

NEW YORK STATE



2010-11 ENACTED BUDGET FINANCIAL PLAN

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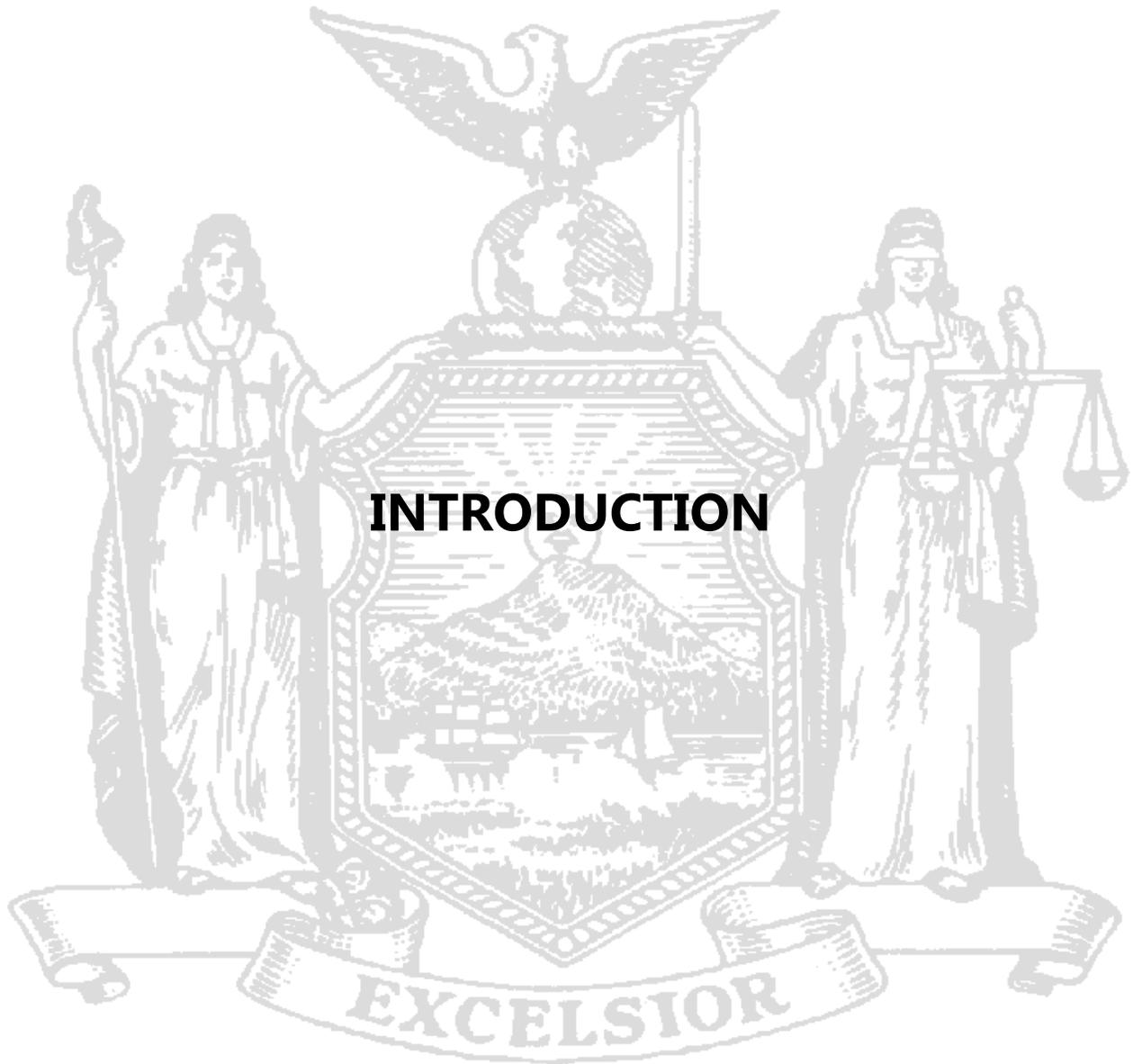
2010-11 ENACTED BUDGET

FINANCIAL PLAN

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INTRODUCTION

INTRODUCTION

This Enacted Budget Financial Plan sets forth the State's budgetary projections for the 2010-11 through 2013-14 fiscal years, based on the Enacted Budget for the 2010-11 fiscal year. The Financial Plan is intended to assist the Legislature and the public in understanding the current-services operating forecast and the impact of the Enacted Budget on State finances over a multi-year period.

The Financial Plan is organized in four general sections:

- **Financial Plan Overview:** A review of the impact of the 2010-11 Enacted Budget on key fiscal performance measures, including current and projected operating results before and after implementation of the Enacted Budget actions; the steps taken to close the estimated imbalance between receipts and disbursements (the "budget gap") and to fund new initiatives; the impact on receipts, spending and reserve levels, and an assessment of budgetary risks.
- **2010-11 Financial Plan:** A summary of DOB's¹ revised economic forecast and in-depth explanations of the 2010-11 projections for receipts by major tax category and for disbursements by agency and function.
- **Outyear Projections:** A description of the Financial Plan projections for 2011-12 through 2013-14.
- **Supplemental Information and Reporting:** A range of Financial Plan information, including (1) an analysis of potential risks to DOB's current estimates; (2) monthly cash flow projections by fund type; (3) operating results for the first four months of the 2010-11 fiscal year; (4) Financial Plan projections prepared in accordance with GAAP; and (5) the fiscal impact of the Enacted Budget on local governments.

The Financial Plan Tables include the General Fund, State Operating Funds, State Funds, the Capital Budget, and All Governmental Funds (hereafter, "All Funds") cash-basis Financial Plans, monthly cash flow by fund type, the Financial Plan on a GAAP basis, HCRA Financial Plan, the monthly HCRA cash flow, and spending and workforce information presented by agency or function.

¹ Please see Glossary of Acronyms for the definitions of acronyms and abbreviations that appear in the text.



FINANCIAL PLAN OVERVIEW

FINANCIAL PLAN OVERVIEW

FINANCIAL PLAN AT-A-GLANCE

ENACTED BUDGET FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	2008-09 Actuals	2009-10 Adjusted ^{1,2,3}	2010-11 Adjusted ^{1,3}	
			Before Actions	Enacted Budget
State Operating Funds Budget				
Size of Budget	\$78,168	\$78,934	\$85,413	\$78,998
Annual Growth	1.5%	1.0%	8.2%	0.1%
Other Budget Measures (Annual Change)				
General Fund (with transfers)	\$54,607	\$54,262	\$60,152	\$53,533
	2.3%	-0.6%	10.9%	-1.3%
State Funds (Including Capital)	\$83,146	\$84,094	\$91,617	\$85,073
	2.2%	1.1%	8.9%	1.2%
Capital Budget (Federal and State)	\$6,829	\$7,112	\$8,568	\$8,454
	11.4%	4.1%	20.5%	18.9%
Federal Operating	\$36,574	\$44,891	\$45,739	\$46,375
	11.1%	22.7%	1.9%	3.3%
All Funds	\$121,571	\$130,937	\$139,720	\$133,827
	4.8%	7.7%	6.7%	2.2%
All Funds (Including "Off-Budget" Capital)	\$123,833	\$132,614	\$141,371	\$135,478
	5.2%	7.1%	6.6%	2.2%
Inflation (CPI) (Annual Change)	2.7%	0.3%	1.1%	1.1%
Personal Income (Annual Change)	-1.0%	-0.4%	4.3%	4.3%
All Funds Receipts (Annual Change)				
Taxes	\$60,337	\$57,668	\$61,509	\$61,796
	-0.9%	-4.4%	6.7%	7.2%
Miscellaneous Receipts	\$20,064	\$23,557	\$22,428	\$23,014
	2.1%	17.4%	-4.8%	-2.3%
Federal Grants	\$38,834	\$47,523	\$48,291	\$49,486
	11.2%	22.4%	1.6%	4.1%
Total Receipts	\$119,235	\$128,748	\$132,228	\$134,296
	3.3%	8.0%	2.7%	4.3%
Base Tax Growth/(Decline)⁴	-3.0%	-12.3%	2.2%	2.2%
General Fund/HCRA Outyear Gap Forecast				
2009-10 ⁵	N/A	(\$1,654)	N/A	N/A
2010-11 ⁵	N/A	N/A	(\$9,188)	\$0
2011-12	N/A	N/A	(\$15,851)	(\$8,177)
2012-13	N/A	N/A	(\$19,650)	(\$13,461)
2013-14	N/A	N/A	(\$21,584)	(\$15,563)
Total General Fund Reserves	\$1,948	\$2,302	N/A	\$1,385
Rainy Day Reserves	\$1,206	\$1,206	N/A	\$1,206
Reserved for Deferred Payments ⁶	\$503	\$906	N/A	\$0
All Other Reserves	\$239	\$190	N/A	\$179
State Workforce (Subject to Executive Control)	136,495	131,741	131,906	128,165
Debt				
State Related Debt Service as % of All Funds Receipts	4.3%	4.4%	4.7%	4.5%
State Related Debt Outstanding	\$51,768	\$54,694	\$56,997	\$56,877

¹ Spending is adjusted to exclude the impact of paying the end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of 2009-10 in the first quarter of 2010-11, as authorized in statute. This was done to carry forward the 2009-10 budget shortfall into 2010-11. See Financial Plan tables for 2009-10 actual results and 2010-11 unadjusted estimates.

² 2009-10 Federal and All Funds disbursements and receipts have been adjusted to include \$2.0 billion in Federal aid that passes through the State's All Funds Financial Plan under the American Recovery and Reinvestment Act ("ARRA"). This "pass-through" money, which provides no gap-closing benefit and is subject to a range of factors that make the timing of disbursements highly uncertain, was expected to total approximately \$4.4 billion in 2009-10. Actual disbursements, however, totaled only \$2.4 billion. Thus, 2009-10 results have been adjusted for the difference. See Financial Plan tables for 2009-10 actual results.

³ Beginning in 2009-10 the State began collecting the new Metropolitan Commuter Transportation taxes and fees on behalf of the MTA, which it then appropriates in its entirety to the MTA. This has added approximately \$1.6 billion to special revenue fund receipts and disbursements.

⁴ Reflects the estimated change in tax receipts excluding the impact of Tax Law changes since fiscal year 1986-87.

⁵ The 2009-10 budget shortfall was carried forward into 2010-11 through the management of payments, including school aid and tax refunds, and addressed as part of the 2010-11 Enacted Budget.

⁶ The State deferred more payments than were needed to carry forward the 2009-10 budget shortfall. This created a temporary increase in the year-end balance in 2009-10. The balance was used when the deferred payments were made in the first quarter of 2010-11.

FINANCIAL PLAN OVERVIEW

ENACTED BUDGET GAP-CLOSING PLAN AT-A-GLANCE

COMBINED GENERAL FUND AND HCRA GAP-CLOSING PLAN FOR 2010-11 (millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
CURRENT-SERVICES GAP ESTIMATES (BEFORE ANY ACTIONS)¹	(9,188)	(15,851)	(19,650)	(21,584)
December 2009 Deficit Reduction Actions²	692	811	876	854
Total Enacted Budget Gap-Closing Actions	8,496	6,863	5,313	5,167
Spending Control	5,627	3,972	3,432	3,542
Local Assistance (After Vetoes)	3,716	2,380	1,760	1,748
School Aid/Lottery Aid	1,677	680	129	122
Health Care	779	925	893	893
School Tax Relief Program	121	200	210	223
Human Services/Labor/Housing	214	165	175	176
Higher Education	224	174	152	152
Mental Hygiene	61	74	47	38
Education/Special Education/Arts	142	13	13	11
Local Government Aid	325	30	29	19
All Other	173	119	112	114
State Agency Operations/Fringe Benefits/Other	1,630	1,592	1,672	1,794
Statewide Agency Operational Reductions	1,233	1,061	838	815
Fringe Benefits/Pension Amortization	287	472	728	853
Debt Management/Bonded Capital Savings	110	59	106	126
FMAP Local Assistance Contingency Reductions³	281	0	0	0
Revenue Actions	1,034	1,867	1,460	1,204
Tax Actions	893	1,736	1,364	1,133
Eliminate Clothing Exemption	330	210	0	0
Cigarette/Tobacco Products Tax	290	318	312	307
Temporarily Cap Business Related Tax Credit Claims	100	970	970	870
Charitable Contributions	100	135	160	160
Film Credit	0	0	(168)	(292)
Empire Zone Replacement Program	0	0	(50)	(100)
Other Tax Actions	73	103	140	188
Abandoned Property	100	95	60	50
Civil Court Filing Fees	19	34	34	34
All Other Revenue Actions	22	2	2	(13)
Tax Audits/Recoveries/Enforcement	371	421	421	421
Non-Recurring Resources	660	0	0	0
Federal TANF Resources	261	0	0	0
Physician Excess Medical Malpractice Payment Timing	127	0	0	0
Available Fund Balances/Resources	121	0	0	0
Additional New York City District Attorney Recoveries	50	0	0	0
Additional Department of Law Recoveries	35	0	0	0
School Aid Overpayment Recoveries	32	0	0	0
All Other	34	0	0	0
Extension of Federal Aid	804	603	0	0
Enhanced Federal FMAP ⁴	785	603	0	0
Medicare Part D Federal Relief	19	0	0	0
2010-11 ENACTED BUDGET SURPLUS/(GAP) ESTIMATE	0	(8,177)	(13,461)	(15,563)

¹ Includes the carry-forward of the 2009-10 budget shortfall of \$1.6 billion into 2010-11.

² Recurring value of administrative and legislative actions approved in December 2009.

³ State law provides for the uniform reduction of local assistance payments to cover the difference between the \$1.085 billion in savings assumed in the gap-closing plan from the extension of enhanced FMAP and the \$804 million in savings now expected under the legislation approved by the Federal Government.

⁴ Estimate of State Financial Plan benefit of the six-month extension of FMAP (January 1, 2011 through June 30, 2011) approved by Congress and signed by the President in August 2010.

2010-11 BUDGET TIMELINE

JANUARY 2010

- Governor introduces the Executive Budget Financial Plan to eliminate a budget gap for 2010-11 estimated at \$7.4 billion. Gap for 2010-11 includes an estimated budget shortfall of \$500 million from 2009-10 that is planned to be carried forward into 2010-11 and eliminated as part of the budget.

FEBRUARY 2010

- DOB increases the estimate of the budget gap by \$750 million based on an updated forecast of tax receipts for 2009-10 and 2010-11. The budget gap that must be closed by the 2010-11 budget now totals \$8.2 billion. The gap estimate includes the estimated 2009-10 budget shortfall, which has increased from \$500 million to \$1.4 billion.
- Governor submits amendments to the 2010-11 Executive Budget Financial Plan that identify the additional gap-closing actions and resources needed to maintain a balanced budget proposal.
- The amended Executive Budget Financial Plan assumes that the Federal government will extend, for six-months, the enhanced FMAP authorized originally in ARRA. The extension, which runs from January 1, 2011 through June 30, 2011, is expected to provide General Fund savings of \$1.1 billion in both 2010-11 and 2011-12.

MARCH 2010

- Budget gap for 2010-11 increases to \$9.2 billion, due to two factors. First, the Executive and Legislature reach consensus that tax receipts in fiscal year 2010-11 are likely to be approximately \$850 million lower than the levels forecast in the amended Executive Budget Financial Plan. In addition, the budget shortfall for 2009-10 that will be carried into 2010-11 increases to approximately \$1.6 billion, up \$200 million from the February estimate. Although certain elements of the current-services gap change in the coming months, the gap remains at approximately \$9.2 billion.
- Legislature takes its first action on the proposed budget, enacting the annual debt service appropriation bill for 2010-11 without modification on March 17, 2010.

FINANCIAL PLAN OVERVIEW

APRIL 2010

- Fiscal year begins on April 1 without a budget in place for the year.
- State begins enacting a series of interim appropriation bills to fund government operations on a short-term basis. The bills, the content of which is controlled by the Governor, authorize only limited spending to help the State preserve liquidity during the budget impasse.
- Governor proposes an additional \$620 million in gap-closing actions for legislative consideration on April 26, 2010. This brings the total gap-closing actions proposed by the Governor to \$9.2 billion, consistent with the updated budget gap projected for 2010-11.

JUNE 2010

- After passing 12 interim appropriations bills since April, the Legislature completes action on all annual appropriation bills on June 28, 2010. Despite the authorization to spend, revenue legislation needed to complete the budget fails to pass.

JULY 2010

- Governor vetoes approximately \$530 million in legislative additions made by the Legislature to the Executive Budget appropriation bills, including additions for school aid, higher education, and health care.

AUGUST 2010

- Legislature passes a revenue bill on August 3, 2010, completing the budget enactment process for 2010-11. The revenue bill, when combined with the Governor's vetoes, provides the additional resources needed to eliminate the estimated 2010-11 budget gap, based on DOB's assessment.
- On the same day, the Governor and Legislature also reached agreement on legislation to address the risk that the Federal government will not extend enhanced FMAP at the levels assumed in the Financial Plan. The legislation requires that local assistance payments, with certain exceptions, be reduced by a uniform percentage over the course of the fiscal year to cover the difference between the \$1.1 billion assumed in the Financial Plan and the amount of enhanced FMAP approved by Congress.
- Congress approves a six-month extension of enhanced FMAP on August 9, 2010. However, the amount is approximately \$280 million lower than assumed in the State's Financial Plan. Therefore, local assistance payments will be subject to uniform reductions starting September 16, 2010.

ENACTED BUDGET FINANCIAL PLAN

A. SUMMARY

Before enactment of the budget, the State faced a projected current-services budget gap of \$9.2 billion in 2010-11.¹ The gap included a budget shortfall of \$1.65 billion from 2009-10 that was carried forward into 2010-11.² Over the course of the budget process, the estimated gap for 2010-11 increased from the level estimated in the Executive Budget Financial Plan, mainly due to downward revisions to projected tax receipts.³ Over the four-year Financial Plan period (2010-11 through 2013-14), the current-service budget gaps totaled an estimated \$66 billion.

DOB estimates that the Enacted Budget for 2010-11 is balanced on a budgetary basis of accounting. The budget gap for 2011-12 is projected at \$8.2 billion, a decrease of \$7.7 billion from the projected gap before enactment of the budget. The gaps for future years total \$13.5 billion in 2012-13 and \$15.6 billion in 2013-14. The total four-year gap has been reduced by \$29 billion, reflecting the substantial level of recurring savings approved in the Enacted Budget. The table below summarizes the gap-closing plan for 2010-11 and the impact on future budget gaps.⁴

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
SUMMARY OF CHANGES FROM REVISED CURRENT-SERVICES THROUGH ENACTED BUDGET RECOMMENDATION				
(millions of dollars)				
	<u>2010-11¹</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>
Current Services Surplus/(Gap) Estimates	<u>(9,188)</u>	<u>(15,851)</u>	<u>(19,650)</u>	<u>(21,584)</u>
2010-11 Enacted Budget Actions	<u>9,188</u>	<u>7,674</u>	<u>6,189</u>	<u>6,021</u>
Spending Control	6,319	4,783	4,308	4,396
December 2009 Deficit Reduction Actions	692	811	876	854
Enacted Budget	4,813	4,526	4,192	4,095
Veto Benefit	533	(554)	(760)	(553)
FMAP Local Assistance Contingency	281	0	0	0
Revenue Actions	1,034	1,867	1,460	1,204
Tax Audits; Recoveries; Enforcement	371	421	421	421
Non-Recurring Actions	660	0	0	0
Extension of Enhanced FMAP	804	603	0	0
ENACTED BUDGET SURPLUS/(GAP) ESTIMATES	<u>0</u>	<u>(8,177)</u>	<u>(13,461)</u>	<u>(15,563)</u>
Four-Year Total Gap (2010-11 through 2013-14)				(37,201)

¹ Includes the carry-forward of the \$1.65 billion budget shortfall from 2009-10.

¹ The current-services gap – the gap before reflecting the impact of the Enacted Budget gap-closing plan – represents (a) the difference between the projected level of General Fund disbursements, including transfers to other funds, needed to fund existing and scheduled commitments, adjusted for demand, and the level of resources available to pay for them, plus (b) the projected operating surplus or deficit in HCRA, which helps finance a number of State health care programs, including a share of the Medicaid program.

² In practice, the State carried forward the budget shortfall from 2009-10 by not making certain payments that were scheduled in 2009-10 but not due by law until 2010-11. The State did not make payments for school aid and tax refunds planned for the final quarter of 2009-10 until the first quarter of 2010-11.

³ See “Summary of Changes to the Current-Services Gap” herein.

⁴ The gap-closing plan includes the recurring value of the DRP approved in December 2009. For a summary of the DRP, see the Executive Budget Financial Plan dated February 9, 2010.

FINANCIAL PLAN OVERVIEW

The 2010-11 Enacted Budget:

- Reduces spending from the current-services forecast by over \$6.4 billion in 2010-11, in both the General Fund and in State Operating Funds;⁵
- Holds annual spending, as adjusted, at well below the rate of inflation in both the General Fund (-1.3 percent) and State Operating Funds (0.1 percent)⁶, and below the level permitted under the Governor’s proposed spending cap.⁷ Annual spending, as adjusted, for local assistance and agency operations⁸ — the portion of the budget that can be controlled most effectively in the short-term — is reduced by a combined total of \$1.1 billion;
- Mandates uniform reductions to remaining local assistance payments, with certain limited exceptions, to cover the estimated \$280 million shortfall from the \$1.1 billion in savings assumed in the gap-closing plan from enhanced FMAP; and
- Maintains the State’s rainy day reserves at \$1.2 billion.

The Enacted Budget Financial Plan does not rely on deficit borrowing to close the budget gap, which would increase the probability of an adverse change to the State’s credit rating and add to the long-term budget imbalance. For example, DOB estimates that every \$1 billion in deficit borrowing would reduce the level of recurring actions by an equal amount, plus add interest expense. This would add approximately \$1.1 billion to the gap in the next year, and more than \$3 billion to the total gap over the plan period.

B. COMPOSITION OF THE GAP-CLOSING PLAN

Over 90 percent of the gap-closing plan is comprised of recurring actions that help lower the budget gaps in future years. Under the approved plan, the combined four-year gap (2010-11 through 2013-14) is cut almost in half, declining from \$66 billion to \$37 billion. The chart below summarizes the shares of the gap-closing plan by broad category.

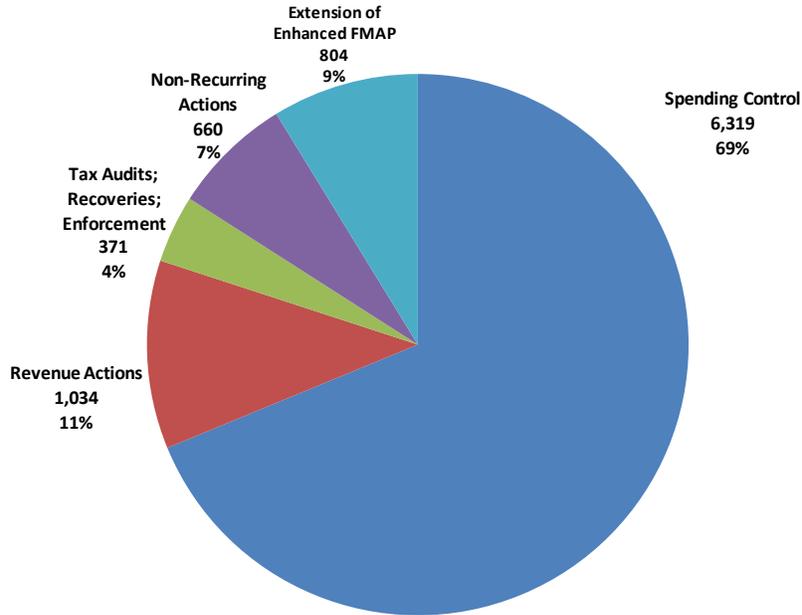
⁵ State Operating Funds combines activity in the General Fund, State-financed special revenue funds, and debt service funds and is intended to measure the portion of the State budget that supports operations (as distinct from capital) and that is financed by State resources (as distinct from Federal aid).

⁶ Unless otherwise noted, all annual spending estimates have been adjusted to account for the impact of 2009-10 payment deferrals and, in the case of Federal Funds and All Funds, for the timing of ARRA pass-through funding. See “Impact on Spending” herein for a complete summary of the adjustments.

⁷ The proposed cap would limit State Operating Funds spending increases to the average of inflation over the past three years (the cap would permit growth of 2.1 percent in 2010-11).

⁸ Agency operations include fixed costs.

**General Fund and HCRA
Shares of 2010-11 \$9.2B Gap-Closing Plan
(millions of dollars)**



Reductions to current-services spending total over \$6.4 billion⁹ in State Operating Funds and \$6.6 billion in the General Fund, constituting nearly 70 percent of the gap-closing plan. The proposed reductions in spending affect nearly every activity financed by State government, ranging from aid to public schools to agency operations to capital expenditures. (See "2010-11 Gap-Closing Plan — Spending Control" herein.)

The gap-closing plan includes \$1.0 billion in new revenue, including \$925 million from tax and fee increases. These tax and fee increases include the temporary suspension of the State sales tax exemption on clothing and footwear priced at less than \$110 (\$330 million), a \$1.60 per pack increase in the cigarette tax, the revenues of which are earmarked to help pay for existing health care expenses (\$290 million), a temporary cap on the aggregate tax credit claims for business-related tax credits at \$2.0 million per taxpayer annually (\$100 million), and a decrease in the percentage of allowable remaining itemized deductions from 50 percent to 25 percent for taxpayers with New York adjusted gross income above \$10 million (\$100 million). In addition, audit, compliance, and enforcement activities are expected to increase the tax base by approximately \$371 million annually. This includes \$150 million in cigarette enforcement activities. (See "2010-11 Gap-Closing Plan - Tax and Fee Increases" herein.)

Non-recurring resources, which comprise 7 percent of the actions approved in the Enacted Budget, total \$660 million. (See "2010-11 Gap-Closing Plan - Non-Recurring Resources" herein.)

⁹ Includes value of the DRP. See "Explanation of the Deficit Reduction Plan" herein.

FINANCIAL PLAN OVERVIEW

C. IMPACT ON SPENDING

The projections for annual spending growth are affected by both the management of payments at the end of 2009-10 and, in the case of Federal Funds and All Funds spending, by the uncertainties concerning the timing of Federal pass-through aid. The latter consists of ARRA stimulus money for a wide range of purposes that provides no gap-closing benefit, but by law must pass through the State's Financial Plan before it reaches its beneficiary. To avoid the distorting effect of these factors, DOB has adjusted spending to (a) exclude the impact of the deferral of the \$2.06 billion end-of-year school aid payment from 2009-10 into 2010-11 and (b) include \$2.0 billion in Federal ARRA pass-through spending that was expected in 2009-10. The table below displays these adjustments. See the Financial Plan tables herein for 2009-10 actual results and 2010-11 unadjusted estimates.

TOTAL DISBURSEMENTS -- SUMMARY OF ADJUSTMENTS ¹						
(millions of dollars)						
	2009-10			2010-11		
	Actual Results	Adjustment	Results Adjusted	2010-11 Enacted	Adjustment	Enacted Adjusted
State Operating Funds	76,874	2,060	78,934	81,058	(2,060)	78,998
General Fund (Excludes Transfers)	46,415	2,060	48,475	49,661	(2,060)	47,601
Other State Funds	25,447	0	25,447	25,789	0	25,789
Debt Service Funds	5,012	0	5,012	5,608	0	5,608
All Funds	126,877	4,060	130,937	135,887	(2,060)	133,827
State Operating Funds	76,874	2,060	78,934	81,058	(2,060)	78,998
Capital Projects Funds	7,112	0	7,112	8,454	0	8,454
Federal Operating Funds	42,891	2,000	44,891	46,375	0	46,375
General Fund, including Transfers	52,202	2,060	54,262	55,593	(2,060)	53,533
State Funds	82,034	2,060	84,094	87,133	(2,060)	85,073

¹ Adjusted to (a) exclude the impact of paying the \$2.06 billion end-of-year school aid payment, scheduled for the last quarter of 2009-10, in the first quarter of 2010-11, as authorized in statute; and (b) include \$2.0 billion in Federal ARRA pass-through aid in 2009-10. See Financial Plan tables for 2009-10 actual results and 2010-11 estimates.

Adjusted State Operating Funds spending is projected to total \$79 billion in 2010-11, an increase of \$64 million (0.1 percent) over 2009-10 results. This increase in spending is approximately \$1.6 billion below the level that would be permitted under the Governor's proposed spending cap. Compared to the current-services forecast, adjusted State Operating Funds spending is reduced by \$6.4 billion.

FINANCIAL PLAN OVERVIEW

TOTAL DISBURSEMENTS — ADJUSTED FOR PAYMENT DEFERRALS AND ARRA PASS-THROUGH							
(millions of dollars)							
	2009-10 Adjusted	2010-11 Base	Before Actions		2010-11 Adjusted	After Actions	
			Annual \$ Change	Annual % Change		Annual \$ Change	Annual % Change
State Operating Funds	78,934	85,413	6,479	8.2%	78,998	64	0.1%
General Fund (Excludes Transfers)	48,475	54,094	5,619	11.6%	47,601	(874)	-1.8%
Other State Funds	25,447	25,612	165	0.6%	25,789	342	1.3%
Debt Service Funds	5,012	5,707	695	13.9%	5,608	596	11.9%
All Funds	130,937	139,720	8,783	6.7%	133,827	2,890	2.2%
State Operating Funds	78,934	85,413	6,479	8.2%	78,998	64	0.1%
Capital Projects Funds	7,112	8,568	1,456	20.5%	8,454	1,342	18.9%
Federal Operating Funds	44,891	45,739	848	1.9%	46,375	1,484	3.3%
General Fund, including Transfers	54,262	60,152	5,890	10.9%	53,533	(729)	-1.3%
State Funds	84,094	91,617	7,523	8.9%	85,073	979	1.2%

The annual spending growth in State Operating Funds is affected by the rapid annual increase in debt service and fringe benefits, which are difficult to control in the short-term due to existing constitutional, statutory, and contractual obligations. Together, these costs are projected to increase by a total of \$1.2 billion in 2010-11.

Debt service on State-supported debt is projected to increase by \$555 million (11.2 percent) in 2010-11, with approximately 35 percent of the growth due to the "restructuring" of certain transportation-related debt in 2005 that deferred substantial debt service costs until 2010-11. Overall spending from debt service funds, which includes certain non-personal service spending appropriated in the debt service budget, increases by nearly \$600 million.

Spending on fringe benefits is projected to increase by \$603 million, an increase of 14.1 percent. Growth in fringe benefits is due principally to increases in the State's annual contribution to the New York State and Local Retirement System and the cost of providing health insurance for active and retired State employees. Pension costs are expected to increase by \$312 million (27 percent) in 2010-11. This increase is net of \$242 million in amortization savings scheduled for 2010-11. The following table summarizes the growth in these spending categories.

FINANCIAL PLAN OVERVIEW

CAUSES OF ADJUSTED STATE OPERATING FUNDS SPENDING CHANGE ¹				
(millions of dollars)				
	2009-10	2010-11	Annual \$ Change	Annual % Change
Total	78,934	78,998	64	0.1%
Debt Service	4,961	5,516	555	11.2%
Fringe Benefits	4,276	4,879	603	14.1%
Personal Service	10,874	10,307	(567)	-5.2%
Non-Personal Service/Fixed Costs	4,885	4,663	(222)	-4.5%
Local Assistance	53,938	53,633	(305)	-0.6%

¹ Adjusted to exclude the impact of paying the \$2.06 billion end-of-year school aid payment, scheduled for the last quarter of 2009-10, in the first quarter of 2010-11, as authorized in

In contrast, spending for local assistance and agency operations, two areas of the budget that are responsive to immediate cost reduction efforts, decline by \$1.1 billion (-1.6 percent) from 2009-10 levels. Annual spending declines for personal service by \$567 million (-5.2 percent), non-personal service by \$222 million (-4.5 percent) and for local assistance by \$305 million (-0.6 percent).

The following table summarizes the major sources of annual change.

FINANCIAL PLAN OVERVIEW

ADJUSTED STATE SPENDING MEASURES: BEFORE AND AFTER BUDGET ACTIONS ¹ (millions of dollars)

	2009-10 Adjusted	2010-11 Current- Services ²	Before Actions		After Actions		
			Annual \$ Change	Annual % Change	2010-11 Adjusted	Annual \$ Change	Annual % Change
Local Assistance:	53,938	58,580	4,642	8.6%	53,633	(305)	-0.6%
School Aid ^{1,3}	20,373	21,471	1,098	5.4%	19,942	(431)	-2.1%
STAR	3,414	3,421	7	0.2%	3,300	(114)	-3.3%
Other Education Aid	1,534	1,646	112	7.3%	1,511	(23)	-1.5%
Medicaid (incl. administration) ⁴	11,458	13,102	1,644	14.3%	11,629	171	1.5%
Public Health/Aging/Insurance	2,431	2,635	204	8.4%	2,314	(117)	-4.8%
Higher Education ⁵	2,817	2,755	(62)	-2.2%	2,517	(300)	-10.6%
Mental Hygiene	3,199	3,537	338	10.6%	3,476	277	8.7%
Social Services	3,054	3,419	365	12.0%	3,018	(36)	-1.2%
Local Government Assistance	1,080	1,116	36	3.3%	791	(289)	-26.8%
Transportation	3,823	4,354	531	13.9%	4,304	481	12.6%
All Other	755	1,124	369	48.9%	831	76	10.1%
State Operations:	20,035	21,218	1,183	5.9%	19,849	(186)	-0.9%
Wages/Fringe Benefits	15,150	15,959	809	5.3%	15,186	36	0.2%
Personal Service:	<u>10,874</u>	<u>10,733</u>	<u>(141)</u>	<u>-1.3%</u>	<u>10,307</u>	<u>(567)</u>	<u>-5.2%</u>
Executive Agencies	5,357	5,276	(81)	-1.5%	4,997	(360)	-6.7%
Exec. Agencies - Retroactive Settlements ⁶	320	22	(298)	-93.1%	22	(298)	-93.1%
SUNY	3,243	3,256	13	0.4%	3,124	(119)	-3.7%
Judiciary	1,537	1,547	10	0.7%	1,537	0	0.0%
Legislature	178	165	(13)	-7.3%	165	(13)	-7.3%
Department of Law	124	120	(4)	-3.2%	117	(7)	-5.6%
Audit & Control	115	118	3	2.6%	116	1	0.9%
Potential Labor Settlements (Pattern)	0	229	229	100.0%	229	229	100.0%
Fringe Benefits:	<u>4,276</u>	<u>5,226</u>	<u>950</u>	<u>22.2%</u>	<u>4,879</u>	<u>603</u>	<u>14.1%</u>
Pensions	1,155	1,707	552	47.8%	1,467	312	27.0%
Health Insurance	2,681	3,066	385	14.4%	3,021	340	12.7%
All Other Fringe Benefits	440	453	13	3.0%	391	(49)	-11.1%
Non-Personal Service/Fixed Costs	4,885	5,259	374	7.7%	4,663	(222)	-4.5%
Debt Service	4,961	5,615	654	13.2%	5,516	555	11.2%
TOTAL STATE OPERATING FUNDS	78,934	85,413	6,479	8.2%	78,998	64	0.1%
Capital Projects (State Funded)	5,160	6,203	1,043	20.2%	6,075	915	17.7%
TOTAL STATE FUNDS	84,094	91,616	7,522	8.9%	85,073	979	1.2%
Federal Aid (Including Capital Grants) ⁷	46,843	48,104	1,261	2.7%	48,754	1,911	4.1%
TOTAL ALL FUNDS	130,937	139,720	8,783	6.7%	133,827	2,890	2.2%

¹ Spending is adjusted to exclude the impact of paying the end-of-year school aid payment (\$2.06 billion), scheduled for the last quarter of 2009-10, in the first quarter of 2010-11, which was done to carry forward the 2009-10 budget shortfall into 2010-11. See Financial Plan tables for 2009-10 actual results and 2010-11 estimates.

² Includes the value of recurring savings from the December 2009 Deficit Reduction Plan.

³ State fiscal year basis. ARRA funding temporarily reduces spending from State Operating Funds.

⁴ Department of Health Medicaid spending only, excludes other State agency spending. ARRA funding temporarily reduces spending from State Operating Funds.

⁵ 2009-10 affected by \$300 million payment deferral from 2008-09.

⁶ Retroactive payments for NYSOPBA, PBA and BCI labor settlements (\$258 million, \$42 million and \$20 million, respectively) for contract years 2007-08 and 2008-09, reflected in 2009-10 and retroactive payments for NYSCOBPA (Non-Arbitration) and Council 82 (\$11 million each) for contract years 2007-08 and 2008-09.

⁷ 2009-10 Federal and All Funds disbursements and receipts have been adjusted to include \$2.0 billion in Federal aid that passes through the State's All Funds Financial Plan under ARRA. This "pass-through" money, which provides no gap-closing benefit and is subject to a range of factors that make the timing of disbursements highly uncertain, was expected to total approximately \$4.4 billion in 2009-10. Actual disbursements, however, totaled only \$2.4 billion. Thus, 2009-10 results have been adjusted for the difference. See Financial Plan tables for 2009-10 actual results.

FINANCIAL PLAN OVERVIEW

EXPLANATION OF GAP-CLOSING PLAN

The gap-closing plan consists of two parts, the Enacted Budget actions and the recurring impact of the DRP. This section describes the Enacted Budget gap-closing actions.

2010-11 ENACTED BUDGET ACTIONS

The 2010-11 gap-closing actions are organized into three general categories: (a) actions that reduce current-services spending in the General Fund on a recurring basis (“Spending Control”); (b) actions that increase revenues on a recurring basis (“Revenue Actions”); and (c) transactions that increase revenues or lower spending in 2010-11, but that cannot be relied on in the future (“Non-Recurring Resources”).

The sections below provide details on the actions that were enacted for 2010-11 under each category. Additional information on the Budget actions for major programs and activities appears in the sections entitled “2010-11 All Funds Financial Plan” and “Outyear Financial Plan Projections” herein.

A. SPENDING CONTROL

The Enacted Budget gap-closing plan for 2010-11 focuses foremost on actions that reduce the growth in State spending on a recurring basis. Actions to control spending account for nearly 70 percent of the gap-closing plan and will affect most activities funded by the State. The 2010-11 appropriation and Article VII "language" bills passed by the Legislature, as well as the agency operating reductions, reduced spending by roughly \$4.8 billion from current services levels. The Governor’s vetoes further reduced General Fund spending in 2010-11 by \$533 million.

In addition, the FMAP contingency bill is expected to reduce local assistance spending by approximately \$280 million. This is equal to the difference between the benefit of enhanced FMAP assumed in the amended Executive Budget Financial Plan and the amount ultimately approved by Congress in August 2010. The following table summarizes the recurring spending actions in the General Fund by major function or activity.

FINANCIAL PLAN OVERVIEW

COMBINED GENERAL FUND/HCRA GAP-CLOSING PLAN FOR 2010-11 SPENDING CONTROL (AFTER VETO BENEFIT) SAVINGS/(COSTS) (millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
Spending Control¹	5,627	3,972	3,432	3,542
Local Assistance	3,716	2,380	1,760	1,748
School Aid/Lottery Aid	<u>1,677</u>	<u>680</u>	<u>129</u>	<u>122</u>
Gap Elimination Adjustment	1,497	642	0	0
Lottery Aid	180	136	136	136
Other	0	(98)	(7)	(14)
Health Care	<u>779</u>	<u>925</u>	<u>893</u>	<u>893</u>
Medicaid Fraud/Audit Recoveries	300	300	300	300
Eliminate Automatic Medicaid Rate Increases	99	120	120	120
Reduce Managed Care Premiums	61	75	75	75
Indigent Care Reduction	72	57	47	47
HCRA Financing	103	131	131	131
Public Health/Aging	29	54	55	55
Other	115	188	165	165
Higher Education	<u>224</u>	<u>174</u>	<u>152</u>	<u>152</u>
SUNY/CUNY Community College Base Aid	106	76	76	76
CUNY Senior College Operations	48	64	64	64
HESC (primarily TAP)	70	34	12	12
Local Government Aid	325	30	29	19
School Tax Relief Program	121	200	210	223
Human Services/Labor/Housing	214	165	175	176
Education/Special Education	142	13	13	11
Mental Hygiene	61	74	47	38
All Other Local Assistance	173	119	112	114
FMAP Contingency Spending Reductions	281	0	0	0
State Operations/Other	1,520	1,533	1,566	1,668
Statewide Agency Operational Reductions	1,233	1,061	838	815
Fringe Benefits/Pension Amortization	287	472	728	853
	110	59	106	126
Debt Management	100	25	34	36
Bonded Capital Spending Reductions²	10	34	72	90

¹ Excludes savings from December 2009 DRP. Net of new funding initiatives. Includes the impact of Governor's vetoes.

² Estimated debt service savings from reducing planned capital spending financed with debt.

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LOCAL ASSISTANCE

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals. State Operating Funds spending for local assistance is estimated at \$53.6 billion in 2010-11, a decrease of \$305 million (-0.6 percent) from the prior year. The most significant gap-closing actions in local assistance include the following (reductions from the current-services estimate are in parentheses):

- **School aid/lottery aid (\$1.7 billion on a State fiscal year basis)** by imposing a one-time adjustment to formula-based school aid on a wealth-equalized basis (\$1.5 billion); enhancing the operation of the State's lottery games and VLT facilities (including increased advertising, the extension of operating hours at VLT facilities, the enhancement of the Quick Draw game) to increase lottery revenues for financing school aid, and recognizing an additional \$80 million franchise payment for VLT development rights at Aqueduct for a total of \$380 million (\$180 million).
- **Health Care (\$779 million)** through cost-containment measures in Medicaid, including eliminating inflation-based adjustments to rates; decreasing managed care premiums; heightening anti-fraud and audit efforts; implementing prior-approval for insurance rate changes; and financing a greater share of Medicaid spending through HCRA.

In other public health activities, savings result from modifying the payment rates, eligibility standards, and operation of the EI program; eliminating reimbursement for certain optional services provided through the GPHW, and eliminating or reducing General Fund support for programs that are not related to DOH's core mission.

- **Higher Education (\$224 million)** by reducing State support for SUNY and CUNY senior and community colleges (which will be partially mitigated by the use of ARRA funding) and reducing TAP program spending by changing eligibility standards and reducing overall grant awards.
- **Local Government Aid (\$325 million)** by eliminating AIM funding for New York City (2010-11 only) and Erie County, and by reducing AIM funding to other municipalities by 2 or 5 percent, depending on local reliance on this revenue.
- **STAR (\$121 million)** by reducing the benefit for New York City taxpayers with incomes above \$500,000.
- **Human Services (\$214 million)** by reducing State reimbursement to counties from 63.7 percent to 62 percent for Child Welfare services; reducing or eliminating spending in non-core mission programs; and rightsizing youth facilities.

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- **Education/Special Education/Arts (\$142 million)** by managing payments for summer school special education costs; using available ARRA funding to help support preschool special education; reducing funding for grants provided by the Council on the Arts; and other measures.
- **Mental Hygiene (\$61 million)** by reducing Medicaid rates; improving audit and recovery efforts; restructuring service coordination; and delaying community bed development for certain programs.
- **All Other Local Assistance (\$173 million)** by eliminating subsidies to businesses that provide mental health coverage under Timothy's Law (\$69 million), reducing a planned deposit to the member items fund (\$60 million), and a wide range of program reductions in other areas, including criminal justice and economic development.

IMPACT OF VETOES

DOB estimates the Governor's vetoes will save \$533 million in 2010-11. The Governor's ability to veto changes in Article VII language bills is arguably limited, in most instances, to either approving or disapproving the entire bills. As a result, the veto of the entire Article VII language bill extended to provisions amending school aid funding formulas, school aid database updates, and higher education tuition assistance, which results in current-year savings, but additional potential costs in future years. Specifically, the veto prevented the implementation of a 2010-11 Executive Budget recommendation to extend the foundation aid phase-in schedule from seven years to ten years. The Governor has submitted a bill to the Legislature that would restore the outyear savings in the original proposal. The following table summarizes the vetoes.

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SUMMARY OF 2010-11 BUDGET VETOES SAVINGS/(COSTS) (millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
Savings/(Costs) from Vetoes	533	(554)	(760)	(553)
School Aid	419	(652)	(833)	(625)
Legislative Restoration	419	170	0	0
Foundation Aid Phase-In Delay	0	(688)	(774)	(592)
All Other	0	(134)	(59)	(33)
Higher Education	107	89	64	63
SUNY/CUNY Community College Base Aid	56	76	76	76
HESC TAP Awards for Two-year Degree Programs	10	(5)	(13)	(13)
HESC TAP \$75 Award Reduction	17	7	0	0
HESC TAP Academic Standards	6	2	0	0
HESC TAP for Non-SED Programs	13	18	18	18
HESC Scholarships and Loan Forgiveness	0	(5)	(8)	(9)
HESC TAP Award Schedules	5	(1)	(5)	(5)
HESC TAP Default Parity	0	(3)	(4)	(4)
Health Care	4	5	5	5
Arts	2	2	2	2
Housing	1	1	1	1
Capital Projects/Debt Service	0	1	1	1

FMAP CONTINGENCY SPENDING REDUCTIONS

The 2010-11 Enacted Budget mandates a uniform reduction to local assistance payments beginning September 16, 2010 in an amount up to the level of the shortfall between the actual amount of the six-month enhanced FMAP extension and the amount assumed in the Financial Plan. Payments for public assistance, debt service, court judgments, and certain other purposes are exempt from the uniform reductions. The following table summarizes the change from the initial Financial Plan estimates of a six-month extension and the current estimate following the extension approved by Congress in August 2010.

FEDERAL ENHANCED FMAP 6-MONTH EXTENSION (STATE SHARE) SUMMARY OF ESTIMATED FINANCIAL PLAN IMPACT -- SAVINGS/(COSTS) (millions of dollars)			
	2010-11 Jan - Mar	2011-12 Apr - Jun	Two-Year Total
Estimated FMAP Extension (Apr 2010)	1,085	1,060	2,145
Approved Federal Extension (Aug 2010)	804	603	1,407
Difference	(281)	(457)	(738)
FMAP Local Assistance Contingency Reductions	281	0	281
IMPACT ON BUDGET SURPLUS/(GAPS)	0	(457)	(457)

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The State continues to receive ARRA funds. This aid can be classified into two categories: (1) direct aid that provides a Financial Plan benefit by paying for costs that must otherwise be paid with State resources and (2) pass-through aid that funds specific initiatives and by law must pass through the State's Financial Plan. The following table summarizes total ARRA spending in the State Financial Plan from 2008-09 through 2011-12, the last fiscal year in which substantial ARRA funding is expected.

SUMMARY OF ESTIMATED FEDERAL ARRA SPENDING BY FISCAL YEAR (millions of dollars)				
	<u>2008-09</u>	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>
TOTAL DIRECT STATE AID	1,299	4,227	5,908	712
Enhanced Federal FMAP (State Benefit)	1,299	3,572	4,054	203
DOH Medicaid	1,092	3,040	3,425	107
Mental Hygiene Medicaid	207	532	629	96
State Fiscal Stabilization Relief		655	1,854	509
School Aid		546	1,331	509
Higher Education		103	166	0
Special/Other Education		6	335	0
All Other		0	22	0
TOTAL PASS-THROUGH AID	440	2,291	4,647	2,332
Enhanced FMAP (Local Share - Subject to reconcil.)	440	1,122	1,738	793
Education		334	860	879
Human Services		237	768	0
Transportation		205	450	320
Housing		61	131	120
Labor		121	111	0
Higher Education		91	102	0
Environment		39	209	200
Health Care		41	144	0
Criminal Justice/Public Safety		8	55	20
General Government/Other		32	79	0

In 2010-11, DOB estimates that ARRA provides a direct benefit of approximately \$4 billion through enhanced FMAP and \$1.9 billion in aid for elementary and secondary, higher education and housing through SFSF, some of which applies to the 2009-10 school year, for expenses that would otherwise need to be paid for with State resources or eliminated.¹⁰ (See "Outyear Budget Gaps" herein.)

STATE OPERATIONS

The cost of operating State government includes (a) salaries, (b) pensions and other fringe benefits, and (c) non-personal service expenses, including utilities, rents, medical supplies, and other expenses.¹¹ State Operating Funds spending for these purposes is expected to total approximately \$19.8 billion, a decrease of \$186 million from 2009-10. After actions, personal service and non-personal service expenses are projected to decline by nearly \$800 million. This is partially offset by growth in fringe benefit costs of \$603 million.

¹⁰ This is separate from, and should not be confused with, Federal pass-through spending under ARRA that provides no gap-closing benefit.

¹¹ The Financial Plan tables presentation includes three separate Financial Plan categories: Personal Service, Non-Personal Service and General State Charges (Fringe Benefits).

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The Enacted Budget includes \$1.5 billion in savings from efficiency measures in State agencies, targeted workforce savings, and controls to slow the growth in fringe benefit costs.

- **Statewide Agency Operating Reductions (\$1.2 billion):** Actions include across-the-board reductions in agency operating budgets, targeted personnel management initiatives, and statewide programs to leverage the State's purchasing power in energy, supplies, and materials. Personal service savings are expected from a combination of ERI savings, attrition and other measures. The Governor has rescinded, for the second consecutive year, the general salary increase for the State's non-unionized "management/confidential" employees (\$28 million in 2010-11).
- **Pension Amortization/Fringe Benefits (\$287 million):** Local governments and the State face substantial pension contribution increases over the next six years due to investment losses experienced by the Common Retirement Fund. The budget affords local governments and the State the option to amortize a portion of their pension costs beginning in 2010-11. Specifically, pension contribution costs in excess of the amortization thresholds, which are 9.5 percent for ERS and 17.5 percent for PFRS, may be amortized. The authorizing legislation also permits amortization in all future years if the actuarial contribution rate is greater than the amortization threshold, which may increase or decrease by no more than one percentage point for each year. Repayment of the amortized amounts will be made over a ten-year period at an interest rate to be determined by the State Comptroller. The assumed interest rate is 5 percent. For planning purposes, the Financial Plan assumes that the State will authorize pension costs, consistent with the provisions of the authorizing legislation. The amounts assumed to be amortized over the Financial Plan period total \$242 million in 2010-11, \$504 million in 2011-12, \$825 million in 2012-13, \$1.1 billion in 2013-14, and \$1.2 billion in 2014-15. In addition, employees and retirees are now required to pay a portion of Medicare Part B premiums and the State is authorized to self-insure all or parts of the New York State Health Insurance Plan.

The State workforce subject to Executive control is expected to total 128,165¹² at the end of 2010-11, a reduction of approximately 3,576 from 2009-10 levels. The projected decline mainly reflects recommended rightsizing of certain youth facilities, agency consolidations, ERI reductions, and the continuation of statewide hiring controls.

The gap-closing plan reduces planned capital projects spending financed with State-supported debt by \$1.6 billion over a five-year period, beginning in 2010-11. The reductions are expected to provide over \$130 million in annual debt service savings when fully implemented. The capital reductions will help the State maintain sufficient debt capacity.¹³ The plan also includes \$100 million in debt management savings from refundings and other measures.

¹² Full-time equivalent positions ("FTEs").

¹³ Under the Debt Reform Act of 2000, State-supported debt outstanding issued after April 1, 2000 is limited to 4 percent of personal income, starting in 2010-11.

FINANCIAL PLAN OVERVIEW

B. REVENUE ACTIONS

The Enacted Budget includes \$1.0 billion in revenue increases. Tax actions include an increase in the tax on cigarettes and tobacco products, a temporary elimination of the clothing exemption, a temporary cap on the aggregate tax credit claims for business related tax credits at \$2 million per taxpayer per year, and a decrease in the percentage of allowable itemized deductions for taxpayers with income above \$10 million. The following table summarizes the specific proposals.

COMBINED GENERAL FUND AND HCRA GAP-CLOSING PLAN FOR 2010-11 - REVENUE ACTIONS				
SAVINGS/(COSTS)				
(millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
Revenue Actions	1,034	1,867	1,460	1,204
Tax Actions	<u>893</u>	<u>1,736</u>	<u>1,364</u>	<u>1,133</u>
Eliminate Clothing Exemption	330	210	0	0
Cigarette/Tobacco Products Tax	290	318	312	307
Temporarily Cap Business Tax Credit Claims	100	970	970	870
Charitable Contributions	100	135	160	160
Sales Tax Vendor Credit	23	23	23	23
Private Label Credit Cards	17	23	23	23
Bank Bad Debt Deductions	15	15	15	15
Clarify Room Remarketers Must Collect Sales Tax	10	20	20	20
Sales Tax Add-back	0	20	20	20
Informational Returns for Credit/Debit Cards	0	0	35	83
Film Credit	0	0	(168)	(292)
Empire Zone Replacement Program	0	0	(50)	(100)
Other Tax Actions	8	2	4	4
Abandoned Property	100	95	60	50
Civil Court Filing Fees	19	34	34	34
All Other Revenue Actions	22	2	2	(13)
Tax Audits/Recoveries/Enforcement	371	421	421	421

Tax credits extended to the film industry and a restructured Empire Zone program result in additional costs to the Financial Plan, beginning in 2012-13. (See “2010-11 All Funds Financial Plan” herein for a complete summary of the revenue actions included in the 2010-11 Enacted Budget.)

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C. NON-RECURRING RESOURCES

The Enacted Budget relies on \$660 million in non-recurring resources in 2010-11. The largest item in this category is the use of the TANF Emergency Contingency Fund to pay for expenses that would otherwise be incurred by the General Fund in 2010-11. The Emergency Contingency Fund is a one-time ARRA authorization. Accordingly, it is not expected to be available in future years. The following table itemizes the non-recurring actions.

COMBINED GENERAL FUND/HCRA GAP-CLOSING PLAN FOR 2010-11 NON-RECURRING RESOURCES SAVINGS/(COSTS) (millions of dollars)	
	<u>2010-11</u>
Non-Recurring Resources	660
Federal TANF Resources	261
Physician Excess Medical Malpractice Payment (Tir	127
Additional New York City District Attorney Recover	50
Additional Department of Law Recoveries	35
School Aid Overpayment Recoveries	32
NYSHELPS Program Adjustment	19
Eliminate New Technology Seed Fund	15
Available Fund Balances/Resources	121

Other non-recurring resources include altering the timing of a planned payment under the Physician's Excess Medical Malpractice program; additional recoveries from both the New York City District Attorney and the Department of Law; and recovering excess aid payments made to school districts in prior years.

D. 2009-10 DEFICIT REDUCTION PLAN

DOB estimates that the DRP approved on December 2, 2009 will generate recurring savings in the range of \$700 million to \$875 million. The following table summarizes the DRP. See the Executive Budget Financial Plan dated February 9, 2010 for a complete description.

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2009-10 DEFICIT REDUCTION PLAN SUMMARY				
SAVINGS/(COSTS)				
(millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
Total Deficit Reduction Plan Savings	692	811	876	854
Agency Operational Reductions	360	385	385	385
Legislative Actions ¹	332	426	491	469
Health Care	177	161	201	201
Mental Hygiene	57	55	53	32
Education/Arts	39	42	43	43
Higher Education Aid	36	36	36	36
Local Government Assistance	32	32	32	32
Tier V Pension	6	20	40	60
All Other	(15)	80	86	65

¹ Includes spending reductions in other State Funds that reduce General Fund costs through transfers from the accounts where savings are realized.

E. PROJECTED CLOSING BALANCES

The State ended 2009-10 with a General Fund balance of \$2.3 billion, including \$1.2 billion in the rainy day reserves and \$906 million resulting from the deferral of certain payments from 2009-10 into 2010-11. The latter amount was disbursed when the deferred payments were made in the first quarter of 2010-11.

After gap-closing actions, the year-end balance is expected to total \$1.4 billion, a decrease of \$917 million. The State's principal reserve funds are expected to remain unchanged, but the reserve created in 2009-10 would be utilized in its entirety. In addition, the balance in the Community Projects Fund, which finances discretionary ("member item") grants allocated by the Legislature and Governor is expected to decline by \$11 million from 2009-10. This is the result of \$154 million in deposits authorized in prior years and scheduled for 2010-11, offset by \$165 million in projected spending in 2010-11. The estimate for spending from the Community Projects Fund is based on historical patterns and may be lower in 2010-11 as a result of the Governor's vetoes of member-item reappropriations. Lower than planned spending would increase the fund balance in the Community Projects Fund. The following table summarizes the projected balances in the General Fund.

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GENERAL FUND CLOSING BALANCE (millions of dollars)					
	2009-10 Results	Planned Deposit	Planned Uses	2010-11 Estimated	Change
Projected Year-End Fund Balance	2,302	154	(1,071)	1,385	(917)
Tax Stabilization Reserve Fund	1,031	0	0	1,031	0
Rainy Day Reserve Fund	175	0	0	175	0
Contingency Reserve Fund	21	0	0	21	0
Community Projects Fund	96	154	(165)	85	(11)
Reserved for Debt Reduction	73	0	0	73	0
Reserved for Payment Deferrals	906	0	(906)	0	(906)

F. CHANGES TO THE CURRENT-SERVICES FORECAST (EXECUTIVE TO ENACTED)

The estimated budget gap closed by the Enacted Budget totaled \$9.2 billion. The gap that needed to be closed increased from the time the Executive Budget was introduced. The table below summarizes the major changes in the gap that needed to be closed.

SUMMARY OF CHANGES TO GENERAL FUND/HCRA CURRENT SERVICES FORECAST SAVINGS/(COSTS) (millions of dollars)				
	2010-11	2011-12	2012-13	2013-14
Executive Budget Current Services Gaps (February 2010) ¹	(6,788)	(14,481)	(18,501)	(20,883)
Current-Services Revisions	(746)	(1,370)	(1,149)	(701)
Tax Revenue Forecast (includes March 2010 Consensus)	(560)	(423)	(128)	76
Lottery/VLT Receipts	(52)	(168)	(137)	(167)
Federal FMAP Local Share Language	(300)	(400)	(254)	0
Medicare Part D Federal Relief	204	0	0	0
Medicaid Rate Reconciliation	42	0	0	0
HCRA Programs	44	(92)	(46)	(51)
Tribal State Compact Transfer	(83)	(50)	(50)	(50)
Fringe Benefits	(11)	(37)	(37)	(37)
Mental Health Adult Home Judgment	0	(37)	(65)	(85)
Capital Projects	(36)	(49)	(49)	(49)
All Other	6	(114)	(383)	(338)
Revised Current Services Gaps	(7,534)	(15,851)	(19,650)	(21,584)
Carry-Forward of 2009-10 Budget Shortfall	(1,654)	0	0	0
Revised Budget Gaps Before Actions	(9,188)	(15,851)	(19,650)	(21,584)
Four-Year Total Gap (2010-11 through 2013-14)				(66,273)

¹ Before the impact of DRP savings approved in December 2009 and projected carry-forward from 2009-10

FINANCIAL PLAN OVERVIEW

A reduction to the forecast for tax receipts was the main reason for the increase in the current-services gap for 2010-11. Other changes included a reduction in the estimated annual State FMAP benefit due to a Federal language change that requires a greater share be apportioned to local governments; lower State Medicaid spending due to a temporary reduction in required payments to the Federal government under the Medicare Part D program and final rate reconciliations for 2009-10 payments; downward revisions to outyear HCRA revenues; lower expected transfers from the Tribal State Compact revenue collection account; an upward revision to estimated fringe benefit costs; additional costs for the conversion of adult home beds to community based services based on a recent court decision; and other revisions across a number of other programs, based on updated program data and spending trends.

