u>57,867</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,382	2,689	2,061	1,582	1,653	1,778
Judiciary	9,343	29,257	24,498	24,498	24,598	25,098
Law, Department of	7,884	8,163	14,030	14,962	15,692	16,611
<b>Functional Total</b>	<u>18,609</u>	<u>40,109</u>	<u>40,589</u>	<u>41,042</u>	<u>41,943</u>	<u>43,487</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,067	1,140	1,281	1,404	1,487	1,566
<b>Functional Total</b>	<u>1,067</u>	<u>1,140</u>	<u>1,281</u>	<u>1,404</u>	<u>1,487</u>	<u>1,566</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>1,914,524</u>	<u>1,872,866</u>	<u>1,993,795</u>	<u>2,174,609</u>	<u>2,291,406</u>	<u>2,473,975</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	0	2,908	6,100	6,100	100	100
<b>Functional Total</b>	<b>0</b>	<b>2,908</b>	<b>6,100</b>	<b>6,100</b>	<b>100</b>	<b>100</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	2,034	3,638	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>2,034</b>	<b>3,638</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	15,734	18,475	14,800	16,000	16,000	16,000
Transportation, Department of	40,442	31,448	40,103	40,103	40,103	40,103
<b>Functional Total</b>	<b>56,176</b>	<b>49,923</b>	<b>54,903</b>	<b>56,103</b>	<b>56,103</b>	<b>56,103</b>
<b>HEALTH</b>						
Aging, Office for the	105,584	106,192	92,769	92,769	92,769	92,769
Health, Department of	28,861,695	26,199,265	25,292,174	27,350,508	30,789,641	33,125,417
<i>Medical Assistance</i>	27,224,374	24,478,353	23,466,848	25,472,942	28,860,398	31,151,338
<i>Medicaid Administration</i>	417,899	443,084	591,750	599,150	574,450	514,950
<i>Public Health</i>	1,219,422	1,277,828	1,233,576	1,278,416	1,354,793	1,459,129
<b>Functional Total</b>	<b>28,967,279</b>	<b>26,305,457</b>	<b>25,384,943</b>	<b>27,443,277</b>	<b>30,882,410</b>	<b>33,218,186</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,039,761	1,166,421	1,001,800	1,001,800	1,001,800	1,001,800
<i>OCFS</i>	1,039,761	1,166,421	1,001,800	1,001,800	1,001,800	1,001,800
Housing and Community Renewal, Division of	171,324	272,339	51,337	51,337	51,337	51,337
Labor, Department of	184,558	161,018	188,520	179,047	179,047	179,047
Temporary and Disability Assistance, Office of	3,654,062	3,496,271	3,520,870	3,298,030	3,298,030	3,298,030
<i>Welfare Assistance</i>	2,673,849	2,520,426	2,635,770	2,412,930	2,412,930	2,412,930
<i>All Other</i>	980,213	975,845	885,100	885,100	885,100	885,100
<b>Functional Total</b>	<b>5,049,705</b>	<b>5,096,049</b>	<b>4,762,527</b>	<b>4,530,214</b>	<b>4,530,214</b>	<b>4,530,214</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	146,803	122,690	126,842	126,842	126,842	126,842
<i>OASAS</i>	146,803	122,690	126,842	126,842	126,842	126,842
Mental Health, Office of	81,244	47,523	50,930	50,930	50,930	50,930
<i>OMH</i>	81,244	47,523	50,930	50,930	50,930	50,930
People with Developmental Disabilities, Office for	4,279	(4,279)	0	0	0	0
<i>OPWDD</i>	4,279	(4,279)	0	0	0	0
<b>Functional Total</b>	<b>232,326</b>	<b>165,934</b>	<b>177,772</b>	<b>177,772</b>	<b>177,772</b>	<b>177,772</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	238	547	0	0	0	0
Criminal Justice Services, Division of	36,971	37,363	37,939	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	308,771	408,619	374,771	378,771	378,771	378,771
Military and Naval Affairs, Division of	166	(192)	0	0	0	0
Victim Services, Office of	33,375	39,595	30,128	30,128	30,128	30,128
<b>Functional Total</b>	<b>379,521</b>	<b>485,932</b>	<b>442,838</b>	<b>427,699</b>	<b>427,699</b>	<b>427,699</b>
<b>HIGHER EDUCATION</b>						
City University of New York	32,779	0	0	0	0	0
Higher Education Services Corporation, New York State	59,049	521	0	0	0	0
State University of New York	89,476	10,041	7,941	7,941	7,941	7,941
<b>Functional Total</b>	<b>181,304</b>	<b>10,562</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>
<b>EDUCATION</b>						
Arts, Council on the	845	1,711	420	420	420	420
Education, Department of	5,904,506	4,726,296	3,895,068	3,986,198	3,900,841	3,854,740
<i>School Aid</i>	4,639,423	3,559,176	3,005,981	2,941,200	2,889,800	2,964,700
<i>Special Education Categorical Programs</i>	1,235,197	1,111,348	773,600	789,300	805,300	821,700
<i>All Other</i>	29,886	55,772	115,487	255,698	205,741	68,340
<b>Functional Total</b>	<b>5,905,351</b>	<b>4,728,007</b>	<b>3,895,488</b>	<b>3,986,618</b>	<b>3,901,261</b>	<b>3,855,160</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	25,231	8,910	2,600	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	105,534	63,698	55,457	55,457	55,457	55,457
<b>Functional Total</b>	<b>130,765</b>	<b>72,608</b>	<b>58,307</b>	<b>55,707</b>	<b>55,707</b>	<b>55,707</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(297,176)	(273,064)	(281,000)	(281,000)	(281,000)	(281,000)
<b>Functional Total</b>	<b>(297,176)</b>	<b>(273,064)</b>	<b>(281,000)</b>	<b>(281,000)</b>	<b>(281,000)</b>	<b>(281,000)</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>40,607,285</b>	<b>36,647,954</b>	<b>34,511,089</b>	<b>36,411,701</b>	<b>39,759,477</b>	<b>42,049,152</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,295	2,948	2,910	3,004	3,034	3,064
Financial Services, Department of	0	271	0	0	0	0
Public Service Department	1,488	1,318	1,457	1,358	1,230	1,230
<b>Functional Total</b>	<b>3,783</b>	<b>4,537</b>	<b>4,367</b>	<b>4,362</b>	<b>4,264</b>	<b>4,294</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	24,693	25,095	25,798	26,232	26,673	27,118
Parks, Recreation and Historic Preservation, Office of	1,187	1,027	1,010	1,015	1,037	1,053
<b>Functional Total</b>	<b>25,880</b>	<b>26,122</b>	<b>26,808</b>	<b>27,247</b>	<b>27,710</b>	<b>28,171</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,319	1,200	1,243	2,110	2,168	2,275
Transportation, Department of	4,457	3,912	5,042	5,152	5,264	5,387
<b>Functional Total</b>	<b>5,776</b>	<b>5,112</b>	<b>6,285</b>	<b>7,262</b>	<b>7,432</b>	<b>7,662</b>
<b>HEALTH</b>						
Aging, Office for the	6,682	6,047	7,031	7,061	7,320	7,502
Health, Department of	56,699	51,547	50,032	64,687	74,238	91,998
<i>Public Health</i>	56,699	51,547	50,032	64,687	74,238	91,998
Medicaid Inspector General, Office of the	20,811	19,739	18,387	18,069	18,981	19,445
<b>Functional Total</b>	<b>84,192</b>	<b>77,333</b>	<b>75,450</b>	<b>89,817</b>	<b>100,539</b>	<b>118,945</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	27,653	26,067	29,082	27,565	28,376	28,984
<i>OCFS</i>	27,653	26,067	29,082	27,565	28,376	28,984
Housing and Community Renewal, Division of	8,658	7,533	7,616	7,714	7,916	8,208
Human Rights, Division of	1,754	2,741	2,730	2,920	3,012	3,110
Labor, Department of	217,095	211,085	213,819	220,336	224,378	229,174
National and Community Service	357	382	354	358	362	362
Prevention of Domestic Violence, Office for	(10)	23	0	0	3	8
Temporary and Disability Assistance, Office of	104,177	102,745	73,306	74,877	77,327	80,019
<i>All Other</i>	104,177	102,745	73,306	74,877	77,327	80,019
<b>Functional Total</b>	<b>359,684</b>	<b>350,576</b>	<b>326,907</b>	<b>333,770</b>	<b>341,374</b>	<b>349,865</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	3,951	4,886	5,477	5,525	5,076	5,127
<i>OASAS</i>	3,951	4,886	5,477	5,525	5,076	5,127
Developmental Disabilities Planning Council	1,190	980	1,197	1,211	1,230	1,253
Mental Health, Office of	107	855	578	584	584	584
<i>OMH</i>	107	855	578	584	584	584
People with Developmental Disabilities, Office for	42	123	116	116	116	116
<i>OPWDD</i>	42	123	116	116	116	116
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,582	1,328	625	193	206	214
<b>Functional Total</b>	<b>6,872</b>	<b>8,172</b>	<b>7,993</b>	<b>7,629</b>	<b>7,212</b>	<b>7,294</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	28,855	2,425	44,812	26,677	26,970	27,029
Criminal Justice Services, Division of	10,421	9,710	8,634	5,253	5,397	5,560
Homeland Security and Emergency Services, Division of	6,250	7,998	6,251	6,271	6,371	6,490
Military and Naval Affairs, Division of	22,424	22,012	22,146	22,335	22,455	22,666
State Police, Division of	8,065	9,612	5,100	5,150	5,200	5,200
Victim Services, Office of	1,189	1,289	1,500	1,515	1,578	1,657
<b>Functional Total</b>	<b>77,204</b>	<b>53,046</b>	<b>88,443</b>	<b>67,201</b>	<b>67,971</b>	<b>68,602</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	160	139	836	836	836	836
State University of New York	9,319	8,350	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<b>9,479</b>	<b>8,489</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>
<b>EDUCATION</b>						
Education, Department of	85,866	77,898	83,393	83,707	84,490	84,503
<i>All Other</i>	85,866	77,898	83,393	83,707	84,490	84,503
<b>Functional Total</b>	<b>85,866</b>	<b>77,898</b>	<b>83,393</b>	<b>83,707</b>	<b>84,490</b>	<b>84,503</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	169	40	0	0	0	0
State, Department of	3,401	3,104	3,508	3,561	3,663	3,731
Taxation and Finance, Department of	2	0	0	0	0	0
Veterans' Affairs, Division of	662	421	757	767	782	796
<b>Functional Total</b>	<b>4,234</b>	<b>3,565</b>	<b>4,265</b>	<b>4,328</b>	<b>4,445</b>	<b>4,527</b>

CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ELECTED OFFICIALS</b>						
Judiciary	1,855	1,899	0	0	0	0
Law, Department of	17,526	16,688	19,474	19,689	20,027	20,396
<b>Functional Total</b>	<u>19,381</u>	<u>18,587</u>	<u>19,474</u>	<u>19,689</u>	<u>20,027</u>	<u>20,396</u>
 <b>TOTAL PERSONAL SERVICE SPENDING</b>	 <u><u>682,351</u></u>	 <u><u>633,437</u></u>	 <u><u>651,450</u></u>	 <u><u>653,077</u></u>	 <u><u>673,529</u></u>	 <u><u>702,324</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	9,890	8,868	9,805	9,860	10,046	10,250
Economic Development, Department of	0	0	245	245	245	245
Financial Services, Department of	102	730	0	0	0	0
Public Service Department	138	178	243	202	193	193
<b>Functional Total</b>	<b>10,130</b>	<b>9,776</b>	<b>10,293</b>	<b>10,307</b>	<b>10,484</b>	<b>10,688</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	81	55	350	350	350	350
Environmental Conservation, Department of	22,041	23,815	16,756	16,756	16,756	16,756
Parks, Recreation and Historic Preservation, Office of	2,028	2,700	1,085	1,085	1,110	1,110
<b>Functional Total</b>	<b>24,150</b>	<b>26,570</b>	<b>18,191</b>	<b>18,191</b>	<b>18,216</b>	<b>18,216</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,975	2,146	2,557	3,485	3,556	3,632
Transportation, Department of	2,463	2,604	2,546	2,623	2,675	2,745
<b>Functional Total</b>	<b>4,438</b>	<b>4,750</b>	<b>5,103</b>	<b>6,108</b>	<b>6,231</b>	<b>6,377</b>
<b>HEALTH</b>						
Aging, Office for the	1,469	1,250	1,018	1,018	1,018	1,018
Health, Department of	145,799	181,221	164,555	164,674	164,705	164,737
<i>Public Health</i>	145,799	181,221	164,555	164,674	164,705	164,737
Medicaid Inspector General, Office of the	9,043	7,043	12,329	12,330	12,703	12,729
<b>Functional Total</b>	<b>156,311</b>	<b>189,514</b>	<b>177,902</b>	<b>178,022</b>	<b>178,426</b>	<b>178,484</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	55,455	61,794	61,226	61,226	62,765	62,776
<i>OCFS</i>	55,455	61,794	61,226	61,226	62,765	62,776
Housing and Community Renewal, Division of	1,810	1,813	2,298	2,299	2,375	2,414
Human Rights, Division of	2,173	2,521	1,512	1,513	1,555	1,598
Labor, Department of	144,924	115,134	119,969	114,865	114,932	115,012
National and Community Service	23,993	20,892	13,646	13,646	13,860	13,860
Temporary and Disability Assistance, Office of	57,968	66,578	65,182	65,251	65,316	66,994
<i>All Other</i>	57,968	66,578	65,182	65,251	65,316	66,994
Workers' Compensation Board	4,707	4,510	3,532	3,624	3,624	3,624
<b>Functional Total</b>	<b>291,030</b>	<b>273,242</b>	<b>267,365</b>	<b>262,424</b>	<b>264,427</b>	<b>266,278</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,378	1,564	1,529	1,571	1,631	1,665
<i>OASAS</i>	1,378	1,564	1,529	1,571	1,631	1,665
Developmental Disabilities Planning Council	1,704	1,593	2,543	2,386	2,278	2,229
Mental Health, Office of	464	638	149	153	153	153
<i>OMH</i>	464	638	149	153	153	153
People with Developmental Disabilities, Office for	8,264	1,882	33,490	34,361	34,361	34,361
<i>OPWDD</i>	8,264	1,882	33,490	34,361	34,361	34,361
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,238	4,359	6,550	7,020	7,232	7,465
<b>Functional Total</b>	<b>16,048</b>	<b>10,036</b>	<b>44,261</b>	<b>45,491</b>	<b>45,655</b>	<b>45,873</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	790	908	1,242	1,277	1,311	1,311
Criminal Justice Services, Division of	8,431	8,499	8,752	6,611	6,615	6,618
Homeland Security and Emergency Services, Division of	6,812	13,748	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	13,083	11,617	12,254	12,254	12,254	12,254
State Police, Division of	14,358	11,068	2,500	2,500	2,500	2,500
Victim Services, Office of	364	366	502	502	502	502
<b>Functional Total</b>	<b>43,838</b>	<b>46,206</b>	<b>32,062</b>	<b>29,956</b>	<b>29,994</b>	<b>29,997</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	7,801	4,222	5,797	5,797	5,797	5,797
State University of New York	309,880	293,655	227,631	227,631	227,631	227,631
<b>Functional Total</b>	<b>317,681</b>	<b>297,877</b>	<b>233,428</b>	<b>233,428</b>	<b>233,428</b>	<b>233,428</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	65,439	65,623	105,752	192,126	170,040	62,617
<i>All Other</i>	65,439	65,623	105,752	192,126	170,040	62,617
<b>Functional Total</b>	<b>65,439</b>	<b>65,623</b>	<b>105,852</b>	<b>192,226</b>	<b>170,140</b>	<b>62,717</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	62,087	(1,148)	8,000	22,000	0	0
General Services, Office of	7,277	6,249	4,987	4,987	4,987	4,987
State, Department of	1,043	807	3,898	3,986	4,083	4,098

CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Taxation and Finance, Department of	140	676	210	215	220	220
Technology, Office for	4,354	0	0	0	0	0
Veterans' Affairs, Division of	206	142	553	565	578	592
<b>Functional Total</b>	<u>75,107</u>	<u>6,726</u>	<u>17,648</u>	<u>31,753</u>	<u>9,868</u>	<u>9,897</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	3,918	4,806	7,500	7,500	7,500	7,500
Law, Department of	5,647	8,522	8,127	8,463	8,549	8,836
<b>Functional Total</b>	<u>9,565</u>	<u>13,328</u>	<u>15,627</u>	<u>15,963</u>	<u>16,049</u>	<u>16,336</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>1,013,737</u></u>	<u><u>943,648</u></u>	<u><u>927,732</u></u>	<u><u>1,023,869</u></u>	<u><u>982,918</u></u>	<u><u>878,291</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,000	1,082	1,459	1,764	1,690	1,783
Financial Services, Department of	0	134	0	0	0	0
Public Service Department	586	812	731	792	685	716
<b>Functional Total</b>	<b>1,586</b>	<b>2,028</b>	<b>2,190</b>	<b>2,556</b>	<b>2,375</b>	<b>2,499</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	9,544	12,388	10,079	10,141	10,392	10,671
Parks, Recreation and Historic Preservation, Office of	92	0	508	511	621	630
<b>Functional Total</b>	<b>9,636</b>	<b>12,388</b>	<b>10,587</b>	<b>10,652</b>	<b>11,013</b>	<b>11,301</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	518	477	623	1,240	1,208	1,324
Transportation, Department of	2,116	2,291	2,529	3,033	2,970	3,169
<b>Functional Total</b>	<b>2,634</b>	<b>2,768</b>	<b>3,152</b>	<b>4,273</b>	<b>4,178</b>	<b>4,493</b>
<b>HEALTH</b>						
Aging, Office for the	0	0	235	235	235	235
Health, Department of	26,855	23,931	25,111	26,174	26,742	27,816
<i>Public Health</i>	26,855	23,931	25,111	26,174	26,742	27,816
Medicaid Inspector General, Office of the	8,905	8,771	10,738	10,749	10,980	11,264
<b>Functional Total</b>	<b>35,760</b>	<b>32,702</b>	<b>36,084</b>	<b>37,158</b>	<b>37,957</b>	<b>39,315</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	9,890	10,804	9,913	8,931	9,236	9,608
<i>OCFS</i>	9,890	10,804	9,913	8,931	9,236	9,608
Housing and Community Renewal, Division of	3,652	3,583	3,820	4,611	4,873	4,820
Human Rights, Division of	1,047	49	1,969	2,075	2,203	2,330
Labor, Department of	91,806	93,601	107,249	128,634	124,808	133,167
Temporary and Disability Assistance, Office of	46,002	42,357	44,123	44,463	44,973	44,973
<i>All Other</i>	46,002	42,357	44,123	44,463	44,973	44,973
<b>Functional Total</b>	<b>152,397</b>	<b>150,394</b>	<b>167,074</b>	<b>188,714</b>	<b>186,093</b>	<b>194,898</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	14	0	0	2,435	2,932	2,945
<i>OASAS</i>	14	0	0	2,435	2,932	2,945
Developmental Disabilities Planning Council	0	1,335	461	604	692	718
Mental Health, Office of	505	306	290	343	326	340
<i>OMH</i>	505	306	290	343	326	340
People with Developmental Disabilities, Office for	19	47	70	74	74	74
<i>OPWDD</i>	19	47	70	74	74	74
Quality of Care and Advocacy for Persons With Disabilities, Commission on	675	632	394	109	116	123
<b>Functional Total</b>	<b>1,213</b>	<b>2,320</b>	<b>1,215</b>	<b>3,565</b>	<b>4,140</b>	<b>4,200</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	757	1,120	1,448	1,431	1,433	1,433
Criminal Justice Services, Division of	166	314	200	3,086	1,622	3,235
Homeland Security and Emergency Services, Division of	2,916	3,896	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	7,645	5,475	6,499	6,499	6,499	6,499
State Police, Division of	594	378	0	0	0	0
Victim Services, Office of	0	0	326	354	354	372
<b>Functional Total</b>	<b>12,078</b>	<b>11,183</b>	<b>11,389</b>	<b>14,286</b>	<b>12,824</b>	<b>14,455</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	56	14	419	419	419	419
State University of New York	76	54	51	51	51	51
<b>Functional Total</b>	<b>132</b>	<b>68</b>	<b>470</b>	<b>470</b>	<b>470</b>	<b>470</b>
<b>EDUCATION</b>						
Education, Department of	34,830	38,931	42,243	45,024	47,663	49,917
<i>All Other</i>	34,830	38,931	42,243	45,024	47,663	49,917
<b>Functional Total</b>	<b>34,830</b>	<b>38,931</b>	<b>42,243</b>	<b>45,024</b>	<b>47,663</b>	<b>49,917</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	0	126	0	0	0	0
State, Department of	1,100	1,613	2,313	2,652	2,577	2,709
Taxation and Finance, Department of	0	1	0	0	0	0
Veterans' Affairs, Division of	431	233	350	350	350	350
<b>Functional Total</b>	<b>1,531</b>	<b>1,973</b>	<b>2,663</b>	<b>3,002</b>	<b>2,927</b>	<b>3,059</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	19	79	0	0	0	0
Law, Department of	<u>7,778</u>	<u>7,165</u>	<u>8,608</u>	<u>11,567</u>	<u>11,154</u>	<u>11,870</u>
<b>Functional Total</b>	<u>7,797</u>	<u>7,244</u>	<u>8,608</u>	<u>11,567</u>	<u>11,154</u>	<u>11,870</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>259,594</u>	<u>261,999</u>	<u>285,675</u>	<u>321,267</u>	<u>320,794</u>	<u>336,477</u>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