OTHER MATTERS AFFECTING THE FINANCIAL PLAN

A. STATE CASH-FLOW PROJECTIONS

CURRENT FISCAL YEAR

State Finance Law authorizes the General Fund to borrow resources temporarily from other available funds in the State's STIP for a period not to exceed four months or to the end of the fiscal year, whichever occurs first. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller (available balances include money in the State's governmental funds, as well as certain other money).

Through the first four months of 2010-11, the General Fund used this authorization to meet payment obligations in May, June and July. It is expected that the General Fund will rely on this borrowing authority at times during the remainder of the fiscal year.

To date, the State has taken actions to maintain adequate operating margins, and expects to continue to do so as events warrant. The State continues to reserve money to make the debt service payments scheduled for each upcoming quarter that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants.

With cash management actions, the General Fund ended June 2010 with a negative balance of \$87 million. The funds on hand in All Governmental Funds at the end of the month totaled \$3.6 billion. The projected month-end balances for 2010-11 are shown in the table below. The cash-flow projections for receipts and disbursements take into account statutory payment dates, historical receipts and disbursement patterns, and other information. DOB believes the projections are based on reasonable and prudent assumptions, and the State's current cash position is sufficient to meet current liquidity needs. Cash balances are expected to continue to be relatively low, especially in September, November, and December 2010. It is expected that the General Fund on certain days will continue to borrow from STIP. DOB will continue to closely monitor

FINANCIAL PLAN OVERVIEW

and manage the General Fund cash flow during the fiscal year in an effort to maintain adequate operating balances.

PROJECTED MONTHLY CASH FLOW BALANCES			
FISCAL YEAR 2010-11			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	4,274	3,048	7,322
May	1,648	3,767	5,415
June	(87)	3,719	3,632
July	590	4,354	4,944
August	(28)	4,949	4,921
September	2,476	2,209	4,685
October	1,888	3,015	4,903
November	1,442	3,359	4,801
December	1,197	1,792	2,989
January	6,220	2,886	9,106
February	6,851	3,352	10,203
March	1,385	2,442	3,827

B. FINANCIAL PLAN ASSUMPTIONS AND RISKS

The Financial Plan forecasts are subject to many complex economic, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from the current Financial Plan projections. In recent fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan. In response, the State has, among other things, made substantial reductions in planned spending. In 2009-10, the Enacted Budget Financial Plan authorized over \$6 billion in reductions from planned spending. In 2010-11, the Enacted Budget Financial Plan includes over \$6.3 billion in reductions from planned spending. In addition, the State has imposed substantial reductions in planned spending by State agencies during each of the last three fiscal years.

The Financial Plan is based on numerous assumptions, including the performance of the national and State economies and the concomitant receipt of economically sensitive tax revenues in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecast include the impact of Federal statutory and regulatory changes concerning financial sector activities; the impact of behavioral changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; the impact of an anticipated shift in monetary policy actions on interest rates and the financial markets; the impact of financial and real estate market developments on bonus income and capital gains realizations; and the impact of household deleveraging on consumer spending and the impact of that activity on State tax collections.

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Other risks include increased demand in entitlement- and claims-based programs such as Medicaid, public assistance and general public health above the levels anticipated in the Financial Plan; potential disruptions in the municipal bond market that could affect the timing of State bond sales; and litigation against the State, including, but not limited to, potential challenges to the constitutionality of actions authorized in the budget and the outcome of a class action suit alleging discrimination in the administration of a civil service test between 1996 and 2006.

In enacting the budget, the State faced the risk that the Federal government would not approve an extension of enhanced FMAP funding, as counted on in the Financial Plan. Accordingly, it enacted a statute that provided for automatic reductions to most local assistance payments to cover any difference between the \$1.1 billion in savings counted on in the Financial Plan from enhanced FMAP and the actual amount, if any, approved by the Federal government. After enactment of the statute, the Federal government approved an extension of enhanced FMAP, but at a level less than assumed in the Financial Plan. Accordingly, the payment reductions to local assistance spending will take effect, as provided by law.

The Financial Plan may be adversely affected by actions taken by the Federal government, including audits, disallowances, changes in aid levels, and changes to Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government. Most recently, the Federal CMS requested additional information pertaining to claims for services provided to individuals in developmental centers operated by the Office for People with Developmental Disabilities. Among other information, CMS requested that the State provide a detailed description of how these daily rates are developed as well as the current upper payment limit demonstration. Although no official audit has commenced and the State believes that the rates paid for these services are done in accordance with the approved state plan and all applicable Federal regulations, any adverse action by CMS relative to these claims could jeopardize a significant amount of Federal Medicaid participation in this program.

There can be no assurance that the budget gaps in future years will not increase materially from current projections or that the current year will remain balanced. If this were to occur, the State would be required to take additional gap-closing actions. These may include, but are not limited to, additional reductions in State agency operations; suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In nearly all cases, the ability of the State to implement these actions requires the approval of the Legislature or other entities outside of the control of the Executive.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan; and the achievement of cost-saving measures including, but not limited to, administrative savings in State agencies, including workforce management initiatives, and the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year.

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An additional risk is the cost of potential collective bargaining agreements and salary increases for judges (and possibly other elected officials) that may occur in 2010-11 and beyond for the period covering 2007-08 through 2010-11. The Financial Plan includes the costs of a pattern settlement for all unsettled unions, the largest of which represents costs for fiscal years 2009-10 and 2010-11 for NYSCOPBA. There can be no assurance that actual settlements will not exceed the amounts included in the Financial Plan. Furthermore, the current round of collective bargaining agreements expires at the end of 2010-11. The Financial Plan does not include any costs for potential wage increases beyond that point.

At this time, the Financial Plan does not include estimates of the costs or savings that may result changes to the nation's health-care financing system approved by the Federal government in 2010. There is a risk that Federal reforms could have a materially adverse impact on the State's health care system in future years. DOB expects to provide a more comprehensive assessment as events warrant.



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INTRODUCTION

This section describes the State's Financial Plan projections for receipts and disbursements based on the 2010-11 Enacted Budget. The receipts forecast describes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds. The spending projections summarize the annual growth in current services spending and the impact of the Enacted Budget on each of the State's major areas of spending (i.e., Medicaid, school aid, mental hygiene).

Financial Plan projections are presented on an All Funds basis, which encompasses activity in the General Fund, State Operating Funds, Capital Projects Funds, and Federal Operating Funds, thus providing the most comprehensive view of the financial operations of the State.

ECONOMIC FORECAST SUMMARY

THE NATIONAL ECONOMY

DOB's outlook for the national economy has become more uncertain since the release of the amended Executive Budget in February. The nation's recovery from the longest and most severe recession since the 1930s has failed to gain momentum at the pace expected earlier in the year. Although the national labor market has added private sector jobs every month thus far in 2010, the 153,000 jobs added in May, June and July combined were well below the 461,000 jobs added during prior three months. This loss of momentum occurred while hundreds of thousands of temporary Census workers were leaving the workforce. The intervening period also revealed grave debt problems among a number of euro-zone countries. The specter of default by a sovereign nation appeared to send shock waves through the international financial system, resulting in the benchmark 10-year Treasury yield falling below 3 percent and a 200 point decline in the S&P 500. Increased risk aversion and heightened market volatility add a significant degree of uncertainty to an environment already dominated by a historically weak labor market, tight credit, and ongoing deleveraging. These developments have led DOB to revise down its estimate for growth in the second half of the year. The national economy, as measured by real U.S. GDP, is now projected to grow 2.9 percent in 2010, followed by growth of 3.1 percent in 2011.

Despite fiscal and monetary policy actions of historic proportion, the nation's housing market remains weak. Although home prices are stabilizing and the rate at which homes are entering foreclosure appears to have peaked, the level of foreclosure activity continues to depress new home building. The extension of the Federal home buyer's credit through April resulted in a brief spike in real estate activity during the second quarter, but appeared to do little more than spin up future sales, despite record low mortgage interest rates. After two consecutive months of growth, the first since January 2007, construction employment fell again in May and June. DOB has lowered estimated growth in private residential fixed investment to 1.6 percent for 2010, followed by

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growth of 9.9 percent for 2011. The housing market represents a critical economic sector due not only to the direct demand for labor and other building supplies, but also to the indirect demand it generates for autos and other consumer durables.

Although DOB's outlook for employment is only marginally weaker than in February, large downward revisions to wages by the U.S. Bureau of Economic Analysis for 2009 have resulted in a weaker outlook for income growth going forward. U.S. personal income growth of 3.4 percent is now projected for 2010, followed by growth of 4.1 percent for 2011. These downward revisions to the forecast are due almost entirely to weaker wage growth of 2.3 percent projected for 2010 and 4.0 percent for 2011. Consistent with slow job and income growth, as well as depressed equity and real estate markets, consumer demand appears to have weakened since earlier in the year. Correspondingly, the Consumer Price Index fell during all three months of the second quarter, with some components below their year-ago levels in June. DOB has lowered its projected rate of inflation for both this year and next to 1.5 percent. The disinflation we are currently witnessing, combined with a weaker outlook for the second half of 2010, is likely to induce the Federal Reserve to further delay a shift in its policy stance. The central bank's interest rate policy target is likely to remain between zero and 0.25 percent until the first quarter of 2011.

While the current outlook calls for the national recovery to lose some momentum during the second half of 2010, DOB does not foresee the economy falling back into recession. However, there are significant risks to this forecast. Although credit markets have improved substantially since a year ago, households and small businesses continue to have difficulty borrowing and credit continues to contract. Revelations related to the international volume of sovereign debt continue to feed the uncertainty surrounding the global financial system. If the labor market recovery should come to a halt, household spending growth could be even lower. If Federal stimulus spending should proceed more slowly than expected, already struggling state and local governments would have to tighten their belts yet more. On the positive side, global growth could pick up sooner than anticipated, resulting in greater export and earnings growth.

U.S. ECONOMIC INDICATORS			
(Percent change from prior calendar year)			
	2009	2010	2011
	(Actual)	(Forecast)	(Forecast)
Real U.S. Gross Domestic Product	-2.6	2.9	3.1
Consumer Price Index (CPI)	-0.3	1.5	1.5
Personal Income	-1.7	3.4	4.1
Nonagricultural Employment	-4.3	-0.4	1.5

Source: Moody's Economy.com; DOB staff estimates.

THE NEW YORK STATE ECONOMY

The most recent data indicate that the historic decline in State wages in 2009 was even weaker than reflected in the February forecast. DOB now estimates State wages to have fallen 7.2 percent for 2009. However, there are also indications that the State economy picked up substantial momentum during the first quarter, with the State labor market adding private sector jobs month-over-month on a seasonally adjusted basis during the first four months of the year. Consequently, DOB now projects a smaller employment decline of 0.3 percent on an annual average basis for 2010, followed by growth of 1.0 percent for 2011. Private sector jobs are projected to fall 0.5 percent for 2010 and grow 1.2 percent in 2011.

The first quarter also saw stronger bonus payouts within the finance and insurance sector than anticipated in February. Wage growth of 5.1 percent is now projected for 2010. However, going forward, the loss of momentum witnessed nationally, appears to be affecting New York as well. Due to the importance of financial markets to the State economy, the 15 percent equity market correction recently observed is likely to disproportionately affect New York. The most recent data indicate that the State lost over 20,000 private sector jobs in May and June combined. As a result, the upward revisions to employment and wage growth for 2010 are due entirely to stronger first quarter growth than anticipated in February, while projected growth rates for 2011 are virtually unchanged.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, credit and equity market volatility pose a particularly large degree of uncertainty for New York. The Federal government has finally passed the long-awaited financial reform package. The program's impact on the profitability of the State's finance industry is as yet unknown and consequently represents a major risk to DOB's forecast for bonuses and income going forward. Lower bonuses than projected reduce the level of economic activity generated by the spending of those wages. Similarly, should equity markets fail to recover as anticipated, both financial sector income and taxable capital gains realizations could be negatively affected. These effects would ripple through the State economy, depressing both employment and wage growth. An even weaker labor market than projected could also result in lower wages, which in turn could result in weaker household consumption. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonuses growth than projected.

NEW YORK STATE ECONOMIC INDICATORS			
(Percent change from prior calendar year)			
	2009 <u>(Actual)</u>	2010 <u>(Forecast)</u>	2011 <u>(Forecast)</u>
Personal Income	-4.2	4.6	3.8
Wages	-7.2	5.1	3.2
Nonagricultural Employment	-2.9	-0.3	1.0

Source: Moody's Economy.com; New York State Department of Labor; DOB staff estimates.

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2010-11 RECEIPTS FORECAST

Financial Plan receipts comprise a variety of taxes, fees, charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB on a multi-year basis with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

OVERVIEW OF THE REVENUE SITUATION

- The unsteady recovery of the national and New York State economies is expected to translate into receipts growth for the first time in two years. DOB anticipates that the wage growth that began early in 2010 coupled with positive corporate earnings and a rebound in employment later in the year should lead to positive receipts growth in 2010-11.
- After five consecutive years of growth averaging 9.5 percent per year, base receipts slipped by 3 percent in 2008-09 and plunged another 12.3 percent in 2009-10, as the result of the real-estate-led economic implosion which began in 2008.
- The nascent recovery is expected to result in base receipts growth of 2.2 percent in 2010-11 and further improvement in 2011-12 after employment growth returns in earnest. The 2007-08 base receipts All Funds tax receipts peak will not be reached again until 2011-12.
- The return of corporate profits in general, and the financial sector profits so vital to New York's economy in particular, are expected to result in both increases in finance and insurance sector bonuses and stepped up business tax receipts growth in 2010-11 and 2011-12.
- The forecast assumes a shift in taxable capital gains realizations from tax year 2011 into tax year 2010 (resulting in increased 2010-11 receipts) as a result of the expected sunset of preferential Federal tax rates on capital gains on December 31, 2010. If Federal action results in complete or partial continuation of lower rates for all or a portion of taxpayers, these gains will be realized over the long run, not in 2010-11.
- Absent the impact of high income provisions enacted in 2009 and 2010, estimated PIT liability plunged 16.6 percent in 2009 and is projected to increase 11.8 in 2010. The 2009 fall was the result of the continuing overall impact of the recession, and in particular, the impact on the real estate and financial sectors. The high growth rate in 2010 is due, in part, to the expected movement of wages and capital gains realizations from 2011 or later into 2010 to take advantage of expiring lower Federal tax rates. Positive wage and income growth that began in early 2010 and the employment growth anticipated to follow later in the year will also play a role.

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- After a vigorous retreat during 2008-09 and 2009-10, consumer spending on taxable goods and services should improve somewhat during 2010-11, driven by increasing disposable income, employment, and a pickup in vehicle sales after a long drought. Despite this improvement, the pre-recession sales tax collections peak will not be reached until 2011-12.
- The bulk of the \$4.1 billion (7.2 percent) increase in All Funds tax receipts from 2009-10 to 2010-11 is the result of the full-year impact of the 2009 and 2010 high income personal income tax provisions (\$2.1 billion) and other actions taken in the 2010-11 Enacted Budget (\$1.3 billion).

All Funds receipts are projected to total \$134.3 billion, an increase of \$7.5 billion over 2009-10 results. The table below summarizes the receipts projections for 2010-11 and 2011-12.

TOTAL RECEIPTS (millions of dollars)							
	2009-10	2010-11	Annual \$	Annual %	2011-12	Annual \$	Annual %
	Results	Estimated	Change	Change	Projected	Change	Change
General Fund	52,556	54,676	2,120	4.0%	57,807	3,131	5.7%
Taxes	36,997	39,931	2,934	7.9%	42,564	2,633	6.6%
Miscellaneous Receipts	3,888	2,897	(991)	-25.5%	2,859	(38)	-1.3%
Federal Grants	71	60	(11)	-15.5%	60	0	0.0%
Transfers	11,600	11,788	188	1.6%	12,324	536	4.5%
State Funds	81,141	84,783	3,642	4.5%	88,784	4,001	4.7%
Taxes	57,668	61,796	4,128	7.2%	65,573	3,777	6.1%
Miscellaneous Receipts	23,389	22,870	(519)	-2.2%	23,091	221	1.0%
Federal Grants	84	117	33	39.3%	120	3	2.6%
All Funds	126,748	134,296	7,548	6.0%	133,706	(590)	-0.4%
Taxes	57,668	61,796	4,128	7.2%	65,573	3,777	6.1%
Miscellaneous Receipts	23,557	23,014	(543)	-2.3%	23,229	215	0.9%
Federal Grants	45,523	49,486	3,963	8.7%	44,904	(4,582)	-9.3%

Base growth in tax receipts of 2.2 percent is estimated for fiscal year 2010-11, after adjusting for law changes, and should improve further in 2011-12. These projected increases in overall base growth in tax receipts are dependent on many factors:

- Anticipated improvements in overall economic activity, especially in New York City and surrounding counties;
- Improving profitability and compensation gains among financial services companies;
- Continued recovery in the overall real estate market, particularly the residential market; and
- Increases in consumer spending as a result of wage and employment gains.

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PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	2009-10 Results	2010-11 Enacted	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund¹	22,654	24,373	1,719	7.6%	26,265	1,892	7.8%
Gross Collections	41,393	45,086	3,693	8.9%	47,329	2,243	5.0%
Refunds/Offsets	(6,642)	(8,189)	(1,547)	23.3%	(7,752)	437	-5.3%
STAR	(3,409)	(3,299)	110	-3.2%	(3,417)	(118)	3.6%
RBTF	(8,688)	(9,225)	(537)	6.2%	(9,895)	(670)	7.3%
State/All Funds	34,751	36,897	2,146	6.2%	39,577	2,680	7.3%
Gross Collections	41,393	45,086	3,693	8.9%	47,329	2,243	5.0%
Refunds	(6,642)	(8,189)	(1,547)	23.3%	(7,752)	437	-5.3%

¹ Excludes Transfers.

All Funds PIT receipts, which reflect gross payments minus refunds, are estimated at \$36.9 billion for 2010-11, a \$2.1 billion or 6.2 percent increase from the prior year. This is primarily attributable to increases in withholding of \$1.9 billion and current estimated payments of \$1.3 billion. These increases are due to the gradual improvement in the economy and full-year compliance with the temporary rate increase enacted in 2009. The growth in the estimated tax is also partly driven by an expected "spin up" in capital gain realizations in 2010 in anticipation of higher Federal capital gains tax rates after tax year 2010. Receipts from delinquencies are projected to increase \$61 million (5.5 percent) over the prior year and final returns are projected to increase by \$151 million (8.3 percent). The increase in gross receipts is partially offset by higher refunds of \$1.5 billion. This increase reflects the shift of \$500 million in tax year 2009 refunds from the first calendar quarter of 2010 to April 2010 for cash management purposes, plus a one-time decline in 2009-10 refunds associated with an accounting adjustment to the State-city offset. Prior year refunds received in 2010-11 for tax year 2009, which increased by \$516 million to \$5,502 million as a result of the recent economic downturn, also contributed to higher refunds. The following table summarizes, by component, actual receipts for 2009-10 and forecast amounts through 2013-14.

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PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	2009-10	2010-11	2011-12	2012-13	2013-14
	(Results)	(Enacted)	(Projected)	(Projected)	(Projected)
Receipts					
Withholding	29,443	31,301	32,302	32,756	34,835
Estimated Payments	9,028	10,651	11,525	11,478	11,810
Current Year	6,938	8,244	8,280	8,055	8,656
Prior Year*	2,090	2,407	3,245	3,424	3,154
Final Returns	1,822	1,973	2,303	2,106	2,154
Current Year	206	207	207	207	221
Prior Year*	1,616	1,766	2,096	1,899	1,933
Delinquent	<u>1,100</u>	<u>1,161</u>	<u>1,199</u>	<u>1,239</u>	<u>1,316</u>
Gross Receipts	41,393	45,086	47,329	47,579	50,115
Refunds					
Prior Year*	4,986	5,502	5,064	5,304	5,601
Previous Years	468	639	639	639	661
Current Year*	1,250	1,750	1,750	1,750	1,750
State-City Offset*	<u>-62</u>	<u>298</u>	<u>298</u>	<u>298</u>	<u>298</u>
Total Refunds	6,642	8,189	7,752	7,992	8,310
Net Receipts	<u>34,751</u>	<u>36,897</u>	<u>39,577</u>	<u>39,587</u>	<u>41,805</u>

* These components, collectively, are known as the "settlement" on the prior year's tax liability.

The following tables show the (1) tax year PIT liability with and without the 2009 and 2010 high income provisions and (2) these high income provisions segregated by collection components.

PERSONAL INCOME TAX LIABILITY					
(millions of dollars)					
Tax Year	Current Law¹		Constant Law		Difference
	\$ Millions	% Change	\$ Millions	% Change	
2007	35,215	18.4	35,325	18.4	-
2008	31,628	(10.2)	31861.5	(9.8)	-
2009	30,409	(3.9)	26576.0	(16.6)	3,833
2010	34,697	14.1	29710.0	11.8	4,987
2011	35,842	3.3	30902.0	4.0	4,940
2012	34,767	(3.0)	34178.0	10.6	589

¹Includes the impact of the newly enacted itemized deduction limitation for taxpayers earning above \$10 million.

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2009 AND 2010 HIGH INCOME PROVISIONS*						
ALL FUNDS						
(millions of dollars)						
Tax Year		Fiscal Year				Liability Totals
		2009-10	2010-11	2011-12	2012-13	
2009	Withholding	883	0	0	0	
	Estimated Tax	1,659	0	0	0	
	Settlement	0	1,291	0	0	
	Total	2,542	1,291	0	0	3,833
2010	Withholding	1,101	1,344	0	0	
	Estimated Tax	0	2,117	0	0	
	Settlement	0	0	425	0	
	Total	1,101	3,461	425	0	4,987
2011	Withholding	0	1,021	1,444	0	
	Estimated Tax	0	0	2,095	0	
	Settlement	0	0	0	380	
	Total	0	1,021	3,539	380	4,940
Cash Total		3,643	5,773	3,964	380	13,760

* Includes 2009 temporary rate increase and 2009 and 2010 itemized deduction limitations.

All Funds income tax receipts of \$39.6 billion for 2011-12 are projected to increase by \$2.7 billion or 7.3 percent from the prior year. Gross receipts are projected to grow by 5.0 percent, largely reflecting projected improvements in tax year 2011 withholding of \$1 billion (3.2 percent) and current estimated payments of \$36 million (0.4 percent). Extension payments for tax year 2010 are also projected to increase by \$838 million (34.8 percent) compared to the previous year, partly due to Enacted Budget legislation deferring certain business tax credits (\$274 million) and as mentioned above, a "spin up" in capital gain realizations into 2010. Payments from final returns for tax year 2010 are also projected to increase by \$330 million (18.7 percent) and receipts from delinquencies are projected to increase \$38 million (3.3 percent) over the prior year. Refunds are estimated to decrease by \$438 million or 5.3 percent, largely due to lower prior year refunds for tax year 2010, \$274 million of which is attributable to the aforementioned business credit deferral.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund income tax receipts of \$24.4 billion for 2010-11 are expected to increase by \$1.7 billion or 7.6 percent from the prior year. Apart from the changes mentioned above, this increase also reflects a \$109 million decrease in STAR deposits, mainly as a result of Enacted Budget legislation to restructure the New York City STAR income tax rates, partly offsetting an increase in deposits to the RBTF of \$537 million.

2010-11 ALL FUNDS FINANCIAL PLAN

Projected General Fund income tax receipts of \$26.3 billion for 2011-12 represent growth of \$1.9 billion, or 7.8 percent, over the current year. Along with the increase in All Funds receipts noted above, there is a small increase of \$118 million in STAR deposits. Deposits to the RBTF are expected to increase by 7.3 percent, the same percentage increase as projected for net collections since the transfer equals 25 percent of net collections.

PERSONAL INCOME TAX CHANGE FROM EXECUTIVE BUDGET FORECAST								
(millions of dollars)								
	2010-11				2011-12			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund¹	24,874	24,373	(501)	-2.0%	26,051	26,265	214	0.8%
Gross Collections	45,518	45,086	(432)	-0.9%	46,898	47,329	431	0.9%
Refunds/Offsets	(8,075)	(8,189)	(114)	1.4%	(7,671)	(7,752)	(81)	1.1%
STAR	(3,208)	(3,299)	(91)	2.8%	(3,368)	(3,417)	(49)	1.5%
RBTF	(9,361)	(9,225)	136	-1.5%	(9,808)	(9,895)	(87)	0.9%
State/All Funds	37,443	36,897	(546)	-1.5%	39,227	39,577	350	0.9%
Gross Collections	45,518	45,086	(432)	-0.9%	46,898	47,329	431	0.9%
Refunds	(8,075)	(8,189)	(114)	1.4%	(7,671)	(7,752)	(81)	1.1%

¹ Excludes Transfers

Compared to the Executive Budget, 2010-11 All Funds income tax receipts are revised down by \$546 million, or 1.5 percent. This is principally due to a decrease in extension payments of \$593 million (19.8 percent) and final returns of \$220 million (11.1 percent) both of which performed worse than expected for payments related to tax year 2009. Also, refunds are revised up by \$114 million (1.4 percent). These negative adjustments are partially offset by an upward revision to withholding of \$586 million, mainly as a result of stronger-than-expected collections in the first quarter of the current fiscal year.

Compared to the Executive Budget, 2011-12 All Funds income tax receipts are revised upward by \$350 million, or 0.9 percent. This mainly reflects increases in withholding of \$632 million (2.0 percent) partially reduced by a downward revision in extension payments for the 2010 tax year of \$127 million (3.8 percent). Estimated payments for tax year 2011 are revised upward by \$80 million while refunds are projected to be \$81 million higher than the Executive Budget estimate. Estimates for 2011-12 include approximately \$700 million in additional revenue from Enacted Budget legislation that was not included in the Executive Budget.

2010-11 ALL FUNDS FINANCIAL PLAN

PERSONAL INCOME TAX (millions of dollars)					
	2011-12 Projected	2012-13 Projected	Annual \$ Change	2013-14 Projected	Annual \$ Change
General Fund¹	26,265	26,106	(159)	27,581	1,475
Gross Collections	47,329	47,579	250	50,115	2,536
Refunds/Offsets	(7,752)	(7,992)	(240)	(8,310)	(318)
STAR	(3,417)	(3,584)	(167)	(3,772)	(188)
RBTF	(9,895)	(9,897)	(2)	(10,452)	(555)
State/All Funds	39,577	39,587	10	41,805	2,218
Gross Collections	47,329	47,579	250	50,115	2,536
Refunds	(7,752)	(7,992)	(240)	(8,310)	(318)

¹ Excludes Transfers.

All Funds income tax receipts of \$39.6 billion for 2012-13 are projected to remain virtually flat over the prior year. Gross receipts are projected to increase modestly by 0.5 percent and reflect withholding that is projected to grow by 1.4 percent (\$454 million), partially reduced by current estimated payments for tax year 2012 (\$225 million or 2.7 percent) and final returns payments for tax year 2011 (\$197 million or 9.4 percent). Extension payments are expected to increase 5.5 percent (\$179 million) largely due to legislation related to the deferral of certain tax credits. Delinquencies are projected to increase \$40 million or 3.3 percent over the prior year. Growth in total refunds is projected at \$240 million, or 3.1 percent above the prior year, partially reflecting the impact of the deferral of certain tax credits.

General Fund income tax receipts of \$26.1 billion for 2012-13 are projected to decrease by \$159 million, or 0.6 percent from 2011-12, largely due to the expiration of the temporary PIT increase after tax year 2011 (with most of the last fiscal impact of the temporary increase occurring in 2011-12). General Fund receipts for 2012-13 also reflect a \$167 million increase in STAR deposits.

All Funds income tax receipts for 2013-14 are projected to be \$41.8 billion while General Fund receipts are projected at \$27.6 billion.

2010-11 ALL FUNDS FINANCIAL PLAN

USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	2009-10 Results	2010-11 Enacted	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund^{1,2}	8,087	8,810	723	8.9%	8,975	165	1.9%
Sales Tax	7,405	8,083	678	9.2%	8,220	137	1.7%
Cigarette and Tobacco Taxes	456	499	43	9.4%	522	23	4.6%
Alcoholic Beverage Taxes	226	228	2	0.9%	233	5	2.2%
State/All Funds	12,852	14,285	1,433	11.2%	14,567	282	2.0%
Sales Tax	10,529	11,475	946	9.0%	11,685	210	1.8%
Cigarette and Tobacco Taxes	1,364	1,765	401	29.4%	1,821	56	3.2%
Motor Fuel	507	503	(4)	-0.8%	505	2	0.4%
Highway Use Tax	137	134	(3)	-2.2%	140	6	4.5%
Alcoholic Beverage Taxes	226	228	2	0.9%	233	5	2.2%
Taxicab Surcharge	13	85	72	553.8%	85	0	0.0%
Auto Rental Tax	76	95	19	25.0%	98	3	3.2%

¹ Excludes Transfers.

² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees receipts for 2010-11 are estimated to be approximately \$14.3 billion, an increase of \$1.4 billion or 11.2 percent from 2009-10. Sales tax receipts are expected to increase by \$946 million from the prior year due to a base growth increase of 6.7 percent. Due to law changes, sales tax receipts are estimated to increase by \$366 million. The vast majority of the revenue (\$330 million) will come from the elimination of the clothing and footwear tax exemption in 2010-11. Non-sales tax user taxes and fees are estimated to increase by \$487 million from 2009-10, mainly due to an increase in the cigarette tax by \$1.60 and the full enactment of the taxicab surcharge.

General Fund user taxes and fees receipts are expected to total \$8.8 billion in 2010-11, an increase of \$723 million or 8.9 percent from 2009-10. The increase largely reflects an increase in sales tax receipts (\$678 million) and cigarette tax collections (\$43 million).

All Funds user taxes and fees receipts for 2011-12 are projected to be \$14.6 billion, an increase of \$282 million, or 2.0 percent from 2010-11. This increase largely reflects cigarette tax law changes. General Fund user taxes and fees receipts are projected to total \$9.0 billion in 2011-12, an increase of \$165 million, or 1.9 percent from 2010-11.

2010-11 ALL FUNDS FINANCIAL PLAN

USER TAXES AND FEES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2010-11				2011-12			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund^{1,2}	8,452	8,810	358	4.2%	8,836	8,975	139	1.6%
Sales Tax	7,785	8,083	298	3.8%	8,179	8,220	41	0.5%
Cigarette and Tobacco Taxes	438	499	61	13.9%	421	522	101	24.0%
Alcoholic Beverage Taxes	229	228	(1)	-0.4%	236	233	(3)	-1.3%
State/All Funds	14,086	14,285	199	1.4%	15,159	14,567	(592)	-3.9%
Sales Tax	11,064	11,475	411	3.7%	11,627	11,685	58	0.5%
Cigarette and Tobacco Taxes	1,526	1,765	239	15.7%	1,497	1,821	324	21.6%
Motor Fuel	503	503	0	0.0%	505	505	0	0.0%
Highway Use Tax	134	134	0	0.0%	141	140	(1)	-0.7%
Alcoholic Beverage Taxes	229	228	(1)	-0.4%	236	233	(3)	-1.3%
Taxicab Surcharge	85	85	0	0.0%	85	85	0	0.0%
Auto Rental Tax	95	95	0	0.0%	98	98	0	0.0%
Syrup Tax	450	0	(450)	NA	970	0	(970)	NA

¹ Excludes Transfers
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees in 2010-11 have been revised upward by \$199 million from the Executive Budget. All Funds user taxes and fees in 2011-12 have been revised downward by \$592 million from the Executive Budget. These downward revisions are accounted for by the rejection of the syrup excise tax proposal, partially offset by sales and cigarette tax law changes.

USER TAXES AND FEES (millions of dollars)					
	2011-12	2012-13	Annual \$	2013-14	Annual \$
	Projected	Projected	Change	Projected	Change
General Fund^{1,2}	8,975	9,255	280	9,687	432
Sales Tax	8,220	8,502	282	8,937	435
Cigarette and Tobacco Taxes	522	515	(7)	508	(7)
Alcoholic Beverage Taxes	233	238	5	242	4
State/All Funds	14,567	14,978	411	15,558	580
Sales Tax	11,685	12,111	426	12,716	605
Cigarette and Tobacco Taxes	1,821	1,792	(29)	1,763	(29)
Motor Fuel	505	508	3	510	2
Highway Use Tax	140	145	5	142	(3)
Alcoholic Beverage Taxes	233	238	5	242	4
Taxicab Surcharge	85	85	0	85	0
Auto Rental Tax	98	99	1	100	1

¹ Excludes Transfers.
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees are projected to increase by \$411 million in 2012-13 and then increase by \$580 million in 2013-14. These increases are consistent with the DOB forecast for a slow recovery in the economy.

2010-11 ALL FUNDS FINANCIAL PLAN

BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	2009-10 Results	2010-11 Enacted	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund	5,371	5,714	343	6.4%	6,335	621	10.9%
Corporate Franchise Tax	2,145	2,886	741	34.5%	3,172	286	9.9%
Corporation & Utilities Tax	722	685	(37)	-5.1%	743	58	8.5%
Insurance Tax	1,331	1,278	(53)	-4.0%	1,335	57	4.5%
Bank Tax	1,173	865	(308)	-26.3%	1,085	220	25.4%
State/All Funds	7,459	7,692	233	3.1%	8,414	722	9.4%
Corporate Franchise Tax	2,511	3,307	796	31.7%	3,624	317	9.6%
Corporation & Utilities Tax	954	902	(52)	-5.5%	966	64	7.1%
Insurance Tax	1,491	1,410	(81)	-5.4%	1,470	60	4.3%
Bank Tax	1,399	1,023	(376)	-26.9%	1,269	246	24.0%
Petroleum Business Tax	1,104	1,050	(54)	-4.9%	1,085	35	3.3%

All Funds business tax receipts for 2010-11 are estimated at \$7.7 billion, an increase of \$233 million, or 3.1 percent from the prior year. The estimates reflect an increase of \$109 million resulting from tax law changes. The deferral of certain tax credits (\$100 million) and conforming the State bank tax's bad debt provisions to the Federal provisions (\$15 million) are the major tax law changes. Absent these provisions, All Funds business tax receipts are expected to increase by \$124 million or 1.7 percent.

The annual increase in the corporate franchise tax of \$796 million is partially offset by year-to-year decreases in the other business taxes. U.S. corporate profits are expected to increase 24.5 percent in calendar year 2010, contributing to growth of 27.9 percent in year-over-year corporate franchise tax receipts, adjusted for tax law changes. Corporation and utilities and insurance tax receipts are expected to decline modestly as trend liability growth rates in these relatively stable taxes do not surpass the acceleration of cash payments on 2010 liability into the 2009-10 fiscal year that resulted from the increase in the mandatory pre-payment from 30 percent to 40 percent.

All Funds business tax receipts for 2011-12 of \$8.4 billion are projected to increase \$722 million, or 9.4 percent over the prior year reflecting rebound-induced growth rates of 9.6 percent and 24.0 percent in corporate franchise tax and bank tax receipts, respectively. Fiscal Year 2011-12 receipts include \$423 million in tax law changes, virtually all attributable to the tax credit deferral provisions included in the Enacted Budget. Growth adjusted for tax law changes is estimated to be 5.4 percent.

General Fund business tax receipts for 2010-11 of \$5.7 billion are estimated to increase by \$343 million, or 6.4 percent above 2009-10 results. Business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

2010-11 ALL FUNDS FINANCIAL PLAN

General Fund business tax receipts for 2011-12 of \$6.3 billion are projected to increase \$621 million, or 10.9 percent from the prior year. Corporate franchise tax and bank tax receipts are projected to increase 9.9 percent and 25.4 percent, respectively, as the income-based taxes continue to recover.

BUSINESS TAXES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2010-11				2011-12			
	Executive	Enacted	\$	%	Executive	Enacted	\$	%
	Budget	Budget	Change	Change	Budget	Budget	Change	Change
General Fund	5,710	5,714	4	0.1%	5,901	6,335	434	7.4%
Corporate Franchise Tax	2,836	2,886	50	1.8%	2,788	3,172	384	13.8%
Corporation & Utilities Tax	705	685	(20)	-2.8%	739	743	4	0.5%
Insurance Tax	1,268	1,278	10	0.8%	1,323	1,335	12	0.9%
Bank Tax	901	865	(36)	-4.0%	1,051	1,085	34	3.2%
State/All Funds	7,759	7,692	(67)	-0.9%	8,003	8,414	411	5.1%
Corporate Franchise Tax	3,276	3,307	31	0.9%	3,227	3,624	397	12.3%
Corporation & Utilities Tax	922	902	(20)	-2.2%	961	966	5	0.5%
Insurance Tax	1,400	1,410	10	0.7%	1,458	1,470	12	0.8%
Bank Tax	1,076	1,023	(53)	-4.9%	1,232	1,269	37	3.0%
Petroleum Business Tax	1,085	1,050	(35)	-3.2%	1,125	1,085	(40)	-3.6%

Compared to the Executive Budget, 2010-11 All Funds business tax receipts are revised down by \$67 million, primarily due to weaker than expected to-date results in all but the insurance tax, only partially offset by increases due to Enacted Budget provisions not included in the Executive Budget, while General Fund receipts are revised up by \$4 million.

Compared to the Executive Budget, 2011-12 All Funds business tax receipts are revised up by \$411 million and the General Fund is revised up by \$434 million, almost entirely as a result of the full phase-in of the Enacted Budget provision that defers certain tax credits.

2010-11 ALL FUNDS FINANCIAL PLAN

BUSINESS TAXES (millions of dollars)					
	2011-12	2012-13	Annual \$	2013-14	Annual \$
	Projected	Projected	Change	Projected	Change
General Fund	6,335	6,674	339	6,977	303
Corporate Franchise Tax	3,172	3,334	162	3,488	154
Corporation & Utilities Tax	743	766	23	790	24
Insurance Tax	1,335	1,393	58	1,454	61
Bank Tax	1,085	1,181	96	1,245	64
State/All Funds	8,414	8,833	419	9,217	384
Corporate Franchise Tax	3,624	3,839	215	4,045	206
Corporation & Utilities Tax	966	992	26	1,019	27
Insurance Tax	1,470	1,533	63	1,605	72
Bank Tax	1,269	1,379	110	1,453	74
Petroleum Business Tax	1,085	1,090	5	1,095	5

All Funds business tax receipts for 2012-13 and 2013-14 reflect trend growth that is determined in part by expected levels of corporate profits, taxable insurance premiums, electric utility consumption prices, the consumption of telecommunications services, and automobile fuel consumption and fuel prices. Business tax receipts are projected to increase to \$8.8 billion (5.0 percent) in 2012-13, and \$9.2 billion (4.3 percent) in 2013-14. General Fund business tax receipts over this period are expected to increase to \$6.7 billion (5.4 percent) in 2012-13 and \$7.0 billion (4.5 percent) in 2013-14.

OTHER TAXES

OTHER TAXES (millions of dollars)							
	2009-10	2010-11	Annual \$	Annual %	2011-12	Annual \$	Annual %
	Results	Enacted	Change	Change	Projected	Change	Change
General Fund¹	885	1,034	149	16.8%	989	(45)	-4.4%
Estate Tax	864	1,015	151	17.5%	970	(45)	-4.4%
Gift Tax	2	0	(2)	-100.0%	0	0	0.0%
Real Property Gains Tax	(1)	0	1	-100.0%	0	0	0.0%
Pari-Mutuel Taxes	19	18	(1)	-5.3%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
State/All Funds	1,378	1,554	176	12.8%	1,570	16	1.0%
Estate Tax	864	1,015	151	17.5%	970	(45)	-4.4%
Gift Tax	2	0	(2)	-100.0%	0	0	0.0%
Real Property Gains Tax	(1)	0	1	-100.0%	0	0	0.0%
Real Estate Transfer Tax	493	520	27	5.5%	581	61	11.7%
Pari-Mutuel Taxes	19	18	(1)	-5.3%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

¹ Excludes Transfers.

2010-11 ALL FUNDS FINANCIAL PLAN

All Funds other tax receipts for 2010-11 are estimated to be approximately \$1.6 billion, up \$176 million or 12.8 percent from 2009-10 receipts, reflecting growth of 5.5 percent in the real estate transfer tax receipts and 17.5 percent in the estate tax as a result of improved conditions in the equities, real estate and credit markets, combined with strong year-to-date payments from the settlement of large estates.

General Fund other tax receipts are expected to be slightly over \$1.0 billion in fiscal year 2010-11, an increase of \$149 million or 16.8 percent from 2009-10, due to the growth in the estate tax, partially offset by a 5.3 percent decline in the pari-mutuel tax due to the impact of reduced handle.

All Funds other tax receipts for 2011-12 are projected to be nearly \$1.6 billion, up \$16 million or 1.0 percent from 2010-11, reflecting modest growth in the real estate transfer tax, partially offset by a decline in estate tax receipts. General Fund other tax receipts are expected to total \$989 million in fiscal year 2011-12, the result of a decrease of \$45 million in estate tax receipts as increases in household net worth are more than offset by a return to a more normal level of settlements of large estates.

OTHER TAXES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2010-11				2011-12			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund¹	933	1,034	101	10.8%	958	989	31	3.2%
Estate Tax	910	1,015	105	11.5%	935	970	35	3.7%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	20	18	(2)	-10.0%	20	18	(2)	-10.0%
All Other Taxes	3	1	(2)	-64.3%	3	1	(2)	-64.3%
State/All Funds	1,425	1,554	129	9.1%	1,515	1,570	55	3.6%
Estate Tax	910	1,015	105	11.5%	935	970	35	3.7%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	492	520	28	5.7%	557	581	24	4.3%
Pari-Mutuel Taxes	20	18	(2)	-10.0%	20	18	(2)	-10.0%
All Other Taxes	3	1	(2)	-64.3%	3	1	(2)	-64.3%

¹ Excludes Transfers.

All Funds other tax receipts in 2010-11 are revised up by \$129 million from the 21-Day Executive Budget. All Funds other taxes are revised up by \$55 million for 2011-12. These revisions are mainly due to rebounds in equity prices and real estate markets and stronger year-to-date receipts, resulting in upward revisions in the estate tax (\$105 million in 2010-11 and \$35 million in 2011-12) and real estate transfer tax (\$28 million in 2010-11 and \$24 million in 2011-12).

2010-11 ALL FUNDS FINANCIAL PLAN

OTHER TAXES (millions of dollars)					
	2011-12 Projected	2012-13 Projected	Annual \$ Change	2013-14 Projected	Annual \$ Change
General Fund¹	989	1,029	40	1,084	55
Estate Tax	970	1,010	40	1,065	55
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
State/All Funds	1,570	1,715	145	1,838	123
Estate Tax	970	1,010	40	1,065	55
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	581	686	105	754	68
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0

¹ Excludes Transfers.

The 2012-13 All Funds receipts projection for other taxes is slightly more than \$1.7 billion, up \$145 million or 9.2 percent from 2011-12 receipts. Modest growth in the estate tax is projected to follow expected increases in household net worth. Receipts from the real estate transfer tax are projected to increase significantly, reflecting the continuation of the expected rebound in the residential and commercial markets.

The 2013-14 All Funds receipts projection for other taxes of slightly more than \$1.8 billion is up \$123 million or 7.2 percent from 2012-13 receipts. The forecast reflects continued forecast increases in household net worth as well as in the value of real property transfers.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)							
	2009-10 Results	2010-11 Enacted	Annual \$ Change	Annual % Change	2011-12 Projected	Annual \$ Change	Annual % Change
General Fund	3,959	2,957	(1,002)	-25.3%	2,919	(38)	-1.3%
Miscellaneous Receipts ¹	3,888	2,897	(991)	-25.5%	2,859	(38)	-1.3%
Federal Grants	71	60	(11)	-15.5%	60	0	0.0%
State Funds	23,473	22,987	(486)	-2.1%	23,211	224	1.0%
Miscellaneous Receipts ¹	23,389	22,870	(519)	-2.2%	23,091	221	1.0%
Federal Grants	84	117	33	39.3%	120	3	2.6%
All Funds	69,080	72,500	3,420	5.0%	68,133	(4,367)	-6.0%
Miscellaneous Receipts ¹	23,557	23,014	(543)	-2.3%	23,229	215	0.9%
Federal Grants	45,523	49,486	3,963	8.7%	44,904	(4,582)	-9.3%

¹ Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

2010-11 ALL FUNDS FINANCIAL PLAN

All Funds miscellaneous receipts include monies received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$23 billion in 2010-11, a decrease of \$543 million from 2009-10 results, largely reflecting the impact of non-recurring and accelerated receipts to the State during 2009-10. Sources of receipts during 2009-10, which will not recur or will recur in lesser amounts, include 18-A public utility assessments (\$653 million), New York Power Authority contributions (\$158 million), Regional Greenhouse Gas Initiative proceeds (\$90 million), and Battery Park City Authority resources (\$68 million). The total annual decline in miscellaneous receipts also reflects lower bond proceeds available for mental hygiene facility capital improvement (\$101 million), lower HCRA receipts (\$123 million) and lower receipts from refunds, credits and reimbursements (\$101 million). These annual declines were partly offset by growth in other areas, primarily to SUNY revenue growth from expansions at the three SUNY teaching hospitals, enrollment growth, and greater bond proceeds available for SUNY capital projects (\$530 million), and increased lottery fund receipts (\$380 million) which reflect the one-time receipt of the franchise fee for rights to develop a VLT facility at Aqueduct.

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically plans that Federal reimbursement will be received in the State fiscal year in which spending occurs, but timing is often unpredictable. All Funds Federal grants are projected to total \$49.5 billion in 2010-11, an increase of \$4.0 billion from 2009-10 results driven by receipt of Federal ARRA monies.

General Fund miscellaneous receipts collections are estimated to be nearly \$3.0 billion, down just over \$1.0 billion from 2009-10 results. This decrease is primarily due to the loss of a one-time payment from the Power for Jobs Program received in 2009-10 and the timing of an 18-A assessment payment.

All Funds miscellaneous receipts are projected to total \$23.2 billion in 2011-12, an increase of \$215 million from the current year, largely driven by growth in HCRA receipts (\$296 million), growth in bond proceeds generated for mental hygiene facility capital improvements (\$151 million) and growth in SUNY income from tuition, fees, patient revenues and other income (\$88 million). The projected annual growth in these sources of miscellaneous receipts is partly offset by the non-recurrence of revenues received during 2010-11 for the VLT franchise fee payment (\$380 million).

All Funds Federal grants are projected to total \$44.9 billion in 2011-12, a decrease of \$4.6 billion from the current year, reflecting a decrease in Federal ARRA funding.

General Fund miscellaneous receipts for 2011-12 are projected to decline by \$38 million from the current year, and primarily reflect the loss of certain one-time sweeps and payments expected in 2010-11. These reductions are partially offset by an upward revision to abandoned property for the reason noted above.

2010-11 ALL FUNDS FINANCIAL PLAN

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2010-11				2011-12			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund¹	3,063	2,957	(106)	-3.5%	2,960	2,919	(41)	-1.4%
Miscellaneous Receipts ²	3,003	2,897	(106)	-3.5%	2,900	2,859	(41)	-1.4%
Federal Grants	60	60	0	0.0%	60	60	0	0.0%
State Funds	22,801	22,987	186	0.8%	23,046	23,211	165	0.7%
Miscellaneous Receipts ²	22,740	22,870	130	0.6%	22,985	23,091	106	0.5%
Federal Grants	61	117	56	91.8%	61	120	59	96.7%
All Funds	73,107	72,500	(607)	-0.8%	67,612	68,133	521	0.8%
Miscellaneous Receipts ²	22,928	23,014	86	0.4%	23,123	23,229	106	0.5%
Federal Grants	50,179	49,486	(693)	-1.4%	44,489	44,904	415	0.9%

¹ Excludes Transfers.
² Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

The All Governmental Funds changes in estimated miscellaneous receipts for 2010-11, between the Executive Budget and the Enacted Budget (\$86 million), largely reflect the timing of the one-time franchise fee for development rights at Aqueduct originally projected in 2009-10 (\$380 million), partly offset by the timing of receipts from the 18-A public utility assessments, which were received at the end of 2009-10 instead of 2010-11 (\$304 million).

The decline in estimated Federal Grants for 2010-11 since the Executive Budget (\$693 million) largely reflects the reduced enhanced FMAP benefit approved by Congress and changes in the assumed timing of Federal ARRA grants, initially expected at the end of 2009-10, now being received during 2010-11.

General Fund miscellaneous receipts are projected to total nearly \$2.9 billion in 2010-11, a decrease of \$106 million from the Executive Budget. Revisions to the forecast primarily reflect the timing of an 18-A assessment, which has been partially offset by an upward revision to abandoned property estimates reflecting actions taken with the Enacted Budget to reduce certain dormancy periods, and a sweep from the Battery Park City Authority.

All Funds Federal grants are projected to total \$44.9 billion in 2011-12, virtually unchanged from 2010-11.

General Fund miscellaneous receipts projections for 2011-12 are revised downward by \$41 million from the Executive Budget which primarily reflects the rejection of certain Executive Budget proposals.

2010-11 ALL FUNDS FINANCIAL PLAN

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)					
	2011-12 Projected	2012-13 Projected	Annual \$ Change	2013-14 Projected	Annual \$ Change
General Fund	2,919	2,884	(35)	2,832	(52)
Miscellaneous Receipts ¹	2,859	2,824	(35)	2,772	(52)
Federal Grants	60	60	0	60	0
State Funds	23,211	22,909	(302)	22,938	29
Miscellaneous Receipts ¹	23,091	22,789	(302)	22,818	29
Federal Grants	120	120	0	120	0
All Funds	68,133	66,076	(2,057)	67,760	1,684
Miscellaneous Receipts ¹	23,229	22,928	(301)	22,955	27
Federal Grants	44,904	43,148	(1,756)	44,805	1,657

¹ Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts are projected to decrease by \$302 million in 2012-13, and increase by \$29 million in 2013-14.

The loss of Federal ARRA aid drives the All Funds Federal grant declines of \$1.8 billion in 2012-13. Annual growth of \$1.7 billion returns in 2013-14.

General Fund miscellaneous receipts and Federal grants are projected to be \$2.9 billion in 2012-13, and \$2.8 billion in 2013-14.

ENACTED BUDGET REVENUE ACTIONS

To preserve essential services while closing an \$8.2 billion budget gap for the 2010-11 fiscal year, the Enacted Budget and separately enacted legislation authorize a number of revenue actions.

On a General Fund basis, actions in the Enacted Budget and separately enacted legislation will together increase tax or other revenue by a total of \$937 million (\$1.4 billion All Funds) in 2010-11.

INCREASED TAXES OR FEE LIABILITY

(General Fund: \$562 million, All Funds: \$835.1 million in 2010-11)

- **Tax Actions.** The Enacted Budget contains seven tax actions that will produce \$747 million in 2010-11 All Funds revenue.
- **Loophole Closing Actions.** The Enacted Budget contains five actions that close loopholes and ensure that tax burdens are fairly distributed. These actions are expected to produce \$44.0 million in additional revenue on an All Funds basis in the 2010-11 fiscal year.

2010-11 ALL FUNDS FINANCIAL PLAN

- **New or Increased Fees.** The Enacted Budget contains new and increased legal fees as well as waste fees. These fees are expected to produce \$44.1 million in revenue on an All Funds basis in the 2010-11 fiscal year.

OTHER ACTIONS

(General Fund: \$395 million, All Funds: \$560 million in 2010-11)

- **Tax Enforcement Actions.** The Enacted Budget contains four actions that will improve tax audit and compliance activities. These actions are expected to produce \$372 million in additional tax revenue on an All Funds basis in the 2010-11 fiscal year. A significant portion (\$150 million) of this revenue will be generated by cigarette tax enforcement on sales by Native American tribes to non-tribal members.
- **Other Revenue Actions.** The Enacted Budget contains five other revenue actions, including expanding Quick Draw and video lottery terminal operations, and changing dormancy periods for certain types of abandoned property among other actions. These five actions are expected to produce \$152 million in revenue on a General Fund basis and \$202 million on an All Funds basis in the 2010-11 fiscal year.
- **New or Expanded Tax Credits/Exemptions.** The Enacted Budget contains five new or expanded tax credits at a cost of \$15 million on an All Funds basis in the 2010-11 fiscal year.
- **Technical Corrections and Extenders.** The Enacted Budget contains two extenders that will maintain both the pari-mutuel tax and major provisions of the bank tax, and temporary GLB provisions and five technical corrections that will amend previously enacted items. These five actions preserve current revenue or tax benefits.
- **New or Expanded Fines.** The Enacted Budget contains two fines that help protect the State's wetlands and mineral resources. These fines are expected to produce \$1 million in revenue on an All Funds basis during the 2010-11 fiscal year.

2010-11 ALL FUNDS FINANCIAL PLAN

TAX ACTIONS

ENACTED BUDGET REVENUE ACTIONS/AGREEMENT (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
TAX ACTIONS				
Temporarily Reduce Sales Tax Clothing Exemption	330.0	330.0	210.0	210.0
Itemized Deduction Limitation	100.0	100.0	135.0	135.0
Other Tobacco Products Increase	30.0	30.0	48.0	48.0
Repeal Vendor Credit for Monthly Filers	17.0	17.0	23.0	23.0
Apply Sales Tax to Hotel Reseller Markup	10.0	10.0	20.0	20.0
Cigarette Tax Increase	-	260.0	-	272.0
Add Back Federal Sales Tax Deduction	-	-	20.0	20.0
TOTAL TAX AND ASSESSMENT ACTIONS	487.0	747.0	456.0	728.0

- **Temporarily Reduce Sales Tax Clothing Exemption.** Eliminates State sales and compensating use tax exemptions for clothing and footwear sold for less than \$110 per item for the period October 1, 2010, through March 31, 2011; exempts clothing and footwear sold for less than \$55 per item for the period April 1, 2011, through March 31, 2012; and restores the original exemption of \$110 on April 1, 2012.
- **Itemized Deduction Limitation.** Decreases the percentage of allowable remaining itemized deductions from 50 percent to 25 percent for taxpayers with New York adjusted gross income of \$10 million or more for tax years 2010 through 2012.
- **Other Tobacco Products Increase.** Increases the tobacco products tax to 75 percent of the wholesale price from 46 percent; increases the tax on snuff to \$2.00 per ounce from \$0.96 per ounce; and creates a new category under the tobacco products tax imposing a tax on "little cigars" at a rate equivalent to the cigarette tax rate.
- **Repeal Vendor Credit for Monthly Filers.** Repeals the vendor credit for monthly sales tax filers. Quarterly and annual filers will continue to receive the credit.
- **Apply Sales Tax to Hotel Reseller Markup.** Clarifies that room remarketers are required to collect sales and New York City occupancy taxes.
- **Increase Cigarette Excise Tax by \$1.60 per Pack.** Increases the State cigarette excise tax from \$2.75 per pack to \$4.35 per pack.
- **Add Back Federal Sales Tax Deduction.** Requires itemizing taxpayers who elect to deduct sales tax instead of income tax for Federal purposes to reduce their New York itemized deductions by the amount of sales tax deducted for Federal purposes.

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LOOPHOLE CLOSING ACTIONS

LOOPHOLE CLOSING ACTIONS (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
S Corp Gains and Installment Inc. as Taxable for Non-Res.	29.0	29.0	14.0	14.0
Conform to Federal Bad Debt Provisions	15.0	15.0	15.0	15.0
Define Flow-Through Entities as Taxpayers for Certain Credits	-	-	12.0	12.0
Treat Compensation for Past Service as Taxable for Non-Res.	-	-	25.0	29.0
Make REITs/RICs Loophole Closer Permanent	-	-	-	-
TOTAL LOOPHOLE CLOSING ACTIONS	44.0	44.0	66.0	70.0

- **Treat S Corp Gains and Installment Income as Taxable for Non-Residents.** Eliminates three related tax loopholes that allow non-residents to avoid taxation by converting underlying S Corporation assets to stock or receiving installment income after termination of S Corporation nexus to New York. Previously, gains on stock and such installment income were considered intangible income and were therefore not subject to tax for non-residents.
- **Conform to Federal Bad Debt Provisions.** Conforms the State bank tax deduction for bad debts to the calculations provided for in the Internal Revenue Code for Federal tax purposes.
- **Define Flow-Through Entities as Taxpayers for QETC and Biofuel Credit Claims.** Eliminates the ability of individual shareholders in flow-through entities (i.e. partnerships, LLCs, and S Corporations) to each claim up to the statutory cap for a taxpayer when claiming the Biofuel and QETC facilities, operations and training credits. The cap will apply to the entity, just as it does for C Corporations.
- **Treat Compensation for Past Services as Taxable for Non-Residents.** Eliminates a tax loophole that permitted a non-resident to receive income – without paying New York taxes – for past services (e.g. termination pay) conducted during a period when their employer had a New York nexus. Such income had been nontaxable.
- **Make REITs/RICs Loophole Closer Permanent.** Makes permanent the provisions that address the closely-held Real Estate Investment trusts and Regulated Investment Companies loophole, which would have otherwise expired on December 31, 2010.

2010-11 ALL FUNDS FINANCIAL PLAN

NEW OR INCREASED FEES

NEW OR INCREASED FEES (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
Increased Legal Fees	31.0	41.0	42.0	56.0
Hazardous Waste Fees	-	2.1	-	2.1
E-Waste Fee	-	1.0	-	0.5
TOTAL NEW OR INCREASED FEES	31.0	44.1	42.0	58.6

- **Legal Fees.** Establishes new and increased fees to fund civil legal services, indigent defense, and costs of court operations. A new \$95 fee will be paid by plaintiffs (banks and credit card companies) in consumer credit cases. A new \$500 “credentialing” fee will be paid by persons who sit for the bar examination and were educated outside the country. A new \$190 fee will be charged at the time the index fee is paid in a foreclosure action. The criminal history search fee, which is paid when the Office of Court Administration performs background checks, will be raised from \$55 to \$65. The biennial bar registration fee will be raised from \$350 to \$375.
- **Hazardous Waste Fees.** Amends Environmental Conservation Law to consolidate two separate sliding-scale fees paid by hazardous waste generators into a single fee of \$130 per ton.
- **E-Waste Fee.** Establishes a statewide electronic equipment reuse and recycling program. It will require manufacturers to accept for recycling or reuse electronic waste for which it is the manufacturer from consumers in the State and accept one piece of electronic waste if offered by a consumer, with the purchase of a piece of equipment of the same type beginning April 1, 2011. It will establish registration requirements for manufacturers of covered electronic equipment sold in the State, and require each manufacturer of covered electronic equipment to register with DEC by January 1, 2011, and pay a \$5,000 registration fee; and require any person who becomes a manufacturer after January 1, 2011, to register with DEC before selling or offering for sale covered electronic equipment in the State.

TAX ENFORCEMENT ACTIONS

ENFORCEMENT ACTIONS (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
Improve Audit and Compliance	221.0	221.0	221.0	221.0
Native American Tax Enforcement	36.0	150.0	48.0	200.0
Require Informational Returns for Credit and Debit Cards	-	-	-	-
False Claims Act	1.0	1.0	2.0	2.0
TOTAL ENFORCEMENT ACTIONS	258.0	372.0	271.0	423.0

- **Improve Audit and Compliance.** The Commissioner of Taxation and Finance will increase compliance staff and re-direct department resources to generate \$221 million in additional annual revenue.

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- **Native American Tax Enforcement.** Requires all cigarettes sold to Native American nations or tribes and reservation cigarette sellers to bear a tax stamp and allows the governing body of a Native American nation or tribe two options for tax-exempt sales to its tribal members.
- **Require Informational Returns for Credit and Debit Cards.** Mirrors Federal requirements by requiring certain financial institutions to also file information returns with the State annually regarding amounts of credit/debit card settlements and third-party network transactions.
- **False Claims Act.** Removes the exemption for tax fraud from the State False Claims Act, allowing citizens to bring legal action against tax cheats and share in the proceeds of the case if the action is successful.

OTHER REVENUE ACTIONS

OTHER REVENUE ACTIONS (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
Tax Credit Deferral	100.0	100.0	970.0	970.0
Change Abandoned Property Dormancy Periods	35.0	35.0	45.0	45.0
Repeal Private Label Credit Card Law	17.0	17.0	23.0	23.0
VLT Provisions	-	30.0	-	55.0
Quick Draw Provisions	-	20.0	-	31.0
TOTAL OTHER REVENUE ACTIONS	152.0	202.0	1,038.0	1,124.0

- **Tax Credit Deferral.** Allows \$2 million in aggregate credit at the taxpayer level for tax years 2010, 2011, and 2012. The total amount of credits deferred under this proposal will be paid back to taxpayers over tax years 2013, 2014, and 2015. This provision affects personal income and corporate income taxpayers. The credits impacted are business-related credits. Personal income tax credits such as the child credit and EITC are excluded.
- **Change Abandoned Property Dormancy Periods.** Reduces the dormancy period for receipts from unclaimed goods from five to three years and non-bank money orders seven to five years.
- **Repeal Private Label Credit Card Law.** Repeals Tax Law §1132(e-1), which allowed private label credit card lenders, as well as vendors who use private label credit card lenders to finance their credit card sales, to claim a sales tax credit or refund on accounts financed by or assigned to the lender that are written or charged off as uncollectible.
- **Extend VLT Hours of Operation.** Increases the authorized hours of operation of VLTs from sixteen to 20 hours a day but no later than 4 AM, reduces the commission rate paid to vendor tracks by one percent, and eliminates the sunset of the VLT program.

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- **Quick Draw Hours and Sunset.** Eliminates the restriction on the number of hours that the Division of the Lottery can operate the Quick Draw lottery game and makes the Lottery's authorization to operate the game permanent.

NEW OR EXPANDED TAX CREDITS

NEW OR EXPANDED TAX CREDITS/EXEMPTIONS (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
Narrow Affiliate Nexus Provisions	(5.0)	(5.0)	(5.0)	(5.0)
Expand the Low-Income Housing Tax Credit Program	(4.0)	(4.0)	(4.0)	(4.0)
Historic Properties Tax Credits	(3.0)	(3.0)	(5.0)	(5.0)
Livery Vehicle Sales Tax Exemption	(3.0)	(3.0)	(3.0)	(3.0)
Extend and Expand Film Tax Credit	-	-	-	-
Excelsior Jobs Program	-	-	-	-
TOTAL NEW OR EXPANDED TAX CREDITS/EXEMPTIONS	(15.0)	(15.0)	(17.0)	(17.0)

- **Narrow Affiliate Nexus Provision.** The affiliate nexus provision contained within the 2009-10 Enacted Budget is amended by narrowing the definition of a sales tax vendor by providing that certain in-State activities of an affiliate do not make the seller a vendor.
- **Expand the Low-Income Housing Tax Credit Program.** The Commissioner of the Division of Housing and Community Renewal is authorized to allocate an additional \$4 million in aggregate credit awards to taxpayers that develop qualifying housing projects for low-income New Yorkers. Credits are given in equal installments for a ten-year period. As such, the total amount of credits that will be awarded from this new authorization will be \$40 million.
- **Historic Properties Tax Credits.** Allows banks and insurance companies to claim the nonresidential tax credit and sunsets the higher residential and non-residential caps enacted in 2009 on December 31, 2014.
- **Livery Vehicle Sales Tax Exemption.** This provision exempts transportation delivered by livery vehicles that both originates and terminates in New York City from the State and New York City sales taxes.
- **Extend and Expand Film Tax Credit.** Provides an additional film tax credit allocation of \$420 million per year for tax years 2010 through 2014, \$7 million of which is dedicated to a new post-production credit. This measure also imposes various reforms to enhance the State's return on investment. They include requirements that the recipient: conduct at least 10 percent of shooting days at a qualified facility; include an end-credit acknowledging financial support from New York State or provide a New York promotional video as part of the film or DVD release in the secondary market; ensure only purchases of taxable property and services from registered sales tax vendors are eligible in the credit calculation; ensure at least 75 percent of post-production costs are incurred in New York in order to be considered a qualified cost.

2010-11 ALL FUNDS FINANCIAL PLAN

- **Create Excelsior Jobs Program.** Establishes a new economic development program to provide incentives based on job creation, investment, and research and development expenditures in New York State. The new program maximizes the return on State investment by capping both total program and individual project costs, allowing only targeted industries to participate, and requiring substantial job and investment thresholds to be met and maintained prior to any project claiming benefits.

TECHNICAL CORRECTIONS AND EXTENDERS

These provisions have no fiscal impact over the Financial Plan period.

- **Extend Major Provisions of the Bank Tax and Temporary GLB Provisions.** Extends for one year bank tax reform provisions from 1985 and 1987, as well as provisions that were intended to temporarily address regulatory changes from the Federal Gramm-Leach-Bliley Act.
- **Extend the Pari-Mutuel Tax.** Extends lower Pari-Mutuel tax rates for one year. Also extends by one year the rules governing the simulcasting of out-of-state races and the authorization for account wagering.
- **Make Technical Corrections to the 2009-10 Enacted Budget Empire Zones Program Changes.** Clarifies that the Legislature intended to decertify certain businesses retroactively to the 2008 tax year, clarifies reporting provisions, and allows qualified investment projects to claim the investment tax credit and employee incentive tax credit after June 30, 2010.
- **Make Technical Corrections to the 2009-10 Enforcement Provisions.** Restores nonfiling as a class E felony, changes mail response requirements for taxpayer reconciliation conferences, corrects typographical error (changes "article one" to "one article"), defines contribution of aircraft from a nonresident to new subsidiary as a retail sale (and therefore taxable), and restores requirement that IDAs file a report when they appoint an agent to manage a project.
- **Amend the Tax on Medallion Taxicab Rides.** Amends the tax on medallion taxicab rides in MCTD by requiring the medallion owner to collect and remit the 50 cent per ride tax.
- **Real Estate Investment Trusts Technical Amendments.** Clarifies that certain publicly traded REITs with fractional ownership shares in non-related United States REITs are not subject to provisions relating to "closely-held" REITs that were enacted in 2008-09.
- **Estate Tax Unified Credit Technical Amendment.** Preserves the \$1 million State unified credit for 2010 despite the expiration of the Federal estate tax.

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NEW OR INCREASED FINES

NEW OR INCREASED FINES (millions of dollars)				
	2010-11		2011-12	
	General Fund	All Funds	General Fund	All Funds
Freshwater Wetlands Fines	-	0.7	-	0.7
Mineral Resources Fines	-	0.3	-	0.3
TOTAL NEW OR INCREASED FINES	-	1.0	-	1.0

- **Freshwater Wetlands Fines.** Makes modest increases in fines and penalties, both civil and criminal, for violations of law applicable to wetlands.
- **Mineral Resources Fines.** Makes modest increases in fines and penalties, both civil and criminal, for violations of law applicable to mineral resources.

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2010-11 DISBURSEMENTS FORECAST

The table below displays estimated annual spending growth from 2009-10 to 2010-11, on an adjusted basis. DOB has made adjustments to the actual and planned disbursements to account for the impact of (a) paying the \$2.06 billion end-of-year school aid payment scheduled for the last quarter of 2009-10 in the first quarter of 2010-11, as authorized in statute and (b) the timing of \$2.0 billion in Federal ARRA “pass through” spending expected in 2009-10. The latter has no impact on the State’s efforts to balance the budget but instead represents Federal stimulus money that must pass through the State’s Financial Plan. The latter adjustment reestimates 2009-10 for approximately \$2 billion pass-through funding that was expected to be spent in 2009-10. See Financial Plan tables for 2009-10 actual results and 2010-11 estimates.

TOTAL DISBURSEMENTS - ADJUSTED FOR PAYMENT DEFERRALS AND ARRA PASS-THROUGH (millions of dollars)							
	2009-10 Adjusted	2010-11 Base	Before Actions		2010-11 Adjusted	After Actions	
			Annual \$ Change	Annual % Change		Annual \$ Change	Annual % Change
State Operating Funds	78,934	85,413	6,479	8.2%	78,998	64	0.1%
General Fund (Excludes Transfers)	48,475	54,094	5,619	11.6%	47,601	(874)	-1.8%
Other State Funds	25,447	25,612	165	0.6%	25,789	342	1.3%
Debt Service Funds	5,012	5,707	695	13.9%	5,608	596	11.9%
All Funds	130,937	139,720	8,783	6.7%	133,827	2,890	2.2%
State Operating Funds	78,934	85,413	6,479	8.2%	78,998	64	0.1%
Capital Projects Funds	7,112	8,568	1,456	20.5%	8,454	1,342	18.9%
Federal Operating Funds	44,891	45,739	848	1.9%	46,375	1,484	3.3%
General Fund, including Transfers	54,262	60,152	5,890	10.9%	53,533	(729)	-1.3%
State Funds	84,094	91,617	7,523	8.9%	85,073	979	1.2%

Adjusted State Operating Funds spending, which includes both the General Fund and spending from other operating funds supported by assessments, tuition, HCRA resources and other non-Federal revenues, is projected to total \$79.0 billion in 2010-11. Adjusted All Funds spending, which includes capital spending and Federal aid in addition to State Operating Funds, is projected to total \$133.8 billion in 2010-11. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agency) in Special Revenue Funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results.

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ADJUSTED STATE OPERATING FUNDS ¹ SPENDING PROJECTIONS			
MAJOR SOURCES OF ANNUAL CHANGE			
(millions of dollars)			
	<u>2009-10</u>	<u>2010-11</u>	<u>Change</u>
Major Functions (Annual Change)			
Health Care:			
Medicaid	11,479	11,675	196
Public Health	2,404	2,523	119
K-12 Education:			
School Aid (State Fiscal Year)	20,374	19,942	(432)
All Other Education Aid	1,693	1,663	(30)
STAR	3,414	3,300	(114)
Higher Education	8,447	8,092	(355)
Social Services:			
Temporary and Disability Assistance	1,360	1,222	(138)
Children and Family Services	2,006	2,148	142
Mental Hygiene	4,360	4,537	177
Transportation	3,941	4,433	492
General State Charges ²	3,594	4,128	534
Debt Service	4,961	5,516	555
All Other (Annual Change)			
Local Government Aid	1,080	791	(289)
Department of Insurance	658	463	(195)
Statewide Agency Operating Reductions ³	0	(500)	(500)
All Other	9,163	9,065	(65)
Total Adjusted State Operating Funds Spending	78,934	78,998	97
¹ Includes General Fund, State Special Revenue and Debt Service Funds.			
² General Fund only. Fringe benefits are allocated to agency budgets outside of the General Fund.			
³ Reductions will be allocated by agency in the Mid-Year Financial Plan, following approval of early retirement and other savings plans.			

2010-11 ALL FUNDS FINANCIAL PLAN

SELECTED PROGRAM MEASURES AND ASSUMPTIONS

Projected current-services disbursements are based on agency staffing levels, program caseloads, formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends, projected economic conditions, and changes in Federal law. All projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Selected assumptions used in preparing the spending projections for the State's major programs and activities are summarized in the following tables.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
	Results	Forecast			
	2009-10	2010-11	2011-12	2012-13	2013-14
Medicaid					
Medicaid Coverage	4,115,363	4,538,817	4,580,270	4,265,869	3,910,295
Family Health Plus Coverage	386,629	388,643	396,816	404,988	413,161
Child Health Plus Coverage	387,292	397,178	406,778	416,378	425,978
Medicaid Inflation	1.7%	0.9%	3.3%	3.1%	3.2%
Medicaid Utilization	2.5%	1.4%	4.9%	4.5%	4.7%
State Takeover of County/NYC Costs (\$000)	<u>\$1,677</u>	<u>\$2,039</u>	<u>\$2,524</u>	<u>\$3,006</u>	<u>\$3,527</u>
- Family Health Plus	\$374	\$405	\$436	\$467	\$475
- Medicaid	\$1,303	\$1,634	\$2,088	\$2,539	\$3,052
Education					
School Aid (School Year) (\$000)	\$21,687	\$20,557	\$23,520	\$25,700	\$28,110
K-12 Enrollment	2,730,000	2,730,000	2,730,000	2,730,000	2,730,000
Public Higher Education Enrollment (FTEs)	567,725	586,385	591,101	585,068	589,675
Tuition Assistance Program Recipients	318,455	322,632	323,632	324,132	324,132
Welfare					
Family Assistance Caseload	386,603	397,263	409,253	417,387	423,733
Single Adult/No Children Caseload	154,401	159,037	165,182	170,765	177,045
Mental Hygiene					
Total: Mental Hygiene Community Beds	<u>82,629</u>	<u>85,334</u>	<u>87,106</u>	<u>89,295</u>	<u>91,328</u>
- OMH Community Beds	34,262	35,780	36,610	37,889	38,952
- OPWDD Community Beds	35,859	36,840	37,747	38,521	39,357
- OASAS Community Beds	12,508	12,714	12,749	12,885	13,019
Prison Population (Corrections)	58,600	57,600	57,000	56,800	56,800

2010-11 ALL FUNDS FINANCIAL PLAN

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	Results	Forecast			
	2009-10	2010-11	2011-12	2012-13	2013-14
Negotiated Salary Increases ¹	3.0%	4.0%	TBD	TBD	TBD
State Workforce ²	131,741	128,165	128,749	TBD	TBD
ERS Pension Contribution Rate: ³					
Before Amortization	7.5%	12.1%	16.1%	20.3%	23.5%
After Amortization	7.5%	9.5%	10.5%	11.5%	12.5%
PFRS Pension Contribution Rate:					
Before Amortization	15.3%	18.3%	23.4%	27.7%	31.4%
After Amortization	15.3%	17.5%	18.5%	19.5%	20.5%
Employee/Retiree Health Insurance Growth Rates	4.8%	4.6%	9.3%	9.2%	9.2%
PS/Fringe as % of Receipts (All Funds Basis)	14.8%	14.2%	15.0%	15.6%	15.4%

¹ Reflects current collective bargaining agreements with settled unions. The Governor withheld Management/Confidential salary increases in 2009-10 and 2010-11. Does not reflect potential impact of negotiated workforce savings.

² Subject to Executive Control.

³ As Percent of Salary.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING DEBT					
	Results	Forecast			
	2009-10	2010-11	2011-12	2012-13	2013-14
State Debt					
Debt Outstanding	\$54,694	\$56,877	\$58,413	\$58,751	\$58,487
Debt Issuances	6,082	5,365	5,368	4,372	3,899
Debt Capacity under Debt Outstanding Cap	6,663	4,547	2,460	2,343	2,769
Debt Service as % of Receipts	4.4%	4.5%	5.0%	5.2%	5.1%
Interest on Variable Rate Debt	2.5%	2.3%	3.3%	3.4%	3.7%
Interest on Fixed Rate 30-Year Bonds	4.9%	5.3%	6.3%	6.3%	6.3%

The spending forecast for each of the State's major programs and activities follows. In general, the forecasts are described in two parts: the current-services estimate for each major functional area or activity and the impact Enacted Budget actions, which together produce the annual change in spending.

HEALTH CARE

INTRODUCTION

The DOH is responsible for statewide public health programs, including the Medicaid program and other insurance programs such as CHP and FHP. DOH works with the local health departments, including New York City, to coordinate statewide health activities, and also operates one hospital, four nursing homes for veterans, and three public health laboratories.

The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. Medicaid finances inpatient hospital care, outpatient hospital services and clinics, nursing homes, managed care, prescription drugs, home care, FHP, and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services) and State operated facilities. The State and Federal shares of Medicaid spending are budgeted and expended principally through DOH (\$39.2 billion in 2010-11), but State share spending also appears in OMH, OPWDD, OASAS, OCFS and SED. Medicaid spending is reported separately in the Financial Plan tables for each of the agencies.

Health care-related spending in other State agencies/program areas includes:

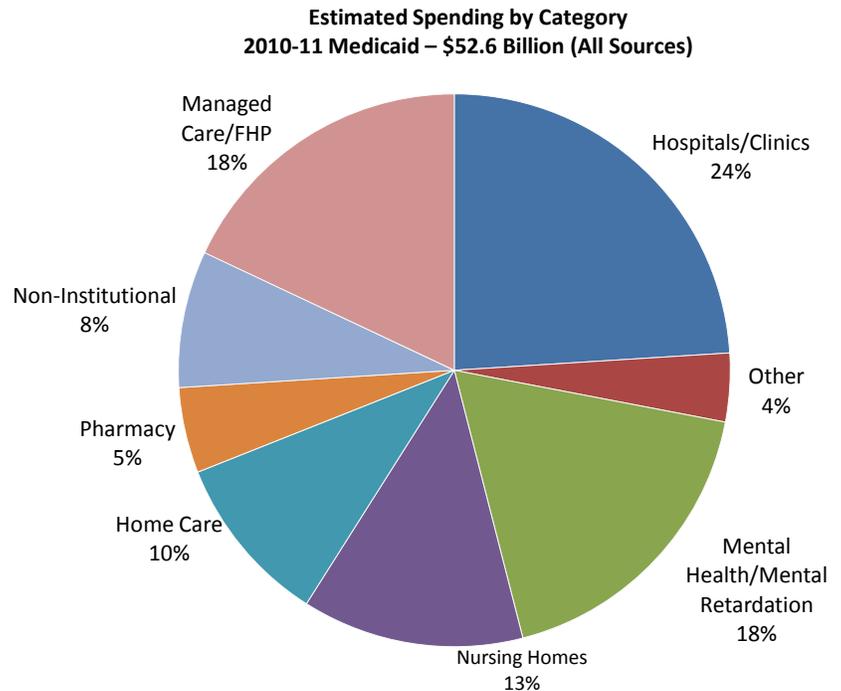
- The State's share of health insurance premiums for current and retired employees and Medicare payroll taxes (\$3.0 billion) reflected under GSCs;
- Non-Medicaid services provided in community-based settings, which includes mental health, substance abuse treatment and developmental disabilities community services (\$325 million);
- Services provided to persons in DOH-operated facilities (\$144 million reflected in other public health spending); and
- Correctional services, for the delivery of health care services to inmates, including pharmaceuticals, clinic care and outside hospital care (\$361 million).

2010-11 ALL FUNDS FINANCIAL PLAN

Statewide Medicaid Program

Medicaid, the largest program in the All Funds Budget, finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers.

The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York's Medicaid spending is projected to total approximately \$52.6 billion in 2010-11, including the local contribution. In August 2010, the U.S. Congress approved a six-month extension of the enhanced FMAP benefit through June 30, 2011. Under enhanced FMAP (which now covers the period from October 2008 through June 30, 2011), the base Federal match rate increases from 50 percent to an average of approximately 62 percent, which results in a concomitant decrease in the State and local share. In 2010-11, the expected contributions are \$14.2 billion from the State, \$31.1 billion from the Federal government and \$7.3 billion from local governments. State spending and Federal reimbursement on Medicaid are included in the All Funds budget total of 45.3 billion, while the local contribution is excluded.



2010-11 STATEWIDE MEDICAID PROGRAM				
TOTAL DISBURSEMENTS¹				
(millions of dollars)				
	General Fund	Other State Support	Federal Funds	All Funds
DOH - Medicaid	7,115	4,560	27,519	39,194
Mental Hygiene - Medicaid	1,905	366	3,612	5,883
OCFS - Medicaid	134	0	0	134
All Other	126	0	0	126
State and Federal Share Total	9,280	4,926	31,131	45,337
Local Share	0	0	0	7,287
Grand Total	9,280	4,926	31,131	52,624

¹Includes Local Assistance, State Operations, and General State Charges.

2010-11 ALL FUNDS FINANCIAL PLAN

As of January 2006, the State pays for the entire non-Federal share of the FHP program and any annual Medicaid increases for counties above a fixed level. In accordance with statutory indexing provisions, 2010 Medicaid payments by local governments will be held to about 3.0 percent over 2009 levels. County and New York City savings from these two local fiscal relief initiatives are expected to total approximately \$2.0 billion during the 2010-11 State fiscal year, an annual increase in local savings of \$362 million over 2009-10 levels.

Department of Health – Medicaid

DEPARTMENT OF HEALTH - MEDICAID (INCLUDING ADMINISTRATION) SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	6,810	7,115	305	4.5%
Other State Support	4,669	4,560	(109)	-2.3%
State Operating Funds	11,479	11,675	196	1.7%
Federal Operating Funds	26,485	27,519	1,034	3.9%
Total All Funds	37,964	39,194	1,230	3.2%

Funding from the ARRA is projected to reduce DOH State-share Medicaid spending by approximately \$3.0 billion in 2009-10 and \$3.4 billion in 2010-11, based on the enacted phased down six month extension. The ARRA is expected to reduce state-share DOH Medicaid spending by \$7.4 billion over the 33-month period during which the higher Federal matching rates are expected to be in effect. Additional Federal funds from the ARRA in other State agencies' Medicaid programs bring the total State benefit \$8.9 billion.

General Fund Medicaid spending is projected to increase by \$305 million or 4.5 percent in 2010-11. This reflects current-services growth, savings actions, and new initiatives recommended in the Enacted Budget described below, as well as a \$385 million annual increase in Federal assistance as a result of the ARRA.

2010-11 ALL FUNDS FINANCIAL PLAN

DEPARTMENT OF HEALTH - MEDICAID (INCLUDING ADMINISTRATION)						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 to 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	6,810	4,669	11,479	0	26,485	37,964
Current Services:	1,939	(733)	1,206	0	1,141	2,347
Program Growth	1,024	12	1,036	0	1,110	2,146
Local Medicaid Cap	331	0	331	0	0	331
Family Health Plus	35	18	53	0	31	84
HCRA Financing	549	(763)	(214)	0	0	(214)
Extraordinary Federal Aid	(385)	0	(385)	0	549	164
Enhanced FMAP - State Share	(162)	0	(162)	0	549	387
Enhanced FMAP - Clawback	(223)	0	(223)	0	0	(223)
Enacted Savings:	(1,249)	624	(625)	0	(656)	(1,281)
Hospital Care	214	(305)	(91)	0	(47)	(138)
Nursing Homes	(73)	0	(73)	0	(103)	(176)
Home Care	(27)	0	(27)	0	(38)	(65)
Pharmaceuticals	(7)	0	(7)	0	(10)	(17)
HCRA	(929)	929	0	0	0	0
Medicaid Audit	(300)	0	(300)	0	(300)	(600)
Managed Care	(61)	0	(61)	0	(86)	(147)
Other	(66)	0	(66)	0	(72)	(138)
2010-11 Enacted	7,115	4,560	11,675	0	27,519	39,194
Annual Change	305	(109)	196	0	1,034	1,230

CURRENT SERVICES

Program Growth: State-share Medicaid spending grows due to several factors, including the increasing cost of providing health care services, a projected rise in the number of recipients, increases in medical service utilization, particularly in managed care and home care programs and the timing of certain payments. The number of Medicaid recipients is projected to exceed 4.7 million in 2010-11, an increase of 10.8 percent over 2009-10 fiscal year. Resources in other state funds are primarily used to offset General Fund spending for Medicaid.

Local Medicaid Cap: Medicaid cap payments, which represent the amount of Medicaid spending above 3 percent that is now paid by the State instead of counties, are projected to total \$1.6 billion in 2010-11, up from an estimated \$1.3 billion in 2009-10.

Family Health Plus: Spending in FHP is primarily attributable to projected enrollment growth in the program.

HCRA Financing: See "HCRA Financial Plan" herein.

2010-11 ALL FUNDS FINANCIAL PLAN

EXTRAORDINARY FEDERAL AID

The ARRA increases the Federal matching rate on eligible State Medicaid expenditures for the period October 1, 2008 through June 30, 2011. The rate then reverts to the traditional 50 percent match for the final nine months of 2011-12. As 2010-11 is now projected to receive a benefit from enhanced FMAP for the entire fiscal year, projected savings due to the ARRA have increased since the release of the Executive Budget.

2010-11 ENACTED SAVINGS

Hospital Care: General Fund savings are achieved by eliminating the 1.7 percent inflationary trend factor for hospitals (\$27 million) and by limiting reimbursement for potentially preventable readmissions (\$10 million). Additional savings in other state funds occur through a reduction in Indigent Care payments to allow for larger Medicaid UPL payments to providers (net \$72 million).

Nursing Homes: Savings in nursing home spending are achieved by eliminating the 1.7 percent inflationary trend factor for nursing homes (\$47 million); capping rate appeal processing (\$16 million); reducing payments for bed-hold days in order to reduce unnecessary hospitalizations (\$7 million); and removing prescription pharmacy costs from nursing home rates to maximize rebate opportunities through fee-for-service reimbursement (\$2 million).

Home Care: Savings are achieved in home care spending through the elimination of the 1.7 percent inflationary trend factor for home care and personal care (\$26 million) and the implementation of certain provisions from the Home Care Association's Efficiency and Improvement Act (\$1 million); and the expansion of the long-term care assessment center (\$1 million).

Pharmaceutical: Actions include the maximization of drug rebate revenue through expanded use of drug rebate dispute resolution (\$4 million); the collection of supplemental rebates on exempt preferred-drug classes (\$2 million); and a reduction in the public notice period before Pharmacy and Therapeutics Committee recommendations can be implemented (\$1 million).

HCRA: Enacted HCRA savings, which are described later in this section, will provide resources that are expected to be used to support Medicaid costs in 2010-11 (\$929 million).

Increase Medicaid Audit: Additional overpayment recoveries and cost avoidance savings are expected to result in savings of \$300 million.

Managed Care: Savings will be achieved by a 1.7 percent reduction in managed care and FHP premiums (\$61 million).

2010-11 ALL FUNDS FINANCIAL PLAN

Other Medicaid Savings: Approved actions include restoring the Insurance Department's ability to review, modify or disapprove proposed premium increases (\$46 million); contracting with an external organization to manage non-emergency medical transportation services (\$8 million); modifying the EI program to streamline enrollment and service provisions (\$7 million); requiring prior approvals for physical therapy and occupational therapy (\$4 million); improving capacity to audit payments on durable medical equipment (\$2 million); and consolidating poison control centers (\$1 million). These savings are partially offset by spending required to fulfill a Federal audit requirement for Disproportionate Share payments (\$2 million).

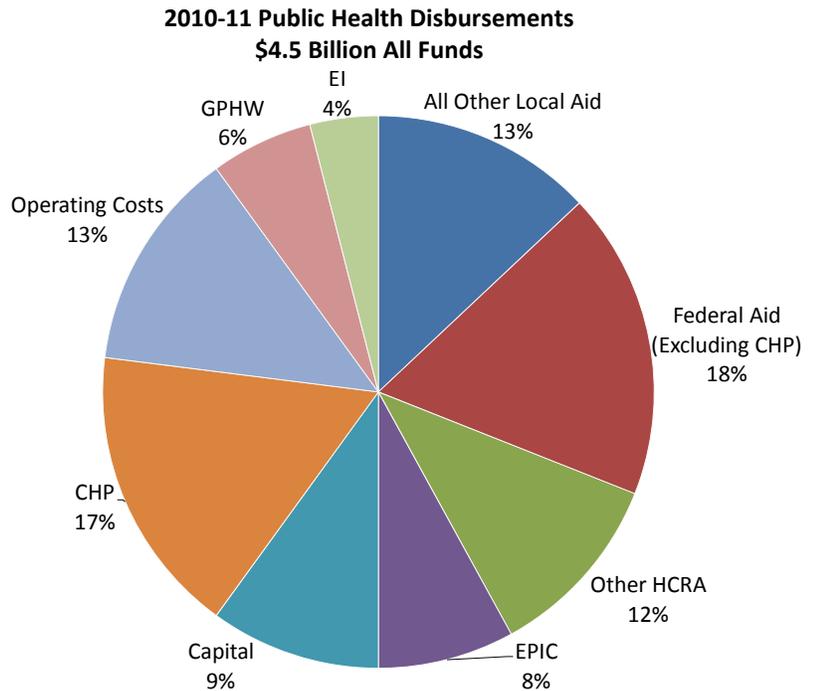
The table below summarizes the annual change in State Operating Funds spending by category of service after the enacted actions:

DEPARTMENT OF HEALTH - MEDICAID (INCLUDING ADMINISTRATION)			
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE)			
FROM 2009-10 TO 2010-11			
(millions of dollars)			
	2009-10		
	Results	Change	2010-11
State Operating Funds	11,479	196	11,675
Enhanced FMAP	(3,040)	(385)	(3,425)
Hospitals/Clinics	3,219	(314)	2,905
Nursing Homes	3,171	16	3,187
Managed Care	2,139	302	2,441
Home Care	2,631	25	2,656
Non-Institutional/Other	1,067	593	1,660
Pharmacy	1,702	(92)	1,610
Family Health Plus	590	51	641

2010-11 ALL FUNDS FINANCIAL PLAN

OTHER PUBLIC HEALTH PROGRAMS

Public health spending in New York is financed by the Federal government, the State, and local governments. Several public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding, but do include Federal aid. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the section entitled “HCRA Financial Plan.”



All Funds spending for public health includes the EPIC Program that provides prescription drug insurance to low-income seniors (\$376 million), the CHP program that finances health insurance coverage for children of low-income families up to the age of 19 (\$787 million), the GPHW program that reimburses local health departments for the cost of providing certain public health services (\$292 million), the EI Program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays (\$202 million), and other HCRA programs (\$527 million). Other spending includes: Federally-financed programs (i.e., Special Supplemental Nutrition Program for WIC) (\$1.3 billion); operating costs for administrative functions, personnel, and five health care facilities (\$813 million); capital spending (\$394 million); and other local aid programs.

PUBLIC HEALTH SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10	2010-11	Annual	Percent
	Results	Enacted	Change	Change
General Fund	889	994	105	11.8%
Other State Support	1,515	1,529	14	0.9%
State Operating Funds	2,404	2,523	119	5.0%
Capital Projects Funds	238	394	156	65.5%
Federal Operating Funds	1,550	1,618	68	4.4%
Total All Funds	4,192	4,535	343	8.2%

2010-11 ALL FUNDS FINANCIAL PLAN

All Funds spending in 2010-11 for public health is projected to total approximately \$4.5 billion, an increase of \$343 million from 2009-10. Spending in State Operating Funds is primarily attributable to EPIC, CHP, GPHW, and EI programs. The Capital Projects Fund supports the HEAL NY program, and Federal aid is provided for the WIC program, disease prevention, health screening, and other public health programs.

Spending on public health will reimburse providers and localities for a share of the costs of operating public health programs (\$3.4 billion), and pay for certain DOH costs including personal service costs (\$365 million), operational expenses (\$443 million), and GSCs (\$66 million), and capital projects to maintain DOH facilities (\$394 million).

General Fund support is expected to increase by \$105 million in 2010-11, reflecting the rising costs of health care offset by enacted savings actions. State-supported public health spending outside of the General Fund is projected to decrease slightly.

PUBLIC HEALTH						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 to 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	889	1,515	2,404	238	1,550	4,192
Current Services:	135	251	386	156	96	638
General Public Health Works	45	0	45	0	0	45
Early Intervention	25	0	25	0	0	25
State Operations	20	1	21	0	1	22
EPIC	0	(39)	(39)	0	0	(39)
Child Health Plus	0	19	19	0	19	38
Other HCRA Programs	0	178	178	0	0	178
HEAL NY Capital	0	0	0	156	0	156
All Other	45	92	137	0	76	213
Enacted Savings:	(30)	(237)	(267)	0	(28)	(295)
Early Intervention	(7)	0	(7)	0	0	(7)
State Operations Reductions	(12)	(9)	(21)	0	0	(21)
General Public Health Works	(7)	0	(7)	0	0	(7)
Reduction of Non-Core Programs	(3)	(7)	(10)	0	0	(10)
EPIC	0	(13)	(13)	0	0	(13)
Program Consolidations	(1)	(4)	(5)	0	0	(5)
Other HCRA Actions	0	(204)	(204)	0	(28)	(232)
2010-11 Enacted	994	1,529	2,523	394	1,618	4,535
Annual Change	105	14	119	156	68	343

2010-11 ALL FUNDS FINANCIAL PLAN

CURRENT-SERVICES

General Public Health Works: Growth is due to a projected increase in municipal claims from 2009-10 to 2010-11.

Early Intervention: Reflects rising program enrollment, as well as the timing of payments.

State Operations: Growth is primarily attributable to increased personal service spending as a result of collective bargaining salary increases.

Elderly Pharmaceutical Insurance Coverage: Savings result from more individuals enrolling in Medicare Part D, coupled with the full annualization of 2009-10 Enacted Budget actions including maximizing the Part D low-income subsidy.

Child Health Plus: Spending growth is primarily attributable to increasing enrollment in the program, as well as the full realization of the costs of program expansion.

Other HCRA Programs: Annual spending growth on HCRA programs is attributable to the removal of a one-time transfer of funds from the Insurance Department to HCRA for costs associated with the Healthy NY and HMO Direct Pay programs which were previously funded by HCRA and are now in the Insurance Budget.

HEAL NY Capital: Reflects projected growth in HEAL NY capital projects to upgrade information and health care technology, enhance the efficiency of facility operations and support facility improvement.

2010-11 ENACTED SAVINGS

Early Intervention: Includes the revision of home and facility-based rates (\$2 million); allowing paraprofessional behavioral aides to deliver services to children with autism (\$2 million); modifying eligibility standards (\$2 million); and other smaller changes to the program (\$1 million).

State Operations Reductions: Operational efficiencies and spending reductions, primarily in Non-Personal Service, are expected to provide savings.

General Public Health Works: Savings are achieved by eliminating state reimbursement for certain optional services including home health care, laboratories, costs associated with the transition of children from the EI program to the Preschool Special Education Program, and hospice (\$7 million).

Reduction of Non-Core Programs: Savings are achieved by reducing or discontinuing several non-core public health programs including the spinal cord injury research program (\$7 million), the health promotion initiative program (\$1 million) and several smaller programs (\$2 million).

2010-11 ALL FUNDS FINANCIAL PLAN

Elderly Pharmaceutical Insurance Coverage: Savings are achieved through reform proposal requiring prescribers to go through a Part D appeals process when Part D denies the cost of a drug. Seniors are provided an emergency supply of needed drugs during appeals process.

Program Consolidations: Savings are achieved through various program reductions within the AIDS and Cancer Services programs.

Other HCRA Savings Actions - See “HCRA” herein.

HCRA

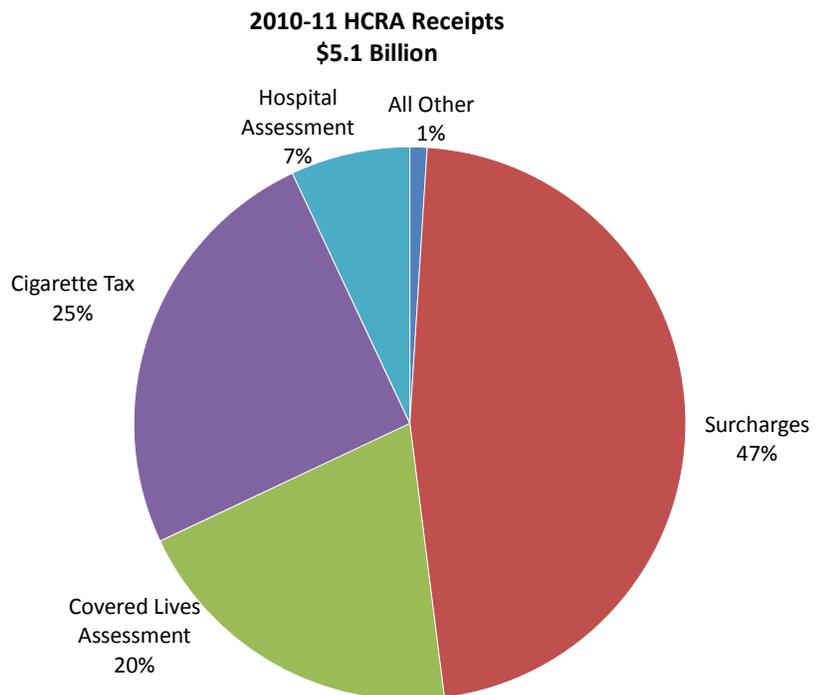
HCRA was established in 1996 to help finance a portion of State health care activities. HCRA spending can be found in the following areas of the budget: Medicaid, Public Health, the State Office for the Aging, and the Insurance Department. Extensions and modifications to HCRA have financed new health care programs, including FHP, and provided additional funding for the expansion of existing programs such as CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the HEAL NY capital program.

The current HCRA authorization expires on March 31, 2011 and is expected to be reauthorized with the 2011-12 budget. The Enacted Budget projects that HCRA will remain balanced through 2013-14. In 2010-11, HCRA receipts are projected to total \$5.1 billion, an increase of \$234 million over 2009-10 results. Disbursements are also estimated at \$5.1 billion, an increase of \$46 million.

HCRA RECEIPTS

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as proceeds from insurance company conversions.

The 2010-11 Enacted Budget includes a \$1.60 increase in the cigarette tax, from \$2.75 to \$4.35 per pack, and increased enforcement of cigarette taxes for cigarettes sold on Native American lands.

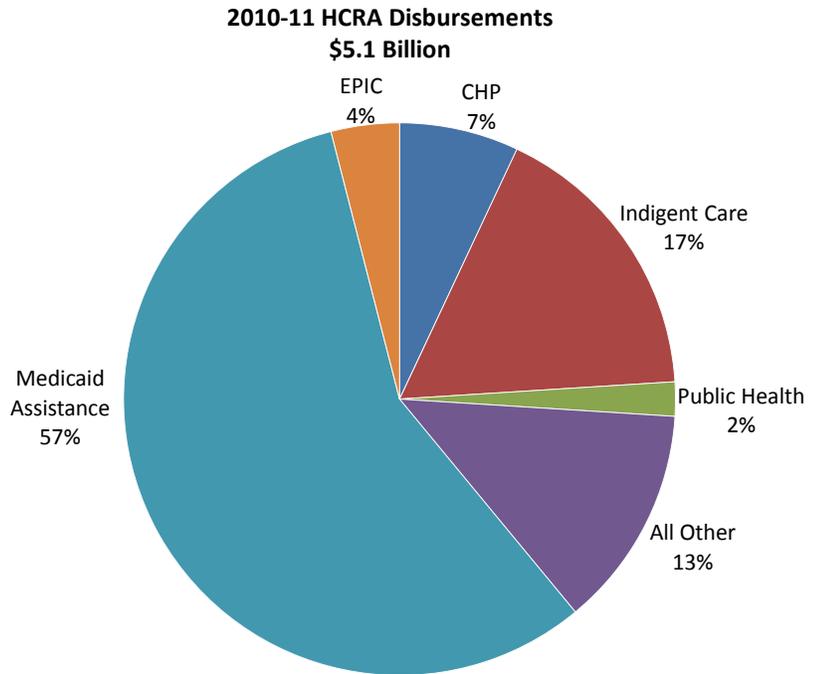


Total 2010-11 receipts are expected to be approximately \$5.1 billion, including surcharges (\$2.4 billion); cigarette tax receipts (\$1.3 billion); covered lives assessment (\$1.0 billion); and a 1 percent hospital assessment (\$329 million).

2010-11 ALL FUNDS FINANCIAL PLAN

HCRA DISBURSEMENTS

Total disbursements of \$5.1 billion are projected in 2010-11. HCRA helps finance Medicaid, EPIC, CHP, and FHP. Other large areas of spending include: Indigent Care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance (\$871 million); Workforce Recruitment and Retention grants and rate adjustments to health facilities (\$198 million); and HEAL NY funds for capital improvement to health care facilities (\$197 million).



HCRA FINANCIAL PLAN 2009-10 THROUGH 2013-14					
(millions of dollars)					
	2009-10 Results	2010-11	2011-12	2012-13	2013-14
Opening Balance	240	26	0	0	0
Total Receipts	4,891	5,125	5,454	5,540	5,621
Surcharges	2,337	2,414	2,454	2,512	2,572
Covered Lives Assessment	1,159	1,045	1,045	1,045	1,045
Cigarette Tax Revenue	910	1,266	1,299	1,277	1,255
Conversion Proceeds	95	0	242	275	300
Hospital Assessment (1 percent)	310	329	349	366	384
All Other	80	71	65	65	65
Total Disbursements	5,105	5,151	5,454	5,540	5,621
Medicaid Assistance Account	2,655	2,945	2,987	3,276	3,477
<i>Medicaid Costs</i>	1,246	1,699	1,689	1,955	2,156
<i>Family Health Plus</i>	579	597	634	657	657
<i>Workforce Recruitment & Retention</i>	282	198	197	197	197
<i>All Other</i>	548	451	467	467	467
HCRA Program Account	370	357	471	471	471
Hospital Indigent Care	1,303	871	788	788	788
Elderly Pharmaceutical Insurance Coverage	192	203	204	220	234
Child Health Plus	325	344	332	354	355
Public Health Programs	114	122	121	121	121
All Other	146	309	551	310	175
Annual Operating Surplus/(Deficit)	(214)	(26)	0	0	0
Closing Balance	26	0	0	0	0

2010-11 ALL FUNDS FINANCIAL PLAN

Enacted Budget actions are expected to allow HCRA to remain in balance. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially impact core HCRA programs. The reauthorizations of HCRA in prior years maintained HCRA's balance without the need for automatic spending reductions.

Given the close relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid expenditures that HCRA finances. This reduces costs that otherwise would have been paid for by the General Fund. The table below summarizes 2010-11 Enacted Budget actions.

HCRA SAVINGS PLAN				
SAVINGS/(COSTS)				
(millions of dollars)				
	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>
Savings/Revenue Initiatives	866	915	909	904
Indigent Care Reduction	305	387	387	387
Increase Cigarette Tax Rate by \$1.60 per Pack	260	270	264	259
Delay Physician Excess Medical Malpractice Payment	127	0	0	0
Enforcement of Cigarette Taxes on Native American Lands	114	152	152	152
Insurance Department Prior Approval	25	53	53	53
Surcharge Revenues from new UPL Payment	17	22	22	22
EPIC Alternative Appeals Process	13	26	26	26
Child Health Plus Rate Controls	5	5	5	5
Reduce or Eliminate Non-Core Spending Areas	55	44	44	44
Eliminate Graduate Medical Education Innovations Pool	37	25	25	25
Reduce Roswell Park Anti-Tobacco Research Transfer	8	8	8	8
Reduce Anti-Tobacco Spending	5	5	5	5
Eliminate Disease Management Demonstration	2	2	2	2
Reduce Infertility Grant Program	1	2	2	2
Eliminate Long-Term Care Insurance Education & Outreach	1	1	1	1
Reduce Maternal & Early Childhood Foundation Program	1	1	1	1
Streamline & Consolidate Spending	9	9	9	9
Consolidate Appropriations for AIDS and Cancer Services Programs	4	4	4	4
State Operations	3	3	3	3
Consolidate Poison Control Centers	2	2	2	2
HCRA New Initiatives	(1)	(2)	(2)	(2)
CHP - Medically Necessary Orthodontia (Federal Mandate)	(1)	(2)	(2)	(2)
Changes to General Fund Financing	(929)	(966)	(960)	(955)
Increase HCRA Medicaid Offload	(929)	(966)	(960)	(955)

2010-11 ALL FUNDS FINANCIAL PLAN

ENACTED SAVINGS

Savings/Revenue Initiatives: Savings and new revenue proposals include a reduction to the Indigent Care reimbursement methodology to allow for larger Medicaid UPL payments (\$305 million); a \$1.60 per pack tax increase on cigarettes sold in the State (\$260 million); changing the timing of the Physician Excess Medical Malpractice payment (\$127 million); increased enforcement of cigarette taxes for cigarettes sold on Native American lands (\$114 million); restoring the State Insurance Department's ability to review, modify and disapprove proposed CHP premium increases based on certain criteria (\$25 million); additional surcharge revenues resulting from new Medicaid UPL payments (\$17 million); an alternative process for EPIC appeals (\$13 million); and rate controls for the CHP program (\$5 million).

Reduce or Discontinue Non-Core Spending Areas: Savings are achieved through the reduction or discontinuation of several non-core HCRA programs, including: the Graduate Medical Education Innovations Pool (\$37 million); the Roswell Park Anti-Tobacco Research Transfer (\$8 million); spending on Anti-Tobacco programs (\$5 million); the Disease Management Demonstration Program (\$2 million); the Infertility Grant Program (\$1 million); the Long Term Care Insurance Education and Outreach Program (\$1 million); and the Maternal and Early Childhood Foundation Program (\$1 million).

Streamline and Consolidate Spending: Programs related to AIDS and Cancer Services will be consolidated into larger appropriations in order to streamline funding and achieve a 5 percent savings in certain areas (\$4 million). State Operations spending in HCRA will be reduced in conjunction with the State Operations savings that are occurring in the General Fund (\$3 million). Additionally, the State's five regional poison control centers will be consolidated into two centers (\$2 million).

HCRA NEW INITIATIVES

New Initiatives in HCRA include additional coverage for CHP medically necessary orthodontia as required by Federal CHIPRA mandates (\$1 million).

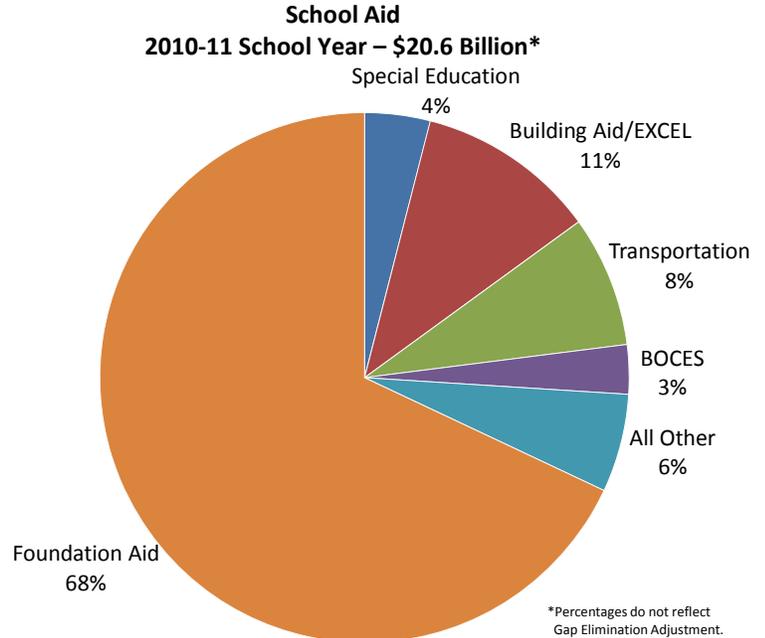
2010-11 ALL FUNDS FINANCIAL PLAN

PREKINDERGARTEN, ELEMENTARY, SECONDARY AND CONTINUING EDUCATION

SCHOOL AID

School aid, the single largest State-financed program, helps support elementary and secondary education for New York pupils enrolled in nearly 680 school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement for categorical programs.

State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for approximately three million students statewide.



MULTI-YEAR SCHOOL AID PLAN									
SCHOOL YEAR BASIS									
(millions of dollars)									
	2009-10	2010-11	Annual \$ Change	2011-12	Annual \$ Change	2012-13	Annual \$ Change	2013-14	Annual \$ Change
Foundation Aid/Academic Achievement Grants	14,894	14,894	0	15,889	995	17,390	1,501	19,073	1,683
Universal Pre-kindergarten	378	378	0	378	0	462	84	564	102
Expense-Based Aids ¹	5,602	5,890	288	6,390	500	6,940	550	7,520	580
Other Aid Categories/Initiatives	813	807	(6)	863	56	908	45	953	45
Gap Elimination Assessment (GEA)	0	(1,412)	(1,412)	0	1,412	0	0	0	0
Total School Aid	21,687	20,557	(1,130)	23,520	2,963	25,700	2,180	28,110	2,410

¹Includes building, transportation, high cost and private education, and BOCES.

School aid funding of \$20.6 billion is approved for the 2010-11 school year. Major components of the school-year recommendation include foundation aid (\$14.9 billion), building aid (\$2.5 billion), transportation aid (\$1.7 billion), BOCES aid (\$735 million) and special education aid (\$820 million). Beyond the 2010-11 school year, school aid is projected to grow by an additional \$3.0 billion in 2011-12 largely reflecting the elimination of the one-time GEA, and \$2.2 billion in 2012-13. School aid is projected to reach an annual total of \$28.1 billion in 2013-14.

2010-11 ALL FUNDS FINANCIAL PLAN

The Enacted Budget reflects a one-time net Gap Elimination Adjustment of \$1.4 billion (which reflects a gross adjustment of \$2.1 billion partially offset by \$726 million in the ARRA funds) to reduce school aid on a per pupil basis, adjusted for each school district's wealth, student needs, and residential property tax burden. Individual school districts incur reductions to total formula-based school aid (excluding building aid and UPK). The Gap Elimination Adjustment is limited to a lesser percentage of total General Fund expenditures for school districts designated as "high need" by SED, and districts deemed to be administratively efficient. The progressive structure of the Gap Elimination Adjustment maintains a core principle of New York State school financing by ensuring that school districts with the greatest needs and limited ability to pay receive the smallest reductions in aid.

FISCAL YEAR IMPACT OF SCHOOL AID PLAN

The State finances school aid from General Fund revenues and from Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a Special Revenue Fund. In school years 2009-10 and 2010-11, extraordinary Federal aid made available under the ARRA is also supporting school aid. In enacting the school aid budget, the State determines the level of funding on a school-year basis (July 1 through June 30). Since the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year, in which it is enacted, with the remaining 30 percent paid in the first three months of the following State fiscal year.

The table below summarizes the State fiscal-year basis funding levels of the recommended school aid proposal. The financial impact of school aid consists of changes in Lottery Fund contributions toward school aid, as well as disbursement variations between the school year and the State's fiscal year, the level of spending growth already budgeted into the State's current-services Financial Plan, and the increase in General Fund resources enacted with the 2010-11 budget to support the school year increase.

SCHOOL AID SPENDING PROJECTIONS: STATE FISCAL YEAR (ADJUSTED)				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	17,548	16,849	(699)	-4.0%
Other State Support	2,826	3,093	267	9.4%
State Operating Funds	20,374	19,942	(432)	-2.1%
Capital Projects Funds	0	0	0	0.0%
Federal Funds	3,235	4,276	1,041	32.2%
Total All Funds	23,609	24,218	609	2.6%
Spending is adjusted to exclude the impact of delaying the end-of-year school aid payment (\$2.06 billion) from March 2010 to the statutory deadline of June 1, 2010, which was done to carry forward the 2009-10 budget shortfall into 2010-11.				

2010-11 ALL FUNDS FINANCIAL PLAN

In State fiscal year 2010-11, adjusted All Funds spending for school aid is projected to total \$24.2 billion and includes General Fund support of \$16.8 billion, other State funds supported by the Lottery Fund of \$3.1 billion, and Federal aid of \$4.3 billion. Federal aid supports a range of services for disadvantaged students, including free and reduced-price school meals.

SCHOOL AID - STATE FISCAL YEAR (ADJUSTED)						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	17,548	2,826	20,374	0	3,235	23,609
Current Services:	<u>1,310</u>	<u>(213)</u>	<u>1,097</u>	<u>0</u>	<u>545</u>	<u>1,642</u>
Assumed Growth	1,097	0	1,097	0	0	1,097
Lottery Fund Changes	213	(213)	0	0	0	0
Federal ARRA Funds	0	0	0	0	535	535
Traditional Federal Aid	0	0	0	0	10	10
Enacted School Aid Savings:	<u>(1,529)</u>	<u>0</u>	<u>(1,529)</u>	<u>0</u>	<u>496</u>	<u>(1,033)</u>
School Aid Assessment to Close Funding Gap	(1,497)	0	(1,497)	0	508	(989)
Overpayment Recoveries (administrative)	(32)	0	(32)	0	0	(32)
Teacher Centers	0	0	0	0	(12)	(12)
Enacted Lottery Savings:	<u>(480)</u>	<u>480</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Aqueduct Franchise Fee	(380)	380	0	0	0	0
Increase Advertising of Lottery Games (administrative)	(50)	50	0	0	0	0
Extend VLT Facility Hours of Operation	(30)	30	0	0	0	0
Eliminate Lottery Quick Draw Restrictions	(20)	20	0	0	0	0
2010-11 Enacted	<u>16,849</u>	<u>3,093</u>	<u>19,942</u>	<u>0</u>	<u>4,276</u>	<u>24,218</u>
Annual Change	(699)	267	(432)	0	1,041	609

CURRENT-SERVICES

Assumed Growth: Growth reflects the balance of the 2009-10 school year increase and the level of spending growth which was already projected in the State's Financial Plan. School aid commitments are made on a July 1-starting school-year basis. Thus, in each fiscal year, there is a "tail" of payments related to the prior-school year increase (roughly 30 percent of the prior-year total). The underlying spending growth in the 2010-11 school year includes projected increases in expense-based reimbursements, and other aid. The increased school aid enables school districts to cover costs associated with providing educational programs for all pupils in prekindergarten through grade 12, including paying teacher salaries and benefits, purchasing equipment and supplies, transporting students, accommodating students with disabilities and special needs, and other operating costs.

2010-11 ALL FUNDS FINANCIAL PLAN

Lottery Fund Changes: The projected decrease in 2010-11 lottery funds available for education spending reflects revisions to the lottery forecast based on year-to-date results and the impact of the 2009-10 lottery aid guarantee (\$123 million). In 2009-10 the General Fund transfer was reduced due to a one-time transaction that invested a portion of lottery prize fund revenues in AAA-rated municipal bonds instead of treasury bonds, realizing a one-time benefit due to the difference in yields.

Federal ARRA Funds: Growth in available Federal funds from the ARRA, including direct General Fund relief from State Fiscal Stabilization Funds (\$287 million), and program-specific pass-through funds (\$248 million), reflects the impact of claiming delays during 2009-10 that are now expected to be paid during 2010-11. These delays were primarily a result of school districts across the State having to adjust their internal claiming procedures to conform to enhanced criteria pertaining to the eligibility of cost reimbursement under the ARRA, most notably that educational costs are only eligible for reimbursement after specific expenditures have already been made by the school district.

Traditional Federal Aid: Reflects growth in the availability of traditional (non-ARRA) Federal funds consistent with year-to-year patterns.

ENACTED SCHOOL AID SAVINGS

School Aid Adjustment to Close Funding Gap: The Enacted Budget includes a one-time gap elimination adjustment of \$1.4 billion to reduce school aid on a per-pupil basis, adjusted for each school district's wealth, student needs, and residential property tax burden. Individual school districts incur reductions to total formula-based school aid (excluding building aid and UPK). The gap elimination adjustment of \$1.4 billion is limited to a lesser percentage of total General Fund expenditures for school districts designated as "high need" by SED, and districts deemed to be administratively efficient. Additional General Fund relief of \$726 million will be financed through the utilization of previously unallocated ARRA Fiscal Stabilization Funds.

2010-11 ALL FUNDS FINANCIAL PLAN

Teacher Centers: Reflects the elimination of State funding for Teacher Centers which provide training services to teachers at numerous locations throughout the State. Teacher Centers are a non-core activity of the State's school aid program, as these Centers do not provide direct services to students. In 2009-10, this program was funded through the ARRA. The reprogramming of the ARRA funds from Teacher Centers will allow these funds to be used for preschool special education during 2010-11.

ENACTED LOTTERY SAVINGS

Aqueduct Franchise Fee: Reflects a updated reflection of the up-front franchise fee payment for VLT development rights at Aqueduct, where operations are expected to begin by April 2012.

Extend VLT Facility Hours of Operation: The enactment of this proposal addresses the request of several video gaming facilities to operate for additional hours each day. By authorizing facilities to operate for additional hours, the State will generate additional VLT revenues to finance education aid.

Eliminate Lottery Quick Draw Hours Restriction: Reflects enacted agreement to lift restrictions imposed on Quick Draw limiting the hours that lottery can operate Quick Draw games. By removing these restrictions the State will generate additional lottery revenues to finance education aid.

SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers across New York. The three components of STAR and their approximate shares in 2010-11 are: the basic school property tax exemption for homeowners (57 percent), the enhanced school property tax exemption for eligible senior citizen homeowners (25 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (18 percent).

Spending for the STAR program reflects reimbursements made to school districts to offset the reduction in property tax revenues. The STAR program exempts the first \$30,000 of every homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$60,100 exemption.

The following table provides a cash-basis summary of 2009-10 STAR spending results compared to the 2010-11 enacted STAR spending.

2010-11 ALL FUNDS FINANCIAL PLAN

STAR SPENDING PROJECTIONS (millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
Cash-Basis State Operating/All Funds	3,414	3,300	(114)	-3.3%
Basic Property Tax Exemption	1,781	1,918	137	7.7%
Enhanced Property Tax	716	783	67	9.4%
New York City PIT Component	917	599	(318)	-34.7%

After enacted budget actions, spending for STAR in 2010-11 is proposed to total \$3.3 billion, a decrease of \$114 million from the 2009-10 fiscal year, and is comprised of \$1.9 billion for the basic property tax exemption, \$783 million for the enhanced property tax exemption, and \$599 million for the New York City PIT component. The annual changes are described in more detail below.

SCHOOL TAX RELIEF SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11 (millions of dollars)	
	<u>All Funds</u>
2009-10 Results	3,414
Current Services:	6
Basic and Enhanced Property Tax Exemption	204
New York City Personal Income Tax Relief	(198)
Enacted Savings:	(120)
Restructure New York City Tax Rate Reduction	(120)
2010-11 Enacted	3,300
Annual Change	(114)

CURRENT-SERVICES

Basic and Enhanced Property Tax Exemption: Current-services spending for the basic and enhanced property tax exemptions in 2010-11 is budgeted to grow by \$137 million and \$67 million respectively, driven by higher participation rates, property tax rates, and property values.

New York City Personal Income Tax Relief: Primarily reflects the 2010-11 annual impact of the savings initiative enacted as part of the 2009-10 Enacted Budget, when the value of the flat refundable credit that is available for New York City resident personal income taxpayers was reduced from \$145 per individual to \$62.50 per individual.

2010-11 ALL FUNDS FINANCIAL PLAN

2010-11 ENACTED SAVINGS

Restructure New York City Tax Rate Reduction: The Enacted Budget reduces the benefit to New York City personal income taxpayers on income over \$500,000. New York City personal income taxpayers will continue to receive the tax rate reduction benefit for the first \$500,000 of income.