<u>Sending Agency</u>	<u>CAS Fund / Account</u>	<u>SFS Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>RBTF - Dedicated PIT in excess of Debt Service</b>				<b>8,096,543</b>	<b>8,226,657</b>	<b>8,798,367</b>	<b>8,948,461</b>	<b>9,127,010</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>				<b>2,395,720</b>	<b>2,429,764</b>	<b>2,559,963</b>	<b>2,689,318</b>	<b>2,815,880</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>				<b>387,197</b>	<b>444,073</b>	<b>524,518</b>	<b>600,587</b>	<b>675,630</b>
<b>Total All Other Transfers</b>				<b>1,043,948</b>	<b>953,309</b>	<b>832,350</b>	<b>744,718</b>	<b>791,820</b>
ABO	339.PO	339.22138	Auth Budget Office	39	39	39	39	39
AG&MKTS	339.R4	339.22149	Motor Fuel Quality	249	200	200	200	200
AG&MKTS	339.R5	339.22150	Weights Measure	-	30	30	30	30
AG&MKTS	339.XX	339.219XX	A&M-Aggregated	89	139	139	139	139
AGRIC & MARKETS	261.00	261.25000	Fed USDA/FNS	-	450	450	450	450
AGRIC & MARKETS	265.00	265.25100	Federal HHS	-	50	50	50	50
BANKING/DFR	339.A5	339.21970	Banking Dept	2,413	2,413	2,413	2,413	2,413
CIV SVC	339.ER	339.22065	Exam & Misc Rev	1,566	1,566	1,566	1,566	1,566
CQCAPD	020.D1	020.20162	Disab Tech Asst	-	130	-	-	-
CQCAPD	339.EC	339.22056	Fed Salary Sharing	62	62	62	62	62
DCJS	339.62	339.21945	Criminal Justice Improvement	22,052	26,852	22,000	19,000	19,000
DCJS	354.01	354.22801	MVTIFA	2,700	-	-	-	-
DED	339.A7	339.21972	Econ Devel Asst	92	-	-	-	-
DHCR	339.J5	339.22100	Housing Credit Agency Application	100	-	-	-	-
DHCR	339.NG	339.22130	Low Inc Housing	100	-	-	-	-
DMV	314.02	314.21452	Mobile Source	-	30	30	30	30
DMV	339.AE	339.21976	Motorcycle Safety	5	6	6	6	6
DMV	339.H7	339.22087	DMV-Compulsory	14,018	15,368	15,368	15,368	15,368
DMV	339.IC	339.22094	Accid Prevent Course	606	606	606	606	606
DOB	339.CR	339.22024	Reven Arrearage	28,554	22,554	22,554	22,554	22,554
DOB	339.FL	339.22073	Fed Liability	-	50	-	-	-
DOB	339.ST	339.22162	Systems & Technology	1,833	833	833	833	833
DOCCS	059.01	059.20751	Alcohol&Substance	19	-	-	-	-
DOCCS	339.CT	339.22026	Cell Phone Tower	900	-	-	-	-
DOCCS	339.CU	339.22027	Spec Conserv	109	-	-	-	-
DOH	339.03	339.21902	S P A R C S	885	885	885	885	885
DOH	339.20	339.21915	Quality Care	-	150	-	-	-
DOH	339.26	339.21920	Cert of Need	7,417	1,086	1,086	1,086	1,086
DOH	339.28	339.21922	Retir Community	2	2	2	2	2
DOH	339.44	339.21934	Hosp & Nurs Mgt	-	376	376	376	376
DOH	339.81	339.21959	Envir.Lab.Fee	183	183	183	183	183
DOH	339.95	339.21965	Radio Hlth Protect	216	216	216	216	216
DOH	339.AP	339.21982	Administration	5,000	-	-	-	-
DOH	339.B4	339.21993	Radon Detct Dev	2	2	2	2	2
DOH	339.FP	339.22075	Funeral	8	8	8	8	8
DOH	339.H9	339.22088	Professional Medical Conduct	3,000	-	-	-	-
DOH	339.HQ	339.22091	Adlt Hme Qlty Enhance	21	21	21	21	21
DOH	339.J1	339.22097	Local Public Hlth	1,005	5	5	5	5
DOH	339.J6	339.22101	EPIC Premium Acct	102,625	54,000	-	-	-
DOH	339.JA	339.22103	Vital Records Mgmt	2,252	2,252	2,252	2,252	2,252
DOH	339.L2	339.22110	Asst Living Res Quality	9	9	9	9	9
DOH	339.PS	339.22139	Patient Safety	73	73	73	73	73
DOH	339.Q3	339.22141	NYC Veterans	1,074	-	-	-	-
DOH	339.Q4	339.22142	NYS Home-Vetera	763	-	-	-	-
DOH	339.Q5	339.22143	WNY Vets Home	432	-	-	-	-
DOH	339.Q6	339.22144	Montrose S V H	2,731	-	-	-	-
DOS	339.07	339.21904	Fire Prev/Code	14,084	14,810	14,810	14,810	14,810
DOS	339.72	339.21953	NY Fire Academy	-	247	247	247	247
DOS	339.AG	339.21977	Business Licens	40,546	37,964	30,002	29,437	31,045
DOS	339.B8	339.21996	Fire Protection	13	13	13	13	13
DOS	339.CM	339.22021	Reg Manufactured Housing	-	100	100	100	100
DOS	339.DQ	339.22044	Tug Hill Admin	10	10	10	10	10
DOS	349.01	349.22751	Lake George Park	197	197	197	197	197
DOT	169.33	169.60617	Medicaid Recov	1	-	-	-	-
DOT	225.01	225.23651	Mobility Tax Trust	2,548	-	-	-	-
DOT	313.02	313.21402	Metro Mass Tran	10,000	-	-	-	-
DOT	313.06	313.21404	Add Mass Trans	1	-	-	-	-
DOT	339.42	339.21933	Transportation Surplus Property	2,503	803	803	803	803
DOT	339.G7	339.22079	Accident Damage Recov	1,800	-	-	-	-
DSP	354.02	354.22802	Motor Vehicle Enforcement	-	64,800	100,800	100,800	100,800
ECON DEV	339.DO	339.22042	DED Marketing	1,631	131	131	131	131
ECON DEV	339.P4	339.22133	Procure Opportunity News	100	100	100	100	100
ENCON	301.48	301.21053	Waste Tire Mgt/Recycle	5,946	5,946	5,946	-	-
ENCON	301.49	301.21054	Oil & Gas Acct	48	48	48	48	48

**General Fund Transfers From Other Funds**  
(thousands of dollars)

<u>Sending Agency</u>	<u>CAS Fund / Account</u>	<u>SFS Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ENCON	301.BJ	301.21060	Indirect Charge	523	523	523	523	523
ENCON	301.S4	301.21080	Encon Magazine	131	131	131	131	131
ENCON	301.XB	301.21084	Mined Land Reclamation	1,617	1,700	1,700	1,700	1,700
ENCON	303.03	303.21203	Oil Spill - DEC	-	574	574	574	574
ENCON	312.00	312.31500	Hazardous Waste	34,297	28,750	28,750	28,750	28,750
ENCON	355.01	355.22851	Great Lakes Protection	60	60	60	60	60
GSC	225.01	225.23651	Mobility Tax Trust	3,676	-	-	-	-
HLTH MEDICAL	339.JB	339.22104	CHCCDP Transfer	24,373	-	-	-	-
HSES	339.61	339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
HSES	339.LZ	339.22123	Pub Safe Commun	20,000	20,000	-	-	-
LABOR	305.01	305.21251	OSH Trng & Educ	2,366	866	866	866	866
LABOR	305.02	305.21252	OSHA Inspection	2,087	2,188	2,188	2,188	2,188
LABOR	339.30	339.21923	DOL Fee Penalty	12,372	8,372	8,372	8,372	8,372
LABOR	339.BA	339.21998	Public Work Enforce	2,930	2,930	2,930	2,930	2,930
LABOR	482.01	390.23551	UI Special Interest & Penalty	6,711	6,711	3,211	3,211	3,211
MENTAL HYGIENE	339.10	339.21907	Mental Hygiene	371,639	-	56,374	68,977	30,466
MENTAL HYGIENE	339.13	339.21909	M H Patient Inc	-	100,664	21,380	15,210	15,210
OASAS	339.51	339.21941	Methadone Registry Services	-	242	248	254	260
OASAS	339.EJ	339.22060	Credentia Services	-	935	959	987	1,013
OASAS	346.00	346.22700	Subst Abuse Srv	-	200	-	-	-
OCFS	265.00	265.25100	Federal HHS	2,500	28,670	28,670	28,670	28,670
OCFS	267.00	267.25200	Fed Education	-	900	900	900	900
OCFS	307.01	307.21351	Equip Loan Fund	7	7	7	7	7
OCFS	339.88	339.21961	Train Mgmt Evaluation	488	488	488	488	488
OCFS	339.CY	339.22028	Central Registry	1,439	4,822	4,822	4,822	4,822
OCFS	339.K1	339.22108	Hwy Rev/Social Security Admin	50	50	50	50	50
OCFS	339.YF	339.22186	Yth Fac PerDiem	101,950	152,416	138,746	119,875	98,702
OGS	339.YL	339.219YL	OGS Bldg Admin	480	1,000	1,000	1,000	1,000
OGS	339.YN	339.219YN	OGS Standards & Purchase Acct	4,489	3,000	3,000	3,000	3,000
OILS	390.01	390.23551	Indigent Legal	-	3,000	-	-	-
OMH	265.00	265.25100	Federal HHS	-	171	13	25	11
OMH	290.00	290.25300	Fed Oper Grant	853	-	-	-	-
OMH	343.00	343.55100	Mental Hygiene	-	58	80	58	33
OMH	353.00	353.50500	MH & MR Community	-	23	32	23	14
OPWDD	339.05	339.21903	OPWDD Provider	-	6,189	6,189	-	-
OPWDD	339.DH	339.22038	OMRDD Day Services	-	40,000	40,000	40,000	40,000
ORDA	385.01	385.23501	Lake Placid Train	-	23	23	23	23
OTDA	265.FS	265.251FS	Federal Stimulus	18,313	-	-	-	-
OTDA	339.48	339.21938	OTDA Training Contract	-	1,010	-	-	-
OTDA	339.50	339.21940	OTDA Training Mgmt	-	65	-	-	-
OTDA	339.AX	339.21988	Child Support Revenue	-	75	-	-	-
OTDA	339.L7	339.22112	OTDA Income	-	1,348	-	-	-
PARKS	339.39	339.21930	I Love NY Water	64	64	64	64	64
PARKS	339.T2	339.22163	Parks&Recreation Patron Services	5,402	-	-	709	903
PUB SVC	339.US	339.22172	Undrgrnd Safety Training	175	175	175	175	175
RACING	339.BJ	339.22003	Bell Jar Collection	123	202	202	202	202
SED OTH	050.01	050.20451	Tuition Reimb	23	23	23	23	23
SED OTH	050.02	050.20452	Prop Vocational Sch	297	297	297	297	297
SED OTH	052.01	052.20501	Local Govt Record	782	782	782	782	782
SED OTH	339.A4	339.21969	Teacher Certif	693	861	861	861	861
SED OTH	339.E3	339.22051	Professional Education Serv	2,777	2,777	2,777	2,777	2,777
SED OTH	339.TM	339.22166	Teacher Education Accreditation	14	21	-	-	-
SED OTH	365.01	365.23051	Vocatl Rehabil	-	32	32	32	32
SPEC REV	339.00	339.21900	Reserve for Transaction Risks	-	(105,000)	(105,000)	(105,000)	-
SUNY	345.10	345.22653	SUNY General IFR	22,000	38,000	26,000	26,000	26,000
SUNY	345.22	345.22656	SUNY Hospitals Debt Service	43,136	41,130	39,211	40,993	40,993
TADA	339.GA	339.22080	Adult Shelter	5,000	-	-	-	-
TADA OTH	261.00	261.25000	Fed USDA/FNS	-	30,000	30,000	30,000	30,000
TADA OTH	265.00	265.25100	Federal HHS	22,687	163,600	153,600	91,600	91,600
TAX	339.BK	339.22004	Ind & Util Service	441	441	441	441	441
TAX	339.DC	339.22034	Investment Services	-	541	541	541	541
TAX	339.G3	339.22078	Local Services	26	26	26	26	26
TSCR	339.TS	339.22169	TSCR Account	42,975	104,011	104,011	104,011	104,011
				<b>11,923,408</b>	<b>12,053,803</b>	<b>12,715,198</b>	<b>12,983,084</b>	<b>13,410,340</b>

**General Fund Transfers To Other Funds**  
(thousands of dollars)

<u>Receiving Agency</u>	<u>CAS Fund/ Account</u>	<u>SFS Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Total Transfers to Debt Service Funds</b>				<b>1,515,793</b>	<b>1,564,121</b>	<b>1,616,995</b>	<b>1,514,261</b>	<b>1,487,854</b>
<b>Total Transfers to Capital Projects Funds</b>				<b>798,402</b>	<b>1,032,890</b>	<b>1,290,114</b>	<b>1,409,450</b>	<b>1,322,277</b>
<b>Total Transfers to State Share Medicaid</b>				<b>2,722,478</b>	<b>2,974,705</b>	<b>2,758,941</b>	<b>2,614,624</b>	<b>2,519,886</b>
<b>Total All Other Transfers</b>				<b>819,074</b>	<b>1,420,523</b>	<b>2,940,445</b>	<b>3,924,778</b>	<b>4,435,261</b>
ABC	339.DB	339.22033	Alcohol Beverag	15,672	17,373	18,951	19,851	19,851
CIV SVC	396.00	396.55300	Health Ins Intrnl Service	7,748	7,843	7,843	7,843	7,843
CIV SVC	396.01	396.55301	CS EBD Admin Reim	-	240	240	240	240
DCJS	339.CA	339.22015	Crimes Against	6,000	16,000	16,000	16,000	16,000
DHCR	316.00	316.40250	Housing Debt	4,051	1,000	1,000	1,000	1,000
DM & NA	339.U2	339.22171	Recruitment Inc	-	2,087	2,087	2,087	2,087
DMV	339.GE	339.22084	Federal-Seized	-	12	-	-	-
DOCCS	397.00	397.55350	Corr Industries	9,500	9,500	9,500	9,500	9,500
DOH	020.AA	020.20143	Alzheimers Disease Assist	284	250	250	250	250
DOH	020.BD	020.20155	Breast Cancer Res & Educ	504	650	650	650	650
DOH	020.PR	020.20183	Prostate Cancer	207	150	150	150	150
DOH	319.00	319.40300	DOH Income Fund	20,672	16,079	16,079	16,079	16,079
DOH	339.AW	339.21987	Spinal Injury	1,575	-	-	-	-
DOH	339.QC	339.22147	Quality of Care	1,500	2,500	-	-	-
DOT	225.01	225.23651	Mobility Tax Trust	22,401	279,440	331,690	333,750	333,750
DOT	313.01	313.21401	Pub Tran Systms	12,000	12,000	12,000	12,000	12,000
DOT	313.02	313.21402	Metro Mass Tran	46,959	19,100	19,100	19,100	19,100
ENCON	301.S5	301.21081	Environment Enf	5,590	261	-	-	-
ENCON	302.00	302.21150	Conservation	3,853	180	-	-	-
FMS	339.FM	339.22074	SFS Account	36,000	48,000	55,200	55,200	55,200
FPADJ	020.00	020.20100	Combined Expendable Trust	-	69,697	59,883	61,856	60,877
ILS	390.01	390.23551	Indigent Legal	40,276	40,000	40,000	40,000	40,000
JUDICIAR	340.AA	340.22501	CFIA Undistrib	114,276	106,000	107,100	108,100	109,000
JUDICIAR	368.01	368.23151	NYCCC Operat Offset	8,594	8,700	8,800	8,700	8,800
MENTAL HYGIENE	304.00	304.40100	Mental Health	399	-	-	91,542	-
MENTAL HYGIENE	339.10	339.21907	Mental Hygiene	-	-	477,638	844,255	1,161,107
MENTAL HYGIENE	339.13	339.21909	M H Patient Inc	-	-	311,008	844,670	1,131,219
OCFS	020.78	020.20128	WB Hoyt Memoria	622	622	622	622	622
OFT	334.30	334.55069	Cent Tech Svcs.	-	22,000	52,000	20,000	10,000
OGS	323.ZX	323.550ZX	OGS Exec Direct	25,068	-	-	-	-
OMH	265.00	265.25100	Federal HHS	-	-	41	36	36
OMH	267.00	267.25200	Fed Education	-	1	-	-	-
OMH	343.00	343.55100	Mental Hygiene	-	48	5	-	-
OMH	353.00	353.50500	MH & MR Community	-	17	2	-	-
OPWDD	339.05	339.21903	OPWDD Provider	-	-	-	1,476	1,476
SCI	339.SR	339.22161	ES Stem Cell Trust	-	-	13,673	11,373	-
SED	054.01	054.20601	Charter School Stimulus	4,837	4,837	4,837	4,837	4,837
SED GSPS	160.03	160.20901	Lottery - Education	52,624	19,000	-	-	-
SED GSPS	160.06	160.20904	VLT - Education	2,258	-	-	-	-
SED OTH	339.D9	339.22032	Batavia School	810	900	900	900	900
SED OTH	339.E6	339.22053	Rome School	1,100	900	900	900	900
SUNY	345.11	345.22654	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.12	345.22655	SUNY - University Operations	-	340,350	982,566	1,002,081	1,022,057
SUNY	345.22	345.22656	SUNY - Disproportionate Share	224,720	228,175	228,175	228,175	228,175
SUNY	345.22	345.22656	SUNY - Hospital Operations	60,000	80,817	87,756	87,756	87,756
SUNY	345.31	345.22657	SUNY Stabilization	10,455	-	-	-	-
TAX	334.12	334.55057	Banking Service	70,201	57,476	65,481	65,481	65,481
				<b>5,855,747</b>	<b>6,992,239</b>	<b>8,606,495</b>	<b>9,463,113</b>	<b>9,765,278</b>