OTHER EDUCATION AID

In addition to school aid, education aid is provided for special education services and other programs, including: elementary, middle, secondary and continuing education; cultural education; higher and professional education programs; and Vocational and Educational Services for Individuals with Disabilities. Major programs under elementary, middle, secondary and continuing education address specialized student needs or reimburse school districts for education-related services, including the school lunch and school breakfast programs, non-public school aid and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 48 professions.

OTHER EDUCATION AID SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	1,570	1,541	(29)	-1.8%
Other State Support	123	122	(1)	-0.8%
State Operating Funds	1,693	1,663	(30)	-1.8%
Capital Projects Funds	67	81	14	20.9%
Federal Operating Funds	1,040	1,708	668	64.2%
Total All Funds	2,800	3,452	652	23.3%

Enacted All Funds spending of \$3.5 billion includes funding for special education services (\$2.3 billion), local assistance to other education programs (\$657 million), State operating costs and general State charges (\$418 million), and aid for capital projects (\$60 million). The annual changes are summarized in the following table.

2010-11 ALL FUNDS FINANCIAL PLAN

OTHER EDUCATION AID						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Fund	Federal Operating Funds	Total All Funds
2009-10 Results	1,570	123	1,693	67	1,040	2,800
Current Services:	111	5	116	12	607	735
Special Education Programs	148	0	148	0	177	325
Higher Education Programs	42	0	42	(9)	0	33
Aid to Non-Public Schools	(36)	0	(36)	0	0	(36)
Non-Recurring Legislative Grants	(30)	0	(30)	0	0	(30)
Vocational Services	(14)	0	(14)	0	0	(14)
Cultural Education	(5)	0	(5)	0	0	(5)
Other Capital Expenses	0	0	0	21	0	21
ARRA Pass-Through Spending	0	0	0	0	430	430
Other Changes	6	5	11	0	0	11
Enacted Savings:	(140)	(6)	(146)	(5)	61	(90)
Summer School Special Education	(68)	0	(68)	0	0	(68)
Special Education Federal Offsets	(61)	0	(61)	0	61	0
State Operations	(5)	(6)	(11)	0	0	(11)
Other Recommended Savings	(4)	0	(4)	(5)	0	(9)
Aid to Nonpublic Schools	(2)	0	(2)	0	0	(2)
New Initiatives:	0	0	0	7	0	7
Construction of Education Data Systems	0	0	0	7	0	7
2010-11 Enacted	1,541	122	1,663	81	1,708	3,452
Annual Change	(29)	(1)	(30)	14	668	652

CURRENT-SERVICES

Special Education Programs: The projected increase in spending largely reflects growing enrollment in the Preschool Special Education Program and costs associated with the summer school special education program. Federal Operating Funds growth primarily reflects the delay in direct aid from the ARRA State Fiscal Stabilization Funds for Special Education costs from 2009-10 to 2010-11, a result of Federal guidelines prohibiting counties from claiming contractual costs that were incurred prior to the enactment of the ARRA legislation.

Higher Education Programs: Reflects the delay of payments for higher education programs, including the Higher Education Opportunity Program and Liberty Partnerships, from 2009-10 to 2010-11. The change to current-services also reflects re-estimated disbursements for the capital matching grant program that finances infrastructure improvements for private colleges and universities.

Aid to Non-Public Schools: Reflects aid not disbursed during 2008-09 that was disbursed during 2009-10, increasing 2009-10 spending relative to the 2010-11 Budget.

Non-Recurring Legislative Grants: The 2009-10 Budget included additional one-time spending for legislatively-directed aid targeted to specific school districts and not-for-profit organizations.

Vocational Services: Reflects the timing of payments to service providers.

2010-11 ALL FUNDS FINANCIAL PLAN

Cultural Education: Reflects the timing of payments to libraries.

Other Capital Expenses: Spending growth reflects planned capital disbursements related to the Cultural Education Storage Facility and other rehabilitation projects.

ARRA Pass-Through Spending: Year-over-year spending growth in the ARRA pass-through funds can be attributed to delays in claims submitted by school districts across the State to SED.

Other Changes: Reflects recurring savings related to operational reductions and other actions included in the 2009-10 DRP.

2010-11 ENACTED SAVINGS

Summer School Special Education: The Legislature rejected the Executive proposal to alter the rate of reimbursement to school districts for the costs of summer school special education that would have been more closely aligned with the standard ten-month portion of the academic year. However, the Legislature did not restore associated funding. As such, related liabilities will be paid in future years.

Special Education Federal Offsets: Reflects the utilization of \$61 million in available ARRA funds from the ARRA-Other Governmental Services Fund to offset a portion of General Fund spending for special education costs.

State Operations: Reflects additional reductions to SED's operating budget including savings from strict limits on staffing, improved procurement of energy, vehicles, supplies, technology, and other services, and the annualized impact of the Voluntary Severance Program.

Other Recommended Savings: Includes elimination of State grant funding for schools under registration review; elimination of funding for the Smart Scholars Program; reducing aid to independent colleges; eliminating capital spending associated with the planned expansion of the State Records Center.

2010-11 NEW INITIATIVES

Construction of Education Data Systems: Reflects costs associated with the construction of a State longitudinal data system. Capital funding will be used to expand the information technology infrastructure of teacher/course data, with the goal of better tracking student performance from kindergarten through higher education. The system is an integral part of the State's effort to secure additional Federal "Race to the Top" funding.

2010-11 ALL FUNDS FINANCIAL PLAN

HIGHER EDUCATION

Higher education spending by the State includes administrative and programmatic costs for SUNY, CUNY, and HESC. The higher education budget is currently comprised of General Fund support, tuition and fee revenues, and revenues from self-supported programs such as hospitals, residence halls, and fee-for-service activities.

The SUNY system is the largest public university system in the nation with 64 campuses, including 30 community colleges, and offers a range of academic, professional and vocational programs. Currently, there are approximately 477,000 SUNY students pursuing studies ranging from one-year certificates to doctoral degrees.

The CUNY system is the largest urban public university system in the nation. The State pays for CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. Approximately 260,000 full-time and part-time students are currently enrolled in degree programs at CUNY.

HESC administers the TAP program that provides awards to income-eligible students, administers the New York Higher Education Loan Program and provides centralized processing for other student financial aid programs. The Corporation also provides prospective students with information and guidance on how to finance a college education. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

HIGHER EDUCATION SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted²	Annual Change	Percent Change
General Fund	4,210	3,767	(443)	-10.5%
Other State Support	4,237	4,325	88	2.1%
State Operating Funds	8,447	8,092	(355)	-4.2%
Capital Projects Funds	821	1,101	280	34.1%
Federal Operating Funds	400	480	80	20.0%
Total All Funds	9,668	9,673	5	0.1%

All Funds spending of \$9.7 billion in 2010-11 is comprised of \$7.3 billion for SUNY, \$1.4 billion for CUNY, and nearly \$1.0 billion for HESC. Additional funding for CUNY is paid from a State fiduciary fund (outside the All Funds Financial Plan) that consists primarily of senior college tuition revenues. The annual changes are described in more detail in the following table.

2010-11 ALL FUNDS FINANCIAL PLAN

HIGHER EDUCATION						
SOURCES OF ANNUAL SPENDING INCREASES/(DECREASE) FROM 2009-10 to 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	4,210	4,237	8,447	821	400	9,668
Current Services:	(91)	88	(3)	319	30	346
SUNY/CUNY Senior College Operating Costs	(324)	157	(167)	0	0	(167)
SUNY Hospitals Reclassification	97	(97)	0	0	0	0
SUNY/CUNY Fringe Benefit Costs	73	14	87	0	0	87
HESC Tuition Assistance	35	14	49	0	(4)	45
SUNY/CUNY Community College Local Aid	28	0	28	0	16	44
SUNY/CUNY Capital Projects	0	0	0	319	0	319
SUNY Federal Aid	0	0	0	0	18	18
Enacted Savings:	(352)	0	(352)	(39)	50	(341)
SUNY/CUNY Senior College Operations	(157)	0	(157)	0	0	(157)
SUNY/CUNY Community College Base Aid	(107)	0	(107)	0	50	(57)
HESC TAP Reductions	(34)	0	(34)	0	0	(34)
HESC Reduction of NYHELPS Program	(25)	0	(25)	0	0	(25)
HESC TAP Awards for Two-Year Degree Programs	(19)	0	(19)	0	0	(19)
HESC TAP Academic Standards	(6)	0	(6)	0	0	(6)
HESC Scholarships and Loan Forgiveness	(4)	0	(4)	0	0	(4)
SUNY Capital Reduction	0	0	0	(39)	0	(39)
2010-11 Enacted	3,767	4,325	8,092	1,101	480	9,673
Annual Change	(443)	88	(355)	280	80	5

CURRENT-SERVICES

SUNY/CUNY Senior College Operating Costs: The General Fund decline in SUNY/CUNY senior college operating costs primarily reflects a deferral of \$300 million in CUNY senior college payments from 2008-09 to 2009-10 as part of the 2008-09 deficit reduction, offset by an increase in SUNY and CUNY current services from other State funds. Additional decreases in General Fund spending reflect the annualized savings from reductions taken as part of the State's 2009-10 DRP. The total taxpayer/tuition-supported workforce for SUNY and CUNY is approximately 36,500 positions.

SUNY Hospitals Reclassification: Reflects the movement between Financial Plan categories of operating support provided by the State to the three SUNY teaching hospitals, from a cash transfer to a local assistance payment. There are no additional costs to the State's Financial Plan associated with this reclassification since a reduction is reflected against the State's budgeted transfers.

SUNY/CUNY Fringe Benefit Costs: Spending growth reflects increases in fringe benefit costs.

HESC Tuition Assistance: Additional TAP spending associated with increased enrollment in New York State's institutions of higher education, moderated by the implementation of prior-year reforms.

2010-11 ALL FUNDS FINANCIAL PLAN

SUNY/CUNY Community College Local Aid: Additional base operating aid to both SUNY and CUNY community colleges is associated with growth in enrollment. Final 2009-10 community college base operating aid was provided at a rate of \$2,545 per full-time equivalent student, a level of funding that was reduced pursuant to the 2009-10 DRP. The growth in base aid is financed in part with \$16 million in ARRA funds provided to the State.

SUNY/CUNY Capital Projects: Annual growth in spending reflects continued implementation of SUNY's current capital program.

SUNY Federal Aid: Primarily reflects timing of the Federal payments and increased Federal aid to SUNY for Pell grants, some of which is made available through increased funding from the ARRA.

2010-11 ENACTED SAVINGS

SUNY/CUNY Senior College Operations: The Budget reduces the State General Fund support for senior college operations at SUNY (10 percent on an academic year basis) and CUNY (10 percent on an academic year basis), as well as to the College of Ceramics at Alfred University, and the four statutory campuses at Cornell University. The respective Boards of Trustees for SUNY and CUNY will have the administrative flexibility to implement these program reductions.

SUNY/CUNY Community College Base Operating Aid: Reflects a reduction of \$285 per FTE in State-supported base operating aid to SUNY and CUNY community colleges. In 2010-11 only, an additional reduction in State-supported base aid will be assessed and fully offset through the utilization of ARRA moneys. As a result, in 2010-11 the level of base aid per FTE student will be \$2,260.

HESC TAP Reductions: Savings are primarily generated by assessing a \$75 award reduction on all TAP recipients. Also reflected are savings from several proposals to establish parity and strengthen eligibility criteria. Through the establishment of default parity, a student will be rendered ineligible for TAP if he or she is in default on any New York State or Federal loan, regardless of loan guarantor. Other savings initiatives include the elimination of TAP eligibility for graduate students, the consideration of certain private pension and annuity income during the TAP award calculation process, and the creation of new TAP award schedules for financially independent students.

HESC Reduction of NYHELPS Program: Reflects the Enacted Budget agreement to reduce available funding for the NYHELPS program, a program designed to provide New York residents with access to student loans, because of lower than anticipated costs related to the program.

HESC TAP Awards for Two-Year Degree Programs: Reflects a reduction in the maximum TAP award granted to matriculated students enrolled in certain two-year degree programs from \$5,000 to \$4,000.

2010-11 ALL FUNDS FINANCIAL PLAN

HESC TAP Academic Standards: Reflects savings from raising standards for those students who are not part of a basic skills education program. To maintain TAP eligibility, the number of credits a non-remedial student must earn after two semesters would increase from 9 to 15, and the minimum GPA requirement would increase from a 1.2 to a 1.8.

HESC Scholarships and Loan Forgiveness: Reflects the expiration of certain scholarships and loan forgiveness programs that were not extended as part of the Enacted Budget.

SUNY Capital Reduction: Reflects savings from the reduction of SUNY's bonded capital program for educational facilities and hospitals over a five-year period.

2010-11 ALL FUNDS FINANCIAL PLAN

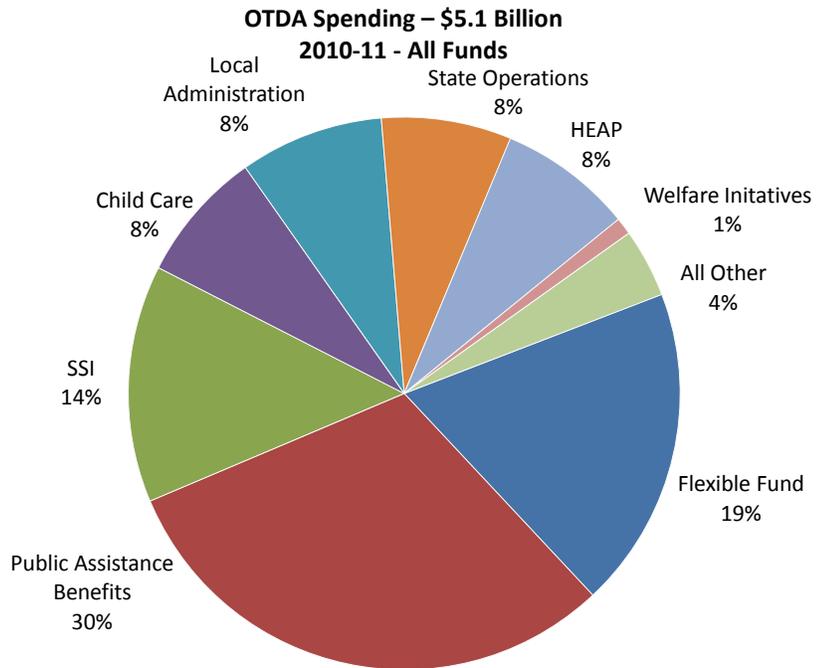
SOCIAL SERVICES

OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, SSI, and Safety Net Assistance. The Family Assistance program, which is financed jointly by the State, the Federal government, and local social services districts, provides employment assessments, support services and time-limited cash assistance to eligible families and children. The State also provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled. The Safety Net Assistance

program, financed jointly by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. Funding is also provided for OTDA State Operations spending which includes staffing related to the disability determinations program; the oversight of local district administration of public assistance, food stamp, and child support enforcement programs; administrative hearings; and the operation of computer systems that support public assistance programs.

The primary Federal funding source for public assistance programs is the TANF block grant. TANF funding is made available to local districts through the Flexible Fund for Family Services (Flexible Fund). Districts are responsible for determining the distribution of their allocation for all non-benefit programs, including child welfare and public assistance administration. Federal funding is also provided through the Food Stamp program, which helps low-income households buy food, and the HEAP, which assists low-income households in meeting their home energy needs.



2010-11 ALL FUNDS FINANCIAL PLAN

TEMPORARY AND DISABILITY ASSISTANCE SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	1,354	1,215	(139)	-10.3%
Other State Support	6	7	1	16.7%
State Operating Funds	1,360	1,222	(138)	-10.1%
Capital Projects Funds	30	35	5	16.7%
Federal Operating Funds	3,884	3,858	(26)	-0.7%
Total All Funds	5,274	5,115	(159)	-3.0%

Spending by program includes: public assistance (\$1.6 billion), the Flexible Fund (\$960 million), SSI (\$707 million), local administration (\$430 million), HEAP (\$400 million), Child Care (\$393 million), State Operations (\$388 million), and welfare initiatives (\$83 million).

The average public assistance caseload is projected to total 556,300 recipients in 2010-11, an increase of 2.8 percent from 2009-10 levels. Approximately 271,358 families are expected to receive benefits through the Family Assistance program, an increase of 3 percent from the current year. In the Safety Net program, an average of 125,905 families are expected to be helped in 2010-11, an increase of 2.5 percent. The caseload for single adults/childless couples supported through the Safety Net program is projected at 159,037, an increase of 3.0 percent.

TEMPORARY AND DISABILITY ASSISTANCE						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	1,354	6	1,360	30	3,884	5,274
Current Services:	212	1	213	5	(295)	(77)
Public Assistance	164	0	164	0	0	164
TANF Initiatives	71	0	71	0	(143)	(72)
SSI Payments	5	0	5	0	0	5
State Operations	8	1	9	0	(12)	(3)
Software Bonding	5	0	5	0	0	5
Back-to-School Initiative	(35)	0	(35)	0	(140)	(175)
Program Eliminations	(6)	0	(6)	0	0	(6)
Capital Projects	0	0	0	5	0	5
Enacted Savings:	(351)	0	(351)	0	269	(82)
Federal TANF	(261)	0	(261)	0	261	0
Program Eliminations	(71)	0	(71)	0	0	(71)
State Operations Reduction	(11)	0	(11)	0	0	(11)
Special Revenue Financing	(8)	0	(8)	0	8	0
2010-11 Enacted	1,215	7	1,222	35	3,858	5,115
Annual Change	(139)	1	(138)	5	(26)	(159)

2010-11 ALL FUNDS FINANCIAL PLAN

CURRENT-SERVICES

Public Assistance: The General Fund increase reflects an estimated 3 percent increase in the public assistance caseload, as well as the increased benefit in the basic allowance portion of the public assistance grant enacted in the 2009-10 Budget.

TANF Initiatives: Additional General Fund spending is assumed to replace, or compensate for, reductions in Federal TANF moneys expected to be available for ongoing welfare programs, including: Summer Youth Employment, Bridge, Supplemental Homeless Intervention, Supportive Housing for Families, Wage Subsidy, and Wheels for Work. The decline in Federal operating spending reflects the loss of Federal support for initiatives that have historically been funded with the TANF block grant. Such initiatives include: the Summer Youth Employment program, Supportive Housing for Families, Emergency Homeless program, Non-Residential Domestic Violence, CUNY/SUNY Child Care, Community Solutions to Transportation, and Wage Subsidy.

Supplemental Security Income Payments: SSI payments are expected to increase due to caseload growth and federally-imposed administrative fee increases.

State Operations: Primarily reflects salary increases under existing collective bargaining agreements and inflationary growth in non-personal services and workforce savings.

Software Bonding: Reflects the use of debt financing for Welfare Management System software development costs in 2009-10, as well as the associated debt service costs.

Back-to-School Initiative: Reflects one-time spending in 2009-10 under the Back-to-School Assistance program that was financed entirely through a private donation and the TANF Emergency Contingency Fund.

Program Eliminations: Reflects funding for certain legislative programs enacted in 2009-10 on a one-time basis, including the Green Jobs Corp Program, Health Care Jobs Program, and Low-Income Worker Initiative.

Capital Projects: Reflects increased project expenditures in the Homeless Housing Assistance Program.

2010-11 ENACTED SAVINGS

Federal TANF: Additional money through the TANF Emergency Contingency Fund is expected to be available to finance public assistance that would otherwise be funded with State Funds.

Program Eliminations: State Funds initially set aside to replace TANF funding for several welfare programs (including Summer Youth Employment, Bridge, Supplemental Homeless Intervention Supportive Housings for Families, Wage Subsidy, and Wheels for Work), has been eliminated.

2010-11 ALL FUNDS FINANCIAL PLAN

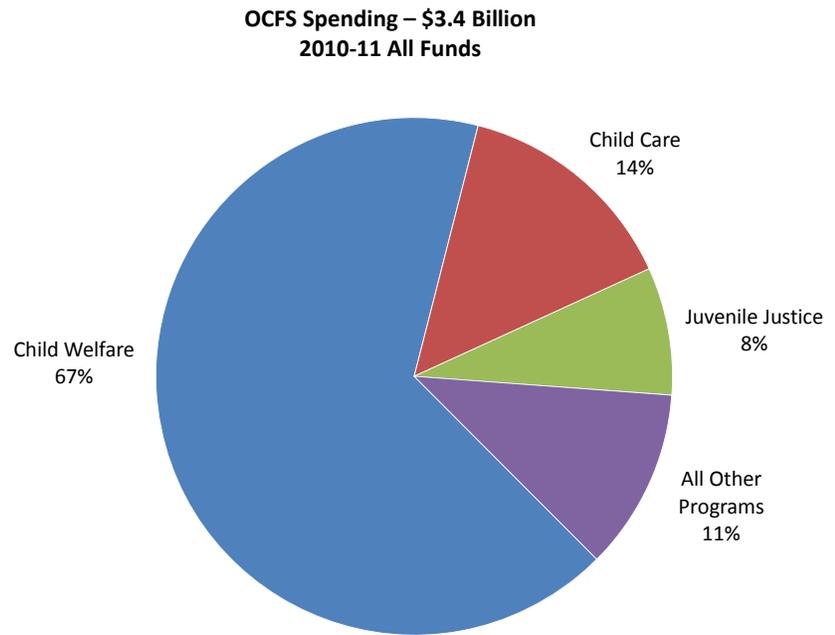
State Operations: Savings are expected in non-personal service and personal service through the elimination of positions.

Special Revenue Financing: Costs for the Automated Finger Imaging System and Electronic Benefit Transfer will be financed through a special revenue account to be funded with earned revenue.

2010-11 ALL FUNDS FINANCIAL PLAN

OFFICE OF CHILDREN AND FAMILY SERVICES

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities. Federal funding for OCFS programs is provided through the TANF Block Grant, Federal Title IV-E Foster Care and Adoption Assistance funding, the Federal Child Care and Development Fund, and the Title XX Social Services Block Grant.



CHILDREN AND FAMILY SERVICES SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	2,000	2,139	139	7.0%
Other State Support	6	9	3	50.0%
State Operating Funds	2,006	2,148	142	7.1%
Capital Projects Funds	28	23	(5)	-17.9%
Federal Operating Funds	1,155	1,261	106	9.2%
Total All Funds	3,189	3,432	243	7.6%

2010-11 ALL FUNDS FINANCIAL PLAN

All Funds spending in OCFS includes child welfare (\$2 billion), child care (\$491 million), and juvenile justice services, including delinquency prevention, youth facilities, and local detention facilities (\$276 million). The annual changes are described below.

CHILDREN AND FAMILY SERVICES						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 to 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	2,000	6	2,006	28	1,155	3,189
Current Services:	193	3	196	(5)	106	297
Child Welfare Services	72	0	72	0	0	72
Medicaid Waiver	79	0	79	0	0	79
Local Programs	48	0	48	0	(35)	13
State Operations	22	3	25	0	35	60
Exploited Youth	10	0	10	0	0	10
Child Care	6	0	6	0	0	6
Program Eliminations	(44)	0	(44)	0	0	(44)
ARRA Funding	0	0	0	0	106	106
Capital Projects	0	0	0	(5)	0	(5)
Enacted Savings:	(63)	0	(63)	0	0	(63)
Child Welfare Services Programs	(40)	0	(40)	0	0	(40)
Exploited Youth	(7)	0	(7)	0	0	(7)
State Operations	(6)	0	(6)	0	0	(6)
Program Reductions	(7)	0	(7)	0	0	(7)
Youth Facility Closures/downsizing	(3)	0	(3)	0	0	(3)
New Initiatives:	9	0	9	0	0	9
Improve OCFS Facility Operations	9	0	9	0	0	9
2010-11 Enacted	2,139	9	2,148	23	1,261	3,432
Annual Change	139	3	142	(5)	106	243

CURRENT-SERVICES

Child Welfare Services: Increased General Fund support reflects anticipated growth in local child welfare claims by counties.

Medicaid Waiver: Increase reflects the phase-in of waiver slots for this program, which began January 1, 2008 and will be fully implemented by December 31, 2010 as well as the timing of spending from SFY 2009-10 to SFY 2010-11.

Local Program Growth: Reflects growth in several programs, including Committees on Special Education, Adoption, Adult Protective/Domestic Violence, Child Care, and Medicaid per diem. In addition, a change in the timing of spending from SFY 2009-10 to SFY 2010-11 occurred due to reduced youth facility revenues.

State Operations Growth: Salary increases under current collective bargaining agreements and inflationary growth in non-personal services is partly offset from planned reductions in workforce, contractual services and other non-personal services.

Exploited Youth: Provides General Fund support to contract for the operation of at least one long-term safe house for sexually exploited youth.

Child Care: Primarily reflects an increase in funding to support initiatives related to child care unionization agreements.

2010-11 ALL FUNDS FINANCIAL PLAN

Program Eliminations: Reflects the annualization of program eliminations enacted in the 2009-10 budget including the Preventive Services COLA, Substance Abuse Co-Location Project, and the Amy Watkins Program.

ARRA Funding: Primarily reflects updated spending patterns for the ARRA funding for Child Care, Adoption and Foster Care.

Capital Projects: Decreases in the current year Capital disbursement estimate for youth facility bonded funds.

2010-11 ENACTED SAVINGS

Child Welfare Services Program: The budget reduces State reimbursement to counties from 63.7 percent to 62 percent for Child Welfare Services and also included projected efficiencies through enhanced Child Welfare Services performance.

Exploited Youth: Amends legislation passed in 2008-09 requiring OCFS to contract for the operation of at least one long-term safe house for sexually exploited youth by making it subject to available funding, which is capped at \$3 million in 2010-11.

State Operations: Includes personnel attrition that is not related to Health & Safety positions and reductions in non-personal services and telecommunication costs.

Program Reductions: The budget reduces General Fund support to Community Optional Preventive Services by 17 percent and reduces several non-core mission programs, by 10 percent, including: Alternatives to Detention and Residential Placement, Post-Residential Placement, Caseload Reduction for Child Protective Services Workers, Child Advocacy Centers, and Child Fatality Review Teams. The budget also reduces General Fund support for the Child Welfare Quality Program by 50 percent.

Youth Facility Closures/Downsizing: Savings are generated by merging the Annsville youth facility with the Taberg facility, and downsizing the Lansing youth facility and Tryon campus by closing the boys' limited-security program.

NEW INITIATIVES

Improve OCFS Facility Operations: The Enacted Budget includes funding to improve youth to staff ratios and to provide improved medical and mental health services for youth in state-operated juvenile justice facilities in order to improve conditions in the facilities and outcomes when youth return to their communities.

MENTAL HYGIENE

The Department of Mental Hygiene is comprised of four independent agencies, OMH, OPWDD, OASAS, and DDPC as well as one oversight agency, the CQCAPD. Services are administered to individuals with mental illness, developmental disabilities, and chemical dependence, through institutional or community-based settings. Specifically, OMH plans and operates an integrated mental health care system that serves adults with serious and persistent mental illness, and children with serious emotional disturbances. OPWDD serves individuals with developmental disabilities, and their families. OASAS licenses and evaluates service providers and implements programs for the prevention, treatment, and recovery from chemical dependence and problem gambling. DDPC provides funding to support demonstration programs for individuals with developmental disabilities that are intended to enhance opportunities for self-determination, employment, independence, and community inclusion. CQCAPD provides outreach, information, and referral and advocacy services to individuals with disabilities.

OMH, OPWDD and OASAS provide services directly to their patients through State-operated facilities and indirectly through community service providers, receiving reimbursement from Medicaid, Medicare and third-party insurance. Patient revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, with the remaining revenue deposited to the PIA, which supports State costs of providing services.

MENTAL HYGIENE SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	2,157	2,239	82	3.8%
Other State Support	2,203	2,298	95	4.3%
State Operating Funds	4,360	4,537	177	4.1%
Capital Projects Funds	175	195	20	11.4%
Federal Operating Funds	3,555	3,820	265	7.5%
Total All Funds	8,090	8,552	462	5.7%

All Funds spending for mental hygiene consists of \$4.5 billion for OPWDD, \$3.4 billion for OMH, \$595 million for OASAS, \$16 million for CQCAPD, and \$4 million for DDPC. The State share of Medicaid spending in the Department of Mental Hygiene is projected to total \$2.4 billion in 2010-11. The annual increase in mental hygiene programs is described in more detail in the following table.

2010-11 ALL FUNDS FINANCIAL PLAN

MENTAL HYGIENE SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11 (millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	2,157	2,203	4,360	175	3,555	8,090
Current Services:	<u>121</u>	<u>262</u>	<u>383</u>	<u>29</u>	<u>189</u>	<u>601</u>
OPWDD Local Programs	122	35	157	0	(31)	126
OMH Local Programs	(5)	152	147	0	0	147
OASAS Local Programs	3	44	47	0	(8)	39
State Operations	1	65	66	0	217	283
General State Charges	0	(34)	(34)	0	11	(23)
Capital Programs	0	0	0	29	0	29
Extraordinary Federal Aid	0	(97)	(97)	0	97	0
Enacted Savings:	<u>(39)</u>	<u>(70)</u>	<u>(109)</u>	<u>(9)</u>	<u>(21)</u>	<u>(139)</u>
OPWDD Local Restructuring	(27)	(3)	(30)	0	(2)	(32)
OMH Local Restructuring	(8)	(19)	(27)	0	0	(27)
OMH Inpatient Restructuring/Forensic Reforms	0	(12)	(12)	0	0	(12)
OMH State Operations Efficiencies	0	(19)	(19)	0	(9)	(28)
OPWDD State Operations Efficiencies	0	(15)	(15)	0	(10)	(25)
OASAS Restructuring	(3)	(3)	(6)	0	0	(6)
CQCAPD Restructuring	(1)	0	(1)	0	0	(1)
Capital	0	0	0	(9)	0	(9)
Adult Home Reinvestment	0	1	1	0	0	1
2010-11 Enacted	<u>2,239</u>	<u>2,298</u>	<u>4,537</u>	<u>195</u>	<u>3,820</u>	<u>8,552</u>
Total Annual Change	82	95	177	20	265	462

CURRENT-SERVICES

OPWDD Local Programs: Growth primarily reflects projected increases in existing program commitments including the development of children's beds for out-of-state placements and other mandated populations, and projected increases in the State share of Medicaid.

OMH Local Programs: Other State Funds growth results mainly from additional bed development, largely related to the New York/New York III agreement, as well as the increasing costs of providing mental health care services and increases in service utilization.

OASAS Local Programs: Reflects increases in existing programs for high priority populations, including program enhancements related to recent statutory drug law reform changes.

State Operations Growth: State Operations spending is projected to increase due to collective bargaining salary increases, increased spending on indirect costs, and non-recurring 2009-10 savings.

2010-11 ALL FUNDS FINANCIAL PLAN

General State Charges Growth: Primarily reflects the General State Charges impact of previously negotiated collective bargaining salary increases, as well as non-recurring 2009-10 savings.

Capital Programs: Capital projects spending is expected to increase primarily for the New York/New York III supportive housing agreement and other pipeline bed development in OMH, OASAS, and OPWDD.

EXTRAORDINARY FEDERAL AID

The ARRA increases the Federal matching rate on eligible State Medicaid expenditures for the period October 1, 2008 through December 31, 2010, with a six-month extension currently planned through June 30, 2011. The total enhanced FMAP benefit for 2010-11 will be \$97 million higher than in 2009-10, resulting in a \$97 million decline in Other State funds spending and a corresponding increase in Federal Operating funds spending.

2010-11 ENACTED SAVINGS

OPWDD Local Restructuring: Savings result from a 3 percent reduction to supervised IRA Medicaid rates (\$12 million); delays in community bed development in certain programs (\$10 million); by efficiencies in Medicaid Service Coordination (\$8 million); and by administrative efficiencies and restructuring the oversight of the family care program.

OMH Local Restructuring: Savings are achieved from additional recoveries in Comprehensive Outpatient and Community Support Programs, and Exempt Income Medicaid that will be recouped through additional audits and recoveries, reductions in Personalized Recovery Oriented Services (PROS) (\$12 million); additional State Aid recoveries through the issuance of audits and the acceleration of close-outs (\$9 million); rebasing of Disproportionate Share payments (\$3 million); as well as additional bed delays (\$3 million).

OMH Inpatient Restructuring/Forensic Reforms: Savings are achieved by adjustments to SOMTA and Forensic costs in programs for mentally ill inmates (\$10 million), and by closing eight inpatient wards and reconfiguring services via the Transitional Placement Program (\$9 million).

2010-11 ALL FUNDS FINANCIAL PLAN

OMH State Operations Efficiencies: Savings result from a variety of workforce and Personal Service actions including a reduction in central office FTEs, reductions in the hazardous duty pay, stand-by/on-call shifts, overtime, attrition in non-health and safety positions, and converting contract IT staff to State employees (\$17 million). Non-personal service savings are achieved in less critical areas, including increased efficiencies in pharmacy costs, energy costs, and lease payments (\$27 million).

OPWDD State Operations Efficiencies: Savings are achieved by the elimination of non-core research functions at IBR (\$2 million), the streamlining of administrative functions at DDSOs (\$1 million), reducing the number of Medicaid compliance contracts (\$1 million), transferring Medicaid audit staff from OPWDD to OMIG (\$1 million), and by further efficiencies in pharmacy, energy, and in all less critical NPS spending, as well as additional attrition in non-health and safety positions (\$10 million).

OASAS Restructuring: Savings primarily consist of revisions to anticipated utilization of outpatient chemical dependence and problem gambling treatment services (\$3 million); the elimination of the suballocation to the AIDS Institute in DOH for primary healthcare services for individuals with chemical dependencies (\$2 million), non-personal service savings measures (\$1 million) and delays in implementing gambling prevention programs.

CQCAPD Restructuring: Reflects elimination of all less critical NPS spending, the elimination of two staff persons authorized for the Interagency Coordinating Council, and increased use of alternative work schedules (\$1 million).

Capital: Savings consist of a reduction in OMH capital spending (\$9 million).

Adult Home Litigation: Includes funding to develop 1,500 supported housing beds and associated support services annually for three years (4,500 beds total) pursuant to a Federal district court order in which the Governor, OMH and DOH are State defendants. The first year costs are expected to be consistent with funding set aside for a lesser scale adult home bed capacity expansion proposed in the Executive Budget. If additional resources are necessary in the first year, funding for other housing and support services may be reallocated. This increases the gaps in the Financial Plan in the out-years which will require tradeoffs and program reductions in this and potentially other areas (\$1 million in 2010-11, growing to \$95 million in 2013-14).

TRANSPORTATION

New York's transportation network includes 240,000 lane miles of roads, over 17,000 highway bridges, 4,000 railroad miles, 147 public-use airports, 12 major ports and over 130 public transportation operators. The State helps maintain and improve this extensive collection of assets through taxes, Federal grants, general obligation bonds, and bonds issued by public authorities pursuant to contractual agreements with the State. The principal agencies represented in Transportation are DOT, TA, MTA, and DMV as further described below.

DEPARTMENT OF TRANSPORTATION

The DOT directly maintains the more than 38,000 State highway lane miles and 7,500 bridges. In addition to State-owned transportation assets, the DOT assists in funding projects for highways, bridges, transit systems and other transportation facilities which are owned by local governments, and public authorities.

THRUWAY AUTHORITY

Through its subsidiary, the New York State Canal Corporation, the TA maintains and operates a 524-mile navigable waterway and related structures and facilities. Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and are used exclusively for canals.

METROPOLITAN TRANSPORTATION AUTHORITY

MTA is responsible for operating, maintaining and improving public transportation in the Metropolitan Commuter Transportation District consisting of New York City and Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk, and Westchester counties. The Authority oversees the operations of the bus and subway systems in New York City, commuter railroads in the region, and seven bridges and two tunnels in New York City. This oversight includes general policy direction and development of operating and capital programs.

The Authority comprises three independent entities: MTA, MTA New York City Transit, and MTA Bridges and Tunnels. MTA has six subsidiaries: MTA Staten Island Rapid Transit, MTA Long Island Rail Road, MTA Long Island Bus, MTA Metro North Railroad, MTA Bus and MTA Capital Construction. MTA New York City Transit, which operates the New York City Subway and bus systems, has one subsidiary: MTA Manhattan and Bronx Surface Transit Operating Authority.

2010-11 ALL FUNDS FINANCIAL PLAN

DEPARTMENT OF MOTOR VEHICLES

DMV issues drivers' licenses and vehicle registrations, promotes highway safety, and collects revenues used for transportation purposes, including capital projects.

TRANSPORTATION SPENDING PROJECTIONS (millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	66	101	35	53.0%
Other State Support	3,875	4,332	457	11.8%
State Operating Funds	3,941	4,433	492	12.5%
Capital Projects Funds	3,867	4,387	520	13.4%
Federal Operating Funds	75	78	3	4.0%
Total All Funds	7,883	8,898	1,015	12.9%

All Funds spending consists of \$4.4 billion from capital projects funds, including agency staff and related operations financed through the DHBTF, and \$4.3 billion in State Operating Funds primarily for statewide mass transit. DHBTF operations consists primarily of DOT administration, engineering services and related capital program support, snow and ice removal, preventive maintenance activities, regulatory activities and DMV operations.

Spending from State capital projects funds is projected to total \$2.4 billion, an increase of \$263 million, and primarily reflects implementation of the current and proposed DOT capital plans. Spending from Federal Capital Projects Funds totals \$1.95 billion, an increase of \$266 million over 2009-10, attributable to additional Federal funds made available to New York through ARRA.

2010-11 ALL FUNDS FINANCIAL PLAN

TRANSPORTATION						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE)						
FROM 2009-10 TO 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	66	3,875	3,941	3,867	75	7,883
Current Services:	35	510	545	544	3	1,092
MTA Financial Assistance Fund	0	395	395	0	0	395
Local Transit Assistance	36	100	136	0	1	137
2005 Transportation Bond Act	0	0	0	146	0	146
DHBTF	0	0	0	245	0	245
Federal ARRA	0	0	0	245	0	245
Traditional Federal Aid	0	0	0	(92)	0	(92)
DMV/DOT Operating/Fringe Benefit Costs	(1)	15	14	0	2	16
Enacted Savings:	0	(53)	(53)	(29)	0	(82)
Transit System Funding	0	(50)	(50)	0	0	(50)
DOT/DMV Reductions/Efficiencies	0	(3)	(3)	(29)	0	(32)
New Initiatives:	0	0	0	5	0	5
Rail Subsidy	0	0	0	5	0	5
2010-11 Enacted	101	4,332	4,433	4,387	78	8,898
Annual Change	35	457	492	520	3	1,015

CURRENT-SERVICES

MTA Financial Assistance Fund: Spending growth primarily reflects the expectation for increased receipts and disbursements activity for MTA regional taxes and fees authorized in May 2009, as 2010-11 represents the first full year of implementation. The regional taxes and fee increases include: the payroll mobility tax, a taxicab medallion tax, a supplemental driver's license, auto permit and registration fee and an increased auto rental tax. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Additional growth reflects timing-related delays in deposits to the State from some employers within metropolitan transit districts, resulting in lower than expected payments to the MTA during 2009-10.

Local Transit Assistance: Growth in current services primarily reflects a one-time reduction in Transit Aid in 2009-10, pursuant to the State's DRP.

2005 Transportation Bond Act: Reflects a planned increase of approximately \$146 million in spending from the Rebuild and Renew New York Transportation Bond Act passed by voters in 2005.

DHBTF: Reflects increased spending from the DHBTF, primarily based on the planned DOT capital program obligations, which have been adjusted for updated project data and certain cost increases.

2010-11 ALL FUNDS FINANCIAL PLAN

Federal ARRA: Spending is expected to increase by approximately \$245 million during 2010-11, as planned transportation projects throughout the State will reach peak utilization of available ARRA funding.

Traditional Federal Aid: Spending for capital programs financed with traditional Federal grants is expected to decrease in 2010-11.

DOT/DMV Operating/Fringe Benefit Costs: Increased spending primarily reflects growth in costs associated with personal service and State support for fringe benefits.

2010-11 ENACTED SAVINGS

Transit System Funding: Reflects reductions in spending from other State funds due to decreases in dedicated tax collections. The funding to transit systems is proposed to be reduced commensurate with each system's share of revenue loss in the dedicated tax accounts. State transit systems are funded through revenues from regional business and sales taxes, the statewide petroleum business tax, and the statewide transmission tax. Funding reductions to transit systems will be partially offset by relief from various mandated requirements.

DOT/DMV Reductions/Efficiencies: Reflects reduced costs associated with implementation of operational efficiencies in DOT maintenance, mandate relief, utilization of consultant staff for information technology, and industrial access program reductions. Additional savings include reductions in contractual services, equipment replacement, and other measures.

DOT/DMV State Operations Reductions: Reflects savings expected to be achieved through administrative efficiencies.

2010-11 NEW INITIATIVES

Rail Subsidy: The enacted budget increases the State's subsidy for Amtrak rail service.

OTHER SIGNIFICANT CHANGES BY PROGRAM AREA

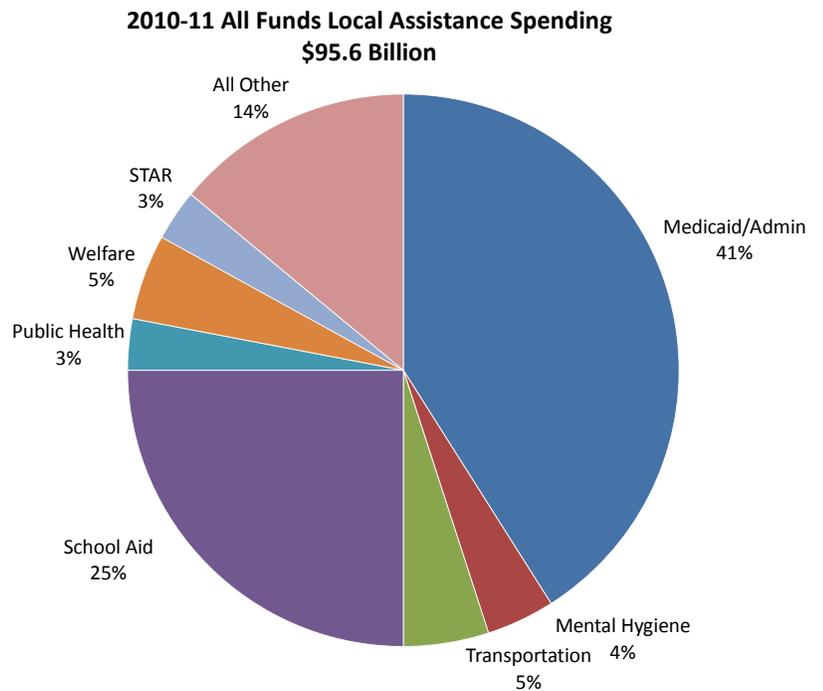
In addition to the major programs areas described above, the Enacted Budget includes funding for economic development, public protection, general government, the Judiciary, and various other programs. The most significant sources of annual change in other program areas include the elimination of AIM funding for New York City and Erie County in 2010-11 and a reduction of AIM funding to individual municipalities by 2 or 5 percent based on their reliance on this revenue (\$288 million decline from 2009-10); the elimination of the State insurance subsidy provided to certain employers, with 50 or fewer employees, as reimbursement for providing broad-based insurance coverage of mental, nervous, and emotional disorders at a level equal to the coverage provided for physical health; and retroactive salary payments in 2009-10 for correctional officers and State police.

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GRANTS TO LOCAL GOVERNMENTS

Grants to Local Governments (Local Assistance) include payments to local governments, school districts, health care providers, and other local entities, as well as certain financial assistance to, or on behalf of, individuals, families, and nonprofit organizations. Local Assistance comprises 72 percent of All Funds spending.

In 2010-11, adjusted All Funds spending for local assistance is proposed to total \$95.6 billion. Total spending is comprised of State aid to medical assistance providers and public health programs (\$42.4 billion); State aid for education, including school districts, universities, and tuition assistance (\$33.2 billion); temporary and disability assistance (\$4.7 billion); mental hygiene programs (\$4.0 billion); transportation (\$5.1 billion); children and family services (\$3.0 billion); and local government assistance (\$791 million). Other local assistance programs include criminal justice, economic development, housing, parks and recreation, and environmental quality.



LOCAL ASSISTANCE SPENDING PROJECTIONS (ADJUSTED)				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	36,294	35,448	(846)	-2.3%
Other State Support	17,644	18,185	541	3.1%
State Operating Funds	53,938	53,633	(305)	-0.6%
Capital Projects Funds	1,440	1,292	(148)	-10.3%
Federal Operating Funds	37,750	40,699	2,949	7.8%
All Funds	93,128	95,624	2,496	2.7%

2010-11 ALL FUNDS FINANCIAL PLAN

The table below highlights enacted local assistance annual spending changes from 2009-10 to 2010-11 by major program and/or agency.

LOCAL ASSISTANCE SPENDING PROJECTIONS			
MAJOR SOURCES OF ANNUAL CHANGE			
(millions of dollars)			
	General Fund	State Operating Funds	All Funds
2009-10 Results	36,294	53,938	93,128
School Aid	(699)	(432)	609
Medicaid (including Admin)	280	171	1,204
Transportation	36	480	642
Other Education Aid	(23)	(23)	600
Local Government Assistance	(289)	(289)	(289)
City University	(305)	(305)	(285)
Mental Hygiene	82	277	237
Insurance	(57)	(201)	(201)
Children and Families	116	116	191
Temporary and Disability Assistance	(151)	(151)	(181)
STAR	0	(114)	(114)
Public Health	98	82	(58)
All Other	66	84	141
2010-11 Enacted	35,448	53,633	95,624
Annual Dollar Change	(846)	(305)	2,496
Annual Percent Change	-2.3%	-0.6%	2.7%

For more information on specific local programs, see the narratives by function in this Financial Plan.

2010-11 ALL FUNDS FINANCIAL PLAN

STATE OPERATIONS

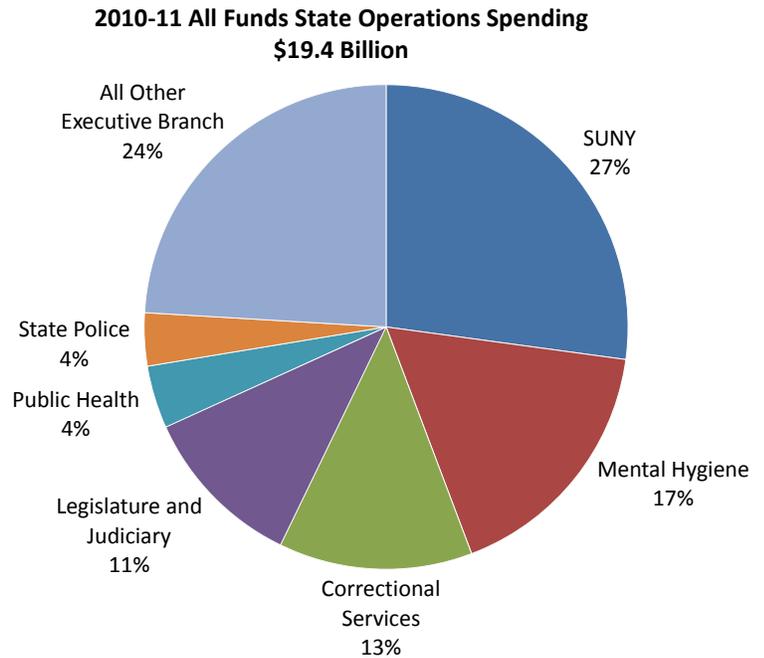
State Operations spending is for personal service and non-personal service costs. Personal service costs, which account for approximately two-thirds of State Operations spending, include salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. The cost of fringe benefits (e.g., pensions, health insurance) for active and retired employees is accounted for separately in GSCs. Non-personal service costs, which account for the remaining one-third of State Operations, represent other

operating costs of State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, telephone service and employee travel.

State Operations spending, which is projected to total \$19.4 billion in 2010-11, finances the costs of Executive agencies (\$17.2 billion) and the Legislature and Judiciary (\$2.1 billion). The largest agencies in dollar terms and staffing levels include SUNY (\$5.2 billion; 41,815 FTEs), Mental Hygiene (\$3.3 billion; 39,036 FTEs), Correctional Services (\$2.5 billion; 30,366 FTEs), DOH (\$809 million; 5,476 FTEs), and State Police (\$683 million; 5,530 FTEs).

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (e.g., attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The State workforce subject to Executive Control, which excludes the Legislature, Judiciary, Comptroller's Office, Law, SUNY, CUNY, SUNY Construction Fund, Roswell Park, State Insurance Fund, and the Foundation for Science, Technology, and Innovation, is projected to total 128,165 FTEs in 2010-11, a decrease of 3,576 from the actual 2009-10 levels. Statewide decreases are expected due to the retirement incentive program; individual agencies are further reducing workforce as follows: Transportation



2010-11 ALL FUNDS FINANCIAL PLAN

(353 FTEs) from attritions and reducing maintenance and snow/ice control workforce; State Police (174 FTEs) primarily due to attritions of civilians and troopers; Environmental Conservation as the result of hard hiring freeze and attritions (169 FTEs); and State Education attributable to attritions (61 FTEs). These decreases are offset by the increases in Tax and Finance (359 FTEs) due to the initiative of hiring more auditors to augment the State auditing and fraud reduction efforts, Mental Hygiene (347 FTEs) primarily from increased staff needs as the result of the Deinstitutionalization Plan and related bed development. Tables that summarize the prior, current, and projected workforce levels appear in the section titled “Financial Plan Tables.”

STATE OPERATIONS SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	5,571	5,868	297	5.3%
Other State Support	9,819	8,774	(1,045)	-10.6%
State Operating Funds	15,390	14,642	(748)	-4.9%
Capital Projects Funds	0	0	0	N/A
Federal Operating Funds	4,042	4,544	502	12.4%
Total All Funds	19,432	19,186	(246)	-1.3%

All Funds State Operations spending by category, based upon historical spending trends, is allocated among employee regular salaries (66 percent), overtime payments (2 percent), contractual services (21 percent), supplies and materials (5 percent), equipment (2 percent), employee travel (1 percent), and other operational costs (3 percent).

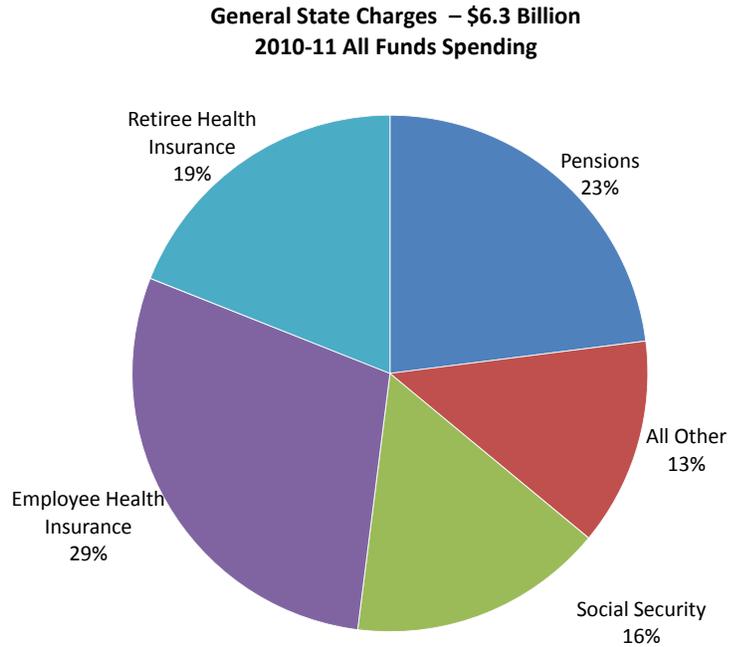
STATE OPERATIONS SPENDING PROJECTIONS			
MAJOR SOURCES OF ANNUAL CHANGE - STATE OPERATING FUNDS			
(millions of dollars)			
	Personal Service	Non-Personal Service	State Operations
2009-10 Results	10,874	4,516	15,390
Retroactive Salary Payments	(298)	0	(298)
Statewide Agency State Operations Savings	(250)	(250)	(500)
All Other	(19)	69	50
2010-11 Enacted	10,307	4,335	14,642
Annual Dollar Change	(567)	(181)	(748)
Annual Percent Change	-5.2%	-4.0%	-4.9%

2010-11 ALL FUNDS FINANCIAL PLAN

The State Operating Funds spending decrease of \$748 million (4.9 percent) is primarily driven by planned statewide reductions in agency operations through the use of an early retirement incentive, continuing hiring controls, abolition of vacant positions; efficiency from shared service and consolidation arrangements; contingency controls on non-personal spending; one-time retroactive salary payments associated with the NYSCOPBA, PBA, BCI and Council 82 contracts that were paid in 2009-10 (\$320 million); workforce and reductions in mental hygiene (\$114 million); potential spending for potential collective bargaining agreements with unsettled unions (\$229 million) continues to be included in the spending forecast.

GENERAL STATE CHARGES

GSCs account for the costs of fringe benefits provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation and unemployment insurance. Fixed costs include State taxes paid to local governments for certain State-owned lands and payments related to lawsuits against the State and its public officers.



For most agencies, employee fringe benefit costs are paid centrally from appropriations made to GSCs. These centrally-paid fringe benefit costs represent the majority of GSCs spending. However, certain agencies, such as the Judiciary and SUNY, directly pay all or a portion of their employees' fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. Fixed costs are paid in full by General Fund revenues from GSCs.

GENERAL STATE CHARGES SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	3,594	4,128	534	14.9%
Other State Support	1,040	1,077	37	3.6%
State Operating Funds	4,634	5,205	571	12.3%
Capital Projects Funds	0	0	0	0.0%
Federal Operating Funds	1,099	1,132	33	3.0%
Total All Funds	5,733	6,337	604	10.5%

2010-11 ALL FUNDS FINANCIAL PLAN

All Funds spending on GSCs is expected to total \$6.3 billion in 2010-11, and includes health insurance spending for employees (\$1.8 billion) and retirees (\$1.2 billion), pensions (\$1.5 billion) and Social Security (\$1 billion). The annual changes are described in more detail below.

CURRENT-SERVICES

GENERAL STATE CHARGES						
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11						
(millions of dollars)						
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Federal Operating Funds	Total All Funds
2009-10 Results	3,594	1,040	4,634	0	1,099	5,733
Current Services:	835	37	872	0	24	896
Employee and Retiree Health Insurance	385	0	385	0	0	385
Pension Contribution	552	0	552	0	0	552
Employer Social Security	29	0	29	0	0	29
Workers' Compensation	16	0	16	0	0	16
Fringe Benefit Escrow Payments	(83)	0	(83)	0	24	(59)
Taxes on State Owned Land	(15)	0	(15)	0	0	(15)
All Other	(49)	37	(12)	0	0	(12)
Enacted Savings:	(301)	0	(301)	0	9	(292)
Amortize Pension Costs	(242)	0	(242)	0	0	(242)
Option to Self Insure NYSHIP	(15)	0	(15)	0	0	(15)
Medicare Part B Cost Sharing	(30)	0	(30)	0	0	(30)
Other	(14)	0	(14)	0	9	(5)
2010-11 Enacted	4,128	1,077	5,205	0	1,132	6,337
Annual Change	534	37	571	0	33	604

Employee and Retiree Health Insurance: Spending for employee and retiree health insurance is projected to increase by \$385 million due to increase in premium charges.

Pension Contribution: As a result of the recent economic downturn and investment losses in 2008-09, pension costs in 2010-11 and beyond are expected to increase significantly. To mitigate long-term pension cost increases, legislation was enacted to create a new pension tier (Tier 5). Among other things, it requires newly hired employees to contribute 3 percent of their salary to the pension system for the duration of their employment. Previously this requirement was only in place for an employee's first ten years of service. More importantly, it raises the minimum age to retire without penalty to 62. New employees will also be required to work for ten years before becoming eligible to receive pension benefits upon retirement.

Employer Social Security: The employer contribution is expected to increase by \$29 million in 2010-11, largely due to salary increases.

Workers' Compensation: The increase in expected spending is based on updated workers' compensation claims and utilization experience.

Fringe Benefit Escrow Payments: This reflects an anticipated increase in collections as a result of an increase in the fringe benefit rate.

2010-11 ALL FUNDS FINANCIAL PLAN

Taxes on State Owned Lands: This decrease is caused by timing adjustments that artificially inflated 2009-10 costs.

All Other: Primarily attributable to the Judiciary's contribution to the Judicial Supplemental Support Fund, along with decreases for litigation, including judgments against the State.

2010-11 ENACTED SAVINGS

Amortize State Pension Costs: Local governments and the State face substantial pension contribution increases over the next six years due to investment losses experienced by the Common Retirement Fund. The budget affords local governments and the State the option to amortize a portion of their pension costs beginning in 2010-11. Specifically, pension contribution costs in excess of the amortization thresholds, which are 9.5 percent for ERS and 17.5 percent for PFRS may be amortized. The authorizing legislation also permits amortization in all future years if the actuarial contribution rate is greater than the amortization threshold, which may increase or decrease by no more than one percentage point for each year. Repayment of the amortized amounts will be made over a ten-year period at an interest rate to be determined by the State Comptroller. For planning purposes, the Financial Plan assumes that the State will authorize pension costs, consistent with the provisions of the authorizing legislation. In addition, employees and retirees are now required to pay a portion of Medicare Part B premiums and the State is authorized to self-insure all or parts of the New York State Health Insurance Plan.

Option to Self Insure NYSHIP: Savings generated by the State to self insure all or parts of NYSHIP. The elimination of insurance carrier risk charges, State and local taxes, and insurance assessments would produce savings for NYSHIP.

Medicare Part B Premiums: The state currently pays 100 percent of the Medicare Part B premium for employees and retirees. Savings would be generated by requiring employees and retirees to pay 10 percent of Medicare Part B premiums for individual coverage and 25 percent for dependent coverage. Currently, the monthly Medicare Part B base level premium is \$96.

2010-11 ALL FUNDS FINANCIAL PLAN

DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESDC, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

For a more complete discussion on State debt levels, debt service costs and debt management initiatives, please refer to the “Five-Year Capital Program and Financing Plan.”

DEBT SERVICE SPENDING PROJECTIONS				
(millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	1,844	1,642	(202)	-11.0%
Other State Support	3,117	3,874	757	24.3%
State Operating Funds	4,961	5,516	555	11.2%
Total All Funds	4,961	5,516	555	11.2%

All Funds debt service is projected at \$5.5 billion in 2010-11, of which \$1.6 billion is paid from the General Fund through transfers and \$3.9 billion from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State’s revenue bonds, including PIT bonds, DHBTB bonds, and mental health facilities bonds.

DEBT SERVICE					
SOURCES OF ANNUAL SPENDING INCREASE/(DECREASE) FROM 2009-10 TO 2010-11					
(millions of dollars)					
	General Fund	Other State Funds	Total State Operating Funds	Capital Projects Funds	Total All Funds
2009-10 Results	1,844	3,117	4,961	0	4,961
Current Services:	(153)	769	616	0	616
Savings:	(49)	(12)	(61)	0	(61)
2010-11 Proposed	1,642	3,874	5,516	0	5,516
Annual Change	(202)	757	555	0	555

2010-11 ALL FUNDS FINANCIAL PLAN

CURRENT-SERVICES

Growth in debt service primarily supports ongoing capital spending. The increased spending reflects additional bond issues to support ongoing capital commitments for transportation (\$377 million), economic development and housing (\$196 million), and other program areas (\$139 million). The annual increase for transportation debt service includes the impact of a 2005 restructuring of Dedicated Highway and Bridge bonds, which provided short-term relief for program needs, with higher annual debt service costs thereafter, beginning in 2010-11. In addition, a \$96 million decrease in education-related debt service reflects the prepayment of \$155 million of certain debt service in 2009-10. Variable interest rates are projected at 2.3 percent for 2010-11.

2010-11 SAVINGS

The 2010-11 Enacted Budget includes \$100 million in additional debt service savings, of which approximately \$40 million has been realized to date. Debt management actions may include, but are not limited to: maximizing refunding opportunities, including through consolidated service contract refundings; the continued use of BABs; further efficiencies from PIT issuer flexibility; and selling a minimum 25 percent of bonds on a competitive basis, market conditions permitting.

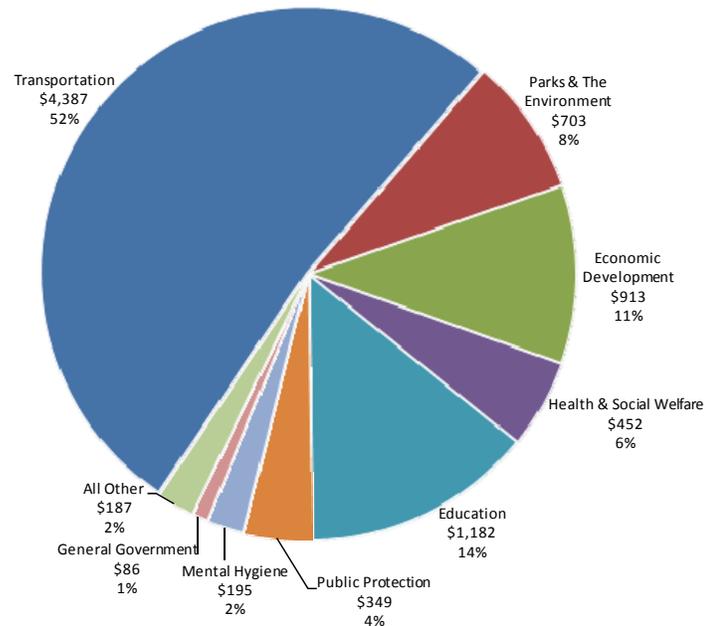
2010-11 ALL FUNDS FINANCIAL PLAN

CAPITAL PROJECTS

The following section briefly summarizes activity in Capital Projects Funds. A complete explanation of the State's capital programs is contained in the "Five-Year Capital Program and Financing Plan."

Capital projects account for spending across all functional areas to finance costs related to the acquisition, construction, repair or renovation of fixed assets. Spending from appropriations made from over 30 capital projects funds is financed from four sources: annual State taxes or dedicated miscellaneous receipts, grants from the Federal government, the proceeds of notes or bonds issued pursuant to general obligation bond acts which are approved by the State voters, and the proceeds of notes or bonds issued by public authorities pursuant to legal authorization for State capital spending.

2010-11 All Funds Capital Spending by Function
(millions of dollars)



CAPITAL PROJECTS SPENDING PROJECTIONS (millions of dollars)				
	2009-10 Results	2010-11 Enacted	Annual Change	Percent Change
General Fund	565	1,096	530	93.8%
Other State Support	4,595	4,980	385	8.4%
State Funds	5,160	6,075	915	17.7%
Federal Funds	1,952	2,379	428	21.9%
All Funds	7,112	8,455	1,343	18.9%

All Funds capital spending is projected at \$8.4 billion in 2010-11. Transportation spending, primarily for improvements and maintenance to the State's highways and bridges, continues to account for the largest share (52 percent) of this total. The balance of projected spending will support capital investments in the areas of education (14 percent), economic development (11 percent), parks and environment (8 percent), and mental hygiene and public protection (6 percent). The remainder of projected capital projects spending is spread across health and social welfare, general government and other areas (8 percent).

2010-11 ALL FUNDS FINANCIAL PLAN

2010-11 RECOMMENDED SAVINGS

The Capital Reduction Program included in the 2010-11 Enacted Budget will result in savings of \$1.6 billion over five years. This initiative maintains investments in infrastructure while deferring or eliminating lower-priority projects. Savings in 2010-11 are projected to total \$119 million.

OTHER FINANCING SOURCES/(USES)

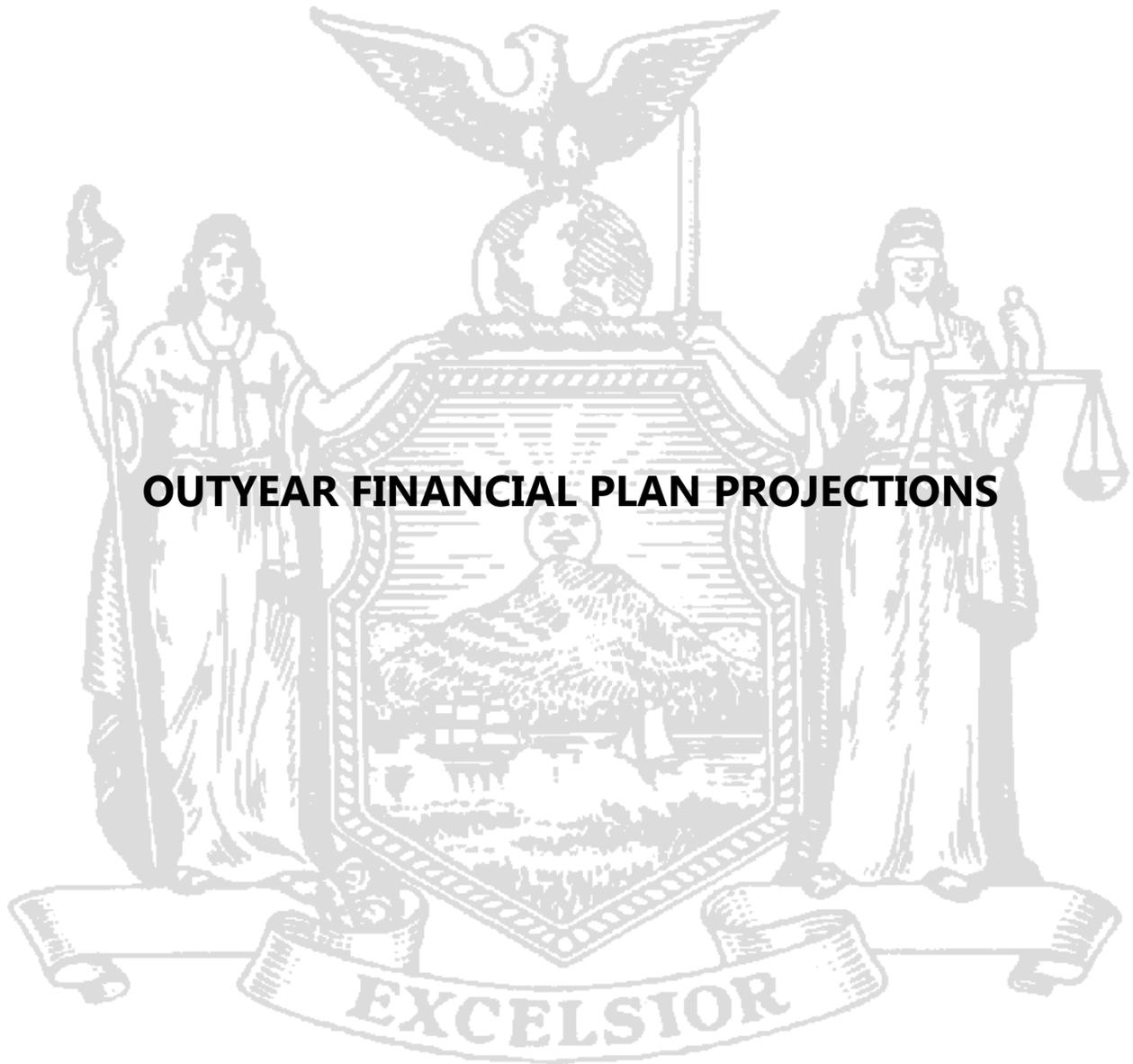
Every year, the State authorizes the transfer of resources among funds and accounts. A complete schedule of proposed transfers is contained in “Financial Plan Tables” herein.

The most significant General Fund transfers to other funds in 2010-11 are for the State share of Medicaid (\$2.5 billion), general debt service (\$1.6 billion), and capital projects (\$1.1 billion, including \$392 million for PAYGO projects and a \$699 million subsidy to the DHBTF). Judiciary funding includes moneys transferred to the Court Facilities Incentive Aid Fund, New York City County Clerks Fund, and Judiciary Data Processing Fund (\$153 million). General Fund transfers to other funds payments for patients residing in State-operated health, mental hygiene and SUNY facilities (\$216 million), SUNY hospital subsidy payments (\$33 million), and supplemental resources for banking (\$66 million).

In Special Revenue Funds, transfers to other funds are made to the Debt Service Funds representing the Federal share of Medicaid payments for patients residing in State-operated health and mental hygiene facilities and community homes, and patients at SUNY hospitals (\$3.9 billion), a transfer from HCRA to the Capital Projects Fund to finance anticipated non-bondable spending for HEAL NY (\$197 million) and transfer of moneys from several Special Revenue accounts in excess of spending requirements (\$1.5 billion).

Capital Projects funds transfers are also made to the General Debt Service Fund from the DHBTF (\$1.4 billion), and from the Hazardous Waste Remedial Fund (\$27 million) to the General Fund.

Debt Service Fund transfers to the General Fund include tax receipts in excess of debt service requirements for general obligation, LGAC and PIT revenue bonds (\$10.4 billion). Transfers to Special Revenue Funds represent receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for DOH, mental hygiene, and SUNY (\$4.2 billion).



OUTYEAR FINANCIAL PLAN PROJECTIONS

OUTYEAR FINANCIAL PLAN PROJECTIONS (2011-12 THROUGH 2013-14)

INTRODUCTION

This section presents the State's multi-year projections for receipts and disbursements based on the 2010-11 Enacted Budget. State Law requires the Governor to submit a balanced plan of receipts and disbursements on a cash-basis for the General Fund. However, approximately 40 percent of total State spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, school aid, higher education, transportation and mental hygiene. Thus, the multi-year projections and growth rates are presented on both a General Fund and State Operating Funds basis.

The multi-year forecast reflects the impact of the 2010-11 Enacted Budget and updated assumptions concerning economic performance, revenue collections, spending patterns, and projections for the current-services costs of program activities.

In evaluating the State's outyear operating forecast, it should be noted that the reliability of the estimates as a predictor of the State's future fiscal condition is likely to diminish as one moves further from the current year and budget year estimates. Accordingly, in terms of the outyear projections, 2011-12 is the most relevant from a planning perspective, since any gap in that year must be closed with the next budget and the variability of the estimates is likely to be less than in later years. DOB will provide quarterly revisions to its multi-year estimates, as required pursuant to State Finance Law.

OUTYEAR PROJECTIONS

BUDGET GAPS

In the General Fund, the projected budget gaps total approximately \$8.2 billion in 2011-12, \$13.5 billion in 2012-13, and \$15.6 billion in 2013-14. The net operating deficits in State Operating Funds are projected at \$8.2 billion in 2011-12, \$13.1 billion in 2012-13, and \$15.1 billion in 2013-14.

The imbalances projected for the General Fund and State Operating Funds in future years tend to be very similar. This is because the General Fund is typically the financing source of last resort for many State programs, and any imbalance in other funds that cannot be rectified by the use of existing balances is typically paid for by the General Fund.

OUTYEAR FINANCIAL PLAN PROJECTIONS

The growth in the gaps between 2010-11 and 2011-12 is caused in large part by the expiration of Federal stimulus funding for Medicaid and education, which is expected to result in approximately \$5.1 billion in costs reverting to the General Fund, starting in 2011-12. The annual growth in the gap is also affected by the sunset, at the end of calendar year 2011, of the temporary PIT increase enacted in 2009-10, which is expected to reduce 2011-12 receipts by approximately \$1 billion from 2010-11 levels.

SPENDING

General Fund spending is projected to grow at an average annual rate of 9.0 percent from 2009-10 through 2013-14 (as adjusted). Spending growth in the General Fund is projected to increase sharply in 2011-12, reflecting an expected return to a lower Federal matching rate for Medicaid expenditures after June 30, 2011 which will increase the share of Medicaid costs that must be financed by State resources, and the expected loss of temporary Federal aid for education. Excluding these stimulus-related effects, which temporarily suppress General Fund costs in 2010-11 and the first quarter of 2011-12, General Fund spending grows at approximately 6.8 percent on a compound annual basis.

State Operating Funds spending is projected to grow at an average annual rate of 7.0 percent through 2013-14 (as adjusted). For both the General Fund and State Operating Funds, spending growth is driven by Medicaid, education, pension costs, employee and retiree health benefits, and child welfare programs.

Outyear spending projections do not incorporate any estimate of potential new actions to control spending in future years; any potential continuation of Federal stimulus aid beyond the first quarter of 2011-12; and any costs for future collective bargaining agreements beyond the April 1, 2011 expiration of the current four-year contracts for most unions. In addition, the forecast does not include any additional health care costs or savings that may materialize from the implementation of national health care reform at the Federal level of government.

RECEIPTS

Overall, State tax receipts growth in the three fiscal years following 2010-11 is expected to range from 1.7 percent to 6.2 percent. This is consistent with a projected return to modest economic growth in the New York economy in the second half of 2010. Receipts growth is affected by the tax changes approved in the Enacted Budget, as well as, in prior fiscal years, and tax compliance and anti-fraud efforts. These factors are expected to continue to enhance expected receipt growth through 2013-14. See "2010-11 All Funds Financial Plan" herein for a complete summary.

The following tables summarize the General Fund and State Operating Funds multi-year projections, adjusted for the school aid deferral from 2009-10 to 2010-11.

OUTYEAR FINANCIAL PLAN PROJECTIONS

GENERAL FUND

OUTYEAR GENERAL FUND PROJECTIONS (ADJUSTED)										
(millions of dollars)										
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual \$ Change	Annual % Change	2013-14	Annual \$ Change	Annual % Change
Receipts										
Taxes (After Debt Service)	50,329	53,488	3,159	6.3%	54,028	540	1.0%	56,962	2,934	5.4%
Personal Income Tax	32,168	34,492	2,324	7.2%	34,167	(325)	-0.9%	36,085	1,918	5.6%
User Taxes and Fees	11,128	11,318	190	1.7%	11,694	376	3.3%	12,277	583	5.0%
Business Taxes	5,714	6,335	621	10.9%	6,674	339	5.4%	6,977	303	4.5%
Other Taxes	1,319	1,343	24	1.8%	1,493	150	11.2%	1,623	130	8.7%
Miscellaneous Receipts/Federal Grants	2,957	2,919	(38)	-1.3%	2,884	(35)	-1.2%	2,832	(52)	-1.8%
Other Transfers	1,390	1,400	10	0.7%	1,137	(263)	-18.8%	1,146	9	0.8%
Total Receipts	54,676	57,807	3,131	5.7%	58,049	242	0.4%	60,940	2,891	5.0%
Disbursements										
Grants to Local Governments:	35,448	45,557	10,109	28.5%	50,003	4,446	9.8%	53,950	3,947	7.9%
School Aid	16,849	19,838	2,989	17.7%	22,186	2,348	11.8%	24,438	2,252	10.2%
Medicaid (incl. administration)	7,069	12,439	5,370	76.0%	13,805	1,366	11.0%	15,048	1,243	9.0%
Higher Education	2,495	2,760	265	10.6%	2,873	113	4.1%	2,961	88	3.1%
Mental Hygiene	2,233	2,375	142	6.4%	2,519	144	6.1%	2,658	139	5.5%
Children and Family Services	1,864	2,057	193	10.4%	2,262	205	10.0%	2,488	226	10.0%
Other Education Aid	1,496	1,840	344	23.0%	1,925	85	4.6%	1,977	52	2.7%
Temporary and Disability Assistance	1,153	1,505	352	30.5%	1,632	127	8.4%	1,682	50	3.1%
All Other	2,289	2,743	454	19.8%	2,801	58	2.1%	2,698	(103)	-3.7%
State Operations:	8,025	8,601	576	7.2%	8,886	285	3.3%	9,019	133	1.5%
Personal Service	6,285	6,692	407	6.5%	6,891	199	3.0%	6,904	13	0.2%
Non-Personal Service	1,740	1,909	169	9.7%	1,995	86	4.5%	2,115	120	6.0%
General State Charges	4,128	4,482	354	8.6%	4,687	205	4.6%	5,080	393	8.4%
Pensions	1,467	1,620	153	10.4%	1,842	222	13.7%	2,118	276	15.0%
Health Insurance (Active Employees)	1,826	1,992	166	9.1%	2,171	179	9.0%	2,119	(52)	-2.4%
Health Insurance (Retired Employees)	1,195	1,322	127	10.6%	1,422	100	7.6%	1,536	114	8.0%
Fringe Benefit Escrow	(2,319)	(2,534)	(215)	9.3%	(2,731)	(197)	7.8%	(2,817)	(86)	3.1%
All Other	1,959	2,082	123	6.3%	1,983	(99)	-4.8%	2,124	141	7.1%
Transfers to Other Funds:	5,932	7,392	1,460	24.6%	8,005	613	8.3%	8,479	474	5.9%
State Share Medicaid	2,450	3,022	572	23.3%	3,120	98	3.2%	3,083	(37)	-1.2%
Debt Service	1,642	1,766	124	7.6%	1,755	(11)	-0.6%	1,686	(69)	-3.9%
Capital Projects	1,096	1,368	272	24.8%	1,524	156	11.4%	1,687	163	10.7%
All Other	744	1,236	492	66.1%	1,606	370	29.9%	2,023	417	26.0%
Total Disbursements	53,533	66,032	12,499	23.3%	71,581	5,549	8.4%	76,528	4,947	6.9%
Change in Reserves	(917)	(48)			(71)			(25)		
School Aid Deferral	(2,060)	0			0			0		
Budget Surplus/(Gap) Estimate	0	(8,177)			(13,461)			(15,563)		

OUTYEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS

STATE OPERATING FUNDS PROJECTIONS (ADJUSTED)								
(millions of dollars)								
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change
Receipts:								
Taxes	60,484	64,231	3,747	6.2%	65,311	1.7%	68,698	5.2%
Personal Income Tax	36,897	39,579	2,682	7.3%	39,609	0.1%	41,827	5.6%
User Taxes and Fees	13,697	13,965	268	2.0%	14,369	2.9%	14,948	4.0%
Business Taxes	7,090	7,793	703	9.9%	8,209	5.3%	8,590	4.6%
Other Taxes	2,800	2,894	94	3.4%	3,124	7.9%	3,333	6.7%
Miscellaneous Receipts/Federal Grants	18,831	18,822	(9)	0.0%	19,237	2.2%	19,483	1.3%
Total Receipts	79,315	83,053	3,738	4.7%	84,548	1.8%	88,181	4.3%
Disbursements:								
Grants to Local Governments:	53,633	63,889	10,256	19.1%	69,264	8.4%	73,861	6.6%
School Aid	19,942	22,586	2,644	13.3%	25,144	11.3%	27,469	9.2%
Medicaid (incl. administration)	11,628	16,958	5,330	45.8%	18,612	9.8%	20,057	7.8%
STAR	3,300	3,418	118	3.6%	3,584	4.9%	3,772	5.2%
Higher Education	2,517	2,782	265	10.5%	2,895	4.1%	2,983	3.0%
Other Education Aid	1,511	1,854	343	22.7%	1,939	4.6%	1,990	2.6%
Mental Hygiene	3,474	3,757	283	8.1%	3,993	6.3%	4,234	6.0%
Public Health/Insurance/Aging	2,315	2,453	138	6.0%	2,580	5.2%	2,589	0.3%
Social Services	3,018	3,564	546	18.1%	3,895	9.3%	4,172	7.1%
Local Government Assistance	791	1,066	275	34.8%	1,077	1.0%	1,077	0.0%
All Other	5,137	5,451	314	6.1%	5,545	1.7%	5,518	-0.5%
State Operations:	14,642	15,697	1,055	7.2%	16,195	3.2%	16,355	1.0%
Personal Service	10,307	11,197	890	8.6%	11,488	2.6%	11,534	0.4%
Non-Personal Service	4,335	4,500	165	3.8%	4,707	4.6%	4,821	2.4%
General State Charges	5,205	5,759	554	10.6%	6,145	6.7%	6,616	7.7%
Pensions	1,467	1,620	153	10.4%	1,842	13.7%	2,118	15.0%
Health Insurance (Active Employees)	1,826	1,992	166	9.1%	2,171	9.0%	2,119	-2.4%
Health Insurance (Retired Employees)	1,195	1,322	127	10.6%	1,422	7.6%	1,536	8.0%
All Other	717	825	108	15.1%	710	-13.9%	843	18.7%
Debt Service	5,516	6,035	519	9.4%	6,357	5.3%	6,503	2.3%
Capital Projects	2	2	0	0.0%	2	0.0%	2	0.0%
Total Disbursements	78,998	91,382	12,384	15.7%	97,963	7.2%	103,337	5.5%
Net Other Financing Sources/(Uses)	663	175			291		100	
School Aid Deferral	(2,060)	0			0		0	
Net Operating Surplus/(Deficit)¹	(1,080)	(8,154)			(13,124)		(15,056)	

¹ Financed with the use of existing fund balances in 2010-11. See Financial Plan Tables.

OUTYEAR FINANCIAL PLAN PROJECTIONS

The annual spending changes are affected by the expiration of Federal stimulus funding for Medicaid and education, which is expected to result in approximately \$5.2 billion in costs reverting to the State, starting in 2011-12. The 2010-11 disbursements for school aid are further affected by the deferral of \$2.1 billion in planned spending for 2009-10 to 2010-11. The table below displays the impact of the direct Federal aid as it applies to major programs (by adjusting the State spending to show the current service annual change without the benefit of ARRA), which temporarily lowered State costs. All amounts are shown on a State fiscal year basis.

STATE OPERATING FUNDS SPENDING PROJECTIONS				
MAJOR PROGRAM AREAS ADJUSTED FOR IMPACT OF ARRA DIRECT FEDERAL AID				
(millions of dollars)				
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
State Operating Funds (Adjusted):	84,884	92,094	7,210	8.5%
Reported State Operating Funds With ARRA	78,998	91,382	12,384	15.7%
Plus: Federal ARRA	5,886	712	(5,174)	-87.9%
School Aid (Adjusted)	<u>21,273</u>	<u>23,095</u>	<u>1,822</u>	<u>8.6%</u>
Reported School Aid With ARRA	19,942	22,586	2,644	13.3%
Plus: Federal ARRA (State Fiscal Stabilization)	1,331	509	(822)	-61.8%
DOH Medicaid (Adjusted)	<u>15,053</u>	<u>17,065</u>	<u>2,012</u>	<u>13.4%</u>
Reported Medicaid With ARRA	11,628	16,958	5,330	45.8%
Plus: Federal ARRA (Enhanced FMAP)	3,425	107	(3,318)	-96.9%
Higher Education (Adjusted)	<u>2,683</u>	<u>2,782</u>	<u>99</u>	<u>3.7%</u>
Reported Higher Education With ARRA	2,517	2,782	265	10.5%
Plus: Federal ARRA (State Fiscal Stabilization)	166	0	(166)	-100.0%
Other Education Aid (Adjusted)	<u>1,846</u>	<u>1,854</u>	<u>8</u>	<u>0.4%</u>
Reported Other Education Aid With ARRA	1,511	1,854	343	22.7%
Plus: Federal ARRA (State Fiscal Stabilization)	335	0	(335)	-100.0%
Personal Service (Adjusted)	<u>10,936</u>	<u>11,293</u>	<u>357</u>	<u>3.3%</u>
Personal Service With ARRA	10,307	11,197	890	8.6%
Plus: Federal ARRA (Enhanced FMAP - Mental Hygie	629	96	(533)	-84.7%

OUTYEAR FINANCIAL PLAN PROJECTIONS

GRANTS TO LOCAL GOVERNMENTS

MEDICAID (DEPARTMENT OF HEALTH)

The State's share of Medicaid is financed with a combination of General Fund and HCRA resources, as well as a share required by local governments. The Federal government is financing an additional share of Medicaid costs for October 2008 through June 30, 2011, which temporarily lowers the State's costs for the program.

MAJOR SOURCES OF ANNUAL CHANGE IN MEDICAID (millions of dollars)								
	2010-11	2011-12	Annual \$ Change	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change
State Operating Funds (Before FMAP)	15,053	17,065	2,012	13.4%	18,358	7.6%	20,057	9.3%
Enhanced FMAP -- State Share¹	(3,425)	(107)	3,318	-96.9%	254	0.0%	0	0.0%
State Operating Funds (After FMAP)	11,628	16,958	5,330	45.8%	18,612	9.8%	20,057	7.8%
Other State Funds Support	(4,559)	(4,519)	40	-0.9%	(4,807)	6.4%	(5,009)	4.2%
HCRA Financing	(2,938)	(2,981)	(43)	1.5%	(3,269)	9.7%	(3,471)	6.2%
Provider Assessment Revenue	(750)	(750)	0	0.0%	(750)	0.0%	(750)	0.0%
Indigent Care Revenue	(871)	(788)	83	-9.5%	(788)	0.0%	(788)	0.0%
Total General Fund	7,069	12,439	5,370	76.0%	13,805	11.0%	15,048	9.0%

¹ Excludes Medicaid spending in other State agencies, including enhanced FMAP for other state agencies.

Medicaid growth over the plan period is affected by estimates of increasing Medicaid enrollment, rising costs of provider health care services, and higher levels of utilization, as well as the expiration of the temporarily enhanced levels of Federal aid. The average number of Medicaid recipients is expected to grow to 4.54 million in 2010-11, an increase of 10.3 percent from the estimated 2009-10 caseload of 4.12 million.

The expiration of the enhanced Federal FMAP share substantially increases State-funded spending for Medicaid in 2011-12. However, even after adjusting for the impact of enhanced FMAP, State spending for Medicaid is expected to grow significantly over the multi-year Financial Plan, increasing at an average annual rate of 11.1 percent, from \$15.1 billion in 2010-11 to \$20.1 billion in 2013-14. Overall Medicaid growth results, in part, from the combination of projected increases in service utilization, and medical care cost inflation that affects nearly all categories of service (e.g., hospitals, nursing homes), as well as rising enrollment levels.

Other factors contributing to Medicaid spending growth include additional costs of approximately \$331 million annually attributable to the State cap on local government Medicaid cost increases and the takeover of local FHP costs. Also, the payment of an extra weekly cycle to providers adds an estimated \$400 million in 2011-12.

OUTYEAR FINANCIAL PLAN PROJECTIONS

SCHOOL AID

School aid spending includes foundation aid; UPK expansion; and expense-based aids such as building aid, transportation aid, and special education. School aid spending is supported by the General Fund, as well as lottery revenues (including VLTs). On a school-year basis, school aid is projected to grow from \$20.6 billion in 2010-11 to \$28.1 billion in 2013-14, an average annual rate of approximately 11 percent.

MULTI-YEAR SCHOOL AID PROJECTIONS - SCHOOL-YEAR BASIS (millions of dollars)								
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>	<u>2012-13</u>	<u>Annual % Change</u>	<u>2013-14</u>	<u>Annual % Change</u>
Foundation Aid/Academic Achievement Grant	14,894	15,889	995	6.7%	17,390	9.4%	19,073	9.7%
Universal Pre-kindergarten	378	378	0	0.0%	462	22.2%	564	22.1%
Expense-Based Aids ¹	5,890	6,390	500	8.5%	6,940	8.6%	7,520	8.4%
Other Aid Categories/Initiatives	807	863	56	6.9%	908	5.2%	953	5.0%
Deficit Reduction Assessment	<u>(1,412)</u>	<u>0</u>	<u>1,412</u>	<u>-100.0%</u>	<u>0</u>	<u>0.0%</u>	<u>0</u>	<u>0.0%</u>
Total School Aid	<u>20,557</u>	<u>23,520</u>	<u>2,963</u>	<u>14.4%</u>	<u>25,700</u>	<u>9.3%</u>	<u>28,110</u>	<u>9.4%</u>

¹ Includes building, transportation, high cost and private special education, and BOCES.

Growth in 2011-12 is primarily due to increases in expense-based aid and the phase-in of foundation aid over a seven-year period. Growth in 2012-13 projected and beyond is primarily due to increases in foundation aid; UPK expansion; and contractual increases in expense-based aids such as building aid and transportation aid.

On a State fiscal-year basis, school aid spending is projected to grow by \$2.6 billion in 2011-12, \$2.6 billion in 2012-13, and \$2.3 billion in 2013-14.

MULTI-YEAR SCHOOL AID PROJECTIONS - SCHOOL-YEAR TO FISCAL YEAR IMPACT (millions of dollars)								
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>	<u>2012-13</u>	<u>Annual % Change</u>	<u>2013-14</u>	<u>Annual % Change</u>
Foundation Aid (70/30% Current/Prior SY)	14,894	15,591	697	4.7%	16,940	8.7%	18,568	9.6%
Expense-Based Aids (70/30% Current/Prior SY)	5,804	6,240	436	7.5%	6,775	8.6%	7,346	8.4%
Universal Pre-K (70/30% Current/Prior SY)	378	378	0	0.0%	437	15.6%	533	22.0%
Other Aid Categories/Initiatives	197	886	689	349.7%	992	12.0%	1,022	3.0%
ARRA State Fiscal Stabilization	<u>(1,331)</u>	<u>(509)</u>	<u>822</u>	<u>-61.8%</u>	<u>0</u>	<u>-100.0%</u>	<u>0</u>	<u>0.0%</u>
Total Fiscal Year State Operating Funds	<u>19,942</u>	<u>22,586</u>	<u>2,644</u>	<u>13.3%</u>	<u>25,144</u>	<u>11.3%</u>	<u>27,469</u>	<u>9.2%</u>

Over the multi-year Financial Plan period, revenues available to finance school aid from core lottery sales are expected to increase nominally. Revenues from VLTs are expected to grow, augmented by the anticipated opening of a VLT facility at Aqueduct by April 2012.

The Financial Plan currently assumes a one-time franchise payment from the sale of VLT development rights at Aqueduct in 2010-11.

OUTYEAR FINANCIAL PLAN PROJECTIONS

MENTAL HYGIENE

Mental hygiene spending is projected to grow on average by \$250 million annually to total \$4.2 billion in 2013-14. Sources of growth include: increases in the projected State share of Medicaid costs; projected expansion of the various mental hygiene service systems, including increases primarily associated with the OPWDD NYS-CARES program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the OMH pipeline, as well as additional funds for supported housing beds and associated support services pursuant to a Federal district court decision; and several chemical dependence treatment and prevention initiatives in OASAS, including treatment costs associated with recent reforms to Rockefeller drug laws.

SOCIAL SERVICES

Children and Family Services spending is expected to grow by approximately \$200 million annually through 2013-14 primarily driven by growth in local claims-based programs, including child welfare. TADA spending is projected to increase by \$529 million from \$1.2 billion in 2010-11 to \$1.7 billion by 2013-14, due to the loss of one-time TANF Emergency Contingency Fund grants that were used to support public assistance costs and the projected increase in the public assistance caseload. Based on the latest economic forecast and updated program data, the total public assistance caseload has increased in all years from 2010-11 to 2013-14 in the Enacted Budget compared to the Executive Budget.

OUTYEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATIONS

State Operations spending growth over the multi-year Financial Plan is concentrated in agencies with large operational facility-based budgets such as SUNY, Corrections, and the mental hygiene agencies, as well as the Judiciary. The main causes of growth include expiration of the enhanced FMAP that lowers State costs for portions of mental hygiene spending, inflationary increases in operating costs, and ongoing initiatives, including the civil commitment program for sexual offenders, and medical and pharmacy costs in the areas of mental hygiene and corrections.

STATE OPERATING FUNDS - STATE OPERATIONS (millions of dollars)							
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual \$ Change</u>	<u>2012-13</u>	<u>Annual \$ Change</u>	<u>2013-14</u>	<u>Annual \$ Change</u>
Personal Service	10,307	11,197	890	11,488	291	11,534	46
State University	3,124	3,155	31	3,189	34	3,214	25
Correctional Services	1,922	1,939	17	1,957	18	1,951	(6)
Judiciary	1,537	1,838	301	1,846	8	1,847	1
State Police	601	601	0	601	0	601	0
Mental Hygiene	548	993	445	1,062	69	1,072	10
Tax and Finance	349	349	0	350	1	353	3
Public Health	261	264	3	266	2	267	1
Environmental Conservation	189	186	(3)	187	1	187	0
Children and Family Services	179	200	21	219	19	216	(3)
Legislature	165	168	3	172	4	175	3
Statewide Agency Operations Savings	(250)	(125)	125	0	125	0	0
All Other	1,682	1,629	(53)	1,639	10	1,651	12
Non-Personal Service	4,335	4,500	165	4,707	207	4,821	114
State University	1,693	1,687	(6)	1,740	53	1,795	55
Correctional Services	552	589	37	624	35	666	42
Judiciary	366	366	0	373	7	373	0
Public Health	261	260	(1)	257	(3)	259	2
Mental Hygiene	217	243	26	293	50	302	9
Lottery	145	148	3	147	(1)	150	3
Children and Family Services	102	114	12	120	6	124	4
Tax and Finance	96	96	0	96	0	98	2
Debt Service	92	92	0	92	0	92	0
Insurance	82	87	5	87	0	87	0
Statewide Agency Operations Savings	(250)	(250)	0	(250)	0	(250)	0
All Other	979	1,068	89	1,128	60	1,125	(3)

PERSONAL SERVICE

Personal service spending includes wages and compensations for overtime, holiday and temporary services. It does not include fringe benefits that are accounted for under General State Charges. Personal service spending increases reflect the impact of settled labor contracts, salary adjustments for performance advances, longevity payments and promotions. Growth in personal service is affected by the expiration of enhanced FMAP, which temporarily reduced the State-share costs of operating the mental hygiene system.

OUTYEAR FINANCIAL PLAN PROJECTIONS

NON-PERSONAL SERVICE

Non-personal service spending represents the costs of operations other than employee wages and benefits. It includes utilities, rent, equipment, supplies and materials, telecommunications, information technology, travel, training, medical supplies, prescription drugs, and certain contractual obligations. Spending is expected to grow by an average of 4 percent annually through 2013-14, and is concentrated in agencies with large operational facility-based budgets.

Significant cost increases are expected for food, prescription drugs, and energy costs in State facilities (including prisons, youth facilities, and mental hygiene facilities), costs reflected of developing the new Statewide Financial System and targeted initiatives, including increasing staff to youth ratios and improving mental health services for youth residing in State-operated juvenile justice facilities.

GENERAL STATE CHARGES

GSCs account for the costs of fringe benefits provided to State employee and retirees of the Executive, Legislative and Judicial branches, as well as for certain fixed costs. GSCs are projected to grow at an average annual rate of 8.3 percent from 2010-11 through 2013-14. The growth is mainly due to anticipated cost increases in pensions and health insurance for active and retired State employees.

The State's 2010-11 ERS pension contribution rate as a percentage of salary is expected to grow from 12.1 percent in 2010-11 to 23.5 percent in 2013-14. The Police and Fire Retirement System pension contribution rate is expected to be 18.3 percent in 2010-11, and is projected to grow to 31.4 percent by 2013-14. In addition to savings expected from the new tier of pension benefits enacted in December 2009, the Enacted Budget authorizes the State and local governments to amortize a portion of future costs. After these savings actions, pension costs grow from \$1.6 billion in 2011-12 to \$2.1 billion by 2013-14.

OUTYEAR FINANCIAL PLAN PROJECTIONS

Spending for employee and retiree health insurance costs is expected to grow at a consistently high rate through 2013-14, with annual growth reflecting an annual premium increase of roughly 7 percent. Spending for employee and retiree health care costs is detailed below.

FORECAST OF NEW YORK STATE EMPLOYEE HEALTH INSURANCE COSTS (millions of dollars)			
Health Insurance			
Active			
Year	Employees	Retirees	Total State
2007-08 (Actual)	1,390	1,182	2,572
2008-09 (Actual)	1,639	1,068	2,707
2009-10 (Actual)	1,542	1,139	2,681
2010-11 (Projected)	1,826	1,195	3,021
2011-12 (Projected)	1,992	1,322	3,314
2012-13 (Projected)	2,171	1,422	3,593
2013-14 (Projected)	2,119	1,536	3,655

See the discussion of the GASB Statement 45 later in this Financial Plan for the valuation of future State health insurance and other post-employment benefits costs for State employees.

OUTYEAR FINANCIAL PLAN PROJECTIONS

TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

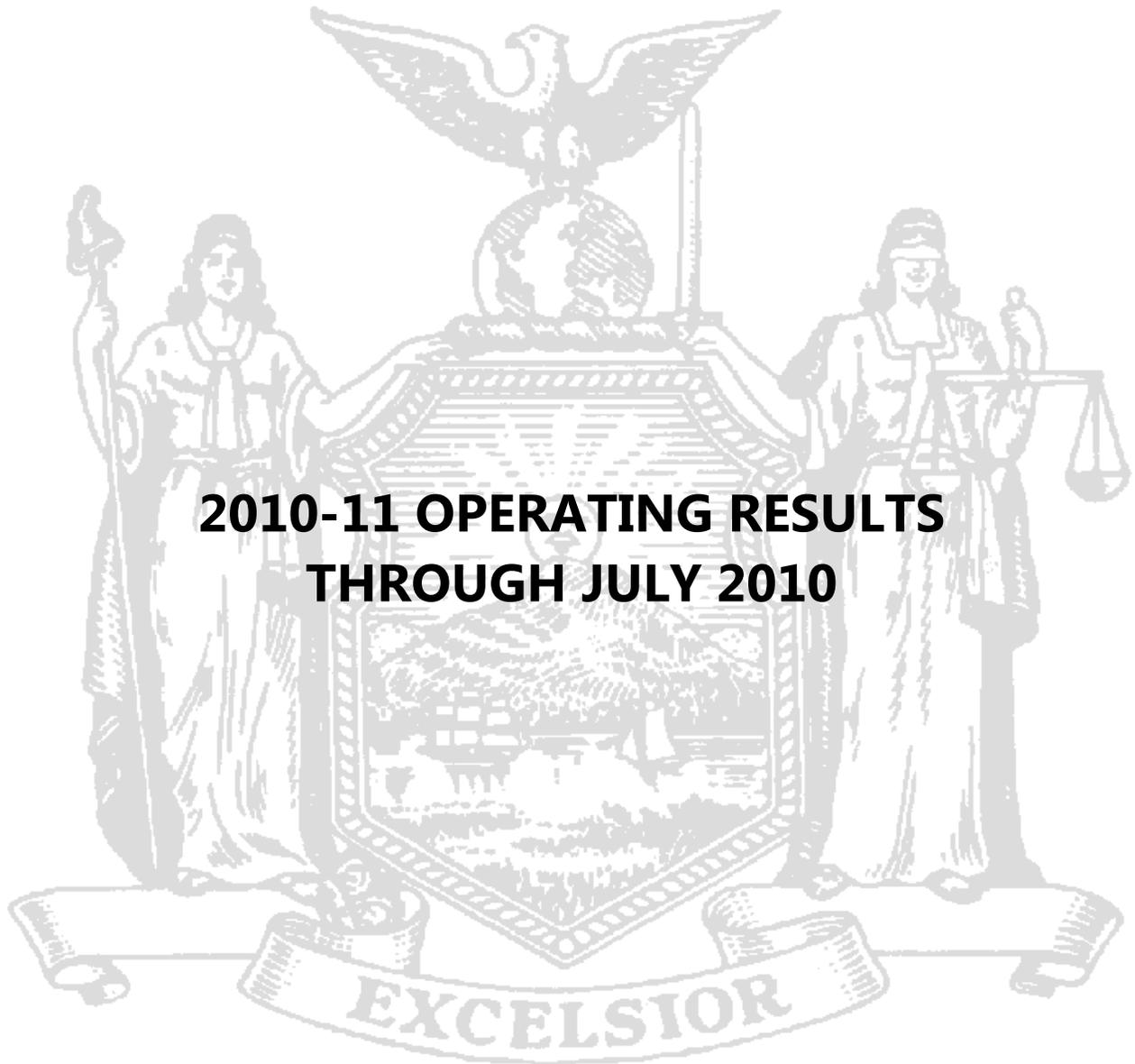
General Fund transfers help finance certain capital activities, the State's share of Medicaid costs for State-operated mental hygiene facilities, debt service for bonds that do not have dedicated revenues, and a range of other activities.

OUTYEAR DISBURSEMENT PROJECTIONS - GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)							
	2010-11	2011-12	Annual Change	2012-13	Annual Change	2013-14	Annual Change
Transfers to Other Funds:	5,932	7,392	1,460	8,005	613	8,479	474
Medicaid State Share	2,450	3,022	572	3,120	98	3,083	(37)
Debt Service	1,642	1,766	124	1,755	(11)	1,686	(69)
Capital Projects	1,096	1,368	272	1,524	156	1,687	163
Dedicated Highway and Bridge Trust Fund	699	804	105	910	106	1,001	91
All Other Capital	397	564	167	614	50	686	72
All Other Transfers	744	1,236	492	1,606	370	2,023	417
Mental Hygiene	49	534	485	884	350	1,287	403
Medicaid Payments for State Facility Patients	216	216	0	216	0	216	0
Judiciary Funds	153	156	3	157	1	163	6
Banking Services	66	66	0	66	0	66	0
Indigent Legal Services	40	40	0	40	0	40	0
SUNY- Hospital Operations	33	0	(33)	0	0	0	0
Department of Transportation (MTA Tax)	24	25	1	25	0	25	0
Alcoholic Beverage Control	19	20	1	18	(2)	18	0
Mass Transportation Operating Assistance	19	19	0	19	0	19	0
Public Trans Systems	19	19	0	19	0	19	0
Correctional Industries	14	14	0	14	0	14	0
DCJS - Crimes Against Revenues Account	10	16	6	16	0	16	0
Statewide Financial System	9	45	36	55	10	60	5
All Other	73	66	(7)	77	11	80	3

Increases in all other transfers reflect the need to supplement resources available for the mental hygiene system and fund the development of the State's new financial management system.

DEDICATED HIGHWAY AND BRIDGE TRUST FUND

A significant portion of the capital and operating expenses of DMV are funded from the DHBTF. The Fund receives dedicated tax and fee revenue from the Petroleum Business Tax, the Motor Fuel Tax, the Auto Rental Tax, highway use taxes, transmission taxes and motor vehicle fees administered by DMV. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on DHBTF bonds and transfers for debt service on bonds that fund CHIPs and local transportation programs – exceed current and projected revenue deposits and bond proceeds. The subsidy is projected at \$804 million for 2011-12, \$910 million for 2012-13, and \$1.0 billion in 2013-14, with continued growth thereafter.



**2010-11 OPERATING RESULTS
THROUGH JULY 2010**

2010-11 OPERATING RESULTS THROUGH JULY 2010

GENERAL FUND PRELIMINARY RESULTS: APRIL to JULY 2010 (millions of dollars)		
	Preliminary Results	Increase/ (Decrease) from Prior Year
Opening Balance (April 1, 2010)	2,302	354
Receipts	16,110	362
Personal Income Tax*	10,119	230
User Taxes and Fees*	3,615	219
Business Taxes	1,057	(218)
Other Taxes*	571	229
Non-Tax Revenue	748	(98)
Disbursements	17,822	1,138
School Aid	6,973	1,678
Medicaid (including admin)	2,903	783
All Other Education	72	(322)
Children and Family Services	105	(303)
All Other Local	2,065	(638)
Personal Service	2,267	(64)
Non-Personal Service	573	(139)
General State Charges	750	(129)
Transfers To Other Funds	2,114	272
Change in Operations	(1,712)	(776)
Closing Balance (July 31, 2010)	590	(422)
* Includes transfers from other funds after debt service.		

Through July 2010, General Fund receipts, including transfer from other funds, were \$362 million, or 2.3 percent, higher than the same period in 2009. Net tax collections are higher by \$460 million. Non-tax revenue was lower primarily due to the timing of transfers from other funds as a result of the delay in enacting the 2010-11 budget.

Through July 2010, General Fund disbursements, including transfers to other funds, were \$1.1 billion, or 6.7 percent higher than the 2009 period. The payment of a \$2.1 billion to school aid payment planned for March 2010 in June 2010, adjusted for the increase. Excluding the impact of this cash management action, local assistance spending through July 2010 was down by over \$1 billion. Higher Medicaid spending results from a decline in HCRA offsets and an additional weekly cycle for this period in 2010-11. This growth was offset by lower authorized spending in Higher Education, Special Education, Children and Family Services, and non-personal service during the period when interim appropriations were in place.

2010-11 OPERATING RESULTS THROUGH JULY 2010

ALL FUNDS

PRELIMINARY SPENDING RESULTS: APRIL to JULY 2010 (millions of dollars)		
	Preliminary Results	Increase/ (Decrease) from Prior Year
State Operating Funds	22,751	211
General Fund (excl. transfers)	15,708	867
Other State Funds	5,944	(693)
Debt Service Funds	1,099	37
All Governmental Funds	39,415	1,727
State Operating Funds	22,751	211
Capital Projects Funds	2,154	29
Federal Operating Funds	14,510	1,487

State Operating Funds spending was \$22.8 billion, or \$211 million higher than the same period last year. Besides the General Fund spending variances described above, decreased other State Funds spending is primarily attributable to timing related changes in HCRA programs And lower STAR spending on New York City resident personal income tax relief.

The Federal Operating spending increases over the prior year are concentrated in Medicaid and education driven by Federal ARRA spending.



GAAP-BASIS FINANCIAL PLANS

GAAP-BASIS FINANCIAL PLANS

The State Budget is required to be balanced on a cash basis, which is DOB's primary focus in preparing and implementing the State Financial Plan. State Finance Law also requires the Financial Plan be presented for informational purposes on a GAAP basis. The GAAP-basis plans model, to the extent practicable, the accounting principles applied by OSC in preparation of the annual Financial Statements. Tables comparing the cash basis and GAAP basis General Fund Financial Plans are provided at the end of this Financial Plan.

In 2009-10, the General Fund GAAP Financial Plan shows total revenues of \$44.9 billion, total expenditures of \$54.1 billion, and net other financing sources of \$8.7 billion, resulting in an operating deficit of \$594 million and an accumulated deficit of \$3.5 billion. These results are due primarily to the cash deficit and the impact of economic conditions on revenue accruals, primarily PIT.

In 2010-11, the General Fund GAAP Financial Plan shows total revenues of \$46.9 billion, total expenditures of \$55.4 billion, and net other financing sources of \$9.3 billion, resulting in an operating surplus of \$774 million, which reduces the projected accumulated deficit to \$2.8 billion. These results reflect the impact of the Enacted Budget gap-closing actions, and the carry-forward of the cash deficit into 2010-11.

GASB 45

The State has used an independent actuarial consulting firm to calculate retiree health care liabilities. The analysis calculated the present value of the actuarial accrued total liability for benefits as of March 31, 2010 at \$55.9 billion (\$46.3 billion for the State and \$9.6 billion for SUNY), using the level percentage of projected payroll approach under the Frozen Entry Age actuarial cost method.

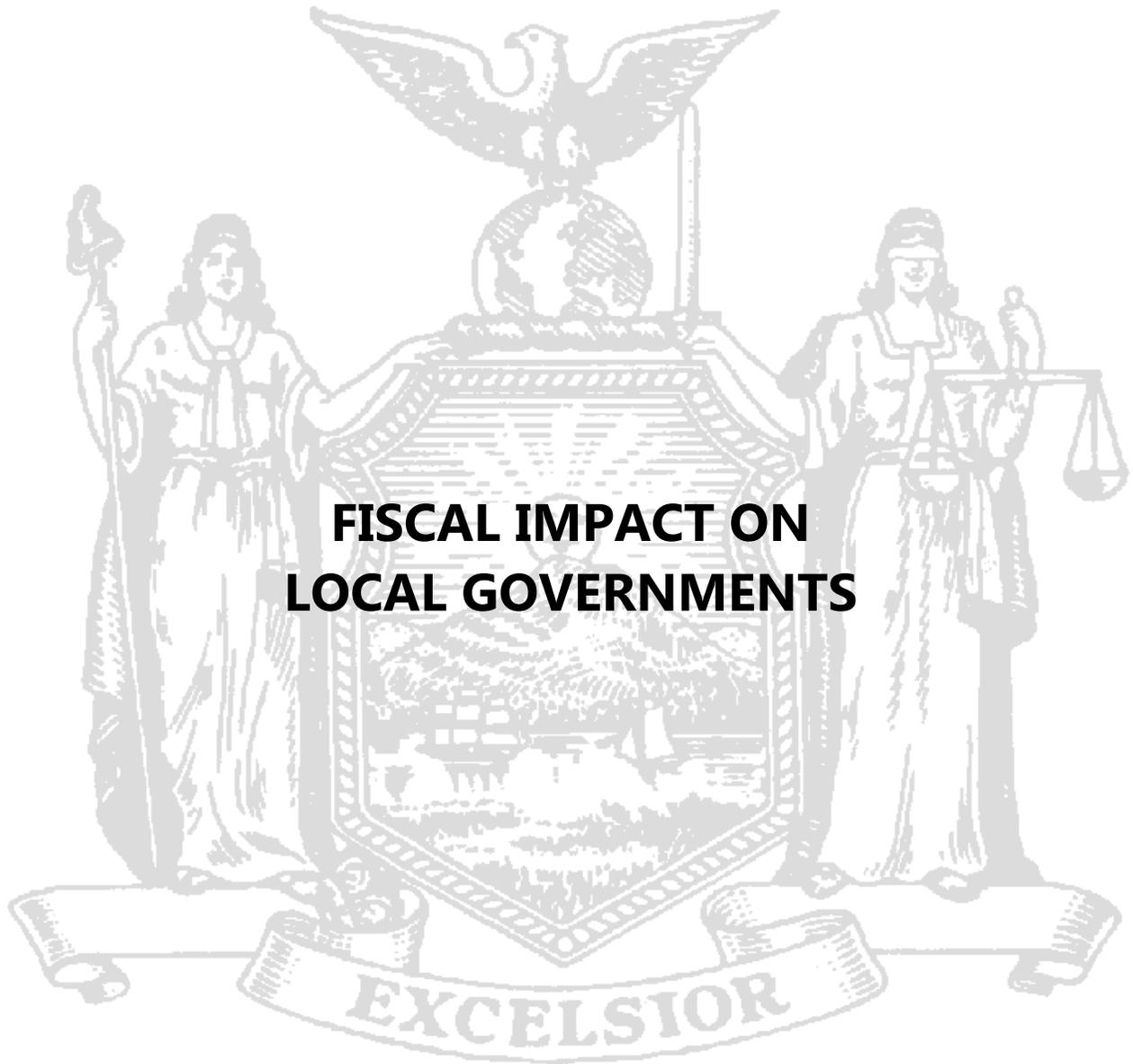
GASB rules indicate this liability may be amortized over a 30-year period; therefore, only the annual amortized liability above the current PAYGO costs is recognized in the financial statements. The 2009-10 liability totaled \$3.3 billion (\$2.7 billion for the State and \$0.6 billion for SUNY) under the Frozen Entry Age actuarial cost method, amortized based on a level percent of salary. This was \$2.1 billion (\$1.7 billion for the State and \$0.4 billion for SUNY) above the payments for retiree costs made by the State in 2009-10. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASBS 45 reduced the State's currently positive net asset condition at the end of 2009-10 by \$2.1 billion.

GASB does not require the additional costs to be funded on the State's budgetary basis, and no funding is assumed for this purpose in the Financial Plan. On a budgetary (cash) basis, the State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis. See "Outyear Financial Plan Projections" for a summary of projected spending for this purpose over the Plan period.

GAAP-BASIS FINANCIAL PLANS

As noted, there is no provision in the current Financial Plan to pre-fund the GASB 45 liability. If such liability were pre-funded at this time, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

DOB's detailed GAAP Financial Plans for 2009-10 through 2013-14 are provided in the Financial Plan Tables.



**FISCAL IMPACT ON
LOCAL GOVERNMENTS**

FISCAL IMPACT ON LOCAL GOVERNMENTS

FISCAL IMPACT ON LOCAL GOVERNMENTS

This section presents the estimated fiscal impact of the 2010-11 Enacted Budget on New York's municipalities. To supplement the narrative below, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

OVERVIEW

Enacted Budget actions will result in a negative local impact estimated at \$1.45 billion for local fiscal years ending in 2011 — the first full-annual local fiscal year affected by the recently enacted State budget. While reductions in aid drive negative fiscal impacts for New York City and school districts, the estimated net impact on other classes of local government is essentially neutral. These estimates, however, do not reflect over \$1.1 billion in additional aid for local governments recently approved by the Federal government, of which more than \$600 million will offset Enacted Budget reductions in School Aid and an FMAP extension will provide over \$500 million in fiscal relief to counties and New York City. The estimates also do not reflect additional local aid reductions that are expected pursuant to the Enacted Budget FMAP Contingency Plan. Allocations of the additional Federal funds and the FMAP Contingency reductions have yet to be determined.

- **School Districts:** School districts outside of New York City will experience a \$702 million negative impact in the 2010-11 school year driven by a \$711 year-to-year million reduction in School Aid. In addition, School districts could benefit from amortizing future pension rate increases saving \$7 million.
- **New York City:** The City of New York will realize a \$778 million negative impact in CFY 2010-11. In addition to a \$418 million year-to-year reduction in School Aid, \$302 million in AIM funding is eliminated for the 2010-11 State fiscal year. Other reductions in aid and revenue include: \$74 million for human services programs; \$19 million in lost cigarette tax revenue as the result of the State's cigarette tax increase; \$6 million for certain optional public health programs; \$4 million for criminal justice programs; and \$4 million in transit assistance. These reductions are partially offset with a net \$32 million in additional annual revenue that reflects modified collections for certain sales and use taxes and reduced income tax deductions for high income earners. In addition, the City will be eligible for estimated potential revenue of \$4 million in aid for public safety communications systems and \$7 million under an expanded Crimes Against Revenue Program. EI program reforms will also reduce City spending, with estimated savings of \$7 million in 2010-11.
- **Counties:** County governments will experience a \$22 million net increase in financial support from the Enacted Budget, primarily due to \$33 million in new aid for public safety communication systems and \$9 million in savings from Early Intervention program reforms. Other fiscal benefits for counties include an

FISCAL IMPACT ON LOCAL GOVERNMENTS

estimated \$10 million from authorization to amortize a portion of pension contribution rate increases; \$6 million in additional grants for indigent defense; and \$4 million in potential aid under the expanded Crimes Against Revenue Program. These fiscal relief measures will offset reductions in other program areas, the largest of which include: \$43 million for human services programs; \$8 million for criminal justice programs; and \$5 million each for county transit systems and certain optional public health programs.

- **Other Municipalities:** Other cities, towns and villages will realize an overall \$4 million positive impact in local fiscal years ending in 2011, due to an estimated \$13 million in fiscal relief will result under the pension amortization option and another \$6 million in revenue from modifying collections for certain sales and use taxes. Reductions in State aid for these municipalities is largely limited to \$15 million in AIM funding and approximately \$500,000 in VLT Aid.

Counties and New York City will also benefit from continuation of the cap on local share of Medicaid costs and State takeover of Family Health Plus program expenses, which will save a combined \$2.1 billion in 2011. Counting this assistance, the fiscal impact on local governments is a positive \$611 million.

MAJOR CHANGES FROM THE EXECUTIVE BUDGET

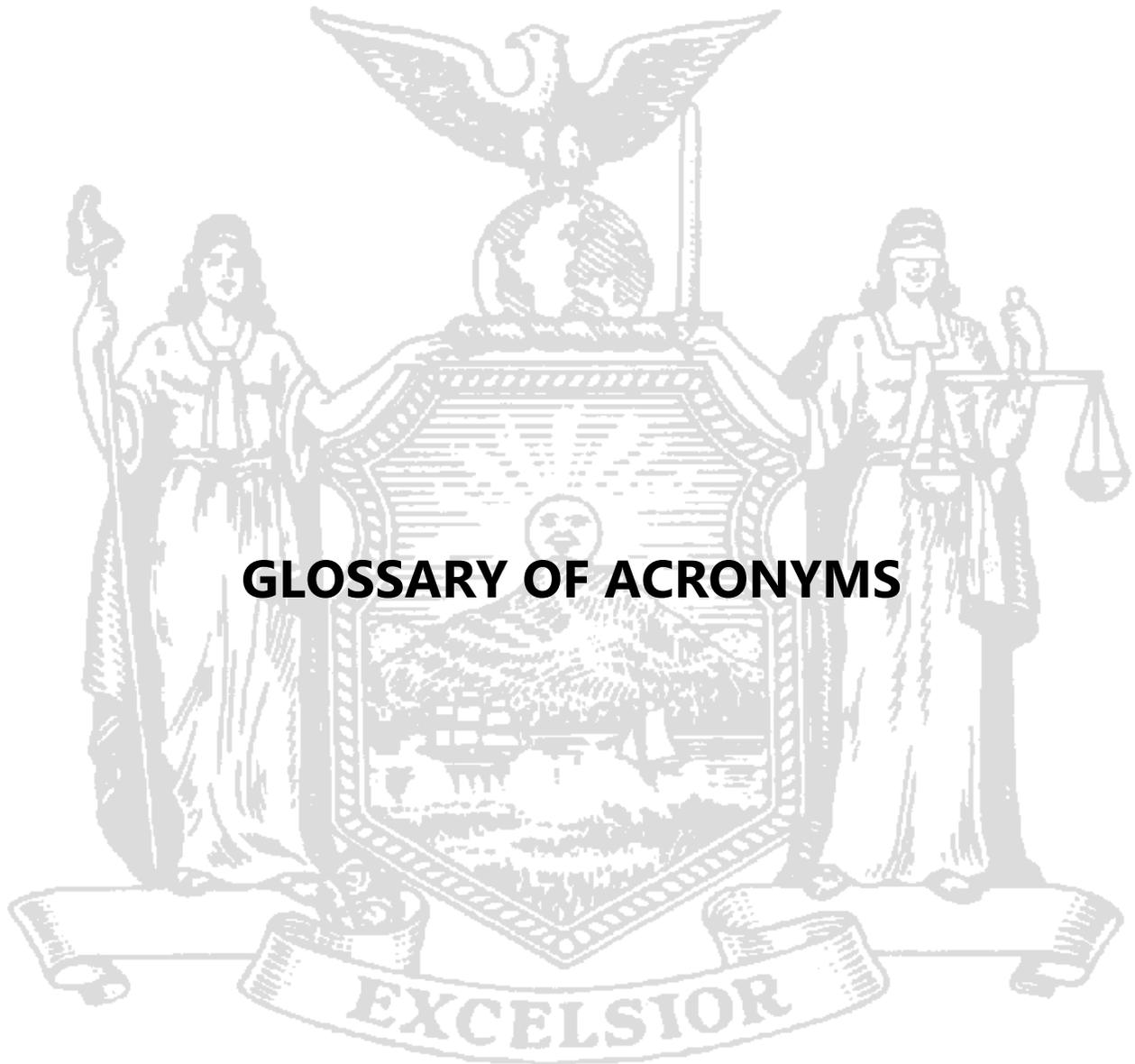
The overall negative impact on local governments in the 2011 local fiscal years changed from \$1.28 billion in the Executive Budget to \$1.45 billion in the Enacted Budget. The major components of this \$170 million net change include:

- **Revenues:** The Enacted Budget includes \$119 million less in support to local governments from revenue actions. The Legislature rejected a proposal to give cities and villages the option to increase the gross receipts tax on utilities for \$110 million in potential revenue and a proposal to extend the mortgage recording tax to residential cooperatives that would have provided \$76 million in additional revenue to New York City and other municipalities. However, the Enacted Budget provides local governments with \$48 million in additional sales tax revenues, mostly from expanding collections on hotel bookings. New York City will also gain \$16 million from a limit on charitable deductions for filers with incomes over \$10 million.
- **Human Services:** The Enacted Budget includes \$32 million in additional reductions in aid for human services programs. Counties and New York City will be required to contribute an additional \$34 million for child welfare services and an additional \$26 million for youth facility expenses. Offsetting these reductions is an \$18 million restoration for the Title XX Services program and a \$15 million restoration for the Summer Youth Employment program.