**CASH COMBINING STATEMENT**  
**GENERAL FUND**  
**FY 2013**  
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Eliminations	Total
<b>Opening fund balance</b>	0	1,131	21	102	175	358	0	1,787
<b>Receipts:</b>								
Taxes	43,213	0	0	0	0	0	0	43,213
Miscellaneous receipts	3,741	0	0	0	0	0	0	3,741
Federal grants	60	0	0	0	0	0	0	60
<b>Total receipts</b>	47,014	0	0	0	0	0	0	47,014
<b>Disbursements:</b>								
Grants to local governments	39,769	0	0	47	0	0	0	39,816
State operations	7,951	0	0	0	0	0	0	7,951
General State charges	4,623	0	0	0	0	0	0	4,623
Debt service	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	52,343	0	0	47	0	0	0	52,390
<b>Other financing sources (uses):</b>								
Transfers from other funds	42,638	0	0	2	0	77	(30,662)	12,055
Transfers to other funds	(37,309)	0	0	0	0	(345)	30,662	(6,992)
Bond and note proceeds	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	5,329	0	0	2	0	(268)	0	5,063
<b>Change in fund balance</b>	0	0	0	(45)	0	(268)	0	(313)
<b>Closing fund balance</b>	0	1,131	21	57	175	90	0	1,474

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2013  
(thousands of dollars)**

	019	020	023	024	025	050	052	053	054	059	061	073	160
<b>Opening Fund Balance</b>	2,173	59,864	7,613	121	57	5,365	2,062	0	4,252	1	2,806	78,727	31,116
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	3,276,067	0	0	1,124,000	486,600	0
Miscellaneous Receipts	140	(71,920)	7,500	290	65	3,757	9,233	0	0	0	4,585,320	191,167	3,198,490
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	140	(71,920)	7,500	290	65	3,757	9,233	3,276,067	0	0	5,709,320	677,767	3,198,490
<b>Disbursements:</b>													
Grants to Local Governments	0	4,851	7,000	0	0	0	5,056	3,276,067	4,837	0	5,333,966	683,249	3,053,000
State Operations	140	5,088	1,252	420	398	2,664	2,192	0	0	0	67,367	0	161,247
General State Charges	0	886	382	157	194	714	912	0	0	0	4,619	0	11,743
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	5,000	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	140	15,825	8,634	577	592	3,378	8,160	3,276,067	4,837	0	5,405,952	683,249	3,225,990
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	71,369	0	300	300	0	0	0	4,837	0	0	0	30,000
Transfers to Other Funds	0	(130)	0	(7)	0	(562)	(1,383)	0	0	0	(306,172)	0	(11,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	71,239	0	293	300	(562)	(1,383)	0	4,837	0	(306,172)	0	19,000
<b>Change in Fund Balance</b>	0	(16,506)	(1,134)	6	(227)	(183)	(310)	0	0	0	(2,804)	(5,482)	(8,500)
<b>Closing Fund Balance</b>	2,173	43,358	6,479	127	(170)	5,182	1,752	0	4,252	1	2	73,245	22,616
<b>Opening Fund Balance</b>	18,767	53,758	(1,571)	(147,454)	562	0	70,798	1,107	(28,299)	87,074	13,412	4,913	4,208
<b>Receipts:</b>													
Taxes	0	1,310,000	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	22,666	190,690	98,900	49,463	2,846	0	(23,181)	3,700	76,098	52,969	55,821	47,489	8,000
Federal Grants	650	0	1,882,873	33,216,344	3,571,629	59,133	868,844	0	0	0	0	0	0
<b>Total Receipts</b>	23,316	1,500,690	1,981,773	33,265,807	3,574,475	59,133	845,663	3,700	76,098	52,969	55,821	47,489	8,000
<b>Disbursements:</b>													
Grants to Local Governments	0	1,741,575	1,876,150	28,779,402	3,053,708	55,607	555,798	0	0	0	0	0	0
State Operations	21,556	0	63,681	473,205	466,329	2,857	239,504	3,605	69,161	40,106	26,851	30,424	12,800
General State Charges	0	0	10,276	88,893	43,336	669	35,285	0	18,697	12,447	4,357	9,027	98
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	21,556	1,741,575	1,950,107	29,341,500	3,563,373	59,133	830,587	3,605	87,858	52,553	31,208	39,451	12,898
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	279,440	0	0	1	0	500	0	21,357	730	20,306	0	3,750
Transfers to Other Funds	0	0	(31,666)	(3,924,307)	(11,103)	0	(15,576)	(78)	(14,162)	(2,302)	(37,532)	(3,054)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	279,440	(31,666)	(3,924,307)	(11,102)	0	(15,076)	(78)	7,195	(1,572)	(17,226)	(3,054)	3,750
<b>Change in Fund Balance</b>	1,760	38,555	0	0	0	0	0	17	(4,565)	(1,156)	7,387	4,984	(1,148)
<b>Closing Fund Balance</b>	20,527	92,313	(1,571)	(147,454)	562	0	70,798	1,124	(32,864)	85,918	20,799	9,897	3,060

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2013  
(thousands of dollars)**

	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>	<u>338</u>	<u>339</u>	<u>340</u>	<u>341</u>	<u>345</u>	<u>346</u>
<b>Opening Fund Balance</b>	472	56,867	(16,794)	66	10,021	3,480	1,181	769	619,537	5,008	41	473,261	4,020
<b>Receipts:</b>													
Taxes	0	1,923,300	0	0	0	0	0	0	10	0	0	0	0
Miscellaneous Receipts	63	21,810	40,500	0	1,719	115	75	60	2,704,225	0	0	4,041,214	4,867
Federal Grants	0	0	0	0	0	0	0	0	89	0	0	0	0
<b>Total Receipts</b>	<u>63</u>	<u>1,945,110</u>	<u>40,500</u>	<u>0</u>	<u>1,719</u>	<u>115</u>	<u>75</u>	<u>60</u>	<u>2,704,324</u>	<u>0</u>	<u>0</u>	<u>4,041,214</u>	<u>4,867</u>
<b>Disbursements:</b>													
Grants to Local Governments	0	1,854,812	0	0	0	0	0	98	2,748,270	104,200	0	0	4,500
State Operations	79	3,513	32,046	0	950	58	75	0	4,745,993	2,100	0	4,557,729	367
General State Charges	0	1,457	11,704	0	0	0	0	0	1,512,594	600	0	376,587	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	(15)	0	0	0	0
<b>Total Disbursements</b>	<u>79</u>	<u>1,859,782</u>	<u>43,750</u>	<u>0</u>	<u>950</u>	<u>58</u>	<u>75</u>	<u>98</u>	<u>9,006,842</u>	<u>106,900</u>	<u>0</u>	<u>4,934,316</u>	<u>4,867</u>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	31,100	0	0	0	0	0	0	10,152,789	106,000	0	1,030,240	0
Transfers to Other Funds	(7)	0	(30)	0	0	0	0	0	(3,869,974)	(374)	0	(176,710)	(200)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>(7)</u>	<u>31,100</u>	<u>(30)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,282,815</u>	<u>105,626</u>	<u>0</u>	<u>853,530</u>	<u>(200)</u>
<b>Change in Fund Balance</b>	<u>(23)</u>	<u>116,428</u>	<u>(3,280)</u>	<u>0</u>	<u>769</u>	<u>57</u>	<u>0</u>	<u>(36)</u>	<u>(19,703)</u>	<u>(1,274)</u>	<u>0</u>	<u>(39,572)</u>	<u>(200)</u>
<b>Closing Fund Balance</b>	<u>449</u>	<u>173,295</u>	<u>(20,074)</u>	<u>66</u>	<u>10,790</u>	<u>3,537</u>	<u>1,181</u>	<u>731</u>	<u>599,834</u>	<u>3,734</u>	<u>41</u>	<u>433,689</u>	<u>3,820</u>
<b>Opening Fund Balance</b>	<u>1,172</u>	<u>(37,654)</u>	<u>450</u>	<u>23</u>	<u>8,903</u>	<u>(2,683)</u>	<u>109</u>	<u>(7,392)</u>	<u>(22,440)</u>	<u>5,225</u>	<u>140,611</u>	<u>12</u>	<u>33,862</u>
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,208	119,700	160	3,709	900	3,068	100	6,788	25,000	26,000	106,667	85	73,000
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<u>1,208</u>	<u>119,700</u>	<u>160</u>	<u>3,709</u>	<u>900</u>	<u>3,068</u>	<u>100</u>	<u>6,788</u>	<u>25,000</u>	<u>26,000</u>	<u>106,667</u>	<u>85</u>	<u>73,000</u>
<b>Disbursements:</b>													
Grants to Local Governments	0	4,537	0	0	852	0	60	0	0	0	0	0	61,100
State Operations	1,112	9,248	151	0	0	3,437	100	4,861	23,400	16,800	114,166	75	25,859
General State Charges	259	72	43	0	0	0	0	2,137	9,600	7,900	6,152	0	285
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<u>1,371</u>	<u>13,857</u>	<u>194</u>	<u>0</u>	<u>852</u>	<u>3,437</u>	<u>160</u>	<u>6,998</u>	<u>33,000</u>	<u>24,700</u>	<u>120,318</u>	<u>75</u>	<u>87,244</u>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	8,700	0	0	0	40,000
Transfers to Other Funds	(197)	(64,800)	(60)	0	0	0	(32)	0	0	0	0	(23)	(3,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>(197)</u>	<u>(64,800)</u>	<u>(60)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(32)</u>	<u>0</u>	<u>8,700</u>	<u>0</u>	<u>0</u>	<u>(23)</u>	<u>37,000</u>
<b>Change in Fund Balance</b>	<u>(360)</u>	<u>41,043</u>	<u>(94)</u>	<u>3,709</u>	<u>48</u>	<u>(369)</u>	<u>(92)</u>	<u>(210)</u>	<u>700</u>	<u>1,300</u>	<u>(13,651)</u>	<u>(13)</u>	<u>22,756</u>
<b>Closing Fund Balance</b>	<u>812</u>	<u>3,389</u>	<u>356</u>	<u>3,732</u>	<u>8,951</u>	<u>(3,052)</u>	<u>17</u>	<u>(7,602)</u>	<u>(21,740)</u>	<u>6,525</u>	<u>126,960</u>	<u>(1)</u>	<u>56,618</u>

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2013  
(thousands of dollars)**

	480	482	484	486	SRO	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	42,502	5,536	545	(2,625)	0	1,593,518	0	1,593,518
<b>Receipts:</b>								
Taxes	0	0	0	0	0	8,119,977	0	8,119,977
Miscellaneous Receipts	58,000	9,600	0	0	(314)	15,757,822	0	15,757,822
Federal Grants	347,006	0	8,105	218,135	0	40,172,808	0	40,172,808
<b>Total Receipts</b>	405,006	9,600	8,105	218,135	(314)	64,050,607	0	64,050,607
<b>Disbursements:</b>								
Grants to Local Governments	10,000	0	0	180,424	0	53,399,119	0	53,399,119
State Operations	293,240	6,408	8,105	32,261	0	11,573,980	0	11,573,980
General State Charges	101,766	1,172	0	5,450	0	2,280,470	0	2,280,470
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	4,985	0	4,985
<b>Total Disbursements</b>	405,006	7,580	8,105	218,135	0	67,258,554	0	67,258,554
<b>Other Financing Sources (Uses):</b>								
Transfers from Other Funds	0	0	0	0	0	11,801,719	(4,104,880)	7,696,839
Transfers to Other Funds	0	(6,711)	0	0	0	(8,481,152)	4,104,880	(4,376,272)
Bond & Note Proceeds	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	(6,711)	0	0	0	3,320,567	0	3,320,567
<b>Change in Fund Balance</b>	0	(4,691)	0	0	(314)	113,120	0	113,120
<b>Closing Fund Balance</b>	42,502	845	545	(2,625)	(314)	1,707,138	0	1,707,138

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hyg Gifts	2,171	0	140	0	0	0	140	0	0	140	0	0	0	0	0	0	140	2,171
020.20100-Combined Exp Tr	(35)	0	(91,697)	0	0	69,697	(22,000)	0	0	0	0	0	0	0	0	0	0	(22,035)
020.20101-Planting Fields	1,202	0	350	0	0	0	350	0	209	47	7	0	94	0	0	0	357	1,195
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20107-DOCS Gift & Don	65	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	65
020.20109-Helen Hayes Hsp	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
020.20110-Oxford Donation	35	0	166	0	0	0	166	0	0	73	0	0	0	0	0	0	73	128
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	54	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	51
020.20113-Donations-Bataw	11	0	19	0	0	0	19	0	0	19	0	0	0	0	0	0	19	11
020.20114-Montrose Donati	117	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	129
020.20116-IBR Genetic Cou	60	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	105	60
020.20118-Tech Transfer	23	0	0	0	0	0	0	0	0	50	0	0	0	0	0	0	50	23
020.20120-Spec Events	486	0	357	0	0	0	357	0	0	204	0	0	0	0	0	0	204	639
020.20123-L.M. Josephthal	52	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	52
020.20124-RPMI Grnt & Beq	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-CBVH Vend Stand	774	0	814	0	0	0	814	0	30	448	0	0	477	0	0	0	955	633
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	3,631	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	3,503
020.20129-CBVH Gift & Beq	183	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	173
020.20130-St. Transm Money	17,443	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	24,513
020.20142-Youth Grants &	490	0	387	0	0	250	387	0	41	370	0	0	17	0	0	0	428	449
020.20143-Alzheimers Dis	1,728	0	0	0	0	0	250	0	0	202	0	0	0	0	0	0	202	1,776
020.20144-Local Gov Comm	142	0	12	0	0	0	12	0	14	7	0	0	0	0	0	0	7	147
020.20147-Prostate/Testic	362	0	40	0	0	0	40	0	0	6	0	0	0	0	0	0	6	382
020.20149-Autism Aware &	51	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	41
020.20150-Emergency Serv	8,084	0	2,688	0	0	0	2,688	3,101	126	93	3	0	65	0	0	0	3,388	7,384
020.20151-Batavia-Charlot	355	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	352
020.20152-Rome-Gifts And	2	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	3
020.20155-Br Can Res & Ed	8,371	0	40	0	0	650	690	0	0	913	0	0	0	0	0	0	913	8,148
020.20159-Community Relat	1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.20162-Disab Tech Asst	160	0	0	0	0	0	0	0	0	0	0	0	0	0	0	130	130	30
020.20165-DMNA Youth Prog	35	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	35
020.20166-Erie Canal Muse	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
020.20167-Grants and Bequ	11	0	1	0	0	0	1	0	0	3	0	0	0	0	0	0	3	9
020.20174-Life Pass It on	527	0	200	0	0	0	200	0	0	0	0	0	0	0	0	0	0	727
020.20176-Misc. Gifts Acc	8,892	0	4,000	0	0	0	4,000	0	0	0	0	0	0	0	5,000	0	5,000	7,892
020.20178-Multiple Sclero	19	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	20	(1)
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,768	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	2,918
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	917	0	360	0	0	0	360	0	239	136	6	0	123	0	0	0	504	773
020.20184-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	118	0	107	0	0	0	107	0	13	79	1	0	7	0	0	0	100	125
020.201HH-OMH Grant & Beq	932	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	932
020.201MI-RPMI Schoellpfr	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	961	0	1,500	0	0	0	1,500	1,000	200	300	5	0	103	0	0	0	1,608	853

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZZ-Grants	184	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	484
020.201ZZ-Donated Funds	257	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	257
023.20300-N Y Int Lawyers	7,613	0	7,500	0	0	0	7,500	7,000	620	582	50	0	382	0	0	0	8,634	6,479
024.20350-NYS Archvs Pline	122	0	290	0	0	300	590	0	292	119	9	0	157	0	0	7	584	128
025.20401-Child Performer	58	0	65	0	0	300	365	0	376	12	10	0	194	0	0	0	592	(169)
050.20451-Tuition Reimb	2,638	0	705	0	0	0	705	0	0	500	0	0	0	0	0	23	523	2,820
050.20452-Prop Voc Sch Su	2,726	0	3,052	0	0	0	3,052	0	1,382	745	37	0	714	0	0	559	3,417	2,361
052.20501-Loc Govt Record	2,061	0	9,233	0	0	0	9,233	5,056	1,765	380	47	0	912	0	0	1,383	9,543	1,751
053.20550-Sch Tax Relief	(1)	3,276,067	0	0	0	0	3,276,067	3,276,067	0	0	0	0	0	0	0	0	3,276,067	(1)
054.20601-Chtr Sch Sli Ac	4,251	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	4,251
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	254	0	0	0	0	0	0	0	1,824	75	49	0	943	0	0	503	3,394	(3,140)
061.20802-Health Care Srv	13,930	0	0	0	0	0	0	129,311	0	0	0	0	64	0	0	0	129,375	(115,445)
061.20803-Medicaid Fraud	95	0	0	0	0	0	0	0	52	263	1	0	27	0	0	0	343	(248)
061.20804-Medical Assist.	750	0	0	0	0	0	0	3,466,940	1,022	4,254	27	0	528	0	0	0	3,472,771	(3,472,021)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	452,297	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	21,957	0	0	0	0	0	0	0	0	23,334	0	0	0	0	0	0	475,631	(453,774)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EWS Training	2,539	0	0	0	0	0	0	0	2,253	13,712	61	0	1,164	0	0	667	17,857	(15,318)
061.20810-Child Health In	(85,138)	0	0	0	0	0	0	355,969	776	5,415	21	0	401	0	0	394	362,976	(448,114)
061.20811-HCRA Undistrib	27,925	1,124,000	4,585,320	0	0	0	5,709,320	0	0	0	0	0	0	0	0	303,537	303,537	5,433,708
061.20812-Hospital Based	423	0	0	0	0	0	0	11,689	0	0	0	0	0	0	0	0	11,689	(11,266)
061.20813-Ad Home Res Co	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.20814-Primary Care In	271	0	0	0	0	0	0	0	302	0	0	0	156	0	0	125	601	(330)
061.20815-Priv Coll Monit	478	0	0	0	0	0	0	0	1,301	159	35	0	672	0	0	492	2,659	(2,181)
061.20816-Pilot Health In	477	0	0	0	0	0	0	0	607	71	16	0	314	0	0	286	1,294	(817)
061.20817-Indigent Care	18,367	0	0	0	0	0	0	791,500	0	0	0	0	0	0	0	0	791,500	(773,133)
061.20818-EPIC Premium	(473)	0	0	0	0	0	0	126,200	2,250	8,700	0	0	0	0	0	0	137,150	(137,623)
061.20819-Health Occup De	808	0	0	0	0	0	0	0	438	57	12	0	226	0	0	129	862	(54)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	221	0	0	0	0	0	0	0	239	17	6	0	124	0	0	39	425	(204)
068.300DS-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.20851-Transit Authori	42,094	379,725	148,394	0	0	0	528,119	530,000	0	0	0	0	0	0	0	0	530,000	40,213
073.20852-Railroad Account	7,433	67,550	26,158	0	0	0	93,708	93,500	0	0	0	0	0	0	0	0	93,500	7,641
073.20853-DMTF	29,197	39,325	16,615	0	0	0	55,940	59,749	0	0	0	0	0	0	0	0	59,749	25,388
160.20901-Education - New	0	0	2,187,000	0	0	30,000	2,217,000	2,217,000	0	0	0	0	0	0	0	0	2,217,000	0
160.20902-Slate Lottery	9,112	0	153,479	0	0	0	153,479	0	21,189	114,452	606	0	9,732	0	0	0	145,979	16,612
160.20903-VLT - Admin	22,002	0	11,011	0	0	0	11,011	0	3,487	21,403	110	0	2,011	0	0	0	27,011	6,002
160.20904-VLT - Education	4	0	847,000	0	0	0	847,000	836,000	0	0	0	0	0	0	0	11,000	847,000	4
221.20950-Comb Student Ln	18,768	0	22,666	650	0	0	23,316	0	0	21,556	0	0	0	0	0	0	21,556	20,528
225.23651-Mobility Tax Tr	16,920	1,180,000	9,600	0	0	279,440	1,469,040	1,431,575	0	0	0	0	0	0	0	0	1,431,575	54,385
225.23652-MTA Aid Trust	30,876	130,000	181,090	0	0	0	311,090	310,000	0	0	0	0	0	0	0	0	310,000	31,966
300.21001-E F C Admin Acc	(325)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(325)
300.21002-Encon Admin Acc	1,430	0	3,700	0	0	0	3,700	0	3,596	9	0	0	0	0	0	78	3,683	1,447
301.21051-EnCon Energy Ef	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22
301.21052-EnCon-Seized AS	1	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	21
301.21053-Wst Tire Mgt/Re	6,557	0	24,000	0	0	0	24,000	0	9,621	4,054	228	0	5,226	0	0	5,946	25,075	5,482
301.21054-Oil & Gas Accou	149	0	108	0	0	0	108	0	0	98	0	0	0	0	0	48	146	111
301.21055-Marine/Coastal	95	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	108
301.21060-Indirect Charge	4,256	0	0	0	0	10,596	10,596	0	1,554	5,869	61	0	811	0	0	523	8,818	6,034
301.21061-Hazardous Sub B	26	0	350	0	0	0	350	0	164	33	8	0	111	0	0	0	316	60