FISCAL IMPACT ON LOCAL GOVERNMENTS

- **Education:** The Legislature rejected an Executive proposal to modify reimbursement to school districts for Summer School Special Education to more closely mirror wealth equalized reimbursement rates used in other education areas. This impact was offset by \$43 million in School Aid modifications due in part to recent database revisions.
- **Public Safety Communications:** Increased aid for county and New York City public safety communications systems was scaled back in the Enacted Budget, reducing the 2011 benefit by \$30 million, from \$67 million to \$37 million.

Early Intervention: The Legislature rejected \$22 million in EI Reform, including measures to offset county and New York City costs through commercial insurance reimbursement and parental fees.



GLOSSARY OF ACRONYMS

GLOSSARY OF ACRONYMS

(ADAP)	Aids Drug Assistance Program
(AFSCME)	American Federation of State, County, and Municipal Employees
(AHC)	Affordable Housing Corporation
(AIG)	American International Group
(AIM)	Aid and Incentive for Municipalities
(AP/DV)	Adult Protective/Domestic Violence
(ARRA)	American Recovery and Reinvestment Act of 2009
(ARS)	Auction Rate Securities
(ATC)	Addiction Treatment Center
(AWP)	Average Wholesale Price
(BABs)	Build America Bonds
(BANS)	Bond Anticipation Notes
(BCI)	Bureau of Criminal Investigation
(BIC)	Bond Issuance Change
(BMA)	Bond Market Association
(BOCES)	Board of Cooperative Education Services
(BPCA)	Battery Park City Authority
(CAFR)	Comprehensive Annual Financial Report
(CAP)	Comprehensive Attendance Program
(CDT)	Continuing Day Treatment Clinic
(CFE)	Campaign for Fiscal Equity
(CFIA)	Court Facilities Incentive Aid
(CHCCDP)	Community Health Care Conversion Demonstration Project
(CHIPs)	Consolidated Highway Improvement Programs
(CHIPRA)	Children’s Health Insurance Program Reauthorization Act
(CHP)	Child Health Plus
(CMS)	Centers for Medicare and Medicaid Services
(CLCs)	21st Century Community Learning Centers
(CLRN)	Community Legal Resources Network
(COLA)	Cost-of-Living Adjustment
(COPS)	Comprehensive Outpatient Program Services
(CPFs)	Community Projects Funds
(CPI)	Consumer Price Index
(CPSE)	Committee on Preschool Special Education
(CQCAPD)	Commission on Quality Care and Advocacy for Persons with Disabilities
(CRF)	Contingency Reserve Fund
(CSEA)	Civil Service Employees Association
(CSTEP)	Collegiate Science and Technology Entry Program
(CUNY)	City University of New York
(CVB)	Crime Victims Board
(CW/CA)	Clean Water/Clean Air
(CWSRF)	Clean Water State Revolving Fund
(CEFAP)	Community Enhancement Facilities Assistance Program
(DANY)	Doctors Across New York
(DASNY)	Dormitory Authority of the State of New York

GLOSSARY OF ACRONYMS

(DBE)	Disadvantaged Business Enterprise
(DCJS)	Division of Criminal Justice Services
(DDPC)	Developmental Disabilities Planning Council
(DEC)	Department of Environmental Conservation
(DHBTF)	Dedicated Highway and Bridge Trust Fund
(DHCR)	Division of Housing and Community Renewal
(DMNA)	Department of Military and Naval Affairs
(DMV)	Department of Motor Vehicles
(DOB)	Division of the Budget
(DOCS)	Department of Correctional Services
(DOH)	Department of Health
(DOS)	Department of State
(DOT)	Department of Transportation
(DPCA)	Division of Probation and Correctional Alternatives
(DRRF)	Debt Reduction Reserve Fund
(DRP)	Deficit Reduction Plan
(DSFs)	Debt Service Funds
(DSH)	Disproportionate Share Hospital
(DSP)	Division of State Police
(DTF)	Department of Tax and Finance
(DWSRF)	Drinking Water Revolving Fund
(EFC)	Environmental Facilities Corporation
(EI)	Early Intervention
(EITC)	Earned Income Tax Credit
(EMSC)	Elementary, Middle, Secondary and Continuing Education
(EOCs)	Educational Opportunity Centers
(EOP)	Educational Opportunity Program
(EPF)	Environmental Protection Fund
(EPIC)	Elderly Pharmaceutical Insurance Coverage
(ERDA)	Energy Research and Development Authority
(ESDC)	Empire State Development Corporation
(ERS)	Employees' Retirement System
(ESCO)	Energy Service Companies
(EXCEL)	Expanding our Children's Education and Learning
(FCB)	Financial Control Board
(FHP)	Family Health Plus
(FMAP)	Federal Medical Assistance Percentage
(FMP)	Fiscal Management Plan
(FSA)	Financial Security Assurance
(GAAP)	Generally Accepted Accounting Principles
(GASB)	Governmental Accounting Standards Board
(GASB 45)	Governmental Accounting Standards Board Statement 45
(GDP)	Gross Domestic Product
(GHI)	Group Health Insurance
(GME)	Graduate Medical Education
(GOER)	Governor's Office of Employee Relations
(GPHW)	General Public Health Works
(GRT)	Gross Receipts Tax

GLOSSARY OF ACRONYMS

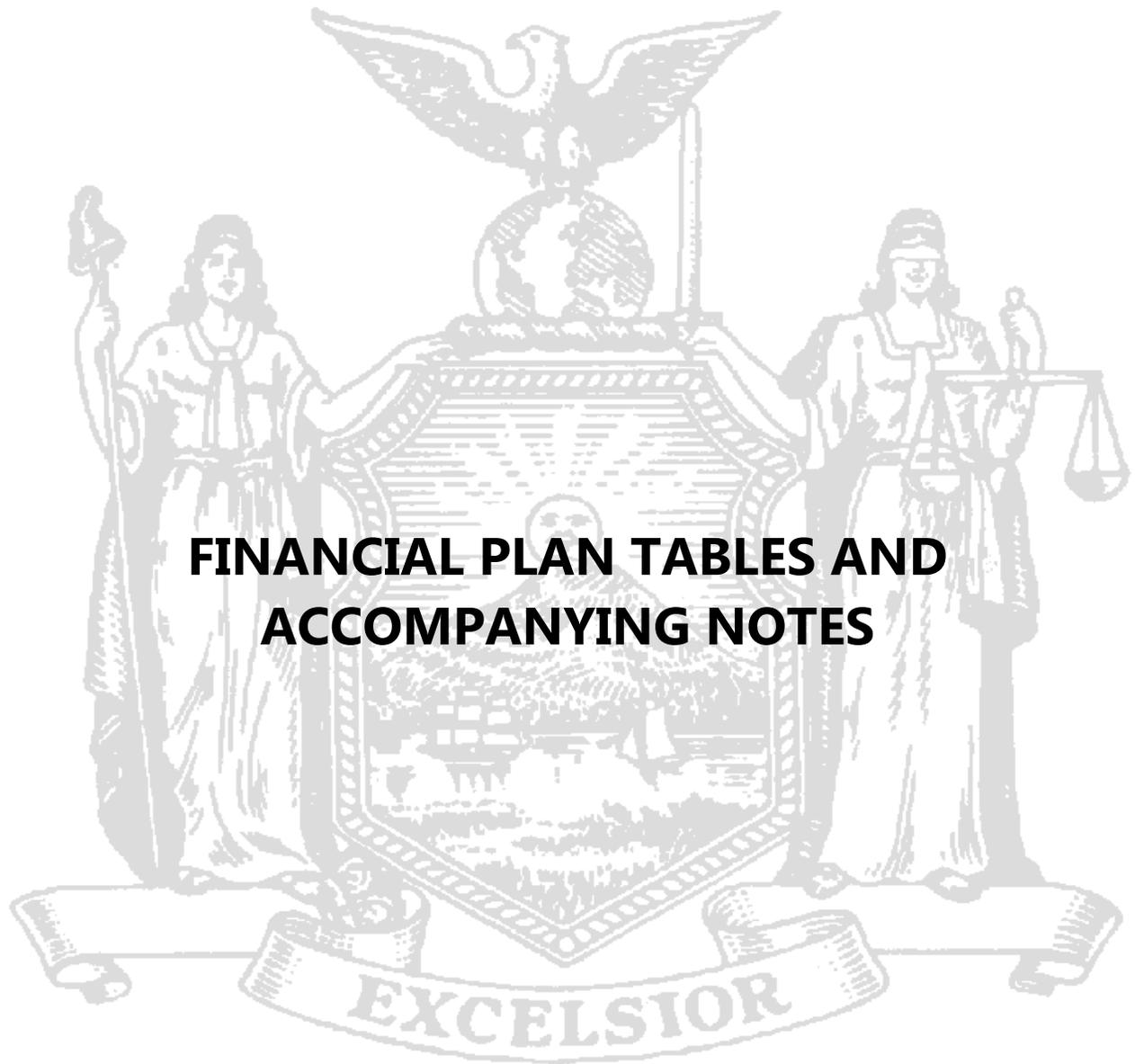
(GSCs)	General State Charges
(GSEW)	Graduate Student Employees Union
(HAF)	Housing Assistance Fund
(HCA-EIA)	Home Care Association Efficiency and Improvement Act
(HCBS)	Home and Community Based Services
(HCRA)	Health Care Reform Act
(HEAL NY)	Health Care Equity and Affordability Law for New Yorkers
(HEAP)	Home Energy Assistance Program
(HELP)	Higher Education Loan Program
(HFA)	Housing Finance Agency
(HHC)	Health and Hospital Corporation
(HESC)	Higher Education Services Corporation
(HHAC)	Homeless Housing Assistance Corporation
(HHAP)	Homeless Housing Assistance Program
(HIP)	Health Insurance Plan
(HMO)	Health Maintenance Organization
(HRPT)	Hudson River Park Trust
(HTFC)	Housing Trust Fund Corporation
(IDEA)	Individuals with Disabilities Education Act
(IFP)	Industrial Finance Program
(IME)	Indirect Medical Expense
(IPO)	Initial Public Offering
(IGT/DSH)	Intergovernmental Disproportionate Share
(IT)	Information Technology
(ITC)	Investment Tax Credit
(JDA)	Job Development Authority
(LGAC)	Local Government Assistance Corporation
(LIBOR)	London Inter Bank Offered Rates
(LIPA)	Long Island Power Authority
(LLC)	Limited Liability Company
(MAC)	Municipal Assistance Corporation
(MCFFA)	Medical Care Facilities Finance Agency
(MCTD)	Metropolitan Commuter Transportation District
(MMTOA)	Metropolitan Mass Transportation Operating Assistance Fund
(MTA)	Metropolitan Transportation Authority
(MTASP)	Metropolitan Transport Authority Support Program
(MTOA)	Mass Transportation Operating Assistance Fund
(MOU)	Memorandum of Understanding
(MSC)	Medicaid Service Coordination
(M/WBE)	Minority/Women-Owned Business Enterprises
(NAICS)	North American Industry Classification System
(NBER)	National Bureau of Economic Research
(NPS)	Non-Personal Service
(NTI)	New York State Net Taxable Income
(NYCOMB)	New York City Office of Management and Budget
(NYRA)	New York Racing Authority
(NYSTAR)	Office of Science, Technology and Academic Research
(NYSCOPBRA)	New York State Correctional Officers and

GLOSSARY OF ACRONYMS

	Police Benevolent Association
(NYHELPS).....	New York Higher Education Loan Program
(NYS-OPTS).....	New York State Options for People Through Services
(OASAS).....	Office of Alcoholism and Substance Abuse Services
(OCFS).....	Office of Children and Family Services
(OCR).....	Department of Transportation’s Office of Civil Rights
(OFT).....	Office for Technology
(OGS).....	Office of General Services
(OHS).....	Office of Homeland Security
(OMH).....	Office of Mental Health
(OMIG).....	Office of the Medicaid Inspector General
(OPDV).....	Office for the Prevention of Domestic Violence
(OPWDD).....	Office for People with Developmental Disabilities
(ORPS).....	Office of Real Property Services
(OSC).....	Office of the State Comptroller
(OTDA).....	Office of Temporary and Disability Assistance
(OCA).....	Office of Court Administration
(PACB).....	Public Authorities Control Board
(PAYGO).....	Pay-as-you-go
(PBT).....	Petroleum Business Tax
(PEF).....	Public Employees Federation
(PEP).....	Professional Education Pool
(PFJ).....	Power for Jobs
(PFM).....	Public Financial Management
(PFRS).....	Police and Fire Retirement System
(PIA).....	Patient Income Account
(PILOT).....	Payment in Lieu of Taxes
(PIT).....	Personal Income Tax
(PPA).....	Permanent Place of Abode
(PPI).....	Petroleum Price Index
(PRAG).....	Public Resources Advisory Group
(PSYCKES).....	Psychiatric Services and Clinical Knowledge Enhancement System
(PYCs).....	Prior Year Claims
(QPAI).....	Qualified Production Activity Income
(QCEW).....	Quarterly Census of Employment and Wages
(REIT).....	Real Estate Investment Fund
(RESCUE).....	Rebuilding Schools to Uphold Education
(RIC).....	Regulated Investment Company
(RBTF).....	Revenue Bond Tax Fund
(RGGI).....	Regional Greenhouse Gas Initiative
(SAFETEA-LU).....	Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users
(SBE).....	Sound Basic Education
(SEIP).....	Supplemental Education Improvement Program
(SEMO).....	State Emergency Management Office
(SFSF).....	State Fiscal Stabilization Fund
(SHU).....	Special Housing Unit
(SIP).....	Strategic Investment Program

GLOSSARY OF ACRONYMS

(SOMTA)	Sex Offenders Management Treatment Act
(SPIF)	State Parks Infrastructure Fund
(SRFs)	Special Revenue Funds
(SSHS)	School Supportive Health Services
(SSI)	Supplemental Security Income
(STAR)	School Tax Relief
(STARC)	State Tax Asset Receivable Corporation
(STEP)	Science and Technology Entry Programs
(ST&I)	Science, Technology, and Innovation
(STIP)	Short-Term Investment Pool
(SWN)	Statewide Wireless Network
(PAID)	Penalty and Interest Discount Program
(PASNY)	Power Authority of the State of New York
(SED)	State Education Department
(SONYMA)	State of New York Mortgage Agency
(SUNY)	State University of New York
(TA)	Thruway Authority
(TAG)	Technical Assistance Grant
(TANF)	Temporary Assistance for Needy Families
(TAP)	Tuition Assistance Program
(TARP)	Troubled Asset Relief Plan
(TAS)	Technical Advisory Service
(TFA)	Transitional Finance Authority
(TMT)	Truck Mileage Tax
(TRANS)	Tax and Revenue Anticipation Notes
(TSA)	Teacher Support Aid
(TSFC)	Tobacco Settlement Financing Corporation
(TSRF)	Tax Stabilization Reserve Fund
(UDC)	Urban Development Corporation
(UPK)	Universal Pre-Kindergarten
(UUP)	United University Professions
(VCI)	Voluntary Compliance Initiative
(VESID)	Vocational and Educational Services for Individuals with Disabilities
(VLT)	Video Lottery Terminal
(VOIRA)	Voluntary-Operated Individualized Residential Alternative
(VRDBs)	Variable-Rate Demand Bonds
(VRWS)	Voluntary Reduction in Work Schedule
(WHTI)	Western Hemisphere Travel Initiative
(WMS)	Welfare Management System
(WRP)	Workforce Reduction Plan



**FINANCIAL PLAN TABLES AND
ACCOMPANYING NOTES**

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

NOTE 1 BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting revenues are recognized when received and spending is recorded when cash is disbursed.

NOTE 2 FUND TYPES

The state records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys deposited to the credit of the General Fund during the fiscal year from current revenues (taxes, fees, and miscellaneous receipts including certain repayments of State advances) and transfers.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: 1) State Special Revenue Funds-Other and Special Revenue Funds-Federal. An example of a Special Revenue Fund-Other is the Conservation Fund which finances a number of State environmental programs. An example of a Special Revenue Fund-Federal is the Health and Human Services Fund where, for instance, Federal Medicaid reimbursements are received and disbursed. Although any earmarked revenue fund is treated as a Special Revenue Fund-Other for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt and certificates of participation. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as debt service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes and other revenues.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Capital Projects - These funds finance such capital construction costs as: Planning, land acquisition, design, construction, construction management and supervision, and equipment costs attributable to: highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; and buildings and other capital facilities required by various State departments and agencies; Aid payments to local governmental units and public authorities to help finance the following types of capital programs: highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and Advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

In its Financial Plan updates for 2007-08, the Division of the Budget added reporting to show “operating budget” spending financed with State resources. The State Funds operating budget comprises the General Fund and other State supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

Proprietary Funds

Internal Service Funds - These funds are used to account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost reimbursement basis.

Enterprise Funds - These funds are used to account for operations that operate similarly to private business enterprises. The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds- These funds are used to report all other trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - This fund is used to account for the cash basis results of operations for the administration portion of the State’s Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Agency Funds - These funds are used to account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

NOTE 3 DISBURSEMENT DESCRIPTIONS

The State's report includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All spending is classified in one of the following categories:

Local Assistance Grants - includes payments to counties, cities, towns, villages, school districts and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - includes the payment of salaries and compensation for State employees.

Non-Personal Service - includes the payment of miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs.

General State Charges - includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: contributions to pension systems, the employer's share of social security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed costs: for taxes on State-owned lands, State payments in lieu of taxes, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - disbursements include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

Capital Projects - disbursements include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities, to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and state mental

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or BANs and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

NOTE 4 RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

Tax Stabilization Reserve Fund

Created pursuant to law in order to provide a reserve to finance a cash basis operating deficit in the General Fund at the end of the fiscal year and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending. The balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year-end. These loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund

Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund

Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund

Created pursuant to law to finance discretionary, usually local projects ("member items") sponsored by individual legislators and the Governor. The enacted State budget typically includes lump sum appropriations for the Governor, the New York State Senate and the New York State Assembly to be designated for various grants, awards and

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, the Governor, the New York State Senate and the New York State Assembly allocate their respective appropriations to the intended recipient organizations and grant amounts.

Informal Designation of Fund Balance

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for”.

NOTE 5 STATE/FEDERAL REPORTING

For reporting purposes DOB defines Federal spending as any spending that is financed by monies received from the Federal government. Since the original source of the financing is the Federal Government, spending is treated as Federal spending for DOB reporting purposes.

NOTE 6 ITEMS AFFECTING 2009-10 TO 2010-11 COMPARABILITY

School Aid Payment

The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of 2009-10 was paid in the first quarter of 2010-11, as authorized in statute. This was done to carry forward the 2009-10 budget shortfall into 2010-11.

Collective Bargaining Costs

During the 2009-10 and 2010-11 fiscal years, salary payments were made / will be made pursuant to negotiated labor contracts with unions representing uniformed officers (NYSCOPBA), New York State Troopers (PBA), New York State Police Investigators (BCI) and Law Enforcement Officers (Council 82, Security Supervisors). The agreements settled in 2009 for NYSCOPBA (arbitration eligible unit), the PBA and BCI were retroactive to April 1, 2007 and cover the period until March 31, 2009 (for NYSCOPBA) and March 31, 2011 (for PBA and BCI). The award for Council 82 (Security Supervisors, arbitration eligible unit) was retroactive to April 1, 2005 and continued until March 31, 2007. The agreements settled in 2010 for NYSCOPBA (arbitration ineligible unit) and Council 82 (Security Supervisors) were retroactive to April 1, 2007 through March 31, 2009. The retroactive settlement (through 2007) amounted to \$17 million for Council 82 (Security Supervisors, arbitration eligible unit). The retroactive settlements (through March 31, 2009) amounted to \$320 million in 2009-10, and were comprised of \$258 million for NYSCOPBA, \$42 million for PBA employees, and \$20 million for BCI; in 2010-11, \$22 million is estimated to be paid for the 2010 agreements with NYSCOPBA and Council 82 (\$11 million each).

CUNY Payment Deferral

The State deferred \$300 million in senior college payments from 2008-09 to 2009-10, to reduce its 2008-09 deficit. Payment in the first quarter of 2009-10 was financed with

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

savings for health care cost containment attributable to the final quarter of 2008-09, but where the actual cash benefit was realized in 2009-10.

American Recovery and Reinvestment Act of 2009

On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

In addition, ARRA included a significant amount of Federal aid that passes through the State's All Funds Financial Plan but does not provide a gap-closing benefit and is subject to a range of factors that make timing of disbursements uncertain. This pass-through spending was expected to total approximately \$4.4 billion in 2009-10, however actual spending was roughly \$2.0 billion lower than planned.

NOTE 7 OFF-BUDGET TRANSACTIONS

Capital Spending

Represents certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

The 2010-11 Enacted Budget Financial Plan reflects the restatement of \$650 million in capital spending for the AMD project. These amounts were previously reflected as off-budget spending, occurring directly from bond proceeds held by the Empire State Development Corporation. They are now reflected as on-budget spending from the Capital Projects fund, and reimbursed by bond proceeds held by the Empire State Development Corporation.

NOTE 8 GENERAL FUND/HCRA COMBINED GAP

The current HCRA authorization expires on March 31, 2011. The Enacted Budget projects that HCRA will remain balanced through 2013-14. However any unaddressed shortfall would need to be financed by the General Fund, therefore the General Fund gap estimates include any HCRA imbalance.

NOTE 9 MTA FINANCIAL ASSISTANCE FUND

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is a tax imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. In addition, this fund includes the following Metropolitan commuter transportation district taxes and fees: taxi cab

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

medallion tax, a supplemental driver's license and permit fee, a supplemental registration fee and an additional auto rental tax. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to the requirements of the enabling legislation, the taxes and fees are reflected in the State's Special Revenue Funds, increasing both receipts and disbursements by an actual \$1.3 billion in 2009-10, an estimated \$1.7 billion in 2010-11, an estimated \$1.8 billion in 2011-12, an estimated \$1.9 billion in 2012-13 and an estimated \$2.0 billion in 2013-14.

NOTE 10 CHANGES TO THE MEDICAID PROGRAM

As of January 2006, the State pays for the entire non-Federal share of the FHP program and any annual Medicaid increases for counties above a fixed level. In accordance with statutory indexing provisions, 2010 Medicaid payments by local governments will not be allowed to increase by more than 3.0 percent over 2009 levels.

NOTE 11 STATEWIDE CASH FLOW ADMINISTRATION

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

NOTE 12 OUTSTANDING TEMPORARY LOANS

The total outstanding loan balance at March 31, 2010 was \$1.5 billion comprised of advances for bond reimbursable capital spending pending the receipt of bond proceeds (\$735 million), Federal activities that are financed initially by the State pending Federal receipt governed by Federal cash management regulations (\$318 million), State Special Revenue Funds (\$377 million), and Proprietary Funds (\$56 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

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**CASH FINANCIAL PLAN
GENERAL FUND
2010-2011 through 2013-2014
(millions of dollars)**

	<u>2010-2011 Enacted</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Receipts:				
Taxes:				
Personal income tax	24,373	26,265	26,106	27,581
User taxes and fees	8,810	8,975	9,255	9,687
Business taxes	5,714	6,335	6,674	6,977
Other taxes	1,034	989	1,029	1,084
Miscellaneous receipts	2,897	2,859	2,824	2,772
Federal grants	60	60	60	60
Transfers from other funds:				
PIT in excess of Revenue Bond debt service	7,795	8,227	8,061	8,504
Sales tax in excess of LGAC debt service	2,318	2,343	2,439	2,590
Real estate taxes in excess of CW/CA debt service	285	354	464	539
All other transfers	1,390	1,400	1,137	1,146
Total receipts	<u>54,676</u>	<u>57,807</u>	<u>58,049</u>	<u>60,940</u>
Disbursements:				
Grants to local governments	37,508	45,557	50,003	53,950
State operations:				
Personal service	6,285	6,692	6,891	6,904
Non-personal service	1,740	1,909	1,995	2,115
General State charges	4,128	4,482	4,687	5,080
Transfers to other funds:				
Debt service	1,642	1,766	1,755	1,686
Capital projects	1,096	1,368	1,524	1,687
State Share Medicaid	2,450	3,022	3,120	3,083
Other purposes	744	1,236	1,606	2,023
Total disbursements	<u>55,593</u>	<u>66,032</u>	<u>71,581</u>	<u>76,528</u>
Deposit to/(use of) Community Projects Fund	<u>(11)</u>	<u>(48)</u>	<u>(71)</u>	<u>(25)</u>
Deposit to/(use of) Reserve for Fiscal Uncertainties	<u>(906)</u>	<u>0</u>	<u>0</u>	<u>0</u>
HCRA Operating Surplus/(Gap)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Cash Surplus/(Gap)	<u>0</u>	<u>(8,177)</u>	<u>(13,461)</u>	<u>(15,563)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>1,948</u>	<u>2,302</u>	<u>354</u>	<u>18.2%</u>
Receipts:				
Taxes:				
Personal income tax	22,655	24,373	1,718	7.6%
User taxes and fees	8,086	8,810	724	9.0%
Business taxes	5,371	5,714	343	6.4%
Other taxes	885	1,034	149	16.8%
Miscellaneous receipts	3,888	2,897	(991)	-25.5%
Federal grants	71	60	(11)	-15.5%
Transfers from other funds:				
PIT in excess of Revenue Bond debt service	7,641	7,795	154	2.0%
Sales tax in excess of LGAC debt service	2,123	2,318	195	9.2%
Real estate taxes in excess of CW/CA debt service	182	285	103	56.6%
All other transfers	1,654	1,390	(264)	-16.0%
Total receipts	<u>52,556</u>	<u>54,676</u>	<u>2,120</u>	<u>4.0%</u>
Disbursements:				
Grants to local governments	34,234	37,508	3,274	9.6%
State operations:				
Personal service	6,610	6,285	(325)	-4.9%
Non-personal service	1,977	1,740	(237)	-12.0%
General State charges	3,594	4,128	534	14.9%
Transfers to other funds:				
Debt service	1,844	1,642	(202)	-11.0%
Capital projects	565	1,096	531	94.0%
State Share Medicaid	2,401	2,450	49	2.0%
Other purposes	977	744	(233)	-23.8%
Total disbursements	<u>52,202</u>	<u>55,593</u>	<u>3,391</u>	<u>6.5%</u>
Change in fund balance	<u>354</u>	<u>(917)</u>	<u>(1,271)</u>	<u>-359.0%</u>
Closing fund balance	<u>2,302</u>	<u>1,385</u>	<u>(917)</u>	<u>-39.8%</u>
Reserves				
Tax Stabilization Reserve Fund	1,031	1,031	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	96	85	(11)	
Reserved for Debt Reduction	73	73	0	
Reserve for Fiscal Uncertainties	906	0	(906)	

**CASH FINANCIAL PLAN
GENERAL FUND
2010-2011
(millions of dollars)**

	<u>Exec. (Amended)</u>	<u>Change</u>	<u>Enacted</u>
Opening fund balance	<u>1,373</u>	<u>929</u>	<u>2,302</u>
Receipts:			
Taxes:			
Personal income tax	24,874	(501)	24,373
User taxes and fees	8,547	263	8,810
Business taxes	5,710	4	5,714
Other taxes	933	101	1,034
Miscellaneous receipts	2,915	(18)	2,897
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	7,893	(98)	7,795
Sales tax in excess of LGAC debt service	2,203	115	2,318
Real estate taxes in excess of CW/CA debt service	245	40	285
All other	1,421	(31)	1,390
Total receipts	<u>54,801</u>	<u>(125)</u>	<u>54,676</u>
Disbursements:			
Grants to local governments	35,596	1,912	37,508
State operations:			
Personal service	6,399	(114)	6,285
Non-personal service	1,920	(180)	1,740
General State charges	4,119	9	4,128
Transfers to other funds:			
Debt service	1,831	(189)	1,642
Capital projects	1,084	12	1,096
State Share Medicaid	2,536	(86)	2,450
Other purposes	783	(39)	744
Total disbursements	<u>54,268</u>	<u>1,325</u>	<u>55,593</u>
Change in fund balance	<u>533</u>	<u>(1,450)</u>	<u>(917)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2011-2012
(millions of dollars)**

	<u>Exec. (Amended)</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal income tax	26,053	212	26,265
User taxes and fees	8,944	31	8,975
Business taxes	5,901	434	6,335
Other taxes	958	31	989
Miscellaneous receipts	2,797	62	2,859
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,073	154	8,227
Sales tax in excess of LGAC debt service	2,331	12	2,343
Real estate taxes in excess of CW/CA debt service	317	37	354
All other	1,508	(108)	1,400
Total receipts	<u>56,942</u>	<u>865</u>	<u>57,807</u>
Disbursements:			
Grants to local governments	41,707	3,850	45,557
State operations:			
Personal service	6,690	2	6,692
Non-personal service	2,070	(161)	1,909
General State charges	4,393	89	4,482
Transfers to other funds:			
Debt service	1,757	9	1,766
Capital projects	1,337	31	1,368
State Share Medicaid	3,115	(93)	3,022
Other purposes	1,307	(71)	1,236
Total disbursements	<u>62,376</u>	<u>3,656</u>	<u>66,032</u>
Deposit to/(use of) Community Projects Fund	<u>(48)</u>	<u>0</u>	<u>(48)</u>
Cash Surplus/(Gap)	<u>(5,386)</u>	<u>(2,791)</u>	<u>(8,177)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2012-2013
(millions of dollars)**

	<u>Exec. (Amended)</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal income tax	25,635	471	26,106
User taxes and fees	9,359	(104)	9,255
Business taxes	6,333	341	6,674
Other taxes	1,006	23	1,029
Miscellaneous receipts	2,765	59	2,824
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	7,807	254	8,061
Sales tax in excess of LGAC debt service	2,480	(41)	2,439
Real estate taxes in excess of CW/CA debt service	378	86	464
All other	1,529	(392)	1,137
Total receipts	<u>57,352</u>	<u>697</u>	<u>58,049</u>
Disbursements:			
Grants to local governments	46,477	3,526	50,003
State operations:			
Personal service	6,889	2	6,891
Non-personal service	2,120	(125)	1,995
General State charges	4,597	90	4,687
Transfers to other funds:			
Debt service	1,743	12	1,755
Capital projects	1,485	39	1,524
State Share Medicaid	3,117	3	3,120
Other purposes	1,651	(45)	1,606
Total disbursements	<u>68,079</u>	<u>3,502</u>	<u>71,581</u>
Deposit to/(use of) Community Projects Fund	<u>(71)</u>	<u>0</u>	<u>(71)</u>
Cash Surplus/(Gap)	<u>(10,656)</u>	<u>(2,805)</u>	<u>(13,461)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2013-2014
(millions of dollars)**

	<u>Exec. (Amended)</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal income tax	27,072	509	27,581
User taxes and fees	9,718	(31)	9,687
Business taxes	6,621	356	6,977
Other taxes	1,064	20	1,084
Miscellaneous receipts	2,762	10	2,772
Federal Grants	60	0	60
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	8,241	263	8,504
Sales tax in excess of LGAC debt service	2,629	(39)	2,590
Real estate taxes in excess of CW/CA debt service	420	119	539
All other	1,518	(372)	1,146
Total receipts	<u>60,105</u>	<u>835</u>	<u>60,940</u>
Disbursements:			
Grants to local governments	49,963	3,987	53,950
State operations:			
Personal service	6,904	0	6,904
Non-personal service	2,197	(82)	2,115
General State charges	4,991	89	5,080
Transfers to other funds:			
Debt service	1,675	11	1,686
Capital projects	1,646	41	1,687
State Share Medicaid	3,083	0	3,083
Other purposes	2,043	(20)	2,023
Total disbursements	<u>72,502</u>	<u>4,026</u>	<u>76,528</u>
Deposit to/(use of) Community Projects Fund	<u>0</u>	<u>(25)</u>	<u>(25)</u>
Cash Surplus/(Gap)	<u>(12,397)</u>	<u>(3,166)</u>	<u>(15,563)</u>

**CURRENT STATE RECEIPTS
GENERAL FUND
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Enacted</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Taxes:				
Withholdings	31,301	32,302	32,756	34,835
Estimated Payments	10,651	11,525	11,478	11,810
Final Payments	1,973	2,303	2,106	2,154
Other Payments	1,161	1,199	1,239	1,316
Gross Collections	<u>45,086</u>	<u>47,329</u>	<u>47,579</u>	<u>50,115</u>
State/City Offset	(298)	(298)	(298)	(298)
Refunds	(7,891)	(7,454)	(7,694)	(8,012)
Reported Tax Collections	<u>36,897</u>	<u>39,577</u>	<u>39,587</u>	<u>41,805</u>
STAR (dedicated deposits)	(3,299)	(3,417)	(3,584)	(3,772)
RBTF (dedicated transfers)	(9,225)	(9,895)	(9,897)	(10,452)
Personal income tax	<u>24,373</u>	<u>26,265</u>	<u>26,106</u>	<u>27,581</u>
Sales and use tax	10,775	10,960	11,336	11,916
Cigarette and tobacco taxes	499	522	515	508
Motor fuel tax	0	0	0	0
Alcoholic beverage control license fees	228	233	238	242
Highway Use tax	0	0	0	0
Auto rental tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and fees	<u>11,502</u>	<u>11,715</u>	<u>12,089</u>	<u>12,666</u>
LGAC Sales Tax (dedicated transfers)	(2,692)	(2,740)	(2,834)	(2,979)
User Taxes and fees	<u>8,810</u>	<u>8,975</u>	<u>9,255</u>	<u>9,687</u>
Corporation franchise tax	2,886	3,172	3,334	3,488
Corporation and utilities tax	685	743	766	790
Insurance taxes	1,278	1,335	1,393	1,454
Bank tax	865	1,085	1,181	1,245
Petroleum business tax	0	0	0	0
Business taxes	<u>5,714</u>	<u>6,335</u>	<u>6,674</u>	<u>6,977</u>
Estate tax	1,015	970	1,010	1,065
Real estate transfer tax	520	581	686	754
Gift tax	0	0	0	0
Real property gains tax	0	0	0	0
Pari-mutuel taxes	18	18	18	18
Other taxes	1	1	1	1
Gross Other taxes	<u>1,554</u>	<u>1,570</u>	<u>1,715</u>	<u>1,838</u>
Real estate transfer tax (dedicated)	(520)	(581)	(686)	(754)
Other taxes	<u>1,034</u>	<u>989</u>	<u>1,029</u>	<u>1,084</u>
Payroll tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Taxes	<u>39,931</u>	<u>42,564</u>	<u>43,064</u>	<u>45,329</u>
Licenses, fees, etc.	667	587	583	569
Abandoned property	650	645	610	600
Motor vehicle fees	42	54	31	(41)
ABC License Fee	46	49	51	50
Reimbursements	222	222	222	222
Investment income	20	20	40	60
Other transactions	1,250	1,282	1,287	1,312
Miscellaneous receipts	<u>2,897</u>	<u>2,859</u>	<u>2,824</u>	<u>2,772</u>
Federal grants	<u>60</u>	<u>60</u>	<u>60</u>	<u>60</u>
Total	<u>42,888</u>	<u>45,483</u>	<u>45,948</u>	<u>48,161</u>

**CURRENT STATE RECEIPTS
GENERAL FUND
2009-2010 and 2010-2011
(millions of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	29,443	31,301	1,858	6.3%
Estimated Payments	9,028	10,651	1,623	18.0%
Final Payments	1,822	1,973	151	8.3%
Other Payments	1,100	1,161	61	5.5%
Gross Collections	41,393	45,086	3,693	8.9%
State/City Offset	62	(298)	(360)	-580.6%
Refunds	(6,704)	(7,891)	(1,187)	17.7%
Reported Tax Collections	34,751	36,897	2,146	6.2%
STAR (dedicated deposits)	(3,409)	(3,299)	110	-3.2%
RBTF (dedicated transfers)	(8,688)	(9,225)	(537)	6.2%
Personal income tax	22,654	24,373	1,719	7.6%
Sales and use tax	9,872	10,775	903	9.1%
Cigarette and tobacco taxes	456	499	43	9.4%
Motor fuel tax	0	0	0	0
Alcoholic beverage control license fees	226	228	2	0.9%
Highway Use tax	0	0	0	--
Auto rental tax	0	0	0	--
Taxicab Surcharge	0	0	0	--
Gross Utility Taxes and fees	10,554	11,502	948	9.0%
LGAC Sales Tax (dedicated transfers)	(2,467)	(2,692)	(225)	9.1%
User Taxes and fees	8,087	8,810	723	8.9%
Corporation franchise tax	2,145	2,886	741	34.5%
Corporation and utilities tax	722	685	(37)	-5.1%
Insurance taxes	1,331	1,278	(53)	-4.0%
Bank tax	1,173	865	(308)	-26.3%
Petroleum business tax	0	0	0	--
Business taxes	5,371	5,714	343	6.4%
Estate tax	864	1,015	151	17.5%
Real estate transfer tax	493	520	27	5.5%
Gift tax	2	0	(2)	-100.0%
Real property gains tax	(1)	0	1	-100.0%
Pari-mutuel taxes	19	18	(1)	-5.3%
Other taxes	1	1	0	0.0%
Gross Other taxes	1,378	1,554	176	12.8%
Real estate transfer tax (dedicated)	(493)	(520)	(27)	5.5%
Other taxes	885	1,034	149	16.8%
Payroll tax	0	0	0	--
Total Taxes	36,997	39,931	2,934	7.9%
Licenses, fees, etc.	702	667	(35)	-5.0%
Abandoned property	608	650	42	6.9%
ABC License Fee	49	46	(3)	-6.1%
Motor vehicle fees	15	42	27	180.0%
Reimbursements	323	222	(101)	-31.3%
Investment income	14	20	6	42.9%
Other transactions	2,177	1,250	(927)	-42.6%
Miscellaneous receipts	3,888	2,897	(991)	-25.5%
Federal grants	71	60	(11)	-15.5%
Total	40,956	42,888	1,932	4.7%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2009-2010
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	<u>1,948</u>	<u>2,471</u>	<u>298</u>	<u>4,717</u>
Receipts:				
Taxes	36,997	7,801	11,448	56,246
Miscellaneous receipts	3,888	14,646	974	19,508
Federal grants	71	0	13	84
Total receipts	<u>40,956</u>	<u>22,447</u>	<u>12,435</u>	<u>75,838</u>
Disbursements:				
Grants to local governments	34,234	17,644	0	51,878
State operations:				
Personal service	6,610	4,264	0	10,874
Non-personal service	1,977	2,488	51	4,516
General State charges	3,594	1,040	0	4,634
Debt service	0	0	4,961	4,961
Capital projects	0	11	0	11
Total disbursements	<u>46,415</u>	<u>25,447</u>	<u>5,012</u>	<u>76,874</u>
Other financing sources (uses):				
Transfers from other funds	11,600	4,172	6,645	22,417
Transfers to other funds	(5,787)	(1,686)	(13,956)	(21,429)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>5,813</u>	<u>2,486</u>	<u>(7,311)</u>	<u>988</u>
Change in fund balance	<u>354</u>	<u>(514)</u>	<u>112</u>	<u>(48)</u>
Closing fund balance	<u>2,302</u>	<u>1,957</u>	<u>410</u>	<u>4,669</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2010-2011
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	<u>2,302</u>	<u>1,957</u>	<u>410</u>	<u>4,669</u>
Receipts:				
Taxes	39,931	8,233	12,320	60,484
Miscellaneous receipts	2,897	15,033	790	18,720
Federal grants	60	1	50	111
Total receipts	<u>42,888</u>	<u>23,267</u>	<u>13,160</u>	<u>79,315</u>
Disbursements:				
Grants to local governments	37,508	18,185	0	55,693
State operations:				
Personal service	6,285	4,022	0	10,307
Non-personal service	1,740	2,503	92	4,335
General State charges	4,128	1,077	0	5,205
Debt service	0	0	5,516	5,516
Capital projects	0	2	0	2
Total disbursements	<u>49,661</u>	<u>25,789</u>	<u>5,608</u>	<u>81,058</u>
Other financing sources (uses):				
Transfers from other funds	11,788	3,923	7,050	22,761
Transfers to other funds	(5,932)	(1,542)	(14,624)	(22,098)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>5,856</u>	<u>2,381</u>	<u>(7,574)</u>	<u>663</u>
Change in fund balance	<u>(917)</u>	<u>(141)</u>	<u>(22)</u>	<u>(1,080)</u>
Closing fund balance	<u>1,385</u>	<u>1,816</u>	<u>388</u>	<u>3,589</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	1,816	388	2,204
Receipts:				
Taxes	42,564	8,569	13,098	64,231
Miscellaneous receipts	2,859	15,039	809	18,707
Federal grants	60	1	54	115
Total receipts	<u>45,483</u>	<u>23,609</u>	<u>13,961</u>	<u>83,053</u>
Disbursements:				
Grants to local governments	45,557	18,332	0	63,889
State operations:				
Personal service	6,692	4,505	0	11,197
Non-personal service	1,909	2,499	92	4,500
General State charges	4,482	1,277	0	5,759
Debt service	0	0	6,035	6,035
Capital projects	0	2	0	2
Total disbursements	<u>58,640</u>	<u>26,615</u>	<u>6,127</u>	<u>91,382</u>
Other financing sources (uses):				
Transfers from other funds	12,324	4,633	6,734	23,691
Transfers to other funds	(7,392)	(1,540)	(14,584)	(23,516)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>4,932</u>	<u>3,093</u>	<u>(7,850)</u>	<u>175</u>
Deposit to/(use of) Reserves	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>(48)</u>
Change in fund balance	<u>(8,177)</u>	<u>87</u>	<u>(16)</u>	<u>(8,106)</u>
Closing fund balance	<u>(8,177)</u>	<u>1,903</u>	<u>372</u>	<u>(5,902)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	1,903	372	2,275
Receipts:				
Taxes	43,064	8,926	13,321	65,311
Miscellaneous receipts	2,824	15,465	833	19,122
Federal grants	60	1	54	115
Total receipts	<u>45,948</u>	<u>24,392</u>	<u>14,208</u>	<u>84,548</u>
Disbursements:				
Grants to local governments	50,003	19,261	0	69,264
State operations:				
Personal service	6,891	4,597	0	11,488
Non-personal service	1,995	2,620	92	4,707
General State charges	4,687	1,458	0	6,145
Debt service	0	0	6,357	6,357
Capital projects	0	2	0	2
Total disbursements	<u>63,576</u>	<u>27,938</u>	<u>6,449</u>	<u>97,963</u>
Other financing sources (uses):				
Transfers from other funds	12,101	4,987	6,706	23,794
Transfers to other funds	(8,005)	(987)	(14,511)	(23,503)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>4,096</u>	<u>4,000</u>	<u>(7,805)</u>	<u>291</u>
Deposit to/(use of) Reserves	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>(71)</u>
Change in fund balance	<u>(13,461)</u>	<u>454</u>	<u>(46)</u>	<u>(13,053)</u>
Closing fund balance	<u>(13,461)</u>	<u>2,357</u>	<u>326</u>	<u>(10,778)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	2,357	326	2,683
Receipts:				
Taxes	45,329	9,281	14,088	68,698
Miscellaneous receipts	2,772	15,741	855	19,368
Federal grants	60	1	54	115
Total receipts	<u>48,161</u>	<u>25,023</u>	<u>14,997</u>	<u>88,181</u>
Disbursements:				
Grants to local governments	53,950	19,911	0	73,861
State operations:				
Personal service	6,904	4,630	0	11,534
Non-personal service	2,115	2,614	92	4,821
General State charges	5,080	1,536	0	6,616
Debt service	0	0	6,503	6,503
Capital projects	0	2	0	2
Total disbursements	<u>68,049</u>	<u>28,693</u>	<u>6,595</u>	<u>103,337</u>
Other financing sources (uses):				
Transfers from other funds	12,779	5,176	6,634	24,589
Transfers to other funds	(8,479)	(923)	(15,087)	(24,489)
Bond and note proceeds	0	0	0	0
Net other financing sources (uses)	<u>4,300</u>	<u>4,253</u>	<u>(8,453)</u>	<u>100</u>
Deposit to/(use of) Community Projects Fund	<u>(25)</u>	<u>0</u>	<u>0</u>	<u>(25)</u>
Change in fund balance	<u>(15,563)</u>	<u>583</u>	<u>(51)</u>	<u>(15,031)</u>
Closing fund balance	<u>(15,563)</u>	<u>2,940</u>	<u>275</u>	<u>(12,348)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>4,717</u>	<u>4,669</u>	<u>(48)</u>	
Receipts:				
Taxes	56,246	60,484	4,238	7.5%
Miscellaneous receipts	19,508	18,720	(788)	-4.0%
Federal grants	84	111	27	32.1%
Total receipts	<u>75,838</u>	<u>79,315</u>	<u>3,477</u>	<u>4.6%</u>
Disbursements:				
Grants to local governments	51,878	55,693	3,815	7.4%
State operations:				
Personal service	10,874	10,307	(567)	-5.2%
Non-personal service	4,516	4,335	(181)	-4.0%
General State charges	4,634	5,205	571	12.3%
Debt service	4,961	5,516	555	11.2%
Capital projects	11	2	(9)	-81.8%
Total disbursements	<u>76,874</u>	<u>81,058</u>	<u>4,184</u>	<u>5.4%</u>
Other financing sources (uses):				
Transfers from other funds	22,417	22,761	344	1.5%
Transfers to other funds	(21,429)	(22,098)	(669)	3.1%
Bond and note proceeds	0	0	0	--
Net other financing sources (uses)	<u>988</u>	<u>663</u>	<u>(325)</u>	<u>-32.9%</u>
Change in fund balance	<u>(48)</u>	<u>(1,080)</u>	<u>(1,032)</u>	
Closing fund balance	<u>4,669</u>	<u>3,589</u>	<u>(1,080)</u>	

**CASH FINANCIAL PLAN
ALL FUNDS
2009-2010
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	1,948	2,846	(506)	298	4,586
Receipts:					
Taxes	36,997	7,801	1,422	11,448	57,668
Miscellaneous receipts	3,888	14,813	3,882	974	23,557
Federal grants	71	43,378	2,061	13	45,523
Total receipts	<u>40,956</u>	<u>65,992</u>	<u>7,365</u>	<u>12,435</u>	<u>126,748</u>
Disbursements:					
Grants to local governments	34,234	55,394	1,440	0	91,068
State operations:					
Personal service	6,610	6,796	0	0	13,406
Non-personal service	1,977	3,998	0	51	6,026
General State charges	3,594	2,139	0	0	5,733
Debt service	0	0	0	4,961	4,961
Capital projects	0	11	5,672	0	5,683
Total disbursements	<u>46,415</u>	<u>68,338</u>	<u>7,112</u>	<u>5,012</u>	<u>126,877</u>
Other financing sources (uses):					
Transfers from other funds	11,600	7,218	737	6,645	26,200
Transfers to other funds	(5,787)	(5,318)	(1,185)	(13,956)	(26,246)
Bond and note proceeds	0	0	448	0	448
Net other financing sources (uses)	<u>5,813</u>	<u>1,900</u>	<u>0</u>	<u>(7,311)</u>	<u>402</u>
Change in fund balance	<u>354</u>	<u>(446)</u>	<u>253</u>	<u>112</u>	<u>273</u>
Closing fund balance	<u>2,302</u>	<u>2,400</u>	<u>(253)</u>	<u>410</u>	<u>4,859</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	2,302	2,400	(253)	410	4,859
Receipts:					
Taxes	39,931	8,233	1,312	12,320	61,796
Miscellaneous receipts	2,897	15,177	4,150	790	23,014
Federal grants	60	46,925	2,451	50	49,486
Total receipts	<u>42,888</u>	<u>70,335</u>	<u>7,913</u>	<u>13,160</u>	<u>134,296</u>
Disbursements:					
Grants to local governments	37,508	58,884	1,292	0	97,684
State operations:					
Personal service	6,285	6,762	0	0	13,047
Non-personal service	1,740	4,307	0	92	6,139
General State charges	4,128	2,209	0	0	6,337
Debt service	0	0	0	5,516	5,516
Capital projects	0	2	7,162	0	7,164
Total disbursements	<u>49,661</u>	<u>72,164</u>	<u>8,454</u>	<u>5,608</u>	<u>135,887</u>
Other financing sources (uses):					
Transfers from other funds	11,788	7,273	1,361	7,050	27,472
Transfers to other funds	(5,932)	(5,506)	(1,429)	(14,624)	(27,491)
Bond and note proceeds	0	0	578	0	578
Net other financing sources (uses)	<u>5,856</u>	<u>1,767</u>	<u>510</u>	<u>(7,574)</u>	<u>559</u>
Change in fund balance	<u>(917)</u>	<u>(62)</u>	<u>(31)</u>	<u>(22)</u>	<u>(1,032)</u>
Closing fund balance	<u>1,385</u>	<u>2,338</u>	<u>(284)</u>	<u>388</u>	<u>3,827</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2011-2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	2,338	(284)	388	2,442
Receipts:					
Taxes	42,564	8,569	1,342	13,098	65,573
Miscellaneous receipts	2,859	15,177	4,384	809	23,229
Federal grants	60	42,483	2,307	54	44,904
Total receipts	<u>45,483</u>	<u>66,229</u>	<u>8,033</u>	<u>13,961</u>	<u>133,706</u>
Disbursements:					
Grants to local governments	45,557	55,293	1,463	0	102,313
State operations:					
Personal service	6,692	6,803	0	0	13,495
Non-personal service	1,909	4,084	0	92	6,085
General State charges	4,482	2,382	0	0	6,864
Debt service	0	0	0	6,035	6,035
Capital projects	0	2	7,452	0	7,454
Total disbursements	<u>58,640</u>	<u>68,564</u>	<u>8,915</u>	<u>6,127</u>	<u>142,246</u>
Other financing sources (uses):					
Transfers from other funds	12,324	7,788	1,823	6,734	28,669
Transfers to other funds	(7,392)	(5,227)	(1,471)	(14,584)	(28,674)
Bond and note proceeds	0	0	488	0	488
Net other financing sources (use)	<u>4,932</u>	<u>2,561</u>	<u>840</u>	<u>(7,850)</u>	<u>483</u>
Deposit to/(use of) Reserves	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(48)</u>
Change in fund balance	<u>(8,177)</u>	<u>226</u>	<u>(42)</u>	<u>(16)</u>	<u>(8,009)</u>
Closing fund balance	<u>(8,177)</u>	<u>2,564</u>	<u>(326)</u>	<u>372</u>	<u>(5,567)</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2012-2013
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	2,564	(326)	372	2,610
Receipts:					
Taxes	43,064	8,926	1,353	13,321	66,664
Miscellaneous receipts	2,824	15,604	3,667	833	22,928
Federal grants	60	41,153	1,881	54	43,148
Total receipts	<u>45,948</u>	<u>65,683</u>	<u>6,901</u>	<u>14,208</u>	<u>132,740</u>
Disbursements:					
Grants to local governments	50,003	55,074	1,252	0	106,329
State operations:					
Personal service	6,891	6,847	0	0	13,738
Non-personal service	1,995	4,162	0	92	6,249
General State charges	4,687	2,658	0	0	7,345
Debt service	0	0	0	6,357	6,357
Capital projects	0	2	6,278	0	6,280
Total disbursements	<u>63,576</u>	<u>68,743</u>	<u>7,530</u>	<u>6,449</u>	<u>146,298</u>
Other financing sources (uses):					
Transfers from other funds	12,101	8,141	1,666	6,706	28,614
Transfers to other funds	(8,005)	(4,564)	(1,507)	(14,511)	(28,587)
Bond and note proceeds	0	0	425	0	425
Net other financing sources (uses)	<u>4,096</u>	<u>3,577</u>	<u>584</u>	<u>(7,805)</u>	<u>452</u>
Deposit to/(use of) Reserves	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(71)</u>
Change in fund balance	<u>(13,461)</u>	<u>517</u>	<u>(45)</u>	<u>(46)</u>	<u>(13,035)</u>
Closing fund balance	<u>(13,461)</u>	<u>3,081</u>	<u>(371)</u>	<u>326</u>	<u>(10,425)</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2013-2014
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Opening fund balance	n/ap	3,081	(371)	326	3,036
Receipts:					
Taxes	45,329	9,281	1,356	14,088	70,054
Miscellaneous receipts	2,772	15,878	3,450	855	22,955
Federal grants	60	42,827	1,864	54	44,805
Total receipts	<u>48,161</u>	<u>67,986</u>	<u>6,670</u>	<u>14,997</u>	<u>137,814</u>
Disbursements:					
Grants to local governments	53,950	57,467	1,226	0	112,643
State operations:					
Personal service	6,904	6,883	0	0	13,787
Non-personal service	2,115	4,174	0	92	6,381
General State charges	5,080	2,819	0	0	7,899
Debt service	0	0	0	6,503	6,503
Capital projects	0	2	5,981	0	5,983
Total disbursements	<u>68,049</u>	<u>71,345</u>	<u>7,207</u>	<u>6,595</u>	<u>153,196</u>
Other financing sources (uses):					
Transfers from other funds	12,779	8,430	1,741	6,634	29,584
Transfers to other funds	(8,479)	(4,426)	(1,552)	(15,087)	(29,544)
Bond and note proceeds	0	0	341	0	341
Net other financing sources (uses)	<u>4,300</u>	<u>4,004</u>	<u>530</u>	<u>(8,453)</u>	<u>381</u>
Deposit to/(use of) Community Projects Fund	<u>(25)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(25)</u>
Change in fund balance	<u>(15,563)</u>	<u>645</u>	<u>(7)</u>	<u>(51)</u>	<u>(14,976)</u>
Closing fund balance	<u>(15,563)</u>	<u>3,726</u>	<u>(378)</u>	<u>275</u>	<u>(11,940)</u>

**CASH FINANCIAL PLAN
ALL FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010</u> <u>Year-End</u>	<u>2010-2011</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening fund balance	<u>4,586</u>	<u>4,859</u>	<u>273</u>	
Receipts:				
Taxes	57,668	61,796	4,128	7.2%
Miscellaneous receipts	23,557	23,014	(543)	-2.3%
Federal grants	<u>45,523</u>	<u>49,486</u>	<u>3,963</u>	<u>8.7%</u>
Total receipts	<u>126,748</u>	<u>134,296</u>	<u>7,548</u>	<u>6.0%</u>
Disbursements:				
Grants to local governments	91,068	97,684	6,616	7.3%
State operations:				
Personal service	13,406	13,047	(359)	-2.7%
Non-personal service	6,026	6,139	113	1.9%
General State charges	5,733	6,337	604	10.5%
Debt service	4,961	5,516	555	11.2%
Capital projects	<u>5,683</u>	<u>7,164</u>	<u>1,481</u>	<u>26.1%</u>
Total disbursements	<u>126,877</u>	<u>135,887</u>	<u>9,010</u>	<u>7.1%</u>
Other financing sources (uses):				
Transfers from other funds	26,200	27,472	1,272	4.9%
Transfers to other funds	(26,246)	(27,491)	(1,245)	4.7%
Bond and note proceeds	<u>448</u>	<u>578</u>	<u>130</u>	<u>29.0%</u>
Net other financing sources (uses)	<u>402</u>	<u>559</u>	<u>157</u>	<u>39.1%</u>
Change in fund balance	<u>273</u>	<u>(1,032)</u>	<u>(1,305)</u>	
Closing fund balance	<u>4,859</u>	<u>3,827</u>	<u>(1,032)</u>	

**CASH RECEIPTS
ALL FUNDS
2009-2010
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	29,443	0	0	0	29,443
Estimated Payments	9,028	0	0	0	9,028
Final Payments	1,822	0	0	0	1,822
Other Payments	1,100	0	0	0	1,100
Gross Collections	<u>41,393</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>41,393</u>
State/City Offset	62	0	0	0	62
Refunds	(6,704)	0	0	0	(6,704)
Reported Tax Collections	<u>34,751</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>34,751</u>
STAR (dedicated deposits)	(3,409)	3,409	0	0	0
RBTF (dedicated transfers)	(8,688)	0	0	8,688	0
Personal income tax	<u>22,654</u>	<u>3,409</u>	<u>0</u>	<u>8,688</u>	<u>34,751</u>
Sales and use tax	9,872	657	0	0	10,529
Cigarette and tobacco taxes	456	908	0	0	1,364
Motor fuel tax	0	106	401	0	507
Alcoholic beverage control license fees	226	0	0	0	226
Highway Use tax	0	0	137	0	137
Auto rental tax	0	24	52	0	76
Taxicab Surcharge	0	13	0	0	13
Gross Utility Taxes and fees	<u>10,554</u>	<u>1,708</u>	<u>590</u>	<u>0</u>	<u>12,852</u>
LGAC Sales Tax (dedicated transfers)	(2,467)	0	0	2,467	0
User Taxes and fees	<u>8,087</u>	<u>1,708</u>	<u>590</u>	<u>2,467</u>	<u>12,852</u>
Corporation franchise tax	2,145	366	0	0	2,511
Corporation and utilities tax	722	212	20	0	954
Insurance taxes	1,331	160	0	0	1,491
Bank tax	1,173	226	0	0	1,399
Petroleum business tax	0	491	613	0	1,104
Business taxes	<u>5,371</u>	<u>1,455</u>	<u>633</u>	<u>0</u>	<u>7,459</u>
Estate tax	864	0	0	0	864
Real estate transfer tax	493	0	0	0	493
Gift tax	2	0	0	0	2
Real property gains tax	(1)	0	0	0	(1)
Pari-mutuel taxes	19	0	0	0	19
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,378</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,378</u>
Real estate transfer tax (dedicated)	(493)	0	199	294	0
Other taxes	<u>885</u>	<u>0</u>	<u>199</u>	<u>294</u>	<u>1,378</u>
Payroll tax	<u>0</u>	<u>1,228</u>	<u>0</u>	<u>0</u>	<u>1,228</u>
Total Taxes	<u>36,997</u>	<u>7,800</u>	<u>1,422</u>	<u>11,449</u>	<u>57,668</u>
Licenses, fees, etc.	702	0	0	0	702
Abandoned property	608	0	0	0	608
Motor vehicle fees	15	0	0	0	15
ABC License Fee	49	0	0	0	49
Reimbursements	323	0	0	0	323
Investment income	14	0	0	0	14
Other transactions	2,177	14,813	3,882	974	21,846
Miscellaneous receipts	<u>3,888</u>	<u>14,813</u>	<u>3,882</u>	<u>974</u>	<u>23,557</u>
Federal grants	<u>71</u>	<u>43,378</u>	<u>2,061</u>	<u>13</u>	<u>45,523</u>
Total	<u>40,956</u>	<u>65,991</u>	<u>7,365</u>	<u>12,436</u>	<u>126,748</u>