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Fed Indirect R	(1,683)	0	40	0	0	10,500	10,540	0	7,899	302	0	0	0	0	0	0	8,201	656
301.21066-Low Level Radio	(3,999)	0	2,748	0	0	0	2,748	0	1,514	133	49	0	778	0	0	330	2,804	(4,055)
301.21067-Recreation Acco	(8,538)	0	10,500	0	0	0	10,500	0	7,749	3,115	247	0	788	0	0	255	12,154	(10,192)
301.21077-Public Safety R	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34
301.21080-Encon Magazine	440	0	705	0	0	0	705	0	0	314	0	0	0	0	0	131	445	700
301.21081-Environment Enf	(20,951)	0	27,000	0	0	261	27,261	0	14,127	3,024	500	0	7,683	0	0	3,115	28,449	(22,139)
301.21082-Natural Resourc	(21,707)	0	4,000	0	0	0	4,000	0	1,976	397	130	0	1,003	0	0	400	3,906	(21,613)
301.21083-UST-Trust Recov	36	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	48
301.21084-Mined Land Recl	(2)	0	4,210	0	0	0	4,210	0	1,713	117	61	0	849	0	0	1,700	4,440	(232)
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21097-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.210TV-ATV DESF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.210ZZ-Monitors-Aggre	16,964	0	2,391	0	0	0	2,391	0	3,529	493	81	0	1,448	0	0	1,714	7,265	12,090
302.21150-Conservation	35,718	0	48,034	0	0	730	48,764	0	22,806	14,708	728	0	11,786	0	0	1,752	51,780	32,702
302.21151-Marine Resource	3,013	0	2,300	0	0	0	2,300	0	890	716	72	0	641	0	0	0	2,319	2,994
302.21152-Migratory Bird	208	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	173
302.21153-License Guide	85	0	55	0	0	0	55	0	52	6	1	0	19	0	0	0	78	62
302.21154-Fish And Game T	47,459	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	550	49,409	49,409
302.21155-Surf Clam/Quahc	286	0	0	0	0	0	0	0	29	29	0	0	1	0	0	0	59	227
302.21156-Habitat Account	290	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	311
302.21157-Venison Donatio	13	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	38
303.21201-Oil Spill - DAC	3	0	121	0	0	705	826	0	528	226	18	0	260	0	0	0	1,032	(203)
303.21202-Oil Sp Relocan	4	0	0	0	0	301	301	0	151	25	4	0	78	0	0	0	258	47
303.21203-Oil Spill - DEC	(1)	0	0	0	0	19,300	19,300	0	12,317	747	231	0	4,019	0	0	3,526	20,840	(1,541)
303.21204-Oil Spill - DAC	13,407	0	42,000	0	0	0	42,000	0	0	12,604	0	0	0	0	0	20,306	32,910	22,497
303.21205-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.21251-OSH Trng & Educ	4,753	0	24,049	0	0	0	24,049	0	7,901	7,431	213	0	4,083	0	0	866	20,494	8,308
305.21252-OSHA Inspection	157	0	23,440	0	0	0	23,440	0	9,567	5,055	257	0	4,944	0	0	2,188	22,011	1,586
306.21301-Client Protectn	4,210	0	8,000	0	0	3,750	11,750	0	550	12,250	0	0	98	0	0	0	12,898	3,062
307.21351-Equip Loan Fund	474	0	63	0	0	0	63	0	0	79	0	0	0	0	0	7	86	451
313.21401-Pub Tran Sysms	(671)	79,905	410	0	0	12,000	92,315	93,099	571	373	15	0	295	0	0	0	94,363	(2,709)
313.21402-Metro Mass Tran	53,908	1,843,395	21,400	0	0	19,100	1,883,895	1,761,713	2,248	246	60	0	1,162	0	0	0	1,765,429	172,374
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(15,396)	0	8,500	0	0	0	8,500	0	3,754	2,207	205	0	1,795	0	0	0	7,961	(14,857)
314.21452-Mobile Source	(1,401)	0	32,000	0	0	0	32,000	0	19,394	5,895	491	0	9,909	0	0	30	35,819	(5,220)
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisl Comp R&D	9,964	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	10,731
321.21552-Demographics/Re	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60
332.21651-Brummer Award	37	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	37
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocanico	24	0	110	0	0	0	110	0	0	51	0	0	0	0	0	0	51	83
332.21654-OMR Nonexpend Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21655-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	1,181	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	1,181
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	769	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	731
340.22501-CFIA Undistrib	5,009	0	0	0	0	106,000	106,000	104,200	2,000	100	0	0	600	0	0	374	107,274	3,735
341.22552-DFY-NYC Summer	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
345.22652-L I Vets Home	15,643	0	41,500	0	0	0	41,500	0	25,881	15,369	0	0	0	0	0	0	41,250	15,893
345.22653-S U Genl IFR	371,293	0	649,666	0	0	0	649,666	0	162,099	465,904	0	0	5,834	0	0	119,000	752,837	268,122

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22654-S U Inc Offset	(44,160)	0	(2,900)	0	0	24,898	21,998	0	0	0	0	0	0	0	0	0	0	(22,162)
345.22655-Gen Rev Offset	5,660	0	1,456,271	0	0	340,350	1,796,621	0	1,489,960	305,172	0	0	0	0	0	0	1,795,132	7,149
345.22656-S U Hosp Ops	4,676	0	1,739,397	0	0	664,992	2,404,389	0	1,014,907	938,600	0	0	370,753	0	0	57,710	2,381,970	27,095
345.22657-SUNY Stablizat	16,417	0	6,196	0	0	0	6,196	0	17	1,026	0	0	0	0	0	0	1,043	21,570
345.22658-S U Hosp Sponsd	30,278	0	43,200	0	0	0	43,200	0	36,329	6,726	0	0	0	0	0	0	43,055	30,423
345.22659-SUNY Tuition Re	73,452	0	107,884	0	0	0	107,884	0	37,680	58,059	0	0	0	0	0	0	95,739	85,597
345.22660-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Subst Abuse Srv	4,018	0	4,867	0	0	0	4,867	4,500	0	367	0	0	0	0	0	200	5,067	3,818
349.22751-Lk George Park	1,172	0	1,208	0	0	0	1,208	0	592	500	20	0	259	0	0	197	1,568	812
354.22801-MWTIFA	2,844	0	4,700	0	0	0	4,700	4,537	140	4	4	0	72	0	0	0	4,757	2,787
354.22802-St Police MV En	(40,497)	0	115,000	0	0	0	115,000	0	4,000	5,100	0	0	0	0	0	64,800	73,900	603
355.22851-Great Lakes Pro	448	0	160	0	0	0	160	0	78	70	3	0	43	0	0	60	254	354
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22908-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154
360.22950-Housing Develop	8,903	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	8,951
362.23001-DOT Comm Veh Sa	(2,683)	0	3,068	0	0	0	3,068	0	2,954	483	0	0	0	0	0	0	3,437	(3,052)
365.23051-Vocatl Rehabil	111	0	100	0	0	0	100	60	0	100	0	0	0	0	0	32	192	19
366.23101-Drinking Water	(96)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(96)
366.23102-Drink Water DOH	(7,295)	0	6,788	0	0	0	6,788	0	4,247	503	111	0	2,137	0	0	0	6,988	(7,505)
368.23151-NYCCC Operat Of	(22,439)	0	25,000	0	0	8,700	33,700	0	19,700	3,700	0	0	9,600	0	0	0	33,000	(21,739)
369.23201-Jud Data Proc O	5,225	0	26,000	0	0	0	26,000	0	16,800	0	0	0	7,900	0	0	0	24,700	6,525
377.23267-CUNY Stablizn	21,187	0	3,285	0	0	0	3,285	0	17,500	0	0	0	0	0	0	0	17,500	6,972
377.2327X-CUNY Tuin Reim	54,057	0	30,882	0	0	0	30,882	0	30,741	141	0	0	0	0	0	0	30,882	54,057
377.2327Y-CUNY Inc Reimb	65,368	0	72,500	0	0	0	72,500	0	37,983	27,801	0	0	6,152	0	0	0	71,936	65,932
385.23501-Lk Placid Train	12	0	85	0	0	0	85	0	0	75	0	0	0	0	0	23	98	(1)
390.23551-Indigent Legal	33,861	0	73,000	0	0	40,000	113,000	61,100	569	25,269	21	0	285	0	0	3,000	90,244	56,617
482.23601-UJ Sp Int & Pen	5,536	0	9,600	0	0	0	9,600	0	2,268	4,079	61	0	1,172	0	0	6,711	14,291	845

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	1,191	0	500	0	0	0	500	90	0	0	0	0	0	0	0	0	1,601
339.21902-S P A R C S	1,088	0	5,283	0	0	1,464	6,747	0	3,011	966	84	0	1,621	0	0	885	1,268
339.21903-OPWDD Provider	158	0	0	0	0	334,097	334,097	327,908	0	0	0	0	0	0	0	6,189	158
339.21904-Fire Prev/Code	0	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	0
339.21905-NYS Twy Police	(2,303)	0	51,329	0	0	0	51,329	0	32,322	0	0	0	16,704	0	0	0	0
339.21906-DMV Seiz Assets	271	0	50	0	0	0	50	0	0	178	0	0	0	0	0	0	143
339.21907-Mental Hygiene	158	0	0	0	0	3,067,753	3,067,753	1,101,905	938,066	258,013	27,869	0	511,957	0	0	204,003	26,098
339.21909-M H Patient Inc	4,112	0	0	0	0	3,021,451	3,021,451	261,951	1,350,863	340,804	35,110	0	650,611	0	0	364,418	21,806
339.21911-Fin Cntrl Board	(757)	0	3,006	0	0	0	3,006	0	1,438	786	40	0	742	0	0	0	(757)
339.21912-Reg of Racing	(5,806)	0	9,400	0	0	0	9,400	0	4,361	4,528	200	0	500	0	0	0	(5,995)
339.21913-Trt St Reg Plan	(7,962)	0	0	0	0	13,810	13,810	0	4,207	7,338	154	0	2,110	0	0	0	(7,961)
339.21914-S U Constr Fund	1,253	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,253
339.21915-Quality Care	3,667	0	21,980	0	0	97,863	119,843	7,288	69,564	33,923	0	0	0	0	0	150	12,585
339.21916-Nurses Aide Reg	1,252	0	4,327	0	0	0	4,327	0	342	3,478	13	0	249	0	0	0	1,497
339.21917-Seized Assets	604	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	416
339.21918-Child Care & Pr	309	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	279
339.21919-Cyber Sec Upgr	1,694	0	1,500	0	0	0	1,500	0	0	1,548	0	0	0	0	0	0	1,646
339.21920-Cert of Need	9,919	0	4,459	0	0	0	4,459	0	2,093	987	55	0	1,055	0	0	1,086	9,102
339.21921-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21922-Reitr Community	647	0	131	0	0	0	131	0	27	2	2	0	14	0	0	2	731
339.21923-DOL Fee Penalty	5,814	0	21,950	0	0	0	21,950	0	7,256	764	195	0	3,750	0	0	8,672	7,127
339.21924-Educ Museum	252	0	2,200	0	0	0	2,200	0	360	1,696	10	0	186	0	0	62	138
339.21925-Ns Hm Receivshp	2,811	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,836
339.21926-3rd Party Hlth	448	0	1,250	0	0	0	1,250	0	874	0	34	0	646	0	0	0	1,444
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-I Love NY Water	70	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	991
339.21929-Summer Sch Arts	25	0	655	0	0	0	655	0	104	519	3	0	54	0	0	0	0
339.21930-I Love NY Water	301	0	245	0	0	0	245	0	(12)	25	2	0	8	0	0	64	459
339.21932-Snowmobile	3,760	0	11,400	0	0	0	11,400	4,850	103	355	9	0	62	0	0	0	9,781
339.21933-Tr Surplus Prop	134	0	2,200	0	0	0	2,200	0	0	944	0	0	0	0	0	803	587
339.21934-Hosp & Nurs Mgt	(2,624)	0	17,000	0	0	0	17,000	0	10,586	786	190	0	2,513	0	0	376	(75)
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-WWorld Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(4)	0	0	0	0	280,715	280,715	0	127,902	143,388	0	0	9,421	0	0	0	0
339.21938-ODTA Train Cont	858	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,010	(152)
339.21939-ODTA State Matc	238	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	238
339.21940-ODTA Trng Mgmt	499	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65	434
339.21941-Methadone Regis	1	0	242	0	0	0	242	0	0	0	0	0	0	0	0	242	1
339.21943-Energy Research	(1,561)	0	16,158	0	0	0	16,158	9,234	3,432	1,260	594	0	1,638	0	0	0	(1,561)
339.21944-Radiology	1,676	0	6,000	0	0	0	6,000	3,000	1,000	557	27	0	517	0	0	1,350	1,225
339.21945-Crim Jus Improv	12,911	0	53,000	0	0	0	53,000	27,400	3,439	611	93	0	1,777	0	0	26,852	5,739
339.21948-Farm Prod Insp-	237	0	1,750	0	0	0	1,750	0	691	170	19	0	195	0	0	0	912
339.21950-FgprntID&Tech	6,376	0	12,850	0	0	0	12,850	0	0	18,500	0	0	0	0	0	0	726
339.21953-NY Fire Academy	175	0	468	0	0	0	468	0	274	469	7	0	142	0	0	247	(496)
339.21958-OPDV Training	57	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	61
339.21959-Envir. Lab.Fee A	131	0	3,700	0	0	0	3,700	0	1,769	506	48	0	917	0	0	183	408
339.21960-Ins St L Adm	646	0	111,821	0	0	0	111,821	32,000	28,342	36,069	762	0	14,647	0	0	0	647
339.21961-Train Mgmt Eval	772	0	2,634	0	0	0	2,634	0	1,584	210	134	0	937	0	0	488	53
339.21962-Clin Lab Refrnc	(20,498)	0	18,059	0	0	0	18,059	0	6,532	2,562	177	0	3,396	0	0	0	(15,106)
339.21964-Pub Emp Rel Brd	573	0	86	0	0	0	86	0	352	39	58	0	0	0	0	0	268
339.21965-Radio Hlth Prot	3,557	0	3,955	0	0	0	3,955	0	2,101	230	0	0	1,011	0	0	216	3,896

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21966-Cons Food Indus	2,351	0	0	0	0	0	0	0	802	32	(2)	0	361	0	0	0	1,158
339.21967-OHRD St Match	4,193	0	1,352	0	0	0	1,352	0	0	3,398	0	0	0	0	0	0	2,147
339.21968-Educacn Library	123	0	65	0	0	0	65	0	0	120	0	0	0	0	0	0	68
339.21969-Teacher Certif	3,046	0	6,800	0	0	0	6,800	0	3,508	877	94	0	1,813	0	0	1,459	2,095
339.21970-Banking Deptmt	16,013	0	83,578	0	0	0	83,578	0	43,544	13,769	1,353	0	21,684	0	0	2,413	16,828
339.21971-Cable TV Acct	10,756	0	2,576	0	0	0	2,576	0	1,603	102	43	0	828	0	0	0	10,756
339.21972-Econ Devel Asst	298	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	298
339.21973-Fin Svcs Seized	212	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	212
339.21975-ODD Earned Revn	283	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	283
339.21976-Motorcycle Sfty	3,365	0	2,000	0	0	0	2,000	0	77	1,508	1	0	32	0	0	6	3,741
339.21977-Business Licens	7,681	0	73,500	0	0	0	73,500	539	16,908	10,623	463	0	8,085	0	(15)	37,964	6,614
339.21978-Indir Cost Reco	64	0	0	0	0	17,965	17,965	0	8,811	4,427	237	0	4,554	0	0	0	0
339.21979-High School Equ	969	0	225	0	0	0	225	0	143	586	9	0	176	0	0	0	280
339.21980-OTDA Program	664	0	0	0	0	0	0	0	0	200	0	0	0	0	0	0	464
339.21981-Diseas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	10,661	0	13	0	0	2,635	2,648	0	5,303	641	141	0	2,701	0	0	0	4,523
339.21983-Rail Safety Ins	1,142	0	669	0	0	0	669	0	432	45	12	0	223	0	0	0	1,099
339.21984-Fedl Admin Reim	1	0	130	0	0	992	1,122	0	0	0	0	0	0	0	0	0	1,123
339.21985-Abandon Prop Au	0	0	16,335	0	0	0	16,335	0	7,500	4,516	56	0	428	0	0	0	3,835
339.21986-Seized Assets	12	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	14
339.21987-Spinal Injury	4,301	0	0	0	0	0	0	0	311	1,642	4	0	78	0	0	0	2,266
339.21988-Child Supp Rev	2,410	0	0	0	0	0	0	0	0	0	0	0	0	0	0	75	2,335
339.21989-Mult Agen Train	11,933	0	0	0	0	32,000	32,000	0	1,672	22,467	66	0	1,036	0	0	0	18,692
339.21990-Dept Law-Seized	398	0	2,300	0	0	0	2,300	0	300	2,236	8	0	156	0	0	0	(2)
339.21991-DMNA-Seiz Asset	921	0	200	0	0	0	200	0	0	325	0	0	0	0	0	0	796
339.21992-Critical Infrs	1,021	0	1,998	0	0	0	1,998	0	330	1,161	9	0	171	0	0	0	1,348
339.21993-Radon Dectct Dev	304	0	13	0	0	0	13	0	0	11	0	0	0	0	0	2	304
339.21994-Insurance Dept	182,258	1	407,503	0	0	0	407,504	216,102	101,213	38,329	3,163	0	45,246	0	0	0	185,709
339.21995-Workers Comp Bd	36,120	0	197,963	0	0	0	197,963	0	86,300	63,143	2,314	0	44,453	0	0	0	37,873
339.21996-Fire Protection	79	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	91
339.21997-Conf Fee Acct	6	0	5	0	0	0	5	0	0	31	0	0	0	0	0	0	(20)
339.21998-Public Work Erif	884	0	6,148	0	0	0	6,148	0	2,257	318	61	0	1,166	0	0	2,930	300
339.21999-Asset Forfeitur	486	0	550	0	0	0	550	0	0	550	0	0	0	0	0	0	486
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestmt	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CD-Daycare Earned	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219CC-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	7
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Gent Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Prblm Solv Cou	65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hlv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)
339.219XX-A&M-Aggregated	3,889	0	15,044	0	0	0	15,044	0	437	14,825	12	0	226	0	0	139	3,294
339.219YL-OGS Bldg Admin	2,087	0	8,890	0	0	0	8,890	0	2,335	3,036	80	0	1,528	0	0	1,000	2,998
339.219YN-OGS Std & Purch	2,912	0	5,636	0	0	0	5,636	0	721	1,851	19	0	373	0	0	3,000	2,584
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	774	0	2,500	0	0	0	2,500	2,500	303	0	8	0	157	0	0	52	254
339.22002-Trn Mtlis Regist	58	0	6	0	0	0	6	0	0	25	0	0	0	0	0	0	39
339.22003-Bell Jar Collec	1	0	2,100	0	0	0	2,100	0	649	348	20	0	358	0	0	202	524
339.22004-Ind & Util Serv	1,731	0	3,075	0	0	0	3,075	0	1,535	0	74	0	837	0	0	441	1,919
339.22009-Asbestos Trning	(121)	0	330	0	0	0	330	0	182	17	6	0	112	0	0	0	(108)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	40,430	0	66,492	0	0	0	66,492	0	37,225	7,323	1,001	0	19,238	0	0	0	42,135
339.22012-Atty Licensing	3,230	0	37,000	0	0	0	37,000	0	18,600	7,600	0	0	6,300	0	0	3,750	3,980
339.22014-DSS Prov Recovs	188	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	188
339.22015-Crimes Against	1,716	0	0	0	0	16,000	16,000	15,000	0	0	0	0	0	0	0	0	2,716
339.22017-Camp Smith Bill	46	0	197	0	0	0	197	0	131	9	4	0	47	0	0	0	52
339.22018-Fire Sale Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22021-Reg Manu Hsg	262	0	200	0	0	0	200	0	0	0	0	0	0	0	0	100	362
339.22022-College Savings	2,089	0	813	0	0	0	813	0	198	985	18	0	99	0	0	0	1,602
339.22023-Discovr Queens	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
339.22024-Reven Arrearage	18,005	0	25,000	0	0	0	25,000	0	1,674	3,258	51	0	931	0	0	24,380	12,711
339.22025-Provider Assess	8,745	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,745
339.22026-Cell Phone Towe	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
339.22027-Spec Conservy Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-Central Registry	370	0	5,017	0	0	0	5,017	0	101	0	4	0	42	0	0	4,822	418

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013  
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22029-Plant Industry	288	0	322	0	0	0	322	0	365	0	10	0	189	0	0	0	46
339.22030-Food Stp Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22032-Batavia School	(5,699)	0	9,600	0	0	900	10,500	0	5,581	655	152	0	2,885	0	0	0	(4,472)
339.22033-Alcohol Beverag	2,974	0	0	0	0	17,373	17,373	0	7,527	5,323	220	0	3,890	0	0	0	3,387
339.22034-Investment Serv	91	0	3,406	0	0	0	3,406	0	2,025	665	62	0	1,136	0	0	541	(932)
339.22035-Diabetes Resear	54	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	60
339.22037-Keep Kids Drug	10	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	19
339.22038-OMRDD Day Svcs	(2,178)	0	40,000	0	0	0	40,000	0	0	0	0	0	0	0	0	40,000	(2,178)
339.22039-OSDC Finan Over	(1,028)	0	4,923	0	0	0	4,923	0	2,679	174	79	0	1,373	0	0	0	(410)
339.22040-Senate Recyclab	467	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	487
339.22041-Medicaid Fraud	14,041	0	13,085	0	0	0	13,085	0	5,970	2,456	162	0	3,104	0	0	0	15,434
339.22042-DED Marketing A	4,512	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	4,522
339.22044-Tug Hill Admin	64	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	60
339.22045-Settlement Enf	464	0	900	0	0	0	900	850	0	50	0	0	6,616	0	0	0	464
339.22046-Indian Gaming	(96,504)	0	7,742	0	0	0	7,742	0	11,097	1,456	315	0	0	0	0	0	(108,246)
339.22047-NYS FLEX Spend	1	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	1
339.22050-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	13
339.22051-Ofc of Professi	11,745	0	47,265	0	0	0	47,265	0	17,188	9,870	462	0	8,883	0	0	6,032	16,575
339.22052-Armory Rental A	1,113	0	1,825	0	0	0	1,825	0	650	973	27	0	203	0	0	0	1,085
339.22053-Rome School	(699)	0	9,600	0	0	900	10,500	0	4,436	590	119	0	2,293	0	0	0	2,363
339.22054-Seized Assets	(11,357)	0	8,725	0	0	43	8,768	0	0	8,725	0	0	0	0	0	0	(11,314)
339.22055-Traf Adjudicatin	(3,232)	0	41,500	0	0	0	41,500	0	20,321	9,164	442	0	9,910	0	0	0	(1,569)
339.22056-Fed Salary Shar	3,653	0	0	0	0	2,700	2,700	390	2,297	148	72	0	1,187	0	0	62	2,197
339.22057-Cook/Chill Acco	249	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	249
339.22058-Client Notices	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.22060-Credentialed Svcs	82	0	948	0	0	0	948	0	0	0	0	0	0	0	0	935	95
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43	0
339.22062-NYC Assessment	29,928	0	80,077	0	0	0	80,077	0	36,881	24,553	1,420	0	19,192	0	0	0	27,959
339.22063-Cultural Educat	(11,917)	0	27,700	0	0	0	27,700	0	11,219	6,096	302	0	5,798	0	0	1,976	(9,608)
339.22064-Distance Learn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22065-Exam & Misc Rev	2,421	0	3,150	0	0	0	3,150	0	350	800	11	0	168	0	0	1,566	2,676
339.22067-Trans Regul Acc	13,481	0	4,800	0	0	0	4,800	0	2,322	350	63	0	1,172	0	0	0	14,374
339.22068-Cons Prot Acct	1,220	0	91	0	0	0	91	0	236	95	8	0	106	0	0	0	866
339.22070-OER NASDER	117	0	24	0	0	0	24	0	0	31	0	0	0	0	0	0	110
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22073-Fed Liability	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50	0
339.22074-FMS Account	5,829	0	0	0	0	48,000	48,000	0	10,814	18,887	0	0	0	0	0	22,900	1,228
339.22075-Funeral	1,024	0	415	0	0	0	415	0	234	20	6	0	114	0	0	8	1,057
339.22076-FSHRP	(1)	0	0	0	0	175,000	175,000	175,000	0	0	0	0	0	0	0	0	(1)
339.22077-Educ Archives	139	0	15	0	0	0	15	0	0	90	0	0	0	0	0	0	64
339.22078-Local Services	509	0	1,100	0	0	0	1,100	0	574	0	32	0	357	0	0	26	620
339.22079-DOT-Accident Da	8,317	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,911	2,406
339.22080-Adult Shelter	2,160	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,160
339.22081-QAA Earned Rev	391	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	391
339.22082-Family Pres Svc	1,559	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	1,561
339.22083-Electronic Bene	4,399	0	0	0	0	0	0	4,000	0	0	0	0	0	0	0	0	399
339.22084-Federal-Seized	29	0	0	0	0	12	12	0	0	90	0	0	0	0	0	0	(49)
339.22085-DHCR Mortgage S	(1,514)	0	7,629	0	0	0	7,629	0	3,606	163	97	0	2,000	0	0	0	249
339.22086-OMH-Research OH	69	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	69
339.22087-DMV-Compulsory	1,423	0	27,600	0	0	0	27,600	0	9,185	1,512	178	0	4,605	0	0	15,368	(1,825)

**CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)  
FY 2013  
(thousands of dollars)**

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22088-Prof Medic Cond	7,106	0	22,545	0	0	0	22,545	0	11,279	5,825	290	0	5,579	0	0	0	6,678
339.22089-Hwy Const & Ma	1,178	0	260	0	0	0	260	0	0	128	0	0	0	0	0	0	1,310
339.22090-Housing Indirect	(512)	0	0	0	0	5,768	5,768	0	2,775	750	75	0	1,434	0	0	0	222
339.22091-Adult Hme Qlty E	731	0	193	0	0	0	193	0	0	293	0	0	0	0	0	21	610
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22094-Accid Prevent C	814	0	1,000	0	0	0	1,000	0	153	398	4	0	79	0	0	606	574
339.22095-IG Szd Assets	98	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	96
339.22096-Leg Svcs Assist	4,908	0	13,600	0	0	0	13,600	12,300	0	0	0	0	0	0	0	0	6,208
339.22097-Loc Pub Hlth	2,576	0	384	0	0	0	384	0	145	24	3	0	56	0	0	5	2,727
339.22098-Local Dist Trai	374	0	177	0	0	0	177	0	0	231	0	0	0	0	0	0	320
339.22099-Voing Mach Exa	298	0	0	0	0	0	0	0	0	165	0	0	0	0	0	0	133
339.22100-DHCR HCA Applic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22101-EPIC Premium Ac	1,150	0	3,200	0	0	0	3,200	0	1,445	512	39	0	747	0	0	568	1,039
339.22102-Drug Enforce Ta	54,589	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54,000	589
339.22103-Vial Rec Mgmt	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	88
339.22104-CHCCDP Transfer	2,695	0	3,902	0	0	0	3,902	0	1,181	101	23	0	433	0	0	2,252	2,607
339.22105-Tobacco Enforce	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22108-Hwy Rev/Soc Sec	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.22109-Conference & Sp	1,296	0	267	0	0	0	267	0	0	186	0	0	0	0	0	50	1,327
339.22110-Asst Living Res	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22111-OCFS Program	196	9	95	0	0	0	104	95	0	0	0	0	0	0	0	9	196
339.22112-OTDA Income Acc	2,175	0	9	0	0	0	9	0	85	582	0	0	0	0	0	0	1,517
339.22114-Disabil Determs	1,353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,348	5
339.22115-OMRDD-Jt Clinic	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22116-Special Medical	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22117-Litigation Sett	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22118-Animal Populati	21,498	0	66,214	0	0	0	66,214	0	19,964	32,527	538	0	10,380	0	0	0	24,303
339.22119-Love Your Libra	187	0	1,050	0	0	0	1,050	0	0	1,000	0	0	0	0	0	0	237
339.22122-Local Wireless	38	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	44
339.22123-Pub Safe Commun	103	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	103
339.22124-Cuba Lake Mgmt	53,995	0	115,388	0	0	0	115,388	16,428	8,014	54,800	0	0	4	0	0	20,000	70,137
339.22126-St Justice Inst	178	0	200	0	0	0	200	0	0	191	0	0	0	0	0	0	187
339.22128-Med Reimb Acct	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22130-Low Inc Housing	558	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	558
339.22131-Provider 900	2,029	0	3,000	0	0	0	3,000	0	1,515	400	41	0	841	0	0	150	2,082
339.22132-New York Alert	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22133-Procure Op News	91	0	3,084	0	0	0	3,084	0	0	2,100	0	0	0	0	0	0	1,075
339.22134-CVB Restitution	980	0	275	0	0	0	275	0	40	135	0	0	0	0	0	100	980
339.22135-EFC Corp Admin	921	0	577	0	0	0	577	0	427	150	0	0	0	0	0	0	921
339.22136-Food Prod Ctr	(41)	0	0	0	0	0	0	0	82	774	2	0	42	0	0	0	(41)
339.22137-Pet Dealer	949	0	1,000	0	0	0	1,000	0	0	0	0	0	0	0	0	0	1,049
339.22138-Auth Bldgt Office	28	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	60
339.22139-Patient Safety	22	0	1,989	0	0	1,826	3,815	0	959	250	28	0	502	0	0	39	2,059
339.22140-Helen Hayes Hos	174	0	477	0	0	0	477	0	0	403	0	0	0	0	0	73	175
339.22141-NYC Veterans	2,023	0	115	0	0	52,381	52,496	0	32,930	19,323	133	0	0	0	0	0	2,133
339.22142-NYS Home-Vetera	2,689	0	350	0	0	32,307	32,657	0	15,308	10,983	63	0	6,872	0	0	0	2,120
339.22143-WNY Vets Home	4,225	0	120	0	0	21,871	21,991	0	15,398	7,169	79	0	0	0	0	0	3,570
339.22144-Montrose S V H	1,978	0	55	0	0	11,447	11,502	0	7,630	3,142	81	0	0	0	0	0	2,627
339.22145-DOH Hospital Ho	2,956	0	30	0	0	21,832	21,862	0	15,892	6,509	111	0	0	0	0	0	2,306
	1,397	0	0	0	0	107,841	107,841	0	0	0	0	0	0	0	0	107,531	1,707

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22146-Spec Energy Adm	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	4,009	0	1,342	0	0	2,500	3,842	0	0	1,609	0	0	0	0	0	0	6,242
339.22149-Motor Fuel Qual	610	0	2,800	0	0	0	2,800	0	888	1,305	0	0	459	0	0	200	534
339.22150-Weights Measure	424	0	350	0	0	0	350	0	257	104	7	0	133	0	0	30	243
339.22151-Defer Comp Adm	(149)	0	820	0	0	0	820	0	363	178	11	0	191	0	0	0	(72)
339.22152-Hazard Abatement	64	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	114
339.22153-Education Stats	159	0	0	89	0	0	89	0	0	150	0	0	0	0	0	0	98
339.22154-Real Estate Fin	4,597	0	1,000	0	0	0	1,000	0	749	1,028	19	0	390	0	0	0	3,411
339.22156-NYC Rent Rev	3,082	0	44,007	0	0	0	44,007	0	23,066	4,600	620	0	11,921	0	0	3,800	3,082
339.22157-Medicaid Income	(882)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(882)
339.22158-Rent Revenue	(498)	0	550	0	0	0	550	0	459	0	12	0	237	0	0	0	(656)
339.22159-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22161-ES Stem Cell Tr	6,519	0	0	0	0	43,500	43,500	0	468	43,032	0	0	0	0	0	0	6,519
339.22162-Systems & Tech	3,128	0	7,300	0	0	0	7,300	0	2,530	1,622	71	0	1,301	0	0	833	4,071
339.22163-OPR Patron Serv	8,993	0	68,520	0	0	0	68,520	0	27,721	37,675	0	0	3,527	0	0	0	8,590
339.22165-Trans Aviatio	2,677	0	3,660	0	0	0	3,660	0	117	3,466	3	0	60	0	0	0	2,691
339.22166-Teacher Ed Accr	86	0	12	0	0	0	12	0	50	0	1	0	26	0	0	21	0
339.22167-Training Academ	53	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	53
339.22168-Tax Rev Arrear	(2,138)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	(1,233)
339.22169-TSCR Account	594	0	129,277	0	0	0	129,277	32,319	0	0	0	0	0	0	0	104,011	(6,459)
339.22170-Statewide Gamini	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,140	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	3,080
339.22172-Undgrnd Sfty T	196	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	131
339.22173-Vol Fire Rec&Re	280	0	200	0	0	0	200	100	0	0	0	0	0	0	0	0	380
339.22174-HAVA Match	1,622	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,622
339.22175-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22177-Occ Hlth Clinic	6,824	0	9,000	0	0	0	9,000	0	261	7,732	7	0	131	0	0	0	7,693
339.22178-Crim Back Check	376	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	376
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22182-OWIG Adm Reimb	3,317	0	24	0	0	1,500	1,524	0	408	458	27	0	221	0	0	0	3,727
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	625	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	665
339.22186-Yth Fac PerDiem	1	0	152,416	0	0	0	152,416	0	0	0	0	0	0	0	0	152,416	1
339.22187-Provider Assess	1	0	793,500	0	0	0	793,500	793,500	0	0	0	0	0	0	0	0	1
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	188	0	14	0	0	0	14	0	0	14	0	0	0	0	0	0	188
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Admin Cost Rec	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22193-Sales Tax Re Fe	264	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	264
339.22195-Equitable Shari	2,461	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,461
339.22196-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-UL-TVI RADIA DEV	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
339.22198-HEP	109	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(191)
339.22199-Airport Securit	158	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	158
339.22200-Greenwood Lake	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBCI Account	1	0	0	0	0	0	0	18,266	0	0	0	0	0	0	0	0	(18,265)
339.22203-Article X Inter	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2013  
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>	<u>115</u>
<b>Opening Fund Balance</b>	0	(16,206)	130,311	3,410	(5,424)	14	38,824	0	88	164	713	3,391	1,310
<b>Receipts:</b>													
Taxes	0	1,279,900	0	0	0	0	119,100	0	0	0	0	0	0
Miscellaneous Receipts	1,799,785	1,553,358	0	1,800	77,542	0	14,400	0	0	0	0	0	0
Federal Grants	0	5,359	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	1,799,785	2,838,617	0	1,800	77,542	0	133,500	0	0	0	0	0	0
<b>Disbursements:</b>													
Grants to Local Governments	977,754	66,736	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,950,077	2,148,602	80,000	1,800	76,791	0	158,300	0	0	0	0	0	0
<b>Total Disbursements</b>	2,927,831	2,215,338	80,000	1,800	76,791	0	158,300	0	0	0	0	0	0
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	1,134,349	812,794	80,000	0	0	0	0	343	0	0	0	0	0
Transfers to Other Funds	(4,684)	(1,438,073)	0	0	(751)	0	0	0	0	(25)	(600)	(100)	(1,500)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	100	1,500
<b>Net Other Financing Sources (Uses)</b>	1,129,665	(625,279)	80,000	0	(751)	0	0	343	0	0	0	0	0
<b>Change in Fund Balance</b>	1,619	(2,000)	0	0	0	0	(24,800)	343	0	0	0	0	0
<b>Closing Fund Balance</b>	1,619	(18,206)	130,311	3,410	(5,424)	14	14,024	343	88	164	713	3,391	1,310
<b>Opening Fund Balance</b>	101,080	4,257	7,942	2,837	7,554	(136,870)	894	(186,179)	504	(4,114)	0	(20,395)	(121,426)
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	10	112,250	0	19,000	0	0	120,060
Federal Grants	0	0	0	0	0	2,185,149	0	0	0	0	0	0	0
<b>Total Receipts</b>	0	0	0	0	0	2,185,149	10	112,250	0	19,000	0	0	120,060
<b>Disbursements:</b>													
Grants to Local Governments	0	0	0	0	0	819,814	0	0	0	0	0	0	120,635
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	1,030,847	10	112,567	0	19,000	0	0	0
<b>Total Disbursements</b>	0	0	0	0	0	1,850,661	10	112,567	0	19,000	0	0	120,635
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	13,700	0	0	0	0	575
Transfers to Other Funds	(340,749)	(1,000)	(4,000)	(2,000)	(50,343)	(332,060)	0	(28,750)	0	0	0	0	0
Bond & Note Proceeds	340,749	1,000	4,000	2,000	50,343	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	(332,060)	0	(15,050)	0	0	0	0	575
<b>Change in Fund Balance</b>	0	0	0	0	0	2,428	0	(15,367)	0	0	0	0	0
<b>Closing Fund Balance</b>	101,080	4,257	7,942	2,837	7,554	(134,442)	894	(201,546)	504	(4,114)	0	(20,395)	(121,426)

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2013  
(thousands of dollars)**

	<u>378</u>	<u>380</u>	<u>384</u>	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
<b>Opening Fund Balance</b>	17,933	(11,476)	149,400	21,789	(23)	(355,364)	(84,319)	0	(449,381)	0	(449,381)
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	1,399,000	0	1,399,000
Miscellaneous Receipts	1,000	0	70,000	27,500	0	201,893	214,197	1	4,212,796	0	4,212,796
Federal Grants	0	0	0	0	0	0	0	0	2,190,508	0	2,190,508
<b>Total Receipts</b>	1,000	0	70,000	27,500	0	201,893	214,197	1	7,802,304	0	7,802,304
<b>Disbursements:</b>											
Grants to Local Governments	0	0	0	25,000	0	93,676	0	0	2,103,615	0	2,103,615
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,000	0	70,000	4,900	0	67,726	235,697	0	5,957,317	0	5,957,317
<b>Total Disbursements</b>	1,000	0	70,000	29,900	0	161,402	235,697	0	8,060,932	0	8,060,932
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	6,000	0	0	1,750	21,500	0	2,071,011	(725,927)	1,345,084
Transfers to Other Funds	0	0	0	0	0	0	0	0	(2,204,635)	725,927	(1,478,708)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	400,317	0	400,317
<b>Net Other Financing Sources (Uses)</b>	0	0	6,000	0	0	1,750	21,500	0	266,693	0	266,693
<b>Change in Fund Balance</b>	0	0	6,000	(2,400)	0	42,241	0	1	8,065	0	8,065
<b>Closing Fund Balance</b>	17,933	(11,476)	155,400	19,389	(23)	(313,123)	(84,319)	1	(441,316)	0	(441,316)

**CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2013  
(thousands of dollars)**

	064	304	311	316	319	350	361	364	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	93,387	0	0	29,012	305,068	0	0	427,467	0	427,467
<b>Receipts:</b>											
Taxes	0	0	10,040,250	0	0	0	540,900	2,827,225	13,408,375	0	13,408,375
Miscellaneous Receipts	0	351,523	0	11,003	127,830	505,204	0	500	996,060	0	996,060
Federal Grants	0	0	78,803	0	0	0	0	0	78,803	0	78,803
<b>Total Receipts</b>	0	351,523	10,119,053	11,003	127,830	505,204	540,900	2,827,725	14,483,238	0	14,483,238
<b>Disbursements:</b>											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	6,085	23,631	0	1,555	10,110	0	5,544	46,925	0	46,925
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	325,593	5,239,446	12,003	28,184	102,134	0	392,416	6,099,776	0	6,099,776
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	0	331,678	5,263,077	12,003	29,739	112,244	0	397,960	6,146,701	0	6,146,701
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	3,116,882	3,370,680	1,000	42,069	0	0	0	6,530,631	(225,245)	6,305,386
Transfers to Other Funds	0	(3,111,957)	(8,226,657)	0	(140,148)	(360,715)	(540,900)	(2,429,764)	(14,810,141)	225,245	(14,584,896)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	4,925	(4,855,977)	1,000	(98,079)	(360,715)	(540,900)	(2,429,764)	(8,279,510)	0	(8,279,510)
<b>Change in Fund Balance</b>	0	24,770	(1)	0	12	32,245	0	1	57,027	0	57,027
<b>Closing Fund Balance</b>	0	118,157	(1)	0	29,024	337,313	0	1	484,494	0	484,494

**CASH COMBINING STATEMENT BY ACCOUNT**  
**INTERNAL SERVICE**  
**FY 2013**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	41,645	0	60,288	0	0	0	60,288	0	26,797	16,134	721	0	13,849	0	0	0	57,501	44,432
323.55020-OGS Ent Contr	0	0	500,000	0	0	0	500,000	0	600	499,074	16	0	310	0	0	0	500,000	0
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Sv	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
323.5502X-OGS Exec Direct	(48,720)	0	189,472	0	0	22,900	212,372	0	2,025	185,897	54	0	1,047	0	0	88,662	277,685	(114,033)
323.5502Y-OGS Bldg Admin	5,355	0	23,322	0	0	0	23,322	0	1,769	15,382	48	0	914	0	0	0	18,113	10,564
323.5502Z-OGS Std & Purch	(8,226)	0	18,720	0	0	0	18,720	0	2,333	12,645	63	0	1,206	0	0	0	16,247	(5,753)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Educ-Archives R	1,087	0	1,500	0	0	0	1,500	0	854	80	27	0	303	0	0	0	1,264	1,323
334.55053-Fedl Single Aud	2,290	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,290
334.55054-Quick Copy Cent	803	0	600	0	0	0	600	0	157	400	5	0	77	0	0	0	639	764
334.55055-CS Administrat	5,134	0	5,963	0	0	0	5,963	0	3,408	2,384	103	0	1,750	0	0	0	7,645	3,452
334.55056-EHS Occup Hlth	(57)	0	870	0	0	0	870	0	578	456	17	0	294	0	0	0	1,345	(532)
334.55057-Banking Service	(4)	0	3,974	0	0	57,476	61,450	0	0	61,450	0	0	0	0	0	0	61,450	(4)
334.55058-Cult Resources	(2,215)	0	7,329	0	0	0	7,329	0	1,380	3,137	44	0	754	0	0	278	5,593	(479)
334.55059-Neighbor Work P	(5,676)	0	7,300	0	0	0	7,300	0	0	7,200	0	0	0	0	0	0	7,200	(5,576)
334.55060-Auto/Print Chgb	2,526	0	16,500	0	0	0	16,500	0	8,085	4,150	0	0	4,178	0	0	0	16,413	2,613
334.55061-NYT Account	19,219	0	88,938	0	0	0	88,938	0	8,623	77,183	232	0	4,457	0	0	0	90,495	17,662
334.55062-State Data Ctr	37,203	0	118,199	0	0	0	118,199	0	21,256	83,028	572	0	10,987	0	0	0	115,843	39,559
334.55063-Human Svcs Tele	4,757	0	27,339	0	0	0	27,339	0	8,911	16,576	240	0	4,606	0	0	0	30,333	1,763
334.55065-OMRDD Copy Ctr	985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	985
334.55066-Intrusion Detec	(553)	0	1,528	0	0	0	1,528	0	0	1,350	0	0	0	0	0	0	1,350	(375)
334.55067-Dom Violence Gr	(228)	0	750	0	0	0	750	0	650	97	3	0	0	0	0	0	750	(228)
334.55068-Statewide Train	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
334.55069-Cent Tech Svcs.	(3,006)	0	3,000	0	0	22,000	25,000	0	676	25,820	18	0	349	0	0	0	26,863	(4,869)
334.55070-Learning Mgmt S	1,735	0	2,060	0	0	0	2,060	0	1,188	944	26	0	519	0	0	0	2,677	1,118
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(117)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(117)
343.55100-Mental Hygiene	332	0	1,967	0	0	48	2,015	0	956	1,144	31	0	550	0	0	58	2,739	(392)
347.55150-DFY Voc Educatn	55	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	80
394.55200-Joint Labor-Mgt	2,013	0	2,000	0	0	0	2,000	0	857	377	28	0	442	0	0	0	1,704	2,309
395.55251-Ex Dir Intl Aud	424	0	1,550	0	0	0	1,550	0	2,112	314	68	0	1,030	0	0	0	3,524	(1,550)
396.55300-Health Ins Inlr	(12,135)	0	14,121	0	0	7,843	21,964	0	10,294	2,649	311	0	5,304	0	0	0	18,558	(8,729)
396.55301-CS EBD Adm Reim	(2,855)	0	4,500	0	0	240	4,740	0	1,882	677	48	0	972	0	0	0	3,579	(1,694)
397.55350-Corr Industries	39	0	49,000	0	0	9,500	58,500	0	17,609	30,289	474	0	9,689	0	0	0	58,061	478

**CASH COMBINING STATEMENT BY ACCOUNT**  
**ENTERPRISE**  
**FY 2013**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	183	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	183
325.50050-State Fair Rece	3,977	0	17,500	0	0	0	17,500	0	4,275	10,483	115	0	1,295	0	0	0	16,168	5,309
326.50100-DOCS Commissary	1,887	0	37,347	0	0	0	37,347	0	0	37,267	0	0	0	0	0	0	37,267	1,967
331.50301-Publications	16	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	16
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts Oxford Vet	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	10	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	10
331.50318-Convention Ctr	264	0	1,172	0	0	0	1,172	0	574	95	15	0	297	0	0	0	981	455
331.50319-DOCS Empl Mess	(130)	0	1,256	0	0	0	1,256	0	268	757	10	0	144	0	0	0	1,179	(53)
331.50322-Asset Preservat	45	0	14	0	0	0	14	0	0	17	0	0	0	0	0	0	17	42
331.50323-Farm Program	1,033	0	422	0	0	0	422	0	0	422	0	0	0	0	0	0	422	1,033
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
351.50400-OMH Shelt Wkshs	1,725	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,725
352.50450-MR Shel Wrkshop	1,122	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,022
353.50500-MH & MR Communi	2,945	0	2,200	0	0	17	2,217	0	381	1,172	12	0	217	0	0	23	1,805	3,357
353.50516-MR Community St	98	0	660	0	0	0	660	0	217	326	9	0	108	0	0	0	660	98
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U I Benefit Frnd	(130,584)	2,356,000	0	1,000,000	0	0	3,356,000	0	0	0	0	3,356,000	0	0	0	0	3,356,000	(130,584)
481.50651-Interest Assess	41,533	0	105,000	0	0	0	105,000	0	0	105,000	0	0	0	0	0	0	105,000	41,533
481.506FS-Federal Stimulu	171,769	0	0	2,300,000	0	0	2,300,000	0	0	0	0	2,300,000	0	0	0	0	2,300,000	171,769

**STATE DEBT OUTSTANDING**  
**SUMMARIZED BY FUNCTION AND FINANCING PROGRAM**  
**FY 2012 THROUGH FY 2017**  
**(thousands of dollars)**

	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>GENERAL OBLIGATION BONDS</b>						
Economic Development & Housing	65,520	55,170	45,685	37,175	30,095	23,760
Environment	1,284,414	1,177,417	1,078,826	999,377	905,878	832,733
Transportation	2,144,431	2,352,267	2,506,844	2,571,193	2,472,638	2,323,119
<b>Subtotal General Obligation</b>	<u>3,494,365</u>	<u>3,584,854</u>	<u>3,631,355</u>	<u>3,607,745</u>	<u>3,408,611</u>	<u>3,179,612</u>
<b>REVENUE BONDS</b>						
<b>Personal Income Tax</b>						
Economic Development & Housing	4,555,910	4,652,057	4,679,362	4,574,017	4,221,877	3,779,369
Education	9,391,555	10,741,477	12,082,490	13,365,079	14,459,903	15,332,467
Environment	1,066,950	1,436,541	1,585,525	1,673,853	1,712,744	1,748,814
Health & Mental Hygiene	1,803,900	1,988,079	1,999,892	1,870,438	1,759,638	1,641,507
State Facilities & Equipment	3,526,530	3,667,807	3,814,064	3,977,744	4,158,036	4,150,540
Transportation	2,729,320	4,478,228	4,683,669	4,866,280	5,326,122	5,746,399
<b>Subtotal PIT Revenue Bonds</b>	<u>23,074,165</u>	<u>26,964,188</u>	<u>28,845,002</u>	<u>30,327,411</u>	<u>31,638,321</u>	<u>32,399,096</u>
<b>Other Revenue</b>						
Education						
SUNY Dorms	1,364,250	1,582,407	1,580,984	1,525,064	1,570,260	1,612,919
Health & Mental Hygiene						
Health Income	281,475	267,115	252,100	236,345	219,805	202,235
Mental Health Services	2,562,830	2,764,867	3,017,691	3,371,269	3,737,855	4,096,567
Local Government Assistance						
Sales Tax	3,118,923	2,835,683	2,592,380	2,345,040	2,058,400	1,758,175
Transportation						
Dedicated Highway	7,565,595	7,708,365	7,794,558	7,813,020	7,844,202	7,832,572
<b>Subtotal Other Revenue Bonds</b>	<u>14,893,073</u>	<u>15,158,437</u>	<u>15,237,712</u>	<u>15,290,739</u>	<u>15,430,521</u>	<u>15,502,468</u>
<b>SERVICE CONTRACT &amp; LEASE-PURCHASE BONDS</b>						
Economic Development & Housing	833,050	741,014	637,247	551,036	458,262	351,036
Education	4,638,783	4,231,630	3,835,339	3,460,875	3,107,518	2,790,062
Environment	119,075	104,346	89,468	80,334	70,632	61,497
Health & Mental Hygiene	40,485	36,805	32,940	28,885	24,630	20,170
State Facilities & Equipment	2,567,975	2,324,125	2,070,499	1,823,384	1,577,763	1,363,005
Transportation	3,111,240	1,338,345	1,131,840	921,475	703,515	530,160
<b>Subtotal Service Contract &amp; Lease-Purchase</b>	<u>11,310,607</u>	<u>8,776,264</u>	<u>7,797,332</u>	<u>6,865,988</u>	<u>5,942,320</u>	<u>5,115,929</u>
<b>TOTAL STATE-SUPPORTED</b>						
Economic Development & Housing	5,454,480	5,448,241	5,362,294	5,162,228	4,710,235	4,154,164
Education	15,394,588	16,555,513	17,498,813	18,351,018	19,137,681	19,735,449
Environment	2,470,438	2,718,303	2,753,819	2,753,563	2,689,253	2,643,043
Health & Mental Hygiene	4,688,690	5,056,866	5,302,623	5,506,937	5,741,928	5,960,479
LGAC	3,118,923	2,835,683	2,592,380	2,345,040	2,058,400	1,758,175
State Facilities & Equipment	6,094,505	5,991,932	5,884,562	5,801,127	5,735,799	5,513,544
Transportation	15,550,586	15,877,205	16,116,911	16,171,969	16,346,476	16,432,251
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>52,772,209</u>	<u>54,483,743</u>	<u>55,511,402</u>	<u>56,091,883</u>	<u>56,419,773</u>	<u>56,197,109</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
Tobacco	2,689,805	2,370,095	2,032,865	1,685,145	1,319,510	934,905
All Other	910,329	825,647	735,889	646,291	554,360	483,078
<b>SUBTOTAL OTHER STATE</b>	<u>3,600,134</u>	<u>3,195,742</u>	<u>2,768,754</u>	<u>2,331,436</u>	<u>1,873,870</u>	<u>1,417,983</u>
<b>GRAND TOTAL STATE-RELATED</b>						
	<u>56,372,343</u>	<u>57,679,485</u>	<u>58,280,156</u>	<u>58,423,318</u>	<u>58,293,643</u>	<u>57,615,092</u>

**STATE DEBT OUTSTANDING  
FY 2012 THROUGH FY 2017  
(thousands of dollars)**

	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
<b>GENERAL OBLIGATION</b>	3,494,365	3,584,854	3,631,355	3,607,745	3,408,611	3,179,612
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	3,118,923	2,835,683	2,592,380	2,345,040	2,058,400	1,758,175
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	2,005,455	1,903,334	1,843,792	1,775,701	2,007,344	2,225,771
Peace Bridge	0	4,448	10,239	12,871	13,909	13,392
Albany County Airport	18,160	15,425	12,590	9,660	6,580	3,350
Thruway Authority:						
Consolidated Local Highway Improvement	3,816,945	3,893,365	3,948,888	3,989,523	4,001,803	4,034,047
Dedicated Highway & Bridge	7,565,595	7,708,365	7,794,558	7,813,020	7,844,202	7,832,572
Education						
Dormitory Authority:						
SUNY Educational Facilities	6,851,584	7,445,525	8,007,847	8,572,326	9,062,028	9,420,234
SUNY Dormitory Facilities	1,364,250	1,582,407	1,580,984	1,525,064	1,570,260	1,612,919
SUNY Upstate Community Colleges	664,175	724,983	800,020	867,800	929,843	988,428
CUNY Educational Facilities	4,099,944	4,349,949	4,643,592	4,978,840	5,262,040	5,531,701
State Education Department	51,730	48,665	45,235	41,670	37,950	34,035
Library for the Blind	2,060	1,060	0	0	0	0
SUNY Athletic Facilities	15,510	14,675	13,815	12,330	10,785	9,175
RESCUE	63,065	53,650	43,765	39,530	34,805	18,795
University Facilities (Jobs 2000)	9,705	7,280	4,740	2,810	1,440	0
Judicial Training Institute	8,740	7,905	7,710	6,750	5,750	4,710
Statewide Longitudinal Data System	4,550	7,853	14,584	10,593	6,476	2,254
Higher Ed Capital Matching Grants	94,665	90,752	85,645	79,345	64,446	48,846
Public Broadcasting Facilities	7,085	5,560	3,955	2,275	1,165	0
EXCEL School Construction	2,076,810	2,105,893	2,100,710	2,040,558	1,956,130	1,867,654
Library Facilities	55,605	67,994	83,399	91,360	98,642	105,222
Cultural Educ Storage Facilities	8,955	18,650	32,974	46,833	65,170	63,011
Judiciary Training Academies	16,155	22,712	29,839	32,935	30,752	28,464
Health						
DOH & Veterans' Home Facilities	321,960	303,920	285,040	265,230	244,435	222,405
Health Care Grants	296,275	536,449	609,072	547,678	507,903	466,602
Mental Hygiene						
Mental Health Facilities	4,070,455	4,216,497	4,408,511	4,694,029	4,989,590	5,271,472
Public Protection						
ESDC:						
Prison Facilities	4,613,666	4,599,768	4,592,926	4,594,220	4,596,308	4,448,269
Youth Facilities	190,918	192,039	195,128	204,245	212,407	219,506
Homeland Security	15,670	13,980	13,003	11,795	8,824	5,717
Environment						
EFC/ERDA:						
Riverbank Park	41,360	38,575	35,440	32,145	28,690	25,065
Pilgrim Sewage Treatment	3,400	2,600	1,800	1,000	0	0
State Park Infrastructure	715	0	0	0	0	0
Pipeline for Jobs (Jobs 2000)	3,559	1,049	0	0	0	0
Environmental Infrastructure	675,029	851,143	948,651	996,609	996,155	994,824
Hazardous Waste Remediation	456,262	642,853	685,520	721,989	757,279	790,420
ESDC:						
Pine Barrens	5,700	4,666	3,583	2,444	1,250	0
State Buildings/Equipment						
ESDC:						
State Capital Projects	142,145	129,605	116,335	102,325	87,535	71,910
ESDC / DA / OGS						
State Facilities	827,494	792,847	735,882	683,214	668,373	649,440
Equipment / Certificates of Participation	304,612	263,692	231,287	205,327	162,352	118,702
Housing						
Housing Finance Agency	1,571,160	1,567,940	1,547,116	1,540,030	1,496,747	1,345,551
Economic Development						
ESDC/DA						
University Technology Centers	55,164	40,084	28,537	20,505	13,951	8,826
Onondaga Convention Center	26,240	23,475	20,575	17,540	14,355	11,005
Sports Facilities	193,540	175,985	158,185	140,390	121,735	102,195
Community Enhancement Facilities	57,482	53,672	43,181	34,726	26,461	15,671
Child Care Facilities	14,425	12,485	10,760	9,475	8,080	6,610
Buffalo Inner Harbor	22,430	21,640	20,775	19,395	17,705	15,855
Strategic Investment Program	28,460	22,825	20,715	17,805	14,600	11,400
Regional Economic Growth	424,211	315,199	246,078	195,575	147,646	112,085
NYS Econ. Dev. Program	248,301	228,175	206,880	182,856	157,770	133,876
High Technology & Development	141,190	129,610	117,275	102,815	87,710	71,915
Regional Economic Development	69,417	63,305	56,926	50,338	43,438	40,618
SUNY 2020	0	5,100	24,161	70,511	68,463	66,298
Semiconductor Manufacturing Facility	639,230	639,230	639,230	638,330	614,190	588,895
Other Economic Development	951,525	889,896	823,603	734,783	636,209	533,462
High Technology Projects	224,415	227,250	227,580	238,354	206,076	172,234
2008-2012 Economic Development Initiative	700,045	961,275	1,115,377	1,103,150	997,765	887,958
RIOC Tram, etc.	21,725	15,925	9,655	8,475	7,240	5,950
<b>Total Other Financing Arrangements</b>	<b>46,158,921</b>	<b>48,063,206</b>	<b>49,287,667</b>	<b>50,139,098</b>	<b>50,952,763</b>	<b>51,259,322</b>
<b>SUBTOTAL STATE-SUPPORTED DEBT</b>	<b>52,772,209</b>	<b>54,483,743</b>	<b>55,511,402</b>	<b>56,091,883</b>	<b>56,419,774</b>	<b>56,197,109</b>

**STATE DEBT OUTSTANDING**  
**FY 2012 THROUGH FY 2017**  
(thousands of dollars)

	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>52,772,209</u>	<u>54,483,743</u>	<u>55,511,402</u>	<u>56,091,883</u>	<u>56,419,774</u>	<u>56,197,109</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	502,625	451,420	395,045	336,515	275,595	236,410
Tobacco Settlement Financing Corp.	2,689,805	2,370,095	2,032,865	1,685,145	1,319,510	934,905
<b>Moral Obligation</b>						
Housing Finance Agency Moral Obligation Bond	18,364	13,767	8,909	6,341	5,105	3,773
MCFFA Nursing Homes and Hospitals	2,035	1,560	1,045	490	15	0
<b>State Guaranteed Debt</b>						
Job Development Authority (JDA)	18,940	15,435	12,345	9,255	6,170	3,085
<b>Other</b>						
MBBA Prior Year School Aid Claims	368,365	343,465	318,545	293,690	267,475	239,810
<b>SUBTOTAL OTHER STATE</b>	<u>3,600,134</u>	<u>3,195,742</u>	<u>2,768,754</u>	<u>2,331,436</u>	<u>1,873,870</u>	<u>1,417,983</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>56,372,343</u>	<u>57,679,485</u>	<u>58,280,156</u>	<u>58,423,318</u>	<u>58,293,644</u>	<u>57,615,092</u>