**CASH RECEIPTS
ALL FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	31,301	0	0	0	31,301
Estimated Payments	10,651	0	0	0	10,651
Final Payments	1,973	0	0	0	1,973
Other Payments	1,161	0	0	0	1,161
Gross Collections	<u>45,086</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>45,086</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,891)	0	0	0	(7,891)
Reported Tax Collections	<u>36,897</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>36,897</u>
STAR (dedicated deposits)	(3,299)	3,300	0	0	1
RBTF (dedicated transfers)	(9,225)	0	0	9,225	0
Personal income tax	<u>24,373</u>	<u>3,300</u>	<u>0</u>	<u>9,225</u>	<u>36,898</u>
Sales and use tax	10,775	700	0	0	11,475
Cigarette and tobacco taxes	499	1,266	0	0	1,765
Motor fuel tax	0	106	397	0	503
Alcoholic beverage control license fees	228	0	0	0	228
Highway Use tax	0	0	134	0	134
Auto rental tax	0	35	60	0	95
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>11,502</u>	<u>2,192</u>	<u>591</u>	<u>0</u>	<u>14,285</u>
LGAC Sales Tax (dedicated transfers)	(2,692)	0	0	2,694	2
User Taxes and fees	<u>8,810</u>	<u>2,192</u>	<u>591</u>	<u>2,694</u>	<u>14,287</u>
Corporation franchise tax	2,886	421	0	0	3,307
Corporation and utilities tax	685	199	18	0	902
Insurance taxes	1,278	132	0	0	1,410
Bank tax	865	158	0	0	1,023
Petroleum business tax	0	466	584	0	1,050
Business taxes	<u>5,714</u>	<u>1,376</u>	<u>602</u>	<u>0</u>	<u>7,692</u>
Estate tax	1,015	0	0	0	1,015
Real estate transfer tax	520	0	0	0	520
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,554</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,554</u>
Real estate transfer tax (dedicated)	(520)	0	119	401	0
Other taxes	<u>1,034</u>	<u>0</u>	<u>119</u>	<u>401</u>	<u>1,554</u>
Payroll tax	<u>0</u>	<u>1,365</u>	<u>0</u>	<u>0</u>	<u>1,365</u>
Total Taxes	<u>39,931</u>	<u>8,233</u>	<u>1,312</u>	<u>12,320</u>	<u>61,796</u>
Licenses, fees, etc.	667	0	0	0	667
Abandoned property	650	0	0	0	650
Motor vehicle fees	42	0	0	0	42
ABC License Fee	46	0	0	0	46
Reimbursements	222	0	0	0	222
Investment income	20	0	0	0	20
Other transactions	1,250	15,177	4,150	790	21,367
Miscellaneous receipts	<u>2,897</u>	<u>15,177</u>	<u>4,150</u>	<u>790</u>	<u>23,014</u>
Federal grants	<u>60</u>	<u>46,925</u>	<u>2,451</u>	<u>50</u>	<u>49,486</u>
Total	<u>42,888</u>	<u>70,335</u>	<u>7,913</u>	<u>13,160</u>	<u>134,296</u>

**CASH RECEIPTS
ALL FUNDS
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	32,302	0	0	0	32,302
Estimated Payments	11,525	0	0	0	11,525
Final Payments	2,303	0	0	0	2,303
Other Payments	1,199	0	0	0	1,199
Gross Collections	<u>47,329</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,329</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,454)	0	0	0	(7,454)
Reported Tax Collections	<u>39,577</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>39,577</u>
STAR (dedicated deposits)	(3,417)	3,418	0	0	1
RBTF (dedicated transfers)	(9,895)	0	0	9,896	1
Personal income tax	<u>26,265</u>	<u>3,418</u>	<u>0</u>	<u>9,896</u>	<u>39,579</u>
Sales and use tax	10,960	725	0	0	11,685
Cigarette and tobacco taxes	522	1,299	0	0	1,821
Motor fuel tax	0	106	399	0	505
Alcoholic beverage control license fees	233	0	0	0	233
Highway Use tax	0	0	140	0	140
Auto rental tax	0	35	63	0	98
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>11,715</u>	<u>2,250</u>	<u>602</u>	<u>0</u>	<u>14,567</u>
LGAC Sales Tax (dedicated transfers)	(2,740)	0	0	2,740	0
User Taxes and fees	<u>8,975</u>	<u>2,250</u>	<u>602</u>	<u>2,740</u>	<u>14,567</u>
Corporation franchise tax	3,172	452	0	0	3,624
Corporation and utilities tax	743	205	18	0	966
Insurance taxes	1,335	135	0	0	1,470
Bank tax	1,085	184	0	0	1,269
Petroleum business tax	0	482	603	0	1,085
Business taxes	<u>6,335</u>	<u>1,458</u>	<u>621</u>	<u>0</u>	<u>8,414</u>
Estate tax	970	0	0	0	970
Real estate transfer tax	581	0	0	0	581
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,570</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,570</u>
Real estate transfer tax (dedicated)	(581)	0	119	462	0
Other taxes	<u>989</u>	<u>0</u>	<u>119</u>	<u>462</u>	<u>1,570</u>
Payroll tax	<u>0</u>	<u>1,443</u>	<u>0</u>	<u>0</u>	<u>1,443</u>
Total Taxes	<u>42,564</u>	<u>8,569</u>	<u>1,342</u>	<u>13,098</u>	<u>65,573</u>
Licenses, fees, etc.	587	0	0	0	587
Abandoned property	645	0	0	0	645
Motor vehicle fees	54	0	0	0	54
ABC License Fee	49	0	0	0	49
Reimbursements	222	0	0	0	222
Investment income	20	0	0	0	20
Other transactions	1,282	15,177	4,384	809	21,652
Miscellaneous receipts	<u>2,859</u>	<u>15,177</u>	<u>4,384</u>	<u>809</u>	<u>23,229</u>
Federal grants	<u>60</u>	<u>42,483</u>	<u>2,307</u>	<u>54</u>	<u>44,904</u>
Total	<u>45,483</u>	<u>66,229</u>	<u>8,033</u>	<u>13,961</u>	<u>133,706</u>

**CASH RECEIPTS
ALL FUNDS
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	32,756	0	0	0	32,756
Estimated Payments	11,478	0	0	0	11,478
Final Payments	2,106	0	0	0	2,106
Other Payments	1,239	0	0	0	1,239
Gross Collections	<u>47,579</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,579</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,694)	0	0	0	(7,694)
Reported Tax Collections	<u>39,587</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>39,587</u>
STAR (dedicated deposits)	(3,584)	3,584	0	0	0
RBTF (dedicated transfers)	(9,897)	0	0	9,919	22
Personal income tax	<u>26,106</u>	<u>3,584</u>	<u>0</u>	<u>9,919</u>	<u>39,609</u>
Sales and use tax	11,336	775	0	0	12,111
Cigarette and tobacco taxes	515	1,277	0	0	1,792
Motor fuel tax	0	107	401	0	508
Alcoholic beverage control license fees	238	0	0	0	238
Highway Use tax	0	0	145	0	145
Auto rental tax	0	35	64	0	99
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>12,089</u>	<u>2,279</u>	<u>610</u>	<u>0</u>	<u>14,978</u>
LGAC Sales Tax (dedicated transfers)	(2,834)	0	0	2,835	1
User Taxes and fees	<u>9,255</u>	<u>2,279</u>	<u>610</u>	<u>2,835</u>	<u>14,979</u>
Corporation franchise tax	3,334	505	0	0	3,839
Corporation and utilities tax	766	208	18	0	992
Insurance taxes	1,393	140	0	0	1,533
Bank tax	1,181	198	0	0	1,379
Petroleum business tax	0	484	606	0	1,090
Business taxes	<u>6,674</u>	<u>1,535</u>	<u>624</u>	<u>0</u>	<u>8,833</u>
Estate tax	1,010	0	0	0	1,010
Real estate transfer tax	686	0	0	0	686
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,715</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,715</u>
Real estate transfer tax (dedicated)	(686)	0	119	567	0
Other taxes	<u>1,029</u>	<u>0</u>	<u>119</u>	<u>567</u>	<u>1,715</u>
Payroll tax	<u>0</u>	<u>1,528</u>	<u>0</u>	<u>0</u>	<u>1,528</u>
Total Taxes	<u>43,064</u>	<u>8,926</u>	<u>1,353</u>	<u>13,321</u>	<u>66,664</u>
Licenses, fees, etc.	583	0	0	0	583
Abandoned property	610	0	0	0	610
Motor vehicle fees	31	0	0	0	31
ABC License Fee	51	0	0	0	51
Reimbursements	222	0	0	0	222
Investment income	40	0	0	0	40
Other transactions	1,287	15,604	3,667	833	21,391
Miscellaneous receipts	<u>2,824</u>	<u>15,604</u>	<u>3,667</u>	<u>833</u>	<u>22,928</u>
Federal grants	<u>60</u>	<u>41,153</u>	<u>1,881</u>	<u>54</u>	<u>43,148</u>
Total	<u>45,948</u>	<u>65,683</u>	<u>6,901</u>	<u>14,208</u>	<u>132,740</u>

**CASH RECEIPTS
ALL FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	34,835	0	0	0	34,835
Estimated Payments	11,810	0	0	0	11,810
Final Payments	2,154	0	0	0	2,154
Other Payments	1,316	0	0	0	1,316
Gross Collections	<u>50,115</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>50,115</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(8,012)	0	0	0	(8,012)
Reported Tax Collections	<u>41,805</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>41,805</u>
STAR (dedicated deposits)	(3,772)	3,772	0	0	0
RBTF (dedicated transfers)	(10,452)	0	0	10,474	22
Personal income tax	<u>27,581</u>	<u>3,772</u>	<u>0</u>	<u>10,474</u>	<u>41,827</u>
Sales and use tax	11,916	800	0	0	12,716
Cigarette and tobacco taxes	508	1,255	0	0	1,763
Motor fuel tax	0	107	403	0	510
Alcoholic beverage control license fees	242	0	0	0	242
Highway Use tax	0	0	142	0	142
Auto rental tax	0	35	65	0	100
Taxicab Surcharge	0	85	0	0	85
Gross Utility Taxes and fees	<u>12,666</u>	<u>2,282</u>	<u>610</u>	<u>0</u>	<u>15,558</u>
LGAC Sales Tax (dedicated transfers)	(2,979)	0	0	2,979	0
User Taxes and fees	<u>9,687</u>	<u>2,282</u>	<u>610</u>	<u>2,979</u>	<u>15,558</u>
Corporation franchise tax	3,488	557	0	0	4,045
Corporation and utilities tax	790	211	18	0	1,019
Insurance taxes	1,454	151	0	0	1,605
Bank tax	1,245	208	0	0	1,453
Petroleum business tax	0	486	609	0	1,095
Business taxes	<u>6,977</u>	<u>1,613</u>	<u>627</u>	<u>0</u>	<u>9,217</u>
Estate tax	1,065	0	0	0	1,065
Real estate transfer tax	754	0	0	0	754
Gift tax	0	0	0	0	0
Real property gains tax	0	0	0	0	0
Pari-mutuel taxes	18	0	0	0	18
Other taxes	1	0	0	0	1
Gross Other taxes	<u>1,838</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,838</u>
Real estate transfer tax (dedicated)	(754)	0	119	635	0
Other taxes	<u>1,084</u>	<u>0</u>	<u>119</u>	<u>635</u>	<u>1,838</u>
Payroll tax	<u>0</u>	<u>1,614</u>	<u>0</u>	<u>0</u>	<u>1,614</u>
Total Taxes	<u>45,329</u>	<u>9,281</u>	<u>1,356</u>	<u>14,088</u>	<u>70,054</u>
Licenses, fees, etc.	569	0	0	0	569
Abandoned property	600	0	0	0	600
Motor vehicle fees	(41)	0	0	0	(41)
ABC License Fee	50	0	0	0	50
Reimbursements	222	0	0	0	222
Investment income	60	0	0	0	60
Other transactions	1,312	15,878	3,450	855	21,495
Miscellaneous receipts	<u>2,772</u>	<u>15,878</u>	<u>3,450</u>	<u>855</u>	<u>22,955</u>
Federal grants	<u>60</u>	<u>42,827</u>	<u>1,864</u>	<u>54</u>	<u>44,805</u>
Total	<u>48,161</u>	<u>67,986</u>	<u>6,670</u>	<u>14,997</u>	<u>137,814</u>

**CURRENT STATE RECEIPTS
ALL FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	29,443	31,301	1,858	6.3%
Estimated Payments	9,028	10,651	1,623	18.0%
Final Payments	1,822	1,973	151	8.3%
Other Payments	1,100	1,161	61	5.5%
Gross Collections	41,393	45,086	3,693	8.9%
State/City Offset	62	(298)	(360)	-580.6%
Refunds	(6,704)	(7,891)	(1,187)	17.7%
Reported Tax Collections	34,751	36,897	2,146	6.2%
STAR (dedicated deposits)	0	1	1	--
RBTf (dedicated transfers)	0	0	0	--
Personal income tax	34,751	36,898	2,147	6.2%
Sales and use tax	10,529	11,475	946	9.0%
Cigarette and tobacco taxes	1,364	1,765	401	29.4%
Motor fuel tax	507	503	(4)	-0.8%
Alcoholic beverage control license fees	226	228	2	0.9%
Highway Use tax	137	134	(3)	-2.2%
Auto rental tax	76	95	19	25.0%
Taxicab Surcharge	13	85	72	553.8%
Gross Utility Taxes and fees	12,852	14,285	1,433	11.2%
LGAC Sales Tax (dedicated transfers)	0	2	2	--
User Taxes and fees	12,852	14,287	1,435	11.2%
Corporation franchise tax	2,511	3,307	796	31.7%
Corporation and utilities tax	954	902	(52)	-5.5%
Insurance taxes	1,491	1,410	(81)	-5.4%
Bank tax	1,399	1,023	(376)	-26.9%
Petroleum business tax	1,104	1,050	(54)	-4.9%
Business taxes	7,459	7,692	233	3.1%
Estate tax	864	1,015	151	17.5%
Real estate transfer tax	493	520	27	5.5%
Gift tax	2	0	(2)	-100.0%
Real property gains tax	(1)	0	1	-100.0%
Pari-mutuel taxes	19	18	(1)	-5.3%
Other taxes	1	1	0	0.0%
Gross Other taxes	1,378	1,554	176	12.8%
Real estate transfer tax (dedicated)	0	0	0	--
Other taxes	1,378	1,554	176	12.8%
Payroll tax	1,228	1,365	137	11.2%
Total Taxes	57,668	61,796	4,128	7.2%
Licenses, fees, etc.	702	667	(35)	-5.0%
Abandoned property	608	650	42	6.9%
Motor vehicle fees	15	42	27	180.0%
ABC License Fee	49	46	(3)	-6.1%
Reimbursements	323	222	(101)	-31.3%
Investment income	14	20	6	42.9%
Other transactions	21,846	21,367	(479)	-2.2%
Miscellaneous receipts	23,557	23,014	(543)	-2.3%
Federal grants	45,523	49,486	3,963	8.7%
Total	126,748	134,296	7,548	6.0%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2009-2010
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>2,471</u>	<u>375</u>	<u>2,846</u>
Receipts:			
Taxes	7,801	0	7,801
Miscellaneous receipts	14,646	167	14,813
Federal grants	0	43,378	43,378
Total receipts	<u>22,447</u>	<u>43,545</u>	<u>65,992</u>
Disbursements:			
Grants to local governments	17,644	37,750	55,394
State operations:			
Personal service	4,264	2,532	6,796
Non-personal service	2,488	1,510	3,998
General State charges	1,040	1,099	2,139
Debt service	0	0	0
Capital projects	11	0	11
Total disbursements	<u>25,447</u>	<u>42,891</u>	<u>68,338</u>
Other financing sources (uses):			
Transfers from other funds	4,172	3,046	7,218
Transfers to other funds	(1,686)	(3,632)	(5,318)
Bond and note proceeds	0	0	0
Net other financing sources (uses)	<u>2,486</u>	<u>(586)</u>	<u>1,900</u>
Change in fund balance	<u>(514)</u>	<u>68</u>	<u>(446)</u>
Closing fund balance	<u>1,957</u>	<u>443</u>	<u>2,400</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2010-2011
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>1,957</u>	<u>443</u>	<u>2,400</u>
Receipts:			
Taxes	8,233	0	8,233
Miscellaneous receipts	15,033	144	15,177
Federal grants	1	46,924	46,925
Total receipts	<u>23,267</u>	<u>47,068</u>	<u>70,335</u>
Disbursements:			
Grants to local governments	18,185	40,699	58,884
State operations:			
Personal service	4,022	2,740	6,762
Non-personal service	2,503	1,804	4,307
General State charges	1,077	1,132	2,209
Debt service	0	0	0
Capital projects	2	0	2
Total disbursements	<u>25,789</u>	<u>46,375</u>	<u>72,164</u>
Other financing sources (uses):			
Transfers from other funds	3,923	3,350	7,273
Transfers to other funds	(1,542)	(3,964)	(5,506)
Bond and note proceeds	0	0	0
Net other financing sources (uses)	<u>2,381</u>	<u>(614)</u>	<u>1,767</u>
Change in fund balance	<u>(141)</u>	<u>79</u>	<u>(62)</u>
Closing fund balance	<u>1,816</u>	<u>522</u>	<u>2,338</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2011-2012
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>1,816</u>	<u>522</u>	<u>2,338</u>
Receipts:			
Taxes	8,569	0	8,569
Miscellaneous receipts	15,039	138	15,177
Federal grants	<u>1</u>	<u>42,482</u>	<u>42,483</u>
Total receipts	<u>23,609</u>	<u>42,620</u>	<u>66,229</u>
Disbursements:			
Grants to local governments	18,332	36,961	55,293
State operations:			
Personal service	4,505	2,298	6,803
Non-personal service	2,499	1,585	4,084
General State charges	1,277	1,105	2,382
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
Total disbursements	<u>26,615</u>	<u>41,949</u>	<u>68,564</u>
Other financing sources (uses):			
Transfers from other funds	4,633	3,155	7,788
Transfers to other funds	(1,540)	(3,687)	(5,227)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net other financing sources (uses)	<u>3,093</u>	<u>(532)</u>	<u>2,561</u>
Change in fund balance	<u>87</u>	<u>139</u>	<u>226</u>
Closing fund balance	<u>1,903</u>	<u>661</u>	<u>2,564</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2012-2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>1,903</u>	<u>661</u>	<u>2,564</u>
Receipts:			
Taxes	8,926	0	8,926
Miscellaneous receipts	15,465	139	15,604
Federal grants	<u>1</u>	<u>41,152</u>	<u>41,153</u>
Total receipts	<u>24,392</u>	<u>41,291</u>	<u>65,683</u>
Disbursements:			
Grants to local governments	19,261	35,813	55,074
State operations:			
Personal service	4,597	2,250	6,847
Non-personal service	2,620	1,542	4,162
General State charges	1,458	1,200	2,658
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
Total disbursements	<u>27,938</u>	<u>40,805</u>	<u>68,743</u>
Other financing sources (uses):			
Transfers from other funds	4,987	3,154	8,141
Transfers to other funds	(987)	(3,577)	(4,564)
Bond and note proceeds	0	0	0
Net other financing sources (uses)	<u>4,000</u>	<u>(423)</u>	<u>3,577</u>
Change in fund balance	<u>454</u>	<u>63</u>	<u>517</u>
Closing fund balance	<u>2,357</u>	<u>724</u>	<u>3,081</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2013-2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>2,357</u>	<u>724</u>	<u>3,081</u>
Receipts:			
Taxes	9,281	0	9,281
Miscellaneous receipts	15,741	137	15,878
Federal grants	<u>1</u>	<u>42,826</u>	<u>42,827</u>
Total receipts	<u>25,023</u>	<u>42,963</u>	<u>67,986</u>
Disbursements:			
Grants to local governments	19,911	37,556	57,467
State operations:			
Personal service	4,630	2,253	6,883
Non-personal service	2,614	1,560	4,174
General State charges	1,536	1,283	2,819
Debt service	0	0	0
Capital projects	<u>2</u>	<u>0</u>	<u>2</u>
Total disbursements	<u>28,693</u>	<u>42,652</u>	<u>71,345</u>
Other financing sources (uses):			
Transfers from other funds	5,176	3,254	8,430
Transfers to other funds	(923)	(3,503)	(4,426)
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net other financing sources (uses)	<u>4,253</u>	<u>(249)</u>	<u>4,004</u>
Change in fund balance	<u>583</u>	<u>62</u>	<u>645</u>
Closing fund balance	<u>2,940</u>	<u>786</u>	<u>3,726</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>2,846</u>	<u>2,400</u>	<u>(446)</u>	<u>-15.7%</u>
Receipts:				
Taxes	7,801	8,233	432	5.5%
Miscellaneous receipts	14,813	15,177	364	2.5%
Federal grants	<u>43,378</u>	<u>46,925</u>	<u>3,547</u>	<u>8.2%</u>
Total receipts	<u>65,992</u>	<u>70,335</u>	<u>4,343</u>	<u>6.6%</u>
Disbursements:				
Grants to local governments	55,394	58,884	3,490	6.3%
State operations:				
Personal service	6,796	6,762	(34)	-0.5%
Non-personal service	3,998	4,307	309	7.7%
General State charges	2,139	2,209	70	3.3%
Debt service	0	0	0	--
Capital projects	<u>11</u>	<u>2</u>	<u>(9)</u>	<u>-81.8%</u>
Total disbursements	<u>68,338</u>	<u>72,164</u>	<u>3,826</u>	<u>5.6%</u>
Other financing sources (uses):				
Transfers from other funds	7,218	7,273	55	0.8%
Transfers to other funds	(5,318)	(5,506)	(188)	3.5%
Bond and note proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
Net other financing sources (uses)	<u>1,900</u>	<u>1,767</u>	<u>(133)</u>	<u>-7.0%</u>
Change in fund balance	<u>(446)</u>	<u>(62)</u>	<u>384</u>	<u>-86.1%</u>
Closing fund balance	<u>2,400</u>	<u>2,338</u>	<u>(62)</u>	<u>-2.6%</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Enacted</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Personal income tax	3,300	3,418	3,584	3,772
User taxes and fees	2,192	2,250	2,279	2,282
Sales and use tax	700	725	775	800
Cigarette and tobacco taxes	1,266	1,299	1,277	1,255
Motor fuel tax	106	106	107	107
Auto Rental tax	35	35	35	35
Taxicab Surcharge	85	85	85	85
Business taxes	1,376	1,458	1,535	1,613
Corporation franchise tax	421	452	505	557
Corporation and utilities tax	199	205	208	211
Insurance taxes	132	135	140	151
Bank tax	158	184	198	208
Petroleum business tax	466	482	484	486
Payroll Tax	1,365	1,443	1,528	1,614
Total Taxes	8,233	8,569	8,926	9,281
Miscellaneous receipts	15,177	15,177	15,604	15,878
HCRA	3,859	4,155	4,263	4,366
State university income	3,508	3,596	3,643	3,699
Lottery	3,276	2,936	3,146	3,219
Medicaid	750	750	750	750
Industry assessments	882	859	863	867
Motor vehicle fees	440	35	35	35
ABC License Fee	0	85	85	85
All other	2,462	2,761	2,819	2,857
Federal grants	46,925	42,483	41,153	42,827
Total	<u>70,335</u>	<u>66,229</u>	<u>65,683</u>	<u>67,986</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	Annual \$ Change	Annual % Change
Personal income tax	3,409	3,300	(109)	-3.2%
User taxes and fees	1,708	2,192	484	28.3%
Sales and use tax	657	700	43	6.5%
Cigarette and tobacco taxes	908	1,266	358	39.4%
Motor fuel tax	106	106	0	0.0%
Auto Rental tax	24	35	11	45.8%
Taxicab Surcharge	13	85	72	553.8%
Business taxes	1,455	1,376	(79)	-5.4%
Corporation franchise tax	366	421	55	15.0%
Corporation and utilities tax	212	199	(13)	-6.1%
Insurance taxes	160	132	(28)	-17.5%
Bank tax	226	158	(68)	-30.1%
Petroleum business tax	491	466	(25)	-5.1%
Payroll Tax	1,228	1,365	137	11.2%
Total Taxes	7,800	8,233	433	5.6%
Miscellaneous receipts	14,813	15,177	364	2.5%
HCRA	3,982	3,859	(123)	-3.1%
State university income	3,229	3,508	279	8.6%
Lottery	2,886	3,276	390	13.5%
Medicaid	736	750	14	1.9%
Industry assessments	946	882	(64)	-6.8%
Motor vehicle fees	0	440	440	--
ABC License Fee	0	0	0	--
All other	3,034	2,462	(572)	-18.9%
Federal grants	43,378	46,925	3,547	8.2%
Total	65,991	70,335	4,344	6.6%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2009-2010
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(209)</u>	<u>(297)</u>	<u>(506)</u>
Receipts:			
Taxes	1,422	0	1,422
Miscellaneous receipts	3,881	1	3,882
Federal grants	0	2,061	2,061
Total receipts	<u>5,303</u>	<u>2,062</u>	<u>7,365</u>
Disbursements:			
Grants to local governments	880	560	1,440
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	4,280	1,392	5,672
Total disbursements	<u>5,160</u>	<u>1,952</u>	<u>7,112</u>
Other financing sources (uses):			
Transfers from other funds	737	0	737
Transfers to other funds	(1,149)	(36)	(1,185)
Bond and note proceeds	448	0	448
Net other financing sources (uses)	<u>36</u>	<u>(36)</u>	<u>0</u>
Change in fund balance	<u>179</u>	<u>74</u>	<u>253</u>
Closing fund balance	<u>(30)</u>	<u>(223)</u>	<u>(253)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2010-2011
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(30)</u>	<u>(223)</u>	<u>(253)</u>
Receipts:			
Taxes	1,312	0	1,312
Miscellaneous receipts	4,150	0	4,150
Federal grants	6	2,445	2,451
Total receipts	<u>5,468</u>	<u>2,445</u>	<u>7,913</u>
Disbursements:			
Grants to local governments	509	783	1,292
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,566	1,596	7,162
Total disbursements	<u>6,075</u>	<u>2,379</u>	<u>8,454</u>
Other financing sources (uses):			
Transfers from other funds	1,361	0	1,361
Transfers to other funds	(1,415)	(14)	(1,429)
Bond and note proceeds	578	0	578
Net other financing sources (uses)	<u>524</u>	<u>(14)</u>	<u>510</u>
Change in fund balance	<u>(83)</u>	<u>52</u>	<u>(31)</u>
Closing fund balance	<u>(113)</u>	<u>(171)</u>	<u>(284)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2011-2012
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(113)</u>	<u>(171)</u>	<u>(284)</u>
Receipts:			
Taxes	1,342	0	1,342
Miscellaneous receipts	4,384	0	4,384
Federal grants	5	2,302	2,307
Total receipts	<u>5,731</u>	<u>2,302</u>	<u>8,033</u>
Disbursements:			
Grants to local governments	645	818	1,463
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	5,946	1,506	7,452
Total disbursements	<u>6,591</u>	<u>2,324</u>	<u>8,915</u>
Other financing sources (uses):			
Transfers from other funds	1,823	0	1,823
Transfers to other funds	(1,457)	(14)	(1,471)
Bond and note proceeds	488	0	488
Net other financing sources (uses)	<u>854</u>	<u>(14)</u>	<u>840</u>
Change in fund balance	<u>(6)</u>	<u>(36)</u>	<u>(42)</u>
Closing fund balance	<u>(119)</u>	<u>(207)</u>	<u>(326)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2012-2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(119)</u>	<u>(207)</u>	<u>(326)</u>
Receipts:			
Taxes	1,353	0	1,353
Miscellaneous receipts	3,667	0	3,667
Federal grants	5	1,876	1,881
Total receipts	<u>5,025</u>	<u>1,876</u>	<u>6,901</u>
Disbursements:			
Grants to local governments	638	614	1,252
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	4,980	1,298	6,278
Total disbursements	<u>5,618</u>	<u>1,912</u>	<u>7,530</u>
Other financing sources (uses):			
Transfers from other funds	1,666	0	1,666
Transfers to other funds	(1,493)	(14)	(1,507)
Bond and note proceeds	425	0	425
Net other financing sources (uses)	<u>598</u>	<u>(14)</u>	<u>584</u>
Change in fund balance	<u>5</u>	<u>(50)</u>	<u>(45)</u>
Closing fund balance	<u>(114)</u>	<u>(257)</u>	<u>(371)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2013-2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening fund balance	<u>(114)</u>	<u>(257)</u>	<u>(371)</u>
Receipts:			
Taxes	1,356	0	1,356
Miscellaneous receipts	3,450	0	3,450
Federal grants	5	1,859	1,864
Total receipts	<u>4,811</u>	<u>1,859</u>	<u>6,670</u>
Disbursements:			
Grants to local governments	622	604	1,226
State operations:			
Personal service	0	0	0
Non-personal service	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	4,730	1,251	5,981
Total disbursements	<u>5,352</u>	<u>1,855</u>	<u>7,207</u>
Other financing sources (uses):			
Transfers from other funds	1,741	0	1,741
Transfers to other funds	(1,537)	(15)	(1,552)
Bond and note proceeds	341	0	341
Net other financing sources (uses)	<u>545</u>	<u>(15)</u>	<u>530</u>
Change in fund balance	<u>4</u>	<u>(11)</u>	<u>(7)</u>
Closing fund balance	<u>(110)</u>	<u>(268)</u>	<u>(378)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	(506)	(253)	253	
Receipts:				
Taxes	1,422	1,312	(110)	-7.7%
Miscellaneous receipts	3,882	4,150	268	6.9%
Federal grants	2,061	2,451	390	18.9%
Total receipts	<u>7,365</u>	<u>7,913</u>	<u>548</u>	<u>7.4%</u>
Disbursements:				
Grants to local governments	1,440	1,292	(148)	-10.3%
State operations:				
Personal service	0	0	0	--
Non-personal service	0	0	0	--
General State charges	0	0	0	--
Debt service	0	0	0	--
Capital projects	5,672	7,162	1,490	26.3%
Total disbursements	<u>7,112</u>	<u>8,454</u>	<u>1,342</u>	<u>18.9%</u>
Other financing sources (uses):				
Transfers from other funds	737	1,361	624	84.7%
Transfers to other funds	(1,185)	(1,429)	(244)	20.6%
Bond and note proceeds	448	578	130	29.0%
Net other financing sources (uses)	<u>0</u>	<u>510</u>	<u>510</u>	<u>--</u>
Change in fund balance	<u>253</u>	<u>(31)</u>	<u>(284)</u>	
Closing fund balance	<u>(253)</u>	<u>(284)</u>	<u>(31)</u>	

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Enacted</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
User taxes and fees	<u>591</u>	<u>602</u>	<u>610</u>	<u>610</u>
Motor fuel tax	397	399	401	403
Highway use tax	134	140	145	142
Auto rental tax	60	63	64	65
Business taxes	<u>602</u>	<u>621</u>	<u>624</u>	<u>627</u>
Corporation and utilities tax	18	18	18	18
Petroleum business tax	584	603	606	609
Other taxes	<u>119</u>	<u>119</u>	<u>119</u>	<u>119</u>
Real estate transfer tax	119	119	119	119
Total Taxes	<u>1,312</u>	<u>1,342</u>	<u>1,353</u>	<u>1,356</u>
Miscellaneous receipts	<u>4,150</u>	<u>4,384</u>	<u>3,667</u>	<u>3,450</u>
Authority bond proceeds	3,166	3,397	2,692	2,459
State park fees	35	24	24	24
Environmental revenues	77	77	77	77
Motor vehicle fees	693	140	145	142
All other	179	746	729	748
Federal grants	<u>2,451</u>	<u>2,307</u>	<u>1,881</u>	<u>1,864</u>
Total	<u><u>7,913</u></u>	<u><u>8,033</u></u>	<u><u>6,901</u></u>	<u><u>6,670</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
User taxes and fees	590	591	1	0.2%
Motor fuel tax	401	397	(4)	-1.0%
Highway use tax	137	134	(3)	-2.2%
Auto rental tax	52	60	8	15.4%
Business taxes	633	602	(31)	-4.9%
Corporation and utilities tax	20	18	(2)	-10.0%
Petroleum business tax	613	584	(29)	-4.7%
Other taxes	199	119	(80)	-40.2%
Real estate transfer tax	199	119	(80)	-40.2%
Total Taxes	1,422	1,312	(110)	-7.7%
Miscellaneous receipts	3,882	4,150	268	6.9%
Authority bond proceeds	3,006	3,166	160	5.3%
State park fees	72	35	(37)	-51.4%
Environmental revenues	77	77	0	0.0%
Motor vehicle fees	137	693	556	405.8%
All other	590	179	(411)	-69.7%
Federal grants	2,061	2,451	390	18.9%
Total	<u>7,365</u>	<u>7,913</u>	<u>548</u>	<u>7.4%</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	45,113	60,960	65,430	53,520	56,680
Empire State Development Corporation	4,737	10,000	16,770	13,000	13,000
Functional Total	49,850	70,960	82,200	66,520	69,680
TRANSPORTATION					
Transportation, Department of	394,122	403,400	403,400	403,400	403,400
Functional Total	394,122	403,400	403,400	403,400	403,400
HEALTH					
Public Health	361	0	0	0	0
Functional Total	361	0	0	0	0
MENTAL HEALTH					
Mental Health, Office of	157,809	157,809	243,348	296,553	214,117
People with Developmental Disabilities, Office for	41,727	41,727	71,500	78,550	85,300
Alcoholism and Substance Abuse Services, Office of	4,664	5,000	5,000	5,000	5,000
Functional Total	204,200	204,536	319,848	380,103	304,417
HIGHER EDUCATION					
City University of New York	612,476	611,763	450,831	414,222	472,109
State University of New York	219,112	149,000	134,000	117,427	114,000
Functional Total	831,588	760,763	584,831	531,649	586,109
LOWER EDUCATION (Pre-K through 12)					
Education, Department of	196,694	211,054	200,000	111,117	0
School Aid	195,438	211,054	200,000	111,117	0
All Other	1,256	0	0	0	0
Functional Total	196,694	211,054	200,000	111,117	0
TOTAL CAPITAL OFF-BUDGET SPENDING	1,676,815	1,650,713	1,590,279	1,492,789	1,363,606

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Enacted</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Personal income tax	9,225	9,896	9,919	10,474
User taxes and fees	2,694	2,740	2,835	2,979
Sales and use tax	2,694	2,740	2,835	2,979
Other taxes	401	462	567	635
Real estate transfer tax	401	462	567	635
Total Taxes	<u>12,320</u>	<u>13,098</u>	<u>13,321</u>	<u>14,088</u>
Miscellaneous receipts	790	809	833	855
Mental hygiene patient receipts	298	325	352	375
SUNY dormitory fees	341	341	341	341
Health patient receipts	136	128	128	128
All other	15	15	12	11
Federal grants	50	54	54	54
Total	<u><u>13,160</u></u>	<u><u>13,961</u></u>	<u><u>14,208</u></u>	<u><u>14,997</u></u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal income tax	8,688	9,225	537	6.2%
User taxes and fees	2,467	2,694	227	9.2%
Sales and use tax	2,467	2,694	227	9.2%
Other taxes	294	401	107	36.4%
Real estate transfer tax	294	401	107	36.4%
Total Taxes	11,449	12,320	871	7.6%
Miscellaneous receipts	974	790	(184)	-18.9%
Mental hygiene patient receipts	388	298	(90)	-23.2%
SUNY dormitory fees	448	341	(107)	-23.9%
Health patient receipts	119	136	17	14.3%
All other	19	15	(4)	-21.1%
Federal grants	13	50	37	284.6%
Total	<u>12,436</u>	<u>13,160</u>	<u>724</u>	<u>5.8%</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2009-2010
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	1,948	2,471	(209)	298	4,508
Receipts:					
Taxes	36,997	7,801	1,422	11,448	57,668
Miscellaneous receipts	3,888	14,646	3,881	974	23,389
Federal grants	71	0	0	13	84
Total receipts	<u>40,956</u>	<u>22,447</u>	<u>5,303</u>	<u>12,435</u>	<u>81,141</u>
Disbursements:					
Grants to local governments	34,234	17,644	880	0	52,758
State operations:					
Personal service	6,610	4,264	0	0	10,874
Non-personal service	1,977	2,488	0	51	4,516
General State charges	3,594	1,040	0	0	4,634
Debt service	0	0	0	4,961	4,961
Capital projects	0	11	4,280	0	4,291
Total disbursements	<u>46,415</u>	<u>25,447</u>	<u>5,160</u>	<u>5,012</u>	<u>82,034</u>
Other financing sources (uses):					
Transfers from other funds	11,600	4,172	737	6,645	23,154
Transfers to other funds	(5,787)	(1,686)	(1,149)	(13,956)	(22,578)
Bond and note proceeds	0	0	448	0	448
Net other financing sources (uses)	<u>5,813</u>	<u>2,486</u>	<u>36</u>	<u>(7,311)</u>	<u>1,024</u>
Change in fund balance	<u>354</u>	<u>(514)</u>	<u>179</u>	<u>112</u>	<u>131</u>
Closing fund balance	<u>2,302</u>	<u>1,957</u>	<u>(30)</u>	<u>410</u>	<u>4,639</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	2,302	1,957	(30)	410	4,639
Receipts:					
Taxes	39,931	8,233	1,312	12,320	61,796
Miscellaneous receipts	2,897	15,033	4,150	790	22,870
Federal grants	60	1	6	50	117
Total receipts	<u>42,888</u>	<u>23,267</u>	<u>5,468</u>	<u>13,160</u>	<u>84,783</u>
Disbursements:					
Grants to local governments	37,508	18,185	509	0	56,202
State operations:					
Personal service	6,285	4,022	0	0	10,307
Non-personal service	1,740	2,503	0	92	4,335
General State charges	4,128	1,077	0	0	5,205
Debt service	0	0	0	5,516	5,516
Capital projects	0	2	5,566	0	5,568
Total disbursements	<u>49,661</u>	<u>25,789</u>	<u>6,075</u>	<u>5,608</u>	<u>87,133</u>
Other financing sources (uses):					
Transfers from other funds	11,788	3,923	1,361	7,050	24,122
Transfers to other funds	(5,932)	(1,542)	(1,415)	(14,624)	(23,513)
Bond and note proceeds	0	0	578	0	578
Net other financing sources (uses)	<u>5,856</u>	<u>2,381</u>	<u>524</u>	<u>(7,574)</u>	<u>1,187</u>
Change in fund balance	<u>(917)</u>	<u>(141)</u>	<u>(83)</u>	<u>(22)</u>	<u>(1,163)</u>
Closing fund balance	<u>1,385</u>	<u>1,816</u>	<u>(113)</u>	<u>388</u>	<u>3,476</u>

CASH FINANCIAL PLAN
STATE FUNDS
2011-2012
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	1,816	(113)	388	2,091
Receipts:					
Taxes	42,564	8,569	1,342	13,098	65,573
Miscellaneous receipts	2,859	15,039	4,384	809	23,091
Federal grants	60	1	5	54	120
Total receipts	<u>45,483</u>	<u>23,609</u>	<u>5,731</u>	<u>13,961</u>	<u>88,784</u>
Disbursements:					
Grants to local governments	45,557	18,332	645	0	64,534
State operations:					
Personal service	6,692	4,505	0	0	11,197
Non-personal service	1,909	2,499	0	92	4,500
General State charges	4,482	1,277	0	0	5,759
Debt service	0	0	0	6,035	6,035
Capital projects	0	2	5,946	0	5,948
Total disbursements	<u>58,640</u>	<u>26,615</u>	<u>6,591</u>	<u>6,127</u>	<u>97,973</u>
Other financing sources (uses):					
Transfers from other funds	12,324	4,633	1,823	6,734	25,514
Transfers to other funds	(7,392)	(1,540)	(1,457)	(14,584)	(24,973)
Bond and note proceeds	0	0	488	0	488
Net other financing sources (uses)	<u>4,932</u>	<u>3,093</u>	<u>854</u>	<u>(7,850)</u>	<u>1,029</u>
Deposit to/(use of) Reserves	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(48)</u>
Change in fund balance	<u>(8,177)</u>	<u>87</u>	<u>(6)</u>	<u>(16)</u>	<u>(8,112)</u>
Closing fund balance	<u>(8,177)</u>	<u>1,903</u>	<u>(119)</u>	<u>372</u>	<u>(6,021)</u>

CASH FINANCIAL PLAN
STATE FUNDS
2012-2013
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	1,903	(119)	372	2,156
Receipts:					
Taxes	43,064	8,926	1,353	13,321	66,664
Miscellaneous receipts	2,824	15,465	3,667	833	22,789
Federal grants	60	1	5	54	120
Total receipts	<u>45,948</u>	<u>24,392</u>	<u>5,025</u>	<u>14,208</u>	<u>89,573</u>
Disbursements:					
Grants to local governments	50,003	19,261	638	0	69,902
State operations:					
Personal service	6,891	4,597	0	0	11,488
Non-personal service	1,995	2,620	0	92	4,707
General State charges	4,687	1,458	0	0	6,145
Debt service	0	0	0	6,357	6,357
Capital projects	0	2	4,980	0	4,982
Total disbursements	<u>63,576</u>	<u>27,938</u>	<u>5,618</u>	<u>6,449</u>	<u>103,581</u>
Other financing sources (uses):					
Transfers from other funds	12,101	4,987	1,666	6,706	25,460
Transfers to other funds	(8,005)	(987)	(1,493)	(14,511)	(24,996)
Bond and note proceeds	0	0	425	0	425
Net other financing sources (uses)	<u>4,096</u>	<u>4,000</u>	<u>598</u>	<u>(7,805)</u>	<u>889</u>
Deposit to/(use of) Reserves	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(71)</u>
Change in fund balance	<u>(13,461)</u>	<u>454</u>	<u>5</u>	<u>(46)</u>	<u>(13,048)</u>
Closing fund balance	<u>(13,461)</u>	<u>2,357</u>	<u>(114)</u>	<u>326</u>	<u>(10,892)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
Opening fund balance	n/ap	2,357	(114)	326	2,569
Receipts:					
Taxes	45,329	9,281	1,356	14,088	70,054
Miscellaneous receipts	2,772	15,741	3,450	855	22,818
Federal grants	60	1	5	54	120
Total receipts	<u>48,161</u>	<u>25,023</u>	<u>4,811</u>	<u>14,997</u>	<u>92,992</u>
Disbursements:					
Grants to local governments	53,950	19,911	622	0	74,483
State operations:					
Personal service	6,904	4,630	0	0	11,534
Non-personal service	2,115	2,614	0	92	4,821
General State charges	5,080	1,536	0	0	6,616
Debt service	0	0	0	6,503	6,503
Capital projects	0	2	4,730	0	4,732
Total disbursements	<u>68,049</u>	<u>28,693</u>	<u>5,352</u>	<u>6,595</u>	<u>108,689</u>
Other financing sources (uses):					
Transfers from other funds	12,779	5,176	1,741	6,634	26,330
Transfers to other funds	(8,479)	(923)	(1,537)	(15,087)	(26,026)
Bond and note proceeds	0	0	341	0	341
Net other financing sources (uses)	<u>4,300</u>	<u>4,253</u>	<u>545</u>	<u>(8,453)</u>	<u>645</u>
Deposit to/(use of) Community Projects Fund	<u>(25)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(25)</u>
Change in fund balance	<u>(15,563)</u>	<u>583</u>	<u>4</u>	<u>(51)</u>	<u>(15,027)</u>
Closing fund balance	<u>(15,563)</u>	<u>2,940</u>	<u>(110)</u>	<u>275</u>	<u>(12,458)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-2010 Year-End</u>	<u>2010-2011 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening fund balance	<u>4,508</u>	<u>4,639</u>	<u>131</u>	
Receipts:				
Taxes	57,668	61,796	4,128	7.2%
Miscellaneous receipts	23,389	22,870	(519)	-2.2%
Federal grants	84	117	33	39.3%
Total receipts	<u>81,141</u>	<u>84,783</u>	<u>3,642</u>	<u>4.5%</u>
Disbursements:				
Grants to local governments	52,758	56,202	3,444	6.5%
State operations:				
Personal service	10,874	10,307	(567)	-5.2%
Non-personal service	4,516	4,335	(181)	-4.0%
General State charges	4,634	5,205	571	12.3%
Debt service	4,961	5,516	555	11.2%
Capital projects	4,291	5,568	1,277	29.8%
Total disbursements	<u>82,034</u>	<u>87,133</u>	<u>5,099</u>	<u>6.2%</u>
Other financing sources (uses):				
Transfers from other funds	23,154	24,122	968	4.2%
Transfers to other funds	(22,578)	(23,513)	(935)	4.1%
Bond and note proceeds	448	578	130	29.0%
Net other financing sources (uses)	<u>1,024</u>	<u>1,187</u>	<u>163</u>	<u>15.9%</u>
Change in fund balance	<u>131</u>	<u>(1,163)</u>	<u>(1,294)</u>	
Closing fund balance	<u>4,639</u>	<u>3,476</u>	<u>(1,163)</u>	

**CASHFLOW
CAPITAL PROJECTS FUNDS
2009-2010**
(dollars in millions)

	2009 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	2010 January Actuals	February Actuals	March Actuals	Total
OPENING BALANCE	(506)	(493)	(530)	(520)	(598)	(624)	(846)	(867)	(818)	(713)	(789)	(956)	(506)
RECEIPTS:													
Taxes	131	148	189	172	172	194	180	162	191	165	153	(435)	1,422
Miscellaneous Receipts	178	241	213	230	346	167	216	306	331	154	261	1,239	3,882
Federal Grants	128	106	138	166	144	194	241	163	184	129	133	335	2,061
TOTAL RECEIPTS	437	495	540	568	662	555	637	631	706	448	547	1,139	7,365
DISBURSEMENTS:													
Local Assistance Grants	68	69	59	147	87	92	133	67	91	93	60	474	1,440
Total Local Assistance Grants	68	69	59	147	87	92	133	67	91	93	60	474	1,440
Economic Development	12	142	5	18	19	52	35	41	36	16	83	27	486
Parks & the Environment	20	28	36	29	29	22	31	29	29	24	33	45	355
Transportation	203	195	316	327	277	397	312	256	261	224	279	221	3,268
Health & Social Welfare	2	9	3	11	3	4	10	3	4	11	2	4	66
Mental Hygiene	4	4	9	10	15	5	8	6	10	8	7	14	100
Public Protection	21	18	51	24	25	24	22	24	25	20	27	35	316
Education	56	45	56	65	86	65	76	70	75	62	104	71	831
All Other	18	14	11	20	11	49	15	13	19	12	12	56	250
Total Capital Projects	336	455	487	504	465	618	509	442	459	377	547	473	5,672
TOTAL DISBURSEMENTS	404	524	546	651	552	710	642	509	550	470	607	947	7,112
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	39	51	78	69	(67)	127	97	(11)	20	12	32	290	737
Transfers to other funds	(59)	(59)	(62)	(64)	(69)	(194)	(113)	(62)	(71)	(66)	(144)	(222)	(1,185)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	5	443	448
NET OTHER FINANCING SOURCES/(USES)	(20)	(8)	16	5	(136)	(67)	(16)	(73)	(51)	(54)	(107)	511	0
Excess/(Deficiency) of Receipts over Disbursements	13	(37)	10	(78)	(26)	(222)	(21)	49	105	(76)	(167)	703	253
CLOSING BALANCE	(493)	(530)	(520)	(598)	(624)	(846)	(867)	(818)	(713)	(789)	(956)	(253)	(253)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
2009-2010
(dollars in millions)

	2009	May	June	July	August	September	October	November	December	2010	January	February	March	Total
	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	
OPENING BALANCE	(297)	(255)	(229)	(236)	(305)	(311)	(279)	(243)	(222)	(292)	(295)	(236)	(297)	
RECEIPTS:														
Taxes	0	0	0	0	0	0	0	(1)	(1)	0	0	0	2	0
Miscellaneous Receipts	1	0	0	0	0	0	0	1	0	0	0	0	(1)	1
Federal Grants	128	106	138	166	144	194	241	163	184	129	133	335	335	2,061
TOTAL RECEIPTS	129	106	138	166	144	194	241	163	183	129	133	336	336	2,062
DISBURSEMENTS:														
Local Assistance Grants	30	16	19	39	27	31	51	27	37	73	27	183	183	560
Total Local Assistance Grants	30	16	19	39	27	31	51	27	37	73	27	183	183	560
Economic Development	0	0	0	1	0	0	0	0	1	1	0	0	1	4
Parks & the Environment	1	0	1	0	(1)	(3)	(3)	0	0	0	1	1	6	2
Transportation	51	59	115	187	118	128	148	108	205	53	43	90	90	1,305
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	1	4	2	3	1	2	1	3	3	1	5	5	27
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	4	4	6	6	3	5	7	6	7	2	2	2	2	54
Total Capital Projects	57	64	126	196	123	131	154	115	216	59	47	104	104	1,392
TOTAL DISBURSEMENTS	87	80	145	235	150	162	205	142	253	132	74	287	287	1,952
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to other funds	0	0	0	0	0	0	0	0	0	0	0	0	(36)	(36)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	0	0	0	0	0	0	(36)	(36)
Excess/(Deficiency) of Receipts over Disbursements	42	26	(7)	(69)	(6)	32	36	21	(70)	(3)	59	13	13	74
CLOSING BALANCE	(255)	(229)	(236)	(305)	(311)	(279)	(243)	(222)	(292)	(295)	(236)	(223)	(223)	(223)

CASHFLOW
SPECIAL REVENUE STATE FUNDS
2009-2010
(dollars in millions)

	2009 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	2010 January Actuals	February Actuals	March Actuals	Total
OPENING BALANCE	2,471	2,999	2,737	2,682	3,105	3,298	1,990	2,136	2,055	2,115	2,425	2,561	2,471
RECEIPTS:													
Personal Income Tax	0	0	696	0	0	221	15	53	1,992	432	0	0	3,409
User Taxes and Fees	210	152	181	163	164	197	161	161	201	173	147	(201)	1,709
Business Taxes	56	42	244	65	71	283	71	50	228	(29)	41	333	1,455
Other Taxes	0	0	0	0	0	0	46	662	89	143	190	98	1,228
Total Taxes	266	194	1,121	228	235	701	293	926	2,510	719	378	230	7,801
HCRA	294	405	421	321	315	345	329	277	360	309	336	270	3,982
State University Income	202	169	177	202	278	503	269	176	215	389	389	257	3,229
Lottery	270	228	221	258	224	266	213	203	251	204	214	334	2,886
Medicaid	44	44	68	72	62	66	68	70	62	63	56	61	736
Other receipts	291	234	351	256	186	404	236	185	400	275	194	801	3,813
Total Miscellaneous Receipts	1,101	1,080	1,238	1,109	1,065	1,584	1,115	911	1,288	1,243	1,189	1,723	14,646
Federal Grants	0	1	0	0	0	0	0	(1)	1	0	0	(1)	0
TOTAL RECEIPTS	1,367	1,275	2,359	1,337	1,300	2,285	1,408	1,836	3,799	1,962	1,567	1,952	22,447
DISBURSEMENTS:													
School Aid	0	240	85	0	0	2,002	104	89	64	79	82	81	2,826
Higher Education	0	0	0	0	0	0	(32)	(18)	0	0	0	73	23
All Other Education	2	0	1	0	1	1	0	0	5	0	2	3	15
STAR	1	0	696	0	0	221	12	53	1,997	432	0	1	3,414
Medicaid - DOH	373	515	631	358	290	285	413	205	346	252	365	636	4,669
Public Health	77	(72)	104	117	92	114	102	126	97	66	102	257	1,182
Mental Hygiene	50	33	98	90	70	101	139	35	122	82	36	192	1,048
Children and Families	0	0	0	0	0	0	0	0	0	0	0	3	4
Temporary & Disability Assistance	0	0	0	0	0	1	0	0	0	0	0	(2)	(1)
Transportation	43	293	188	136	315	150	131	1,003	816	198	292	183	3,758
All Other	32	177	13	54	24	220	15	28	10	10	25	98	706
Total Local Assistance Grants	578	1,196	1,816	757	792	3,095	884	1,521	3,457	1,119	904	1,535	17,644
Personal Service	325	323	266	288	326	504	455	345	330	416	407	279	4,264
Non-Personal Service	210	172	248	188	171	188	254	205	252	201	230	189	2,488
Total State Operations	535	495	514	476	494	675	709	550	582	617	637	468	6,752
General State Charges	99	62	91	24	43	72	101	65	133	48	131	171	1,040
Capital Projects	1	1	1	1	1	1	0	1	1	2	0	1	11
TOTAL DISBURSEMENTS	1,213	1,744	2,422	1,258	1,330	3,843	1,694	2,137	4,173	1,786	1,672	2,175	25,447
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	380	308	114	355	228	267	399	199	466	258	358	840	4,172
Transfers to other funds	(6)	(101)	(106)	(11)	(5)	(17)	33	21	(32)	(124)	(117)	(1,221)	(1,686)
NET OTHER FINANCING SOURCES/(USES)	374	207	8	344	223	250	432	220	434	134	241	(381)	2,486
Excess/(Deficiency) of Receipts over Disbursements	528	(262)	(65)	423	183	(1,308)	146	(81)	60	310	136	(604)	(514)
CLOSING BALANCE	2,999	2,737	2,682	3,105	3,298	1,990	2,136	2,055	2,115	2,425	2,561	1,957	1,957

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
 2009-2010
 (dollars in millions)

	2009	2010	2009	2010	2009	2010	2009	2010	2009	2010	2009	2010	2009	2010	2009	2010	2009	2010
	Actuals																	
OPENING BALANCE	375	140	17	9	(6)	450	(327)	268	487	524	714	584	375					
RECEIPTS:																		
Miscellaneous Receipts	12	39	11	11	16	10	11	14	16	3	14	10	167					
Federal Grants	2,838	3,219	3,079	3,828	3,565	3,219	4,483	3,204	4,467	3,110	3,584	4,782	43,378					
TOTAL RECEIPTS	2,850	3,258	3,090	3,839	3,581	3,229	4,494	3,218	4,483	3,113	3,598	4,792	43,545					
DISBURSEMENTS:																		
School Aid	262	261	225	206	132	67	139	139	317	362	702	423	3,235					
Higher Education	0	0	0	0	0	0	32	18	6	2	1	60	119					
All Other Education	69	62	59	46	20	20	60	39	42	135	158	102	812					
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0					
Medicaid - DOH	2,066	2,100	1,916	2,434	2,269	2,870	2,308	2,072	2,674	1,755	2,013	2,008	26,485					
Public Health	87	82	106	130	98	82	90	118	110	84	91	178	1,256					
Mental Hygiene	33	31	37	38	40	28	47	40	34	37	30	81	460					
Children and Families	1	91	132	26	164	96	40	106	79	21	15	246	1,017					
Temporary & Disability Assistance	58	124	248	284	320	196	500	171	632	189	67	778	3,567					
Transportation	1	2	3	4	1	1	1	7	2	4	2	4	32					
All Other	55	7	60	109	78	102	(11)	52	73	102	70	70	767					
Total Local Assistance Grants	2,632	2,760	2,786	3,277	3,122	3,462	3,206	2,746	3,969	2,891	3,149	3,950	37,750					
Personal Service	237	186	164	241	162	201	164	172	279	163	180	383	2,532					
Non-Personal Service	75	74	108	90	67	204	124	73	116	151	177	251	1,510					
Total State Operations	312	260	272	331	229	405	288	245	395	314	357	634	4,042					
General State Charges	(17)	326	82	1	8	100	87	46	86	2	119	259	1,099					
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0					
TOTAL DISBURSEMENTS	2,927	3,346	3,140	3,609	3,359	3,967	3,581	3,037	4,450	3,007	3,625	4,843	42,891					
OTHER FINANCING SOURCES (uses):																		
Transfers from other funds	112	327	125	264	509	262	133	315	485	377	210	(73)	3,046					
Transfers to other funds	(270)	(361)	(82)	(508)	(274)	(300)	(451)	(277)	(481)	(293)	(313)	(22)	(3,632)					
NET OTHER FINANCING SOURCES/(USES)	(158)	(34)	43	(244)	235	(38)	(318)	38	4	84	(103)	(95)	(586)					
Excess/(Deficiency) of Receipts over Disbursements	(235)	(123)	(8)	(15)	456	(777)	595	219	37	190	(130)	(141)	68					
CLOSING BALANCE	140	17	9	(6)	450	(327)	268	487	524	714	584	443	375					

CASHFLOW
ALL FUNDS
2009-2010
(dollars in millions)