**STATE DEBT SERVICE**  
**SUMMARIZED BY FUNCTION AND FINANCING PROGRAM**  
**FY 2012 THROUGH FY 2017**  
(thousands of dollars)

	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
<b>GENERAL OBLIGATION BONDS</b>						
Economic Development & Housing	14,386	12,003	10,951	9,786	8,168	7,234
Environment	230,251	234,112	225,738	191,842	193,476	170,838
Transportation	253,476	241,828	246,898	280,299	273,381	275,677
<b>Subtotal General Obligation</b>	<b>498,112</b>	<b>487,943</b>	<b>483,586</b>	<b>481,927</b>	<b>475,025</b>	<b>453,749</b>
<b>REVENUE BONDS</b>						
<b>Personal Income Tax</b>						
Economic Development & Housing	594,040	626,934	618,990	621,388	671,945	608,824
Education	724,595	816,708	925,262	1,042,483	1,133,081	1,232,985
Environment	122,794	130,674	144,975	163,456	177,904	185,190
Health & Mental Hygiene	128,566	181,433	201,060	223,927	200,242	202,747
State Facilities & Equipment	305,978	354,425	349,325	343,935	339,308	377,000
Transportation	265,531	342,345	419,906	460,344	521,532	590,624
<b>Subtotal PIT Revenue Bonds</b>	<b>2,141,504</b>	<b>2,452,519</b>	<b>2,659,518</b>	<b>2,855,532</b>	<b>3,044,012</b>	<b>3,197,371</b>
<b>Other Revenue</b>						
Education						
SUNY Dorms	83,511	102,134	125,444	130,285	131,828	138,609
Health & Mental Hygiene						
Health Income	28,805	28,184	28,208	28,202	28,307	28,409
Mental Health Services	314,477	325,593	344,986	370,168	407,821	443,059
Local Government Assistance						
Sales Tax	378,663	392,416	388,442	398,439	395,926	374,395
Transportation						
Dedicated Highway	921,392	926,943	962,038	917,287	937,181	975,166
<b>Subtotal Other Revenue Bonds</b>	<b>1,726,848</b>	<b>1,775,270</b>	<b>1,849,118</b>	<b>1,844,380</b>	<b>1,901,064</b>	<b>1,959,637</b>
<b>SERVICE CONTRACT &amp; LEASE-PURCHASE BONDS</b>						
Economic Development & Housing	143,473	139,262	130,349	117,121	113,956	124,087
Education	600,421	548,540	605,718	517,302	509,426	454,518
Environment	23,745	20,556	19,786	13,321	13,481	12,453
Health & Mental Hygiene	5,603	5,598	5,598	5,592	5,586	5,576
State Facilities & Equipment	352,388	367,424	351,720	342,055	327,554	286,169
Transportation	371,928	299,264	278,552	267,620	215,812	218,770
<b>Subtotal Service Contract &amp; Lease-Purchase</b>	<b>1,497,558</b>	<b>1,380,643</b>	<b>1,391,723</b>	<b>1,263,012</b>	<b>1,185,815</b>	<b>1,101,573</b>
<b>TOTAL STATE-SUPPORTED</b>						
Economic Development & Housing	751,899	778,199	760,290	748,295	794,070	740,145
Education	1,408,527	1,467,382	1,656,425	1,690,070	1,774,336	1,826,112
Environment	376,790	385,342	390,499	368,619	384,861	368,480
Health & Mental Hygiene	477,452	540,808	579,851	627,889	641,957	679,790
LGAC	378,663	392,416	388,442	398,439	395,926	374,395
State Facilities & Equipment	658,366	721,849	701,045	685,990	666,861	663,170
Transportation	1,812,326	1,810,379	1,907,394	1,925,550	1,947,906	2,060,238
<b>SUBTOTAL STATE-SUPPORTED</b>	<b>5,864,022</b>	<b>6,096,375</b>	<b>6,383,945</b>	<b>6,444,851</b>	<b>6,605,916</b>	<b>6,712,330</b>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
Tobacco	446,289	437,734	450,064	443,516	443,150	442,982
All Other	135,987	130,681	131,602	127,080	124,907	99,864
<b>SUBTOTAL OTHER STATE</b>	<b>582,276</b>	<b>568,415</b>	<b>581,666</b>	<b>570,596</b>	<b>568,057</b>	<b>542,846</b>
<b>GRAND TOTAL STATE-RELATED</b>	<b>6,446,298</b>	<b>6,664,790</b>	<b>6,965,611</b>	<b>7,015,447</b>	<b>7,173,973</b>	<b>7,255,176</b>

STATE DEBT SERVICE FY 2012 THROUGH FY 2017 (thousands of dollars)						
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
<b>GENERAL OBLIGATION</b>	498,112	487,943	483,586	481,927	475,025	453,749
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	378,663	392,416	388,442	398,439	395,926	374,395
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	164,987	127,306	156,981	159,693	183,815	211,950
Peace Bridge	0	270	730	1,054	1,227	1,267
Albany County Airport	3,481	3,486	3,481	3,485	3,481	3,480
Thruway Authority:						
Consolidated Local Highway Improvement	468,991	510,546	537,265	563,732	548,821	592,698
Dedicated Highway & Bridge	921,392	926,943	962,038	917,287	937,181	975,166
Education						
Dormitory Authority:						
SUNY Educational Facilities	635,987	629,970	794,775	785,449	781,173	791,414
SUNY Dormitory Facilities	83,511	102,134	125,444	130,285	131,828	138,609
SUNY Upstate Community Colleges	54,907	61,881	69,579	77,438	84,669	91,188
CUNY Educational Facilities	395,500	435,624	411,321	441,947	519,142	534,633
State Education Department	5,280	5,546	5,747	5,739	5,754	5,745
Library for the Blind	82	1,062	1,081	0	0	0
SUNY Athletic Facilities	1,526	1,519	1,517	2,100	2,097	2,086
RESCUE	12,466	12,671	12,666	6,507	6,789	17,841
University Facilities (Jobs 2000)	6,245	2,903	2,902	2,169	1,513	1,513
Judicial Training Institute	813	374	565	1,307	1,308	1,302
School District Capital Outlays	13,151	0	0	0	0	0
Transp Grants / Statewide Longitudinal Data System	77	2,077	4,315	4,402	4,406	4,407
Higher Ed Capital Matching Grants	15,493	16,380	17,392	18,434	18,585	18,586
Public Broadcasting Facilities	1,882	1,879	1,883	1,878	1,224	1,223
EXCEL School Construction	173,275	181,255	191,625	193,995	194,394	194,402
Library Facilities	5,701	8,142	9,783	10,964	12,220	13,489
Cultural Educ Storage Facilities	634	1,278	2,424	3,720	5,419	5,845
Judiciary Training Academies	1,998	2,686	3,406	3,735	3,817	3,829
Health						
DOH & Veterans' Home Facilities	34,409	33,781	33,806	33,794	33,893	33,984
Health Care Grants	29,716	53,454	70,404	88,581	65,105	65,107
Mental Hygiene						
Mental Health Facilities	413,327	453,572	475,642	505,514	542,958	580,698
Public Protection						
ESDC:						
Prison Facilities	388,740	440,574	467,259	470,773	512,895	505,426
Youth Facilities	25,510	26,611	26,186	20,419	22,074	23,771
Homeland Security	2,326	3,101	3,466	4,008	5,996	5,975
Environment						
EFC/ERDA:						
Riverbank Park	3,182	4,933	4,937	4,932	4,929	4,933
Pilgrim Sewage Treatment	874	860	828	795	961	(70)
State Park Infrastructure	1,506	751	0	0	0	0
Pipeline for Jobs (Jobs 2000)	4,680	2,673	1,099	0	0	0
Environmental Infrastructure	99,415	93,943	93,382	101,371	112,122	114,581
Hazardous Waste Remediation	35,570	46,758	63,205	68,364	72,060	76,888
ESDC:						
Pine Barrens	1,312	1,312	1,312	1,315	1,314	1,311
State Buildings/Equipment						
ESDC:						
State Buildings	7,943	10,625	10,624	10,620	10,626	10,615
State Capital Projects	20,507	20,615	20,612	20,608	20,615	20,609
ESDC / DA						
State Facilities	125,322	131,660	115,742	111,202	64,453	67,974
Equipment / Certificates of Participation	85,177	88,662	57,156	48,360	30,204	28,799
E911	2,841	0	0	0	0	0
Housing						
Housing Finance Agency	149,198	204,635	198,221	192,335	202,522	214,722
Economic Development						
TBTA/ESDC						
Javits Center	41,845	0	0	0	0	0
ESDC/DA						
University Technology Centers	21,310	18,353	18,308	14,257	12,420	10,690
Onondaga Convention Center	4,027	4,034	4,032	4,027	4,025	4,031
Sports Facilities	26,260	26,257	26,279	25,459	25,453	25,458
Community Enhancement Facilities	9,941	9,195	9,482	10,538	9,950	12,075
Child Care Facilities	2,411	1,064	2,273	1,771	1,827	1,837
Buffalo Inner Harbor	1,856	1,856	1,900	2,375	2,630	2,718
Strategic Investment Program	5,898	6,864	3,125	3,834	4,000	3,850
Regional Economic Growth	141,445	139,976	86,190	65,221	60,100	3,352
NYS Econ. Dev. Program	27,115	31,269	31,390	32,209	32,240	29,955
High Technology & Development	17,652	18,104	18,378	19,989	19,993	19,997
Regional Economic Development	7,405	7,793	7,786	7,713	7,718	3,316
SUNY 2020	0	0	443	2,146	6,553	6,082
Semiconductor Manufacturing Facility	35,411	35,411	35,411	36,311	59,533	59,523
Other Economic Development	99,217	104,129	106,466	126,438	132,510	132,437
High Technology Projects	54,168	56,534	60,458	50,992	44,368	43,891
2008-2012 Economic Development Initiatives	84,812	94,172	132,327	141,292	158,456	157,375
RIOC Tram, etc.	7,543	6,551	6,870	1,601	1,603	1,601
<b>Total Other Financing Arrangements</b>	<b>4,987,247</b>	<b>5,216,016</b>	<b>5,511,917</b>	<b>5,564,486</b>	<b>5,734,965</b>	<b>5,884,187</b>
<b>SUBTOTAL STATE-SUPPORTED DEBT SERVICE</b>	<b>5,864,022</b>	<b>6,096,375</b>	<b>6,383,945</b>	<b>6,444,851</b>	<b>6,605,916</b>	<b>6,712,330</b>

**STATE DEBT SERVICE**  
**FY 2012 THROUGH FY 2017**  
(thousands of dollars)

	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>5,864,022</u>	<u>6,096,375</u>	<u>6,383,945</u>	<u>6,444,851</u>	<u>6,605,916</u>	<u>6,712,330</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	79,017	75,790	78,626	78,180	77,807	53,431
Tobacco Settlement Financing Corp.	446,289	437,734	450,064	443,516	443,150	442,982
<b>Moral Obligation</b>						
Housing Finance Agency Moral Obligation Bonds	6,346	5,901	5,862	3,254	1,741	1,735
MCFFA Nursing Homes and Hospitals	645	639	641	640	515	16
<b>State Guaranteed Debt</b>						
Job Development Authority (JDA)	5,469	4,497	3,915	3,742	3,578	3,416
<b>Other</b>						
MBBA Prior Year School Aid Claims	44,510	43,854	42,557	41,265	41,266	41,265
<b>SUBTOTAL OTHER STATE</b>	<u>582,276</u>	<u>568,415</u>	<u>581,666</u>	<u>570,596</u>	<u>568,057</u>	<u>542,846</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>6,446,298</u>	<u>6,664,790</u>	<u>6,965,611</u>	<u>7,015,447</u>	<u>7,173,973</u>	<u>7,255,176</u>

**STATE DEBT ISSUANCES**  
**SUMMARIZED BY FUNCTION AND FINANCING PROGRAM**  
**FY 2012 THROUGH FY 2017**  
**(thousands of dollars)**

	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>GENERAL OBLIGATION BONDS</b>						
Environment	36,501	58,183	60,316	45,856	35,128	35,128
Transportation	293,574	378,051	318,728	252,866	75,853	30,603
<b>Subtotal General Obligation</b>	<u>330,075</u>	<u>436,234</u>	<u>379,044</u>	<u>298,722</u>	<u>110,981</u>	<u>65,731</u>
<b>REVENUE BONDS</b>						
<b>Personal Income Tax</b>						
Economic Development & Housing	515,825	508,127	427,444	290,888	95,749	0
Education	1,345,730	1,642,995	1,652,621	1,628,071	1,450,926	1,259,166
Environment	124,470	440,209	225,180	177,633	135,966	135,966
Health & Mental Hygiene	487,985	273,198	119,522	0	0	0
State Facilities & Equipment	364,170	332,047	293,983	301,244	299,989	140,739
Transportation	351,895	548,603	417,588	414,528	729,198	727,053
<b>Subtotal PIT Revenue Bonds</b>	<u>3,190,075</u>	<u>3,745,178</u>	<u>3,136,338</u>	<u>2,812,364</u>	<u>2,711,828</u>	<u>2,262,923</u>
<b>Other Revenue</b>						
<b>Education</b>						
SUNY Dorms	260,000	260,397	50,873	0	102,000	102,000
<b>Health &amp; Mental Hygiene</b>						
Mental Health Services	0	396,628	453,796	560,255	586,511	586,511
<b>Transportation</b>						
Dedicated Highway	879,025	716,915	672,252	625,542	586,827	552,835
<b>Subtotal Other Revenue Bonds</b>	<u>1,139,025</u>	<u>1,373,940</u>	<u>1,176,921</u>	<u>1,185,797</u>	<u>1,275,339</u>	<u>1,241,346</u>
<b>TOTAL STATE-SUPPORTED</b>						
Economic Development & Housing	515,825	508,127	427,444	290,888	95,749	0
Education	1,605,730	1,903,392	1,703,493	1,628,071	1,552,926	1,361,166
Environment	160,971	498,392	285,496	223,489	171,094	171,094
Health & Mental Hygiene	487,985	669,826	573,318	560,255	586,511	586,511
State Facilities & Equipment	364,170	332,047	293,983	301,244	299,989	140,739
Transportation	1,524,494	1,643,569	1,408,568	1,292,936	1,391,878	1,310,491
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>4,659,175</u>	<u>5,555,352</u>	<u>4,692,303</u>	<u>4,296,883</u>	<u>4,098,148</u>	<u>3,570,000</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Tobacco</b>	0	0	0	0	0	0
<b>All Other</b>	0	0	0	0	0	0
<b>SUBTOTAL OTHER STATE</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>4,659,175</u>	<u>5,555,352</u>	<u>4,692,303</u>	<u>4,296,883</u>	<u>4,098,148</u>	<u>3,570,000</u>

**STATE DEBT ISSUANCES**  
**FY 2012 THROUGH FY 2017**  
(thousands of dollars)

	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>GENERAL OBLIGATION</b>	330,075	436,234	379,044	298,722	110,981	65,731
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Thruway Authority:						
Metropolitan Trans Authority	0	153,000	0	0	316,200	316,200
Peace Bridge	0	4,590	6,120	3,060	1,530	0
Consolidated Local Highway Improvement	351,895	391,013	411,468	411,468	411,468	410,853
Dedicated Highway & Bridge	879,025	716,915	672,252	625,542	586,827	552,835
Education						
Dormitory Authority:						
SUNY Educational Facilities	795,050	963,900	937,031	935,826	799,146	631,866
SUNY Dormitory Facilities	260,000	260,397	50,873	0	102,000	102,000
SUNY Upstate Community Colleges	0	84,586	103,020	100,470	95,370	94,860
CUNY Educational Facilities	368,960	449,536	476,876	528,604	521,730	518,160
Statewide Longitudinal Data System	4,550	5,100	10,608	0	0	0
Higher Ed Capital Matching Grants	29,565	7,990	7,990	7,990	0	0
EXCEL School Construction	132,630	96,081	71,400	20,400	0	0
Library Facilities	12,240	17,340	21,216	14,280	14,280	14,280
Cultural Educ Storage Facilities	0	10,200	15,300	15,300	20,400	0
Judiciary Training Academies	2,735	8,262	9,180	5,202	0	0
Health						
Health Care Grants	132,380	273,198	119,522	0	0	0
Mental Hygiene						
Mental Health Facilities	355,605	396,628	453,796	560,255	586,511	586,511
Public Protection						
ESDC:						
Prison Facilities	230,290	234,870	241,409	247,517	253,739	94,489
Youth Facilities	16,910	19,380	19,380	19,380	19,380	19,380
Environment						
EFC/ERDA:						
Environmental Infrastructure	54,220	233,353	150,516	105,417	63,750	63,750
Hazardous Waste Remediation	70,250	206,856	74,664	72,216	72,216	72,216
State Buildings/Equipment						
ESDC / DA						
State Facilities	53,655	77,796	33,195	34,346	26,870	26,870
Equipment / Certificates of Participation	63,315	0	0	0	0	0
Housing						
Housing Finance Agency	113,550	126,108	115,847	117,532	95,749	0
Economic Development						
ESDC/DA						
Strategic Investment Program	8,730	0	0	0	0	0
Regional Economic Growth	42,485	0	0	0	0	0
NYS Econ. Dev. Program	42,445	0	0	0	0	0
High Technology & Development	5,495	0	0	0	0	0
Regional Economic Development	3,595	0	0	0	0	0
SUNY 2020	0	5,100	19,219	47,081	0	0
Other Economic Development	64,060	0	0	0	0	0
High Technology Projects	60,965	51,000	51,000	51,000	0	0
2008-2012 Economic Development Initiatives	174,500	325,920	241,379	75,275	0	0
<b>Total Other Financing Arrangements</b>	<u>4,329,100</u>	<u>5,119,118</u>	<u>4,313,259</u>	<u>3,998,161</u>	<u>3,987,167</u>	<u>3,504,269</u>
<b>TOTAL ISSUANCES</b>	<u>4,659,175</u>	<u>5,555,352</u>	<u>4,692,303</u>	<u>4,296,883</u>	<u>4,098,148</u>	<u>3,570,000</u>

**STATE DEBT RETIREMENTS**  
**SUMMARIZED BY FUNCTION AND FINANCING PROGRAM**  
**FY 2012 THROUGH FY 2017**  
(thousands of dollars)

	<u>FY 2012</u>	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>GENERAL OBLIGATION BONDS</b>						
Economic Development & Housing	12,493	10,350	9,485	8,510	7,080	6,335
Environment	179,120	165,180	158,907	125,305	128,627	108,273
Transportation	169,385	170,215	164,151	188,517	174,408	180,122
<b>Subtotal General Obligation</b>	<u>360,998</u>	<u>345,745</u>	<u>332,543</u>	<u>322,332</u>	<u>310,115</u>	<u>294,729</u>
<b>REVENUE BONDS</b>						
<b>Personal Income Tax</b>						
Economic Development & Housing	398,515	410,855	400,140	396,232	447,890	442,509
Education	258,930	281,858	311,607	345,483	356,101	386,601
Environment	73,195	70,618	76,196	89,306	97,075	99,896
Health & Mental Hygiene	53,185	89,018	107,709	129,454	110,799	118,131
State Facilities & Equipment	174,840	172,580	147,726	137,564	119,696	148,235
Transportation	142,925	184,670	212,147	231,917	269,356	306,776
<b>Subtotal PIT Revenue Bonds</b>	<u>1,101,590</u>	<u>1,209,600</u>	<u>1,255,524</u>	<u>1,329,955</u>	<u>1,400,917</u>	<u>1,502,148</u>
<b>Other Revenue</b>						
<b>Education</b>						
SUNY Dorms	35,670	42,240	52,296	55,919	56,804	59,341
<b>Health &amp; Mental Hygiene</b>						
Health Income	14,665	14,360	15,015	15,755	16,540	17,570
Mental Health Services	185,730	194,591	200,972	206,677	219,926	227,799
<b>Local Government Assistance</b>						
Sales Tax	210,450	244,185	243,303	247,340	286,640	300,225
<b>Transportation</b>						
Dedicated Highway	545,605	574,145	586,060	607,079	555,646	564,464
<b>Subtotal Other Revenue Bonds</b>	<u>992,120</u>	<u>1,069,521</u>	<u>1,097,646</u>	<u>1,132,770</u>	<u>1,135,556</u>	<u>1,169,399</u>
<b>SERVICE CONTRACT &amp; LEASE-PURCHASE BONDS</b>						
Economic Development & Housing	96,201	92,036	103,767	86,211	92,774	107,227
Education	430,861	407,153	396,290	374,464	353,357	317,456
Environment	18,706	14,729	14,878	9,134	9,704	9,135
Health & Mental Hygiene	3,515	3,680	3,865	4,055	4,255	4,460
State Facilities & Equipment	214,529	243,849	253,627	247,115	245,621	214,758
Transportation	230,930	196,760	206,505	210,365	217,960	173,355
<b>Subtotal Service Contract &amp; Lease-Purchase</b>	<u>994,741</u>	<u>958,208</u>	<u>978,932</u>	<u>931,344</u>	<u>923,670</u>	<u>826,392</u>
<b>TOTAL STATE-SUPPORTED</b>						
Economic Development & Housing	507,209	513,241	513,392	490,953	547,743	556,070
Education	725,461	731,251	760,193	775,866	766,262	763,398
Environment	271,021	250,527	249,980	223,745	235,406	217,304
Health & Mental Hygiene	257,095	301,650	327,561	355,941	351,520	367,961
LGAC	210,450	244,185	243,303	247,340	286,640	300,225
State Facilities & Equipment	389,369	416,429	401,353	384,679	365,317	362,994
Transportation	1,088,845	1,125,790	1,168,863	1,237,877	1,217,371	1,224,717
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>3,449,449</u>	<u>3,583,074</u>	<u>3,664,645</u>	<u>3,716,402</u>	<u>3,770,259</u>	<u>3,792,669</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Tobacco</b>						
	235,530	319,710	337,230	347,720	365,635	384,605
<b>All Other</b>						
	119,657	84,682	89,759	89,598	91,931	71,282
<b>SUBTOTAL OTHER STATE DEBT</b>	<u>355,187</u>	<u>404,392</u>	<u>426,989</u>	<u>437,318</u>	<u>457,566</u>	<u>455,887</u>
<b>GRAND TOTAL STATE-RELATED</b>						
	<u>3,804,636</u>	<u>3,987,466</u>	<u>4,091,633</u>	<u>4,153,720</u>	<u>4,227,824</u>	<u>4,248,556</u>

STATE DEBT RETIREMENTS FY 2012 THROUGH FY 2017 (thousands of dollars)						
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
<b>GENERAL OBLIGATION</b>	360,998	345,745	332,543	322,332	310,115	294,729
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	210,450	244,185	243,303	247,340	286,640	300,225
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	57,335	63,961	59,543	68,090	84,557	97,773
Peace Bridge	0	142	329	429	492	518
Albany County Airport	2,650	2,735	2,835	2,930	3,080	3,230
Thruway Authority:						
Consolidated Local Highway Improvement	313,870	314,593	355,945	370,833	399,188	378,610
Dedicated Highway & Bridge	545,605	574,145	586,060	607,079	555,646	564,464
Education						
Dormitory Authority:						
SUNY Educational Facilities	335,896	362,154	374,710	371,346	309,443	273,659
SUNY Dormitory Facilities	35,670	42,240	52,296	55,919	56,804	59,341
SUNY Upstate Community Colleges	18,700	23,777	27,983	32,689	33,327	36,275
CUNY Educational Facilities	216,969	196,121	183,233	193,356	238,530	248,499
State Education Department	1,950	3,065	3,430	3,565	3,720	3,915
Library for the Blind	950	1,000	1,060	0	0	0
SUNY Athletic Facilities	820	835	860	1,485	1,545	1,610
RESCUE	16,610	9,415	9,885	4,235	4,725	16,010
University Facilities (Jobs 2000)	5,500	2,425	2,540	1,930	1,370	1,440
Judicial Training Institute	790	835	195	960	1,000	1,040
School District Capital Outlays	12,470	0	0	0	0	0
Transp Grants / Statewide Longitudinal Data System	0	1,797	3,877	3,991	4,117	4,222
Higher Ed Capital Matching Grants	11,225	11,903	13,097	14,290	14,899	15,599
Public Broadcasting Facilities	1,455	1,525	1,605	1,680	1,110	1,165
EXCEL School Construction	61,660	66,998	76,583	80,552	84,428	88,476
Library Facilities	3,305	4,951	5,811	6,319	6,998	7,701
Cultural Educ Storage Facilities	180	505	976	1,441	2,063	2,159
Judiciary Training Academies	1,310	1,705	2,053	2,106	2,183	2,288
Health						
DOH & Veterans' Home Facilities	18,180	18,040	18,880	19,810	20,795	22,030
Health Care Grants	16,400	33,023	46,899	61,394	39,774	41,301
Mental Hygiene						
Mental Health Facilities	222,515	250,586	261,782	274,737	290,951	304,629
Public Protection						
ESDC:						
Prison Facilities	215,824	237,623	248,250	246,223	251,652	242,528
Youth Facilities	15,891	17,104	16,291	10,263	11,219	12,281
Homeland Security	1,150	1,395	977	1,209	2,970	3,107
Environment						
EFC/ERDA:						
Riverbank Park	2,620	2,785	3,135	3,295	3,455	3,625
Pilgrim Sewage Treatment	800	800	800	800	1,000	0
State Park Infrastructure	1,400	715	0	0	0	0
Pipeline for Jobs (Jobs 2000)	4,320	2,510	1,050	0	0	0
Environmental Infrastructure	68,307	57,238	53,009	57,458	64,204	65,081
Hazardous Waste Remediation	13,468	20,265	31,997	35,747	36,926	39,075
ESDC:						
Pine Barrens	986	1,034	1,083	1,139	1,194	1,250
State Buildings/Equipment						
ESDC:						
State Capital Projects	11,860	12,540	13,270	14,010	14,790	15,625
ESDC / DA / OGS						
State Facilities	98,079	106,848	90,160	87,014	41,711	45,803
Equipment / Certificates of Participation	38,299	40,920	32,405	25,960	42,975	43,650
E911	8,265	0	0	0	0	0
Housing						
Housing Finance Agency	81,735	129,328	136,671	124,618	139,032	151,195
Economic Development						
TBTA/ESDC						
Javits Center	41,845	0	0	0	0	0
ESDC/DA						
University Technology Centers	14,403	15,080	11,547	8,031	6,554	5,125
Onondaga Convention Center	2,635	2,765	2,900	3,035	3,185	3,350
Sports Facilities	16,315	17,015	17,800	17,795	18,655	19,540
Community Enhancement Facilities	8,360	3,810	10,491	8,455	8,265	10,790
Child Care Facilities	1,655	1,940	1,725	1,285	1,395	1,470
Buffalo Inner Harbor	755	790	865	1,380	1,690	1,850
Strategic Investment Program	4,690	5,635	2,110	2,910	3,205	3,200
Regional Economic Growth	121,264	108,262	69,121	50,503	47,929	35,561
NYS Econ. Dev. Program	16,385	20,291	21,295	24,024	25,086	23,894
High Technology & Development	10,835	11,580	12,335	14,460	15,105	15,795
Regional Economic Development	5,571	6,112	6,379	6,588	6,900	2,820
SUNY 2020	0	0	158	731	2,049	2,165
Semiconductor Manufacturing Facility	0	0	0	900	24,140	25,295
Other Economic Development	56,823	61,629	66,294	88,820	98,574	102,747
High Technology Projects	45,630	48,165	50,670	40,226	32,278	33,842
2008-2012 Economic Development Initiatives	59,145	64,690	87,277	87,502	105,385	109,806
RIOC Tram, etc.	6,670	5,800	6,270	1,180	1,235	1,290
<b>Total Other Financing Arrangements</b>	<b>2,878,001</b>	<b>2,993,144</b>	<b>3,088,799</b>	<b>3,146,730</b>	<b>3,173,503</b>	<b>3,197,714</b>
<b>SUBTOTAL STATE-SUPPORTED RETIREMENTS</b>	<b>3,449,449</b>	<b>3,583,074</b>	<b>3,664,645</b>	<b>3,716,402</b>	<b>3,770,259</b>	<b>3,792,669</b>

**STATE DEBT RETIREMENTS  
FY 2012 THROUGH FY 2017  
(thousands of dollars)**

	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>3,449,449</u>	<u>3,583,074</u>	<u>3,664,645</u>	<u>3,716,402</u>	<u>3,770,259</u>	<u>3,792,669</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	82,785	51,205	56,375	58,530	60,920	39,185
Tobacco Settlement Financing Corp.	235,530	319,710	337,230	347,720	365,635	384,605
<b>Moral Obligation</b>						
Housing Finance Agency Moral Obligation Bonds	4,737	4,597	4,859	2,568	1,236	1,332
MCFFA Nursing Homes and Hospitals	445	475	515	555	475	15
<b>State Guaranteed Debt</b>						
Job Development Authority (JDA)	4,280	3,505	3,090	3,090	3,085	3,085
<b>Other</b>						
MBBA Prior Year School Aid Claims	27,410	24,900	24,920	24,855	26,215	27,665
<b>SUBTOTAL OTHER STATE</b>	<u>355,187</u>	<u>404,392</u>	<u>426,989</u>	<u>437,318</u>	<u>457,566</u>	<u>455,887</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>3,804,636</u>	<u>3,987,466</u>	<u>4,091,633</u>	<u>4,153,720</u>	<u>4,227,824</u>	<u>4,248,556</u>

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
001	10000-10049	Local Assistance Account	General
002	30000-30049	State Capital Projects	Capital Projects
003	10050-10099	State Operations Account	General
004	10100-10149	Tax Stabilization Reserve	General
005	10150-10199	Contingency Reserve	General
006	10200-10249	Universal Pre-Kindergarten Reserve	General
007	10250-10299	Community Projects	General
008	10300-10349	Rainy Day Reserve Fund	General
013	10350-10399	Attica State Employee Victims'	General
014	10600-10649	Federal Medical Assistance Percentage Contingency Fund	General
017	10400-10449	Refund Reserve Account	General
019	20000-20099	Mental Health Gift and Donations	Special Revenue
020	20100-20299	Combined Expendable Trust	Special Revenue
021	66000-66049	Agriculture Producers' Security	Private Purpose Trust
022	66050-66099	Milk Producers' Security	Private Purpose Trust
023	20300-20349	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	20350-20399	New York State Archives Partnership Trust	Special Revenue
025	20400-20449	Child Performer's Protection	Special Revenue
050	20450-20499	Tuition Reimbursement	Special Revenue
052	20500-20549	New York State Local Government Records Management Improvement	Special Revenue
053	20550-20599	School Tax Relief	Special Revenue
054	20600-20649	Charter Schools Stimulus	Special Revenue
055	20650-20699	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	20700-20749	Hudson River Valley Greenway	Special Revenue
059	20750-20799	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	20800-20849	Health Care Reform Act Resources	Special Revenue
064	40000-40049	Debt Reduction Reserve	Debt Service
065	40050-40099	State University Construction Fund Educational Facilities Payment	Debt Service
072	30050-30099	Dedicated Highway and Bridge Trust	Capital Projects
073	20850-20899	Dedicated Mass Transportation Trust	Special Revenue
074	30100-30299	SUNY Residence Halls Rehabilitation and Repair	Capital Projects
075	30300-30349	NYS Canal System Development	Capital Projects
076	30350-30399	State Park Infrastructure	Capital Projects
077	30400-30449	Passenger Facility Charge	Capital Projects
078	30450-30499	Environmental Protection	Capital Projects

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
079	30500-30549	Clean Water/Clean Air Implementation	Capital Projects
080	30550-30599	Hudson River Park	Capital Projects
100	10450-10499	General Fund	General
101	30600-30609	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	30610-30619	Park and Recreation Land Acquisition Bond	Capital Projects
105	30620-30629	Pure Waters Bond	Capital Projects
106	30750-30799	Outdoor Recreation Development Bond	Capital Projects
109	30630-30639	Transportation Capital Facilities Bond	Capital Projects
115	30640-30649	Environmental Quality Protection	Capital Projects
118		Rail Preservation and Development Bond	Capital Projects
119	30700-30749	State Housing Bond	Capital Projects
121	30650-30659	Rebuild and Renew New York Transportation Bond	Capital Projects
123	30660-30669	Transportation Infrastructure Renewal Bond	Capital Projects
124	30670-30679	Environmental Quality Bond Act (1986)	Capital Projects
126	30680-30689	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	30690-30699	Clean Water/Clean Air Bond	Capital Projects
129	60000-60049	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	60050-60149	School Capital Facilities Financing Reserve	Agency
135	60150-60199	Child Performer's Holding	Agency
136	60150-60199	Child Performer's Holding	Agency
137	60150-60199	Child Performer's Holding	Agency
152	60200-60249	Employees Health Insurance	Agency
153	60250-60299	Social Security Contribution	Agency
154	60300-60399	Payroll Deduction Escrow	Agency
160	20900-20949	State Lottery	Special Revenue
162	60400-60449	Employees Dental Insurance	Agency
163	60450-60499	Management Confidential Group Insurance	Agency
165	60500-60549	Lottery Prize	Agency
166	10500-10549	Fringe Benefit Escrow	General
167	60550-60599	Health Insurance Reserve Receipts	Agency
169	60600-60799	Miscellaneous New York State Agency	Agency
174	n/a	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	60800-60849	EPIC Escrow	Agency
176	60850-60899	CUNY Senior College Operating	Agency

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
179	60900-60949	MMIS Statewide Escrow	Agency
221	20950-20999	Combined Student Loan	Special Revenue
225	23650-23699	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
261	25000-25099	Federal USDA/Food and Nutrition Services	Special Revenue
265	25100-25199	Federal Health and Human Services	Special Revenue
267	25200-25249	Federal Education	Special Revenue
269	25250-25299	Federal Block Grants	Special Revenue
290	25300-25899	Federal Miscellaneous Operating Grants	Special Revenue
291	31350-31449	Federal Capital Projects	Capital Projects
300	21000-21049	Sewage Treatment Program Management and Administration	Special Revenue
301	21050-21149	ENCon Special Revenue	Special Revenue
302	21150-21199	Conservation	Special Revenue
303	21200-21249	Environmental Protection and Oil Spill Compensation	Special Revenue
304	40100-40149	Mental Health Services	Debt Service
305	21250-21299	Training and Education Program on Occupational Safety and Health	Special Revenue
306	21300-21349	Lawyers' Fund For Client Protection	Special Revenue
307	21350-21399	Equipment Loan Fund for the Disabled	Special Revenue
309	60950-60999	Special Education	Agency
310	31450-31499	Forest Preserve Expansion	Capital Projects
311	40150-40199	General Debt Service	Debt Service
312	31500-31549	Hazardous Waste Remedial	Capital Projects
313	21400-21449	Mass Transportation Operating Assistance	Special Revenue
314	21450-21499	Clean Air	Special Revenue
315	40200-40249	Grade Crossing Elimination Debt Service	Debt Service
316	40250-40299	Housing Debt	Debt Service
317	31550-31599	Pine Barrens	Capital Projects
318	21500-21549	New York State Infrastructure Trust	Special Revenue
319	40300-40349	Department of Health Income	Debt Service
321	21550-21599	Legislative Computer Services	Special Revenue
322	31600-31649	Lake Champlain Bridges	Capital Projects
323	55000-55049	Centralized Services	Internal Service
324	50000-50049	Youth Commissary	Enterprise
325	50050-50099	State Exposition Special	Enterprise
326	50100-50299	Correctional Services Commissary	Enterprise
327	31650-31699	Suburban Transportation	Capital Projects
328	21600-21649	Biodiversity Stewardship and Research	Special Revenue
330	40350-40399	State University Dormitory Income	Debt Service
331	50300-50399	Agency Enterprise	Enterprise

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
332	21650-21699	Combined Non-Expendable Trust	Special Revenue
333	21700-21749	Winter Sports Education Trust	Special Revenue
334	55050-55099	Agency Internal Service	Internal Service
335	21750-21799	Musical Instrument Revolving	Special Revenue
337	21800-21849	Rural Housing Assistance	Special Revenue
338	21850-21899	Arts Capital Revolving	Special Revenue
339	21900-22499	Earmarked Revenue Account	Special Revenue
340	22500-22549	Court Facilities Incentive Aid	Special Revenue
341	22550-22599	Employment Training	Special Revenue
342	22600-22649	Homeless Housing and Assistance	Special Revenue
343	55100-55149	Mental Hygiene Revolving	Internal Service
344	61000-61099	State University Revenue Collection	Agency
345	22650-22699	State University Income	Special Revenue
346	22700-22749	Chemical Dependence Service	Special Revenue
347	55150-55199	Youth Vocational Education	Internal Service
348	10550-10599	Tobacco Revenue Guarantee	General
349	22750-22799	Lake George Park Trust	Special Revenue
351	50400-50449	OMH Sheltered Workshop	Enterprise
352	50450-50499	OPWDD Sheltered Workshop	Enterprise
353	50500-50599	Mental Hygiene Community Stores	Enterprise
354	22800-22849	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	22850-22899	New York Great Lakes Protection	Special Revenue
357	31700-31749	Division For Youth Facilities Improvement	Capital Projects
358	31750-31799	Youth Centers Facility	Capital Projects
359	22900-22949	Federal Revenue Maximization Contract	Special Revenue
360	22950-22999	Housing Development	Special Revenue
361	40400-40449	Clean Water/Clean Air	Debt Service
362	23000-23049	NYS DOT Highway Safety Program	Special Revenue
364	40450-40499	Local Government Assistance Tax	Debt Service
365	23050-23099	Vocational Rehabilitation	Special Revenue
366	23100-23149	Drinking Water Program Management and Administration	Special Revenue
368	23150-23199	New York City County Clerks' Operations Offset	Special Revenue
369	23200-23249	Judiciary Data Processing Offset	Special Revenue
374	31800-31849	Housing Assistance	Capital Projects
376	31850-31899	Housing Program	Capital Projects
377	23250-23449	IFR/City University Tuition	Special Revenue
378	31900-31949	Natural Resource Damages	Capital Projects
380	31950-31999	Department of Transportation Engineering Services	Capital Projects
382	61100-61199	State University Federal Direct Lending	Agency

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
383	23450-23499	Supplemental Jury Facilities	Special Revenue
384	32400-32999	State University Capital Projects	Capital Projects
385	23500-23549	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	32200-32249	Miscellaneous Capital Projects	Capital Projects
388	32250-32299	City University of New York Capital Projects	Capital Projects
389	32300-32349	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	23550-23599	Indigent Legal Services	Special Revenue
394	55200-55249	Joint Labor and Management Administration	Internal Service
395	55250-55299	Audit and Control Revolving	Internal Service
396	55300-55349	Health Insurance Revolving	Internal Service
397	55350-55399	Correctional Industries Revolving	Internal Service
399	32350-32399	Correctional Facilities Capital Improvement	Capital Projects
400	65000-65049	Common Retirement	Pension Trust
480	25900-25949	Federal Unemployment Insurance Administration	Special Revenue
481	50650-50699	Unemployment Insurance Benefit	Enterprise
482	23600-23649	Unemployment Insurance Interest and Penalty	Special Revenue
484	25950-25999	Federal Unemployment Insurance Occupational Training	Special Revenue
486	26000-26049	Federal Employment and Training Grants	Special Revenue

# STATE OF NEW YORK FUND STRUCTURE