	2009		2009		2009		2009		2009		2010		2010		2010		2010			
	April	May	June	July	August	September	October	November	December	January	February	March	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Total	
OPENING BALANCE	4,586	5,959	2,886	3,514	4,058	4,404	3,583	3,389	2,832	2,265	6,489	7,944	2,265	6,489	7,944	2,265	6,489	7,944	4,586	
RECEIPTS:																				
Taxes	5,150	2,212	6,604	3,497	3,370	6,399	3,427	3,752	6,409	7,347	4,485	5,016	7,347	4,485	5,016	7,347	4,485	5,016	57,668	
Miscellaneous Receipts	1,464	1,599	1,780	1,355	1,691	2,879	1,576	1,512	2,176	1,667	1,719	4,139	1,667	1,719	4,139	1,667	1,719	4,139	23,557	
Federal Grants	2,972	3,350	3,217	3,994	3,725	3,413	4,724	3,380	4,652	3,239	3,739	5,118	3,239	3,739	5,118	3,239	3,739	5,118	45,523	
TOTAL RECEIPTS	9,586	7,161	11,601	8,846	8,786	12,691	9,727	8,644	13,237	12,253	9,943	14,273	12,253	9,943	14,273	12,253	9,943	14,273	126,748	
DISBURSEMENTS:																				
School Aid	850	3,231	2,202	291	646	3,418	689	1,290	1,642	925	1,299	5,065	925	1,299	5,065	925	1,299	5,065	21,548	
Higher Education	31	15	783	58	262	75	117	371	279	32	89	829	32	89	829	32	89	829	2,941	
All Other Education	121	165	208	140	81	178	596	56	95	167	276	264	167	276	264	167	276	264	2,347	
STAR	1	0	696	1	0	221	12	53	1,997	432	0	1	432	0	1	432	0	1	3,414	
Medicaid - DOH	3,328	3,229	2,459	3,497	3,298	3,715	3,285	3,161	3,656	2,518	2,976	2,821	2,518	2,976	2,821	2,518	2,976	2,821	37,943	
Public Health	211	62	250	370	249	264	213	255	307	164	238	546	164	238	546	164	238	546	3,129	
Mental Hygiene	96	86	506	156	142	641	337	65	529	253	197	651	253	197	651	253	197	651	3,659	
Children and Families	21	248	215	175	246	327	205	185	271	153	123	599	153	123	599	153	123	599	2,768	
Temporary & Disability Assistance	121	185	307	665	420	311	791	233	683	253	69	833	253	69	833	253	69	833	4,871	
Transportation	44	308	196	140	338	153	132	1,023	819	203	300	198	203	300	198	203	300	198	3,854	
All Other	208	254	577	349	240	643	146	190	603	286	191	907	286	191	907	286	191	907	4,594	
Total Local Assistance Grants	5,032	7,783	8,399	5,842	5,922	9,946	6,523	6,882	10,881	5,386	5,758	12,714	5,386	5,758	12,714	5,386	5,758	12,714	91,068	
Personal Service	1,310	969	945	1,137	1,051	1,321	1,247	981	1,171	977	976	1,311	977	976	1,311	977	976	1,311	13,406	
Non-Personal Service	505	435	526	426	427	539	498	404	521	501	563	681	501	563	681	501	563	681	6,026	
Total State Operations	1,815	1,404	1,471	1,563	1,478	1,860	1,745	1,395	1,692	1,478	1,539	1,992	1,478	1,539	1,992	1,478	1,539	1,992	19,432	
General State Charges	469	392	392	293	361	386	503	401	346	489	243	1,458	489	243	1,458	489	243	1,458	5,733	
Debt service	553	196	214	86	209	701	637	76	421	295	428	1,145	295	428	1,145	295	428	1,145	4,961	
Capital Projects	337	456	488	505	466	619	509	443	460	379	547	474	379	547	474	379	547	474	5,683	
TOTAL DISBURSEMENTS	8,206	10,231	10,964	8,289	8,436	13,512	9,917	9,197	13,800	8,027	8,515	17,783	8,027	8,515	17,783	8,027	8,515	17,783	126,877	
OTHER FINANCING SOURCES (uses):																				
Transfers from other funds	2,490	1,548	1,860	2,014	1,406	2,650	2,371	1,159	2,744	2,553	1,670	3,735	2,553	1,670	3,735	2,553	1,670	3,735	26,200	
Transfers to other funds	(2,497)	(1,551)	(1,869)	(2,027)	(1,410)	(2,650)	(2,375)	(1,163)	(2,748)	(2,555)	(1,648)	(3,753)	(2,555)	(1,648)	(3,753)	(2,555)	(1,648)	(3,753)	(26,246)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	5	443	0	5	443	0	5	443	448	
NET OTHER FINANCING SOURCES/(USES)	(7)	(3)	(9)	(13)	(4)	0	(4)	(4)	(4)	(2)	27	425	(2)	27	425	(2)	27	425	402	
Excess/(Deficiency) of Receipts over Disbursements	1,373	(3,073)	628	544	346	(821)	(194)	(557)	(567)	4,224	1,455	(3,085)	4,224	1,455	(3,085)	4,224	1,455	(3,085)	273	
CLOSING BALANCE	5,959	2,886	3,514	4,058	4,404	3,583	3,389	2,832	2,265	6,489	7,944	4,859	6,489	7,944	4,859	6,489	7,944	4,859	4,859	

**CASHFLOW
GENERAL FUND
2010-2011
(dollars in millions)**

	2010		2011							Total			
	April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected		January Projected	February Projected	March Projected
OPENING BALANCE	2,302	4,274	1,648	(87)	590	(28)	2,476	1,888	1,442	1,197	6,220	6,851	2,302
RECEIPTS:													
Personal Income Tax	3,069	783	2,164	1,575	1,623	2,716	1,582	1,279	587	5,329	2,011	1,655	24,373
User Taxes and Fees	669	589	858	666	664	944	684	684	899	744	637	762	8,810
Business Taxes	60	915	2	80	102	1,153	104	95	1,297	65	115	1,726	5,714
Other Taxes	93	83	103	155	76	76	75	75	75	75	75	73	1,034
Total Taxes	3,891	1,457	4,040	2,476	2,465	4,889	2,455	2,133	2,858	6,213	2,838	4,216	39,931
Licenses, Fees, etc.	47	56	55	77	50	40	55	50	50	45	65	77	667
Abandoned Property	0	(4)	77	3	20	62	16	120	40	70	60	186	650
ABC License Fee	9	1	6	4	4	5	4	3	3	3	4	0	46
Motor vehicle fees	0	0	0	0	0	0	0	0	0	0	0	0	42
Reimbursements	7	13	35	13	13	33	10	11	36	6	11	34	222
Investment Income	1	0	0	1	(1)	4	(3)	5	1	2	4	6	20
Other Transactions	26	33	80	41	65	383	54	49	99	73	77	270	1,250
Total Miscellaneous Receipts	90	99	253	139	151	527	136	238	229	199	221	615	2,897
Federal Grants	1	13	0	0	0	14	0	0	14	0	(1)	19	60
PIT in Excess of Revenue Bond Debt Service	1,022	108	887	509	230	989	392	142	1,078	1,315	288	825	7,795
Sales Tax in Excess of LGAC Debt Service	180	100	350	202	299	226	209	203	270	224	3	52	2,318
Real Estate Taxes in Excess of CW/CA Debt Service	32	34	33	39	19	19	19	19	19	19	19	14	285
All Other	4	1	62	87	26	31	32	35	122	156	164	670	1,390
Total Transfers from Other Funds	1,238	243	1,332	837	574	1,275	652	399	1,489	1,714	474	1,561	11,788
TOTAL RECEIPTS	5,220	1,812	5,625	3,452	3,190	6,705	3,243	2,770	4,590	8,126	3,532	6,411	54,676
DISBURSEMENTS:													
School Aid	491	2,615	3,767	100	516	1,236	506	959	1,561	302	526	6,330	18,909
Higher Education	16	16	379	198	243	81	372	40	250	45	345	510	2,495
All Other Education	17	15	17	24	412	101	415	197	67	84	66	81	1,496
Medicaid - DOH	1,085	633	668	516	633	233	584	722	398	588	785	224	7,069
Public Health	40	30	122	16	91	133	27	39	125	28	25	113	789
Mental Hygiene	10	5	362	50	132	417	121	17	392	128	150	450	2,234
Children and Families	9	15	14	66	350	302	134	77	228	161	84	423	1,863
Temporary & Disability Assistance	61	140	61	62	135	134	86	77	122	87	28	151	1,154
Transportation	0	0	0	11	9	19	0	25	9	0	26	1	100
Unrestricted Aid	3	12	274	1	6	93	11	2	215	2	2	170	791
All Other	19	16	189	(27)	39	44	(50)	15	2	32	19	310	608
Total Local Assistance Grants	1,751	3,497	5,853	1,017	2,566	2,793	2,206	2,180	3,369	1,457	2,056	8,763	37,508
Personal Service	514	547	586	619	467	661	331	425	543	385	348	859	6,285
Non-Personal Service	143	108	151	171	197	171	138	131	147	159	109	115	1,740
Total State Operations	657	655	737	790	664	832	469	556	690	544	457	974	8,025
General State Charges	122	30	485	112	292	331	192	170	401	277	110	1,606	4,128
Debt Service	414	38	3	470	(4)	(122)	603	0	(1)	430	(15)	(174)	1,642
Capital Projects	14	21	4	121	48	116	12	77	47	117	97	422	1,096
State Share Medicaid	180	162	244	185	187	208	248	179	280	227	210	140	2,450
Other Purposes	110	35	34	80	55	43	101	54	49	51	(14)	146	744
Total Transfers to Other Funds	718	256	285	856	286	245	964	310	375	825	278	534	5,932
TOTAL DISBURSEMENTS	3,248	4,438	7,360	2,775	3,808	4,201	3,831	3,216	4,835	3,103	2,901	11,877	55,593
Excess/(Deficiency) of Receipts over Disbursements	1,972	(2,626)	(1,735)	677	(618)	2,504	(588)	(446)	(245)	5,023	631	(5,466)	(917)
CLOSING BALANCE	4,274	1,648	(87)	590	(28)	2,476	1,888	1,442	1,197	6,220	6,851	1,385	1,385

**CASHFLOW
STATE OPERATING FUNDS
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,669	7,296	5,159	3,913	4,842	4,815	5,082	5,289	5,150	3,697	9,687	10,816	4,669
RECEIPTS:													
Taxes	5,543	2,259	6,182	3,592	3,665	6,785	3,604	3,254	7,333	8,638	4,129	5,500	60,484
Miscellaneous Receipts	1,189	1,157	1,661	1,100	1,772	2,053	1,489	1,584	1,567	1,491	1,559	2,098	18,720
Federal Grants	1	13	0	1	0	36	0	0	14	1	1	44	111
TOTAL RECEIPTS	6,733	3,429	7,843	4,693	5,437	8,874	5,093	4,838	8,914	10,130	5,689	7,642	79,315
DISBURSEMENTS:													
School Aid	491	2,615	4,089	100	516	3,231	635	1,088	1,690	431	655	6,461	22,002
Higher Education	16	16	379	198	243	81	372	40	250	45	345	533	2,518
All Other Education	19	18	17	25	413	105	416	198	68	85	67	80	1,511
STAR	0	0	497	0	0	103	28	88	2,585	0	0	(1)	3,300
Medicaid - DOH	1,248	1,076	974	904	1,081	672	1,084	1,058	762	946	1,112	711	11,628
Public Health	100	91	163	112	265	229	131	149	231	113	126	247	1,957
Mental Hygiene	80	36	428	172	531	293	58	531	531	242	193	646	3,475
Children and Families	10	15	14	66	350	302	134	77	228	161	84	424	1,865
Temporary & Disability Assistance	61	140	61	62	135	134	86	87	122	87	28	151	1,154
Transportation	204	222	85	602	207	500	285	419	1,041	190	247	302	4,304
Unrestricted Aid	3	12	274	1	6	93	11	2	215	2	791	170	3,020
All Other	34	28	214	1	97	106	(12)	56	42	92	73	457	1,188
Total Local Assistance Grants	2,266	4,269	7,195	2,243	3,573	6,092	3,483	3,320	7,765	2,394	2,932	10,181	55,893
Personal Service	826	807	832	927	809	996	786	780	787	801	764	1,192	10,307
Non-Personal Service	400	250	335	343	389	346	391	376	421	342	334	408	4,335
Total State Operations	1,226	1,057	1,167	1,270	1,198	1,342	1,177	1,156	1,208	1,143	1,098	1,600	14,642
General State Charges	149	107	523	192	362	485	281	218	581	350	158	1,799	5,205
Debt service	515	160	291	108	282	847	109	247	1,026	104	374	1,453	5,516
Capital Projects	3	1	4	2	0	0	0	0	0	0	0	(8)	2
TOTAL DISBURSEMENTS	4,159	5,594	9,180	3,815	5,415	8,766	5,030	4,941	10,580	3,991	4,562	15,025	81,058
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,352	824	2,037	2,081	1,201	1,960	2,055	1,106	2,363	2,757	1,137	2,888	22,761
Transfers to other funds	(2,299)	(796)	(1,946)	(2,030)	(1,250)	(1,801)	(1,931)	(1,122)	(2,150)	(2,906)	(1,135)	(2,732)	(22,098)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	53	28	91	51	(49)	159	124	(16)	213	(149)	2	156	663
Excess/(Deficiency) of Receipts over Disbursements	2,627	(2,137)	(1,246)	929	(27)	267	187	(119)	(1,453)	5,990	1,129	(7,227)	(1,080)
CLOSING BALANCE	7,296	5,159	3,913	4,842	4,815	5,082	5,289	5,150	3,697	9,687	10,816	3,589	3,589

CASHFLOW
CAPITAL PROJECTS FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected	2010 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(253)	(294)	(304)	(341)	(403)	(579)	(732)	(804)	(897)	(952)	(1,020)	(1,036)	(253)
RECEIPTS:													
Taxes	89	91	121	114	107	129	108	102	124	105	98	124	1,312
Miscellaneous Receipts	336	191	347	181	308	346	425	311	427	361	359	558	4,150
Federal Grants	103	133	213	234	200	205	204	197	183	168	149	462	2,451
TOTAL RECEIPTS	528	415	681	529	615	680	737	610	734	634	606	1,144	7,913
DISBURSEMENTS:													
Local Assistance Grants	88	79	70	100	79	67	80	66	81	59	78	445	1,292
Total Local Assistance Grants	88	79	70	100	79	67	80	66	81	59	78	445	1,292
Economic Development	93	8	59	116	78	52	57	87	40	82	56	88	816
Parks & the Environment	30	24	41	36	39	41	37	36	37	37	36	27	409
Transportation	172	167	321	264	372	373	366	354	338	322	290	288	3,627
Health & Social Welfare	9	1	3	10	28	29	38	28	58	72	65	75	416
Mental Hygiene	6	4	7	9	13	8	11	9	13	9	11	22	122
Public Protection	29	16	31	16	29	29	25	25	26	26	36	61	349
Education	78	51	97	62	106	99	94	112	106	119	108	117	1,149
All Other	13	20	26	9	28	28	22	11	52	8	10	47	274
Total Capital Projects	430	291	585	510	693	659	650	662	670	675	612	725	7,162
TOTAL DISBURSEMENTS	518	370	655	610	772	726	730	728	751	734	690	1,170	8,454
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	40	36	27	122	64	117	13	109	48	118	98	569	1,361
Transfers to other funds	(91)	(91)	(90)	(103)	(94)	(233)	(101)	(93)	(93)	(93)	(39)	(308)	(1,429)
Bond and note proceeds	0	0	0	0	11	9	9	9	7	7	9	517	578
NET OTHER FINANCING SOURCES/(USES)	(51)	(55)	(63)	19	(19)	(107)	(79)	25	(38)	32	68	778	510
Excess/(Deficiency) of Receipts over Disbursements	(41)	(10)	(37)	(62)	(176)	(153)	(72)	(93)	(55)	(68)	(16)	752	(31)
CLOSING BALANCE	(294)	(304)	(341)	(403)	(579)	(732)	(804)	(897)	(952)	(1,020)	(1,036)	(284)	(284)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(30)	(78)	(106)	(186)	(317)	(457)	(568)	(600)	(654)	(666)	(697)	(677)	(30)
RECEIPTS:													
Taxes	89	91	121	114	107	129	108	102	124	105	98	124	1,312
Miscellaneous Receipts	336	191	347	181	308	346	425	311	427	361	359	558	4,150
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	6	6
TOTAL RECEIPTS	425	282	468	295	415	475	533	413	551	466	457	688	5,468
DISBURSEMENTS:													
Local Assistance Grants	37	43	24	56	34	21	35	21	36	14	33	155	509
Total Local Assistance Grants	37	43	24	56	34	21	35	21	36	14	33	155	509
Economic Development	93	8	59	116	78	52	57	87	40	82	56	88	816
Parks & the Environment	30	23	40	24	19	20	17	16	16	16	16	168	405
Transportation	128	101	208	146	213	208	200	195	189	195	185	196	2,164
Health & Social Welfare	9	1	3	10	28	25	34	24	54	67	61	68	384
Mental Hygiene	6	4	7	9	13	8	11	9	13	9	11	22	122
Public Protection	28	14	29	15	24	24	22	22	23	20	22	54	304
Education	78	51	97	62	106	99	94	112	106	119	108	117	1,149
All Other	14	11	17	7	23	24	18	7	49	8	7	37	222
Total Capital Projects	386	213	460	389	504	460	453	472	490	516	473	750	5,566
TOTAL DISBURSEMENTS	423	256	484	445	538	481	488	493	526	530	506	905	6,075
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	41	37	27	122	64	117	13	109	48	118	98	567	1,361
Transfers to other funds	(91)	(91)	(91)	(103)	(92)	(231)	(99)	(92)	(92)	(92)	(38)	(303)	(1,415)
Bond and note proceeds	0	0	0	0	11	9	9	9	7	7	9	517	578
NET OTHER FINANCING SOURCES/(USES)	(50)	(54)	(64)	19	(17)	(105)	(77)	26	(37)	33	69	781	524
Excess/(Deficiency) of Receipts over Disbursements	(48)	(28)	(80)	(131)	(140)	(111)	(32)	(54)	(12)	(31)	20	564	(83)
CLOSING BALANCE	(78)	(106)	(186)	(317)	(457)	(568)	(600)	(654)	(666)	(697)	(677)	(113)	(113)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
2010-2011
(dollars in millions)

	2010	June		July	August		September		October	November		December		January	February		March	Total	
	Actuals	Actuals	Actuals	Actuals	Projected	Total													
OPENING BALANCE	(223)	(198)	(155)	(86)	(122)	(164)	(204)	(243)	(286)	(323)	(359)	(223)							(223)
RECEIPTS:																			
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	103	213	234	200	205	204	197	183	168	149	456	2,445							2,445
TOTAL RECEIPTS	103	213	234	200	205	204	197	183	168	149	456	2,445							2,445
DISBURSEMENTS:																			
Local Assistance Grants	51	46	44	45	46	45	45	45	45	45	45	45	45	45	45	45	290	783	783
Total Local Assistance Grants	51	46	44	45	46	45	45	45	45	45	45	45	45	45	45	45	290	783	783
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	0	20	21	20	20	21	20	20	21	21	21	21	21	20	(141)	4	4
Transportation	44	66	118	159	165	166	159	149	166	159	149	149	149	127	105	92	7	1,463	1,463
Health & Social Welfare	0	0	0	0	4	4	4	4	4	4	4	4	4	5	4	7	7	32	32
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	2	1	5	5	3	3	3	3	3	3	3	3	6	7	7	7	45	45
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	9	2	5	4	4	4	4	4	4	4	3	3	0	3	10	10	52	52
Total Capital Projects	44	78	121	189	199	197	190	180	197	190	180	159	180	159	139	(25)	265	1,596	1,596
TOTAL DISBURSEMENTS	95	114	165	234	245	242	235	225	242	235	225	204	225	204	184	265	2,379	2,379	2,379
OTHER FINANCING SOURCES (uses):																			
Transfers from other funds	(1)	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	0	0
Transfers to other funds	0	0	0	(2)	(2)	(2)	(1)	(1)	(2)	(1)	(1)	(1)	(1)	(1)	(1)	(5)	(5)	(14)	(14)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(1)	(1)	0	(2)	(2)	(2)	(1)	(1)	(2)	(1)	(1)	(1)	(1)	(1)	(1)	(3)	(3)	(14)	(14)
Excess/(Deficiency) of Receipts over Disbursements	7	18	69	(36)	(42)	(40)	(39)	(43)	(40)	(39)	(43)	(37)	(43)	(37)	(36)	188	188	52	52
CLOSING BALANCE	(216)	(198)	(86)	(122)	(164)	(204)	(243)	(286)	(323)	(359)	(423)	(462)	(505)	(542)	(578)	(614)	(650)	(686)	(722)

**CASHFLOW
SPECIAL REVENUE FUNDS
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	2,400	2,914	3,430	3,620	3,950	4,637	2,729	2,780	3,082	2,363	2,713	3,062	2,400
RECEIPTS:													
Personal Income Tax	0	0	497	0	0	103	28	88	2,584	0	0	0	3,300
User Taxes and Fees	225	134	174	178	165	252	188	160	206	198	156	136	2,192
Business Taxes	32	55	202	63	217	217	69	50	224	51	224	314	1,376
Other Taxes	137	119	80	100	116	68	87	114	98	145	193	108	1,365
Total Taxes	394	308	953	341	329	640	372	432	3,112	394	400	558	8,233
HCRA	380	325	339	318	311	311	311	311	311	311	311	320	3,859
State University Income	175	172	289	87	259	414	391	358	332	340	372	319	3,508
Lottery	227	228	283	204	604	268	225	224	267	224	224	298	3,276
Medicaid	62	65	60	58	60	75	60	60	75	60	60	55	750
Motor vehicle fees	43	27	45	37	37	37	36	36	36	31	35	40	440
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	153	240	325	225	297	356	238	299	252	261	284	414	3,344
Total Miscellaneous Receipts	1,040	1,057	1,341	929	1,568	1,461	1,261	1,288	1,273	1,227	1,286	1,446	15,177
Federal Grants	3,723	3,147	3,693	4,048	3,841	4,387	3,751	3,710	4,395	3,554	3,645	5,031	48,925
TOTAL RECEIPTS	5,157	4,512	5,987	5,318	5,738	6,488	5,384	5,430	8,780	5,175	5,331	7,035	70,335
DISBURSEMENTS:													
School Aid	449	382	925	449	198	2,183	377	352	457	492	577	528	7,369
Higher Education	2	0	1	0	0	98	20	0	0	20	51	33	205
All Other Education	135	83	78	233	63	218	133	133	103	93	93	92	1,457
STAR	0	0	497	0	0	103	28	88	2,585	0	0	(1)	3,300
Medicaid - DOH	2,867	2,365	3,264	2,416	2,529	3,040	2,581	2,417	2,965	2,339	2,308	2,987	32,078
Public Health	160	139	118	235	287	205	213	219	202	196	196	324	2,478
Mental Hygiene	98	51	88	177	178	164	211	71	174	150	78	223	1,663
Children and Families	7	17	16	45	312	89	91	91	91	103	103	129	1,094
Temporary & Disability Assistance	77	82	44	84	425	364	364	512	402	402	402	380	3,538
Transportation	206	224	87	593	201	484	288	397	1,035	193	224	304	4,236
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	58	75	104	33	156	145	137	129	127	152	140	210	1,466
Total Local Assistance Grants	4,059	3,418	5,222	4,285	4,349	7,093	4,443	4,409	8,141	4,104	4,172	5,209	58,884
Personal Service	521	446	413	546	569	689	651	537	580	598	601	611	6,762
Non-Personal Service	347	235	258	238	333	459	437	393	462	302	344	499	4,307
Total State Operations	868	681	671	784	902	1,148	1,088	930	1,042	900	945	1,110	11,069
General State Charges	31	117	65	262	102	408	107	57	449	90	60	461	2,209
Capital Projects	3	1	4	2	0	0	0	0	0	0	0	(8)	2
TOTAL DISBURSEMENTS	4,961	4,217	5,962	5,313	5,353	8,649	5,638	5,396	9,632	5,094	5,177	6,772	72,164
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	619	486	575	717	614	582	666	613	662	643	577	519	7,273
Transfers to other funds	(301)	(265)	(410)	(392)	(312)	(329)	(361)	(345)	(529)	(374)	(382)	(1,506)	(5,506)
NET OTHER FINANCING SOURCES/(USES)	318	221	165	325	302	253	305	268	133	269	195	(987)	1,767
Excess/(Deficiency) of Receipts over Disbursements	514	516	190	330	687	(1,908)	51	302	(719)	350	349	(724)	(62)
CLOSING BALANCE	2,914	3,430	3,620	3,950	4,637	2,729	2,780	3,082	2,363	2,713	3,062	2,338	2,338

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	1,957	2,594	2,870	3,560	3,445	3,952	2,394	2,342	2,534	2,119	2,274	2,639	1,957
RECEIPTS:													
Personal Income Tax	0	0	497	0	0	103	28	88	2,584	0	0	0	3,300
User Taxes and Fees	225	134	174	178	165	252	188	180	206	198	156	136	2,192
Business Taxes	32	55	202	63	48	217	69	50	224	51	51	314	1,376
Other Taxes	137	119	80	100	116	68	87	114	98	145	193	108	1,365
Total Taxes	394	308	953	341	329	640	372	432	3,112	394	400	558	8,233
HCRA	380	325	339	318	311	311	311	311	311	311	311	320	3,859
State University Income	175	172	289	87	259	414	391	358	332	340	372	319	3,508
Lottery	227	228	283	204	604	268	225	224	267	224	224	298	3,276
Medicaid	62	65	60	58	60	75	60	60	75	60	60	55	750
Motor vehicle fees	43	27	45	37	37	37	36	36	36	31	35	40	440
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	145	206	316	215	279	350	259	286	246	255	265	378	3,200
Total Miscellaneous Receipts	1,032	1,023	1,332	919	1,560	1,455	1,282	1,275	1,267	1,221	1,267	1,410	15,033
Federal Grants	0	0	0	0	0	0	0	0	0	1	0	0	1
TOTAL RECEIPTS	1,426	1,331	2,285	1,260	1,879	2,095	1,654	1,707	4,379	1,616	1,667	1,988	23,267
DISBURSEMENTS:													
School Aid	0	0	322	0	0	1,995	129	129	129	129	129	131	3,093
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	23
All Other Education	2	3	0	1	1	4	1	1	1	1	1	(1)	15
STAR	0	0	497	0	0	103	28	88	2,585	0	0	(1)	3,300
Medicaid - DOH	163	443	306	388	448	439	500	336	364	358	327	487	4,559
Public Health	60	61	41	96	174	96	104	110	106	85	101	134	1,168
Mental Hygiene	70	31	66	122	128	119	172	139	139	114	43	196	1,241
Children and Families	1	0	0	0	0	0	0	0	0	0	0	1	2
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	204	222	85	591	198	481	285	394	1,032	190	221	301	4,204
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	15	12	25	28	58	62	38	41	40	60	54	147	580
Total Local Assistance Grants	515	772	1,342	1,226	1,007	3,239	1,257	1,140	4,336	937	876	1,418	18,185
Personal Service	312	260	246	308	342	335	455	355	244	416	416	333	4,022
Non-Personal Service	251	141	179	158	179	163	252	183	243	221	221	276	2,503
Total State Operations	563	401	425	466	521	498	707	538	501	598	637	609	6,525
General State Charges	27	77	38	80	70	154	89	48	180	73	48	183	1,077
Capital Projects	3	1	4	2	0	0	0	0	0	0	0	(8)	2
TOTAL DISBURSEMENTS	1,108	1,251	1,809	1,774	1,588	3,951	2,053	1,786	5,077	1,809	1,561	2,212	25,789
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	305	196	267	367	267	277	371	334	353	302	422	462	3,923
Transfers to other funds	14	0	(63)	32	(41)	21	(24)	(63)	(70)	(154)	(163)	(1,041)	(1,542)
NET OTHER FINANCING SOURCES/(USES)	319	196	214	399	226	298	347	271	283	148	259	(579)	2,381
Excess/(Deficiency) of Receipts over Disbursements	637	276	690	(115)	507	(1,558)	(52)	192	(415)	155	365	(823)	(141)
CLOSING BALANCE	2,594	2,870	3,560	3,445	3,952	2,394	2,342	2,534	2,119	2,274	2,639	1,816	1,957

**CASHFLOW
DEBT SERVICE FUNDS
2010-2011
(dollars in millions)**

	2010		2011											
	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Actuals	Actuals	Actuals	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected		
OPENING BALANCE	410	428	641	440	807	891	212	1,039	1,174	381	1,193	1,326	410	
RECEIPTS:														
Taxes	1,258	494	1,190	775	871	1,256	777	689	1,363	2,031	891	725	12,320	
Miscellaneous Receipts	67	35	75	42	71	71	71	71	71	71	71	74	790	
Federal Grants	0	0	0	1	0	22	0	0	0	0	2	25	50	
TOTAL RECEIPTS	<u>1,325</u>	<u>529</u>	<u>1,265</u>	<u>818</u>	<u>942</u>	<u>1,349</u>	<u>848</u>	<u>760</u>	<u>1,434</u>	<u>2,102</u>	<u>964</u>	<u>824</u>	<u>13,160</u>	
DISBURSEMENTS:														
State Operations	6	1	5	14	13	12	1	2	17	0	4	17	92	
Debt Service	515	160	291	108	282	847	109	247	1,026	104	374	1,453	5,516	
TOTAL DISBURSEMENTS	<u>521</u>	<u>161</u>	<u>296</u>	<u>122</u>	<u>295</u>	<u>859</u>	<u>110</u>	<u>249</u>	<u>1,043</u>	<u>104</u>	<u>378</u>	<u>1,470</u>	<u>5,608</u>	
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	809	385	438	877	360	408	1,032	373	521	741	241	865	7,050	
Transfers to other funds	(1,595)	(540)	(1,608)	(1,206)	(923)	(1,577)	(943)	(749)	(1,705)	(1,927)	(694)	(1,157)	(14,624)	
NET OTHER FINANCING SOURCES/(USES)	<u>(786)</u>	<u>(155)</u>	<u>(1,170)</u>	<u>(329)</u>	<u>(563)</u>	<u>(1,169)</u>	<u>89</u>	<u>(376)</u>	<u>(1,184)</u>	<u>(1,186)</u>	<u>(453)</u>	<u>(292)</u>	<u>(7,574)</u>	
Excess/(Deficiency) of Receipts over Disbursements	18	213	(201)	367	84	(679)	827	135	(793)	812	133	(938)	(22)	
CLOSING BALANCE	<u>428</u>	<u>641</u>	<u>440</u>	<u>807</u>	<u>891</u>	<u>212</u>	<u>1,039</u>	<u>1,174</u>	<u>381</u>	<u>1,193</u>	<u>1,326</u>	<u>388</u>	<u>388</u>	

**CASHFLOW
STATE FUNDS
2010-2011**
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Projected	September Projected	October Projected	November Projected	December Projected	2011 January Projected	February Projected	March Projected	Total
OPENING BALANCE	4,639	7,218	5,053	3,727	4,525	4,358	4,514	4,669	4,496	3,031	8,990	10,139	4,639
RECEIPTS:													
Taxes	5,632	2,350	6,303	3,706	3,772	6,914	3,712	3,356	7,457	8,743	4,227	5,624	61,796
Miscellaneous Receipts	1,525	1,348	2,008	1,281	2,080	2,399	1,914	1,895	1,994	1,852	1,918	2,656	22,870
Federal Grants	1	13	0	1	0	36	0	0	14	1	1	50	117
TOTAL RECEIPTS	7,158	3,711	8,311	4,988	5,852	9,349	5,626	5,251	9,465	10,596	6,146	8,330	84,783
DISBURSEMENTS:													
School Aid	491	2,615	4,089	100	516	3,231	635	1,088	1,690	431	655	6,461	22,002
Higher Education	16	16	379	198	243	81	372	40	250	45	345	533	2,518
All Other Education	19	18	17	25	413	105	416	198	68	85	67	80	1,511
STAR	0	0	497	0	0	103	28	88	2,585	0	0	(1)	3,300
Medicaid - DOH	1,248	1,076	974	904	1,081	672	1,084	1,058	762	946	1,112	711	11,628
Public Health	100	91	163	112	265	229	131	149	231	113	126	247	1,957
Mental Hygiene	80	36	428	172	260	536	293	58	531	242	193	646	3,475
Children and Families	10	15	14	66	350	302	134	77	228	161	84	424	1,865
Temporary & Disability Assistance	61	140	61	62	135	134	86	87	122	87	28	151	1,154
Transportation	204	222	85	602	207	500	285	419	1,041	190	247	302	4,304
Unrestricted Aid	3	12	274	1	6	93	11	2	215	2	2	170	791
All Other	71	71	238	57	131	127	23	77	78	106	106	612	1,697
Total Local Assistance Grants	2,303	4,312	7,219	2,299	3,607	6,113	3,498	3,341	7,801	2,408	2,965	10,336	56,202
Personal Service	826	807	832	927	809	996	786	780	787	801	764	1,192	10,307
Non-Personal Service	400	250	335	343	389	346	391	376	421	342	334	408	4,335
Total State Operations	1,226	1,057	1,167	1,270	1,198	1,342	1,177	1,156	1,208	1,143	1,098	1,600	14,642
General State Charges	149	107	523	192	362	485	281	218	581	350	158	1,799	5,205
Debt service	515	160	291	108	282	847	109	247	1,026	104	374	1,453	5,516
Capital Projects	389	214	464	391	504	460	453	472	490	516	473	742	5,568
TOTAL DISBURSEMENTS	4,582	5,850	9,664	4,260	5,953	9,247	5,518	5,434	11,106	4,521	5,068	15,930	87,133
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,393	861	2,064	2,203	1,265	2,077	2,068	1,215	2,411	2,875	1,235	3,455	24,122
Transfers to other funds	(2,390)	(887)	(2,037)	(2,133)	(1,342)	(2,032)	(2,030)	(1,214)	(2,242)	(2,998)	(1,173)	(3,035)	(23,513)
Bond and note proceeds	0	0	0	0	11	9	9	9	7	7	9	517	578
NET OTHER FINANCING SOURCES/(USES)	3	(26)	27	70	(66)	54	47	10	176	(116)	71	937	1,187
Excess/(Deficiency) of Receipts over Disbursements	2,579	(2,165)	(1,326)	798	(167)	156	155	(173)	(1,465)	5,959	1,149	(6,663)	(1,163)
CLOSING BALANCE (including SUNY)	7,218	5,053	3,727	4,525	4,358	4,514	4,669	4,496	3,031	8,990	10,139	3,476	3,476

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2010-11
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening fund balance	<u>0</u>	<u>26</u>	<u>26</u>
Receipts:			
Taxes	1,538	(272)	1,266
Miscellaneous receipts	<u>3,779</u>	<u>80</u>	<u>3,859</u>
Total receipts	<u>5,317</u>	<u>(192)</u>	<u>5,125</u>
Disbursements:			
Medical Assistance Account	2,898	47	2,945
HCRA Program Account	394	(37)	357
Hospital Indigent Care Fund	1,010	(139)	871
Elderly Pharmaceutical Insurance Coverage (EPIC)	180	23	203
Child Health Plus (CHP)	350	(6)	344
Public Health	113	9	122
All Other	<u>372</u>	<u>(63)</u>	<u>309</u>
Total disbursements	<u>5,317</u>	<u>(166)</u>	<u>5,151</u>
Change in fund balance	<u>0</u>	<u>(26)</u>	<u>(26)</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-2011 Enacted</u>	<u>2011-2012 Projected</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>
Opening fund balance	<u>26</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:				
Taxes	1,266	1,299	1,277	1,255
Miscellaneous receipts	<u>3,859</u>	<u>4,155</u>	<u>4,263</u>	<u>4,366</u>
Total receipts	<u>5,125</u>	<u>5,454</u>	<u>5,540</u>	<u>5,621</u>
Disbursements:				
Medical Assistance Account	2,945	2,987	3,276	3,477
HCRA Program Account	357	471	471	471
Hospital Indigent Care Fund	871	788	788	788
Elderly Pharmaceutical Insurance Coverage (EPIC)	203	204	220	234
Child Health Plus (CHP)	344	332	354	355
Public Health	122	121	121	121
All Other	<u>309</u>	<u>551</u>	<u>310</u>	<u>175</u>
Total disbursements	<u>5,151</u>	<u>5,454</u>	<u>5,540</u>	<u>5,621</u>
Change in fund balance	<u>(26)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Note: Statutory authorization for HCRA expires on March 31, 2011.

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2009-2010 and 2010-2011
(millions of dollars)**

	2009-10 Actuals	2010-2011 Enacted	Annual Change
Opening fund balance	<u>240</u>	<u>26</u>	<u>(214)</u>
Receipts:			
Taxes	910	1,266	356
Miscellaneous receipts	<u>3,981</u>	<u>3,859</u>	<u>(122)</u>
Total receipts	<u>4,891</u>	<u>5,125</u>	<u>234</u>
Disbursements:			
Medical Assistance Account	2,655	2,945	290
HCRA Program Account	370	357	(13)
Hospital Indigent Care Fund	1,303	871	(432)
Elderly Pharmaceutical Insurance Coverage (EPIC)	192	203	11
Child Health Plus (CHP)	325	344	19
Public Health	114	122	8
All Other	<u>146</u>	<u>309</u>	<u>163</u>
Total disbursements	<u>5,105</u>	<u>5,151</u>	<u>46</u>
Change in fund balance	<u>(214)</u>	<u>(26)</u>	<u>188</u>
Closing fund balance	<u>26</u>	<u>0</u>	<u>(26)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
2009-2010
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening fund balance	240	204	299	154	178	278	379	393	455	550	567	536	240
Receipts:													
Taxes	84	74	86	80	87	78	135	63	118	(25)	61	69	910
Miscellaneous receipts	294	405	421	321	315	345	329	277	360	309	336	269	3,981
Total receipts	378	479	507	401	402	423	464	340	478	284	397	338	4,891
Disbursements:													
Medical Assistance Account	188	342	470	219	135	130	245	42	180	86	209	409	2,655
HCRA Program Account	43	(125)	36	10	57	44	8	42	34	8	45	168	370
Hospital Indigent Care Fund	141	138	89	89	89	89	103	107	103	102	114	139	1,303
Elderly Pharmaceutical Insurance Coverage (EPIIC)	7	0	0	0	0	0	34	31	34	32	27	27	192
Child Health Plus (CHIP)	24	19	29	35	7	20	49	44	17	19	24	38	325
Public Health	6	7	10	19	8	12	10	8	11	8	6	9	114
All Other	5	3	18	5	6	27	1	4	4	12	3	58	146
Total disbursements	414	384	652	377	302	322	460	278	383	267	428	848	5,105
Change in fund balance	(36)	95	(145)	24	100	101	14	62	95	17	(31)	(510)	(214)
Closing fund balance	204	299	154	178	278	379	393	455	550	567	536	26	26

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
2010-2011
(dollars in millions)**

	April Results	May Results	June Results	July Results	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	26	262	265	452	466	306	340	214	210	241	274	304	26
Receipts:													
Taxes	80	73	94	94	103	161	104	121	120	110	110	96	1,266
Miscellaneous receipts	380	325	339	318	311	312	311	312	311	312	311	317	3,859
Total receipts	460	398	433	412	414	473	415	433	431	422	421	413	5,125
Disbursements:													
Medical Assistance Account	61	249	125	236	293	268	393	230	241	252	221	376	2,945
HCRA Program Account	10	8	11	3	105	19	19	38	17	16	43	68	357
Hospital Indigent Care Fund	105	97	96	96	96	96	47	48	47	48	47	48	871
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	0	0	39	39	39	39	22	25	203
Child Health Plus (CHP)	35	20	6	57	31	34	24	21	38	21	27	30	344
Public Health	6	5	4	5	10	15	15	14	14	11	10	13	122
All Other	7	16	4	1	39	7	4	47	4	2	21	157	309
Total disbursements	224	395	246	398	574	439	541	437	400	389	391	717	5,151
Change in fund balance	236	3	187	14	(160)	34	(126)	(4)	31	33	30	(304)	(26)
Legislative/Administrative Actions to Close Gap	0	0	0	0	0	0	0	0	0	0	0	0	0
Closing fund balance	262	265	452	466	306	340	214	210	241	274	304	0	0

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2009-2010
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>27</u>	<u>(55)</u>	<u>(35)</u>
Receipts:			
Unemployment taxes	0	4,405	0
Miscellaneous receipts	466	61	0
Federal grants	<u>0</u>	<u>5,756</u>	<u>0</u>
Total receipts	<u>466</u>	<u>10,222</u>	<u>0</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	118	6	0
Non-personal service	383	52	0
Unemployment benefits	0	10,171	0
General State charges	50	2	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>551</u>	<u>10,231</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	139	0	0
Transfers to other funds	(63)	0	(31)
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>76</u>	<u>0</u>	<u>(31)</u>
Change in fund balance	<u>(9)</u>	<u>(9)</u>	<u>(31)</u>
Closing fund balance	<u>18</u>	<u>(64)</u>	<u>(66)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2010-2011
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>18</u>	<u>(64)</u>	<u>(66)</u>
Receipts:			
Unemployment taxes	0	5,325	0
Miscellaneous receipts	736	95	1
Federal grants	<u>0</u>	<u>235</u>	<u>0</u>
Total receipts	<u>736</u>	<u>5,655</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	129	7	0
Non-personal service	561	81	0
Unemployment benefits	0	5,860	0
General State charges	64	2	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>754</u>	<u>5,950</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(72)	(1)	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>16</u>	<u>(1)</u>	<u>0</u>
Change in fund balance	<u>(2)</u>	<u>(296)</u>	<u>1</u>
Closing fund balance	<u>16</u>	<u>(360)</u>	<u>(65)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2011-2012
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>16</u>	<u>(360)</u>	<u>(65)</u>
Receipts:			
Unemployment taxes	0	3,325	0
Miscellaneous receipts	861	97	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
Total receipts	<u>861</u>	<u>3,447</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	130	7	0
Non-personal service	665	82	0
Unemployment benefits	0	3,650	0
General State charges	68	2	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>863</u>	<u>3,741</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(83)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>5</u>	<u>0</u>	<u>0</u>
Change in fund balance	<u>3</u>	<u>(294)</u>	<u>1</u>
Closing fund balance	<u>19</u>	<u>(654)</u>	<u>(64)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2012-2013
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>19</u>	<u>(654)</u>	<u>(64)</u>
Receipts:			
Unemployment taxes	0	3,325	0
Miscellaneous receipts	949	100	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
Total receipts	<u>949</u>	<u>3,450</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	131	7	0
Non-personal service	717	83	0
Unemployment benefits	0	3,650	0
General State charges	77	2	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>925</u>	<u>3,742</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(115)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(27)</u>	<u>0</u>	<u>0</u>
Change in fund balance	<u>(3)</u>	<u>(292)</u>	<u>1</u>
Closing fund balance	<u>16</u>	<u>(946)</u>	<u>(63)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2013-2014
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>16</u>	<u>(946)</u>	<u>(63)</u>
Receipts:			
Unemployment taxes	0	3,325	0
Miscellaneous receipts	954	101	1
Federal grants	<u>0</u>	<u>25</u>	<u>0</u>
Total receipts	<u>954</u>	<u>3,451</u>	<u>1</u>
Disbursements:			
Grants to local governments	0	0	0
State operations			
Personal service	132	7	0
Non-personal service	724	84	0
Unemployment benefits	0	3,650	0
General State charges	80	2	0
Debt service	0	0	0
Capital projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>936</u>	<u>3,743</u>	<u>0</u>
Other financing sources (uses):			
Transfers from other funds	88	0	0
Transfers to other funds	(126)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(38)</u>	<u>0</u>	<u>0</u>
Change in fund balance	<u>(20)</u>	<u>(292)</u>	<u>1</u>
Closing fund balance	<u>(4)</u>	<u>(1,238)</u>	<u>(62)</u>

Workforce Impact Summary

General Fund
2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	3,266	3,061	2,970
Correctional Services, Department of	30,724	29,714	29,356
Education Department, State	418	366	323
Environmental Conservation, Department of	1,531	1,340	1,123
General Services, Office of	1,022	934	923
Health, Department of	2,055	1,907	1,921
Labor, Department of	8	7	0
Parks, Recreation and Historic Preservation, Office of	1,758	1,652	1,622
Parole, Division of	2,121	1,973	1,961
State Police, Division of	5,470	5,274	5,043
Taxation and Finance, Department of	5,010	5,225	4,818
Temporary and Disability Assistance, Office of	959	928	199
Subtotal - Major Agencies	54,342	52,381	50,259
Minor Agencies	4,238	4,203	3,905
Adjustments			
Retirement Incentive*	0	0	(4,000)
Subtotal - Subject to Executive Control	58,580	56,584	50,164
Not Subject to Executive Control			
Audit and Control, Department of	1,586	1,568	1,582
Law, Department of	1,264	1,219	1,151
State University of New York	24,678	24,705	24,696
Subtotal - Not Subject to Executive Control	27,528	27,492	27,429
Off-Budget Agencies			
Science, Technology and Innovation, NYS Foundation for	27	25	24
Subtotal - Off-Budget Agencies	27	25	24
Adjustments			
Retirement Incentive*	0	0	TBD
Grand Total	86,135	84,101	77,617

* The Retirement Incentive will be finalized in September after employees separate from State service. The Mid-Year Update will distribute the separations across agencies.

Workforce Impact Summary

General Fund
2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Minor Agencies			
Adirondack Park Agency	71	65	59
Aging, Office for the	33	27	27
Agriculture and Markets, Department of	409	358	300
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	47	42	34
Budget, Division of the	282	278	280
Civil Service, Department of	289	252	249
Consumer Protection Board, State	0	25	24
Correction, Commission of	33	32	32
Criminal Justice Services, Division of	595	544	557
Deferred Compensation Board	0	0	0
Economic Development, Department of	174	165	159
Elections, State Board of	64	56	60
Employee Relations, Office of	39	35	35
Environmental Facilities Corporation	3	0	3
Executive Chamber	153	144	153
Homeland Security and Emergency Services, Division of	86	126	149
Housing and Community Renewal, Division of	303	277	265
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	205	166	152
Inspector General, Office of the	60	60	62
Insurance Department	8	6	0
Judicial Commissions	48	47	49
Labor Management Committees	76	82	93
Lieutenant Governor, Office of the	0	0	7
Medicaid Inspector General, Office of the	294	299	362
Military and Naval Affairs, Division of	233	209	200
National and Community Service	0	0	4
Northeastern Queens Nature and Historical Preserve Commission	1	0	0
Prevention of Domestic Violence, Office for	13	14	16
Probation and Correctional Alternatives, Division of	35	32	0
Public Employment Relations Board	35	36	38
Public Integrity, Commission on	54	48	50
Public Service Department	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	44	47	43
Real Property Services, Office of	0	268	0
Regulatory Reform, Governor's Office of	32	19	18
State Emergency Management Office	0	0	0
State, Department of	190	177	147
Tax Appeals, Division of	32	31	27
Technology, Office for	132	133	154
Veterans' Affairs, Division of	97	96	92
Victim Services, Office of	62	0	0
Welfare Inspector General, Office of	3	4	4
Subtotal - Minor Agencies	4,238	4,203	3,905

Workforce Impact Summary

State Operating Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	3,409	3,118	3,033
Correctional Services, Department of	30,724	29,714	29,356
Education Department, State	1,615	1,501	1,426
Environmental Conservation, Department of	2,857	2,688	2,526
General Services, Office of	1,088	997	987
Health, Department of	4,772	4,505	4,454
Labor, Department of	373	364	580
Mental Health, Office of	8,028	5,562	5,376
Motor Vehicles, Department of	877	872	883
Parks, Recreation and Historic Preservation, Office of	2,033	1,910	1,862
Parole, Division of	2,121	1,973	1,961
People with Developmental Disabilities, Office for	3,157	6,988	7,018
State Police, Division of	5,901	5,704	5,489
Taxation and Finance, Department of	5,049	5,263	5,622
Temporary and Disability Assistance, Office of	998	941	895
Transportation, Department of	163	163	175
Workers' Compensation Board	1,463	1,395	1,450
Subtotal - Major Agencies	74,628	73,658	73,093
Minor Agencies	10,047	9,446	9,419
Adjustments			
Retirement Incentive*	0	0	(4,000)
Subtotal - Subject to Executive Control	84,675	83,104	78,512
Not Subject to Executive Control			
Audit and Control, Department of	1,617	1,603	1,616
City University of New York	286	295	292
Law, Department of	1,684	1,565	1,518
State University Construction Fund	120	129	172
State University of New York	41,601	41,893	41,809
Subtotal - Not Subject to Executive Control	45,308	45,485	45,407
Off-Budget Agencies			
Roswell Park Cancer Institute	1,947	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	27	25	24
Subtotal - Off-Budget Agencies	1,974	2,050	2,049
Adjustments			
Retirement Incentive*	0	0	TBD
Grand Total	131,957	130,639	125,968

* The Retirement Incentive will be finalized in September after employees separate from State service. The Mid-Year Update will distribute the separations across agencies.

Workforce Impact Summary

State Operating Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Minor Agencies			
Adirondack Park Agency	71	65	59
Aging, Office for the	34	28	28
Agriculture and Markets, Department of	552	522	471
Alcoholic Beverage Control, Division of	155	141	155
Alcoholism and Substance Abuse Services, Office of	645	589	623
Arts, Council on the	47	42	34
Authority Budget Office	0	0	0
Banking Department	559	538	555
Budget, Division of the	357	353	331
Civil Service, Department of	294	257	253
Consumer Protection Board, State	28	25	25
Correction, Commission of	33	32	32
Criminal Justice Services, Division of	601	546	564
Deferred Compensation Board	4	4	4
Economic Development, Department of	178	167	165
Elections, State Board of	64	56	60
Employee Relations, Office of	39	35	35
Environmental Facilities Corporation	92	88	88
Executive Chamber	153	144	153
Financial Control Board, New York State	15	14	15
Higher Education Services Corporation, New York State	642	600	614
Homeland Security and Emergency Services, Division of	131	129	320
Housing and Community Renewal, Division of	772	722	677
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	205	166	152
Indigent Legal Services, Office of	0	0	20
Inspector General, Office of the	60	60	62
Insurance Department	946	904	981
Interest on Lawyer Account	8	8	8
Judicial Commissions	48	47	49
Labor Management Committees	76	82	93
Lieutenant Governor, Office of the	0	0	7
Lottery, Division of the	341	332	329
Medicaid Inspector General, Office of the	297	302	365
Military and Naval Affairs, Division of	256	226	214
National and Community Service	0	0	4
Northeastern Queens Nature and Historical Preserve Commission	1	0	0
Prevention of Domestic Violence, Office for	13	15	16
Probation and Correctional Alternatives, Division of	35	32	0
Public Employment Relations Board	35	36	38
Public Integrity, Commission on	54	48	50
Public Service Department	528	514	519
Quality of Care and Advocacy for Persons With Disabilities, Commission on	45	48	78
Racing and Wagering Board, State	127	121	99
Real Property Services, Office of	347	304	0
Regulatory Reform, Governor's Office of	32	19	18
State Emergency Management Office	0	0	0
State, Department of	757	726	595
Statewide Financial System	0	0	120
Statewide Wireless Network	38	31	5
Tax Appeals, Division of	32	31	27
Technology, Office for	132	133	154
Veterans' Affairs, Division of	97	96	92
Victim Services, Office of	67	63	55
Welfare Inspector General, Office of	3	4	7
Subtotal - Minor Agencies	10,047	9,446	9,419

Workforce Impact Summary

State Funds
2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	3,415	3,124	3,040
Correctional Services, Department of	30,751	29,742	29,387
Education Department, State	1,615	1,501	1,426
Environmental Conservation, Department of	3,328	3,139	2,973
General Services, Office of	1,088	997	987
Health, Department of	4,842	4,571	4,534
Labor, Department of	373	364	580
Mental Health, Office of	8,064	5,594	5,417
Motor Vehicles, Department of	2,800	2,733	2,803
Parks, Recreation and Historic Preservation, Office of	2,173	2,037	1,991
Parole, Division of	2,121	1,973	1,961
People with Developmental Disabilities, Office for	3,157	6,988	7,018
State Police, Division of	5,901	5,704	5,489
Taxation and Finance, Department of	5,049	5,263	5,622
Temporary and Disability Assistance, Office of	998	941	895
Transportation, Department of	10,102	9,886	9,531
Workers' Compensation Board	1,463	1,395	1,450
Subtotal - Major Agencies	87,240	85,952	85,104
Minor Agencies	10,055	9,454	9,427
Adjustments			
Retirement Incentive*	0	0	(4,000)
Subtotal - Subject to Executive Control	97,295	95,406	90,531
Not Subject to Executive Control			
Audit and Control, Department of	1,617	1,603	1,616
City University of New York	286	295	292
Law, Department of	1,689	1,572	1,525
State University Construction Fund	120	129	172
State University of New York	41,606	41,900	41,815
Subtotal - Not Subject to Executive Control	45,318	45,499	45,420
Off-Budget Agencies			
Roswell Park Cancer Institute	1,947	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	27	25	24
Subtotal - Off-Budget Agencies	1,974	2,050	2,049
Adjustments			
Retirement Incentive*	0	0	TBD
Grand Total	144,587	142,955	138,000

* The Retirement Incentive will be finalized in September after employees separate from State service. The Mid-Year Update will distribute the separations across agencies.

Workforce Impact Summary

State Funds
2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Minor Agencies			
Adirondack Park Agency	71	65	59
Aging, Office for the	34	28	28
Agriculture and Markets, Department of	552	522	471
Alcoholic Beverage Control, Division of	155	141	155
Alcoholism and Substance Abuse Services, Office of	653	597	631
Arts, Council on the	47	42	34
Authority Budget Office	0	0	0
Banking Department	559	538	555
Budget, Division of the	357	353	331
Civil Service, Department of	294	257	253
Consumer Protection Board, State	28	25	25
Correction, Commission of	33	32	32
Criminal Justice Services, Division of	601	546	564
Deferred Compensation Board	4	4	4
Economic Development, Department of	178	167	165
Elections, State Board of	64	56	60
Employee Relations, Office of	39	35	35
Environmental Facilities Corporation	92	88	88
Executive Chamber	153	144	153
Financial Control Board, New York State	15	14	15
Higher Education Services Corporation, New York State	642	600	614
Homeland Security and Emergency Services, Division of	131	129	320
Housing and Community Renewal, Division of	772	722	677
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	205	166	152
Indigent Legal Services, Office of	0	0	20
Inspector General, Office of the	60	60	62
Insurance Department	946	904	981
Interest on Lawyer Account	8	8	8
Judicial Commissions	48	47	49
Labor Management Committees	76	82	93
Lieutenant Governor, Office of the	0	0	7
Lottery, Division of the	341	332	329
Medicaid Inspector General, Office of the	297	302	365
Military and Naval Affairs, Division of	256	226	214
National and Community Service	0	0	4
Northeastern Queens Nature and Historical Preserve Commission	1	0	0
Prevention of Domestic Violence, Office for	13	15	16
Probation and Correctional Alternatives, Division of	35	32	0
Public Employment Relations Board	35	36	38
Public Integrity, Commission on	54	48	50
Public Service Department	528	514	519
Quality of Care and Advocacy for Persons With Disabilities, Commission on	45	48	78
Racing and Wagering Board, State	127	121	99
Real Property Services, Office of	347	304	0
Regulatory Reform, Governor's Office of	32	19	18
State Emergency Management Office	0	0	0
State, Department of	757	726	595
Statewide Financial System	0	0	120
Statewide Wireless Network	38	31	5
Tax Appeals, Division of	32	31	27
Technology, Office for	132	133	154
Veterans' Affairs, Division of	97	96	92
Victim Services, Office of	67	63	55
Welfare Inspector General, Office of	3	4	7
Subtotal - Minor Agencies	10,055	9,454	9,427

Workforce Impact Summary

All Funds
2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	3,874	3,555	3,497
Correctional Services, Department of	31,159	30,104	30,366
Education Department, State	3,129	2,976	2,915
Environmental Conservation, Department of	3,657	3,454	3,285
General Services, Office of	1,652	1,519	1,500
Health, Department of	5,704	5,388	5,476
Labor, Department of	3,779	3,982	3,998
Mental Health, Office of	16,717	16,173	16,169
Motor Vehicles, Department of	2,820	2,750	2,821
Parks, Recreation and Historic Preservation, Office of	2,189	2,053	2,006
Parole, Division of	2,121	1,973	1,961
People with Developmental Disabilities, Office for	22,591	21,530	21,875
State Police, Division of	5,901	5,704	5,530
Taxation and Finance, Department of	5,049	5,263	5,622
Temporary and Disability Assistance, Office of	2,191	2,259	2,304
Transportation, Department of	10,185	9,963	9,610
Workers' Compensation Board	1,463	1,395	1,450
Subtotal - Major Agencies	124,181	120,041	120,385
Minor Agencies	12,314	11,700	11,780
Adjustments			
Retirement Incentive*	0	0	(4,000)
Subtotal - Subject to Executive Control	136,495	131,741	128,165
Not Subject to Executive Control			
Audit and Control, Department of	2,517	2,545	2,552
City University of New York	12,653	13,073	12,933
Law, Department of	1,935	1,807	1,747
State University Construction Fund	120	129	172
State University of New York	41,609	41,900	41,815
Subtotal - Not Subject to Executive Control	58,834	59,454	59,219
Off-Budget Agencies			
Roswell Park Cancer Institute	1,947	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	27	25	24
State Insurance Fund	2,622	2,547	2,564
Subtotal - Off-Budget Agencies	4,596	4,597	4,613
Adjustments			
Retirement Incentive*	0	0	TBD
Grand Total	199,925	195,792	191,997

* The Retirement Incentive will be finalized in September after employees separate from State service. The Mid-Year Update will distribute the separations across agencies.

Workforce Impact Summary

All Funds
2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Minor Agencies			
Adirondack Park Agency	71	65	59
Aging, Office for the	130	122	125
Agriculture and Markets, Department of	606	557	543
Alcoholic Beverage Control, Division of	155	141	155
Alcoholism and Substance Abuse Services, Office of	943	886	891
Arts, Council on the	47	42	34
Authority Budget Office	0	0	0
Banking Department	559	538	555
Budget, Division of the	357	353	331
Civil Service, Department of	535	482	474
Consumer Protection Board, State	28	25	25
Correction, Commission of	33	32	32
Criminal Justice Services, Division of	691	646	664
Deferred Compensation Board	4	4	4
Economic Development, Department of	178	167	165
Elections, State Board of	64	62	63
Employee Relations, Office of	53	47	49
Environmental Facilities Corporation	92	88	88
Executive Chamber	153	144	153
Financial Control Board, New York State	15	14	15
Higher Education Services Corporation, New York State	642	600	614
Homeland Security and Emergency Services, Division of	169	169	426
Housing and Community Renewal, Division of	917	890	875
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	217	213	206
Indigent Legal Services, Office of	0	0	20
Inspector General, Office of the	60	60	62
Insurance Department	946	904	981
Interest on Lawyer Account	8	8	8
Judicial Commissions	48	47	49
Labor Management Committees	76	82	93
Lieutenant Governor, Office of the	0	0	7
Lottery, Division of the	341	332	329
Medicaid Inspector General, Office of the	594	603	728
Military and Naval Affairs, Division of	580	529	433
National and Community Service	0	0	10
Northeastern Queens Nature and Historical Preserve Commission	1	0	0
Prevention of Domestic Violence, Office for	27	27	28
Probation and Correctional Alternatives, Division of	36	33	0
Public Employment Relations Board	35	36	38
Public Integrity, Commission on	54	48	50
Public Service Department	540	526	531
Quality of Care and Advocacy for Persons With Disabilities, Commission on	98	100	101
Racing and Wagering Board, State	127	121	99
Real Property Services, Office of	347	304	0
Regulatory Reform, Governor's Office of	32	19	18
State Emergency Management Office	0	0	0
State, Department of	818	783	653
Statewide Financial System	0	0	120
Statewide Wireless Network	38	31	5
Tax Appeals, Division of	32	31	27
Technology, Office for	613	594	654
Veterans' Affairs, Division of	107	104	103
Victim Services, Office of	90	84	79
Welfare Inspector General, Office of	6	6	7
Subtotal - Minor Agencies	12,314	11,700	11,780

Workforce Impact Summary

Special Revenue Funds - Other 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	143	57	63
Education Department, State	1,197	1,135	1,103
Environmental Conservation, Department of	1,326	1,348	1,403
General Services, Office of	66	63	64
Health, Department of	2,717	2,598	2,533
Labor, Department of	365	357	580
Mental Health, Office of	8,028	5,562	5,376
Motor Vehicles, Department of	877	872	883
Parks, Recreation and Historic Preservation, Office of	275	258	240
People with Developmental Disabilities, Office for	3,157	6,988	7,018
State Police, Division of	431	430	446
Taxation and Finance, Department of	39	38	804
Temporary and Disability Assistance, Office of	39	13	696
Transportation, Department of	163	163	175
Workers' Compensation Board	1,463	1,395	1,450
Subtotal - Major Agencies	20,286	21,277	22,834
Minor Agencies	5,809	5,243	5,514
Subtotal - Subject to Executive Control	26,095	26,520	28,348
Not Subject to Executive Control			
Audit and Control, Department of	31	35	34
City University of New York	286	295	292
Law, Department of	420	346	367
State University Construction Fund	120	129	172
State University of New York	16,923	17,188	17,113
Subtotal - Not Subject to Executive Control	17,780	17,993	17,978
Off-Budget Agencies			
Roswell Park Cancer Institute	1,947	2,025	2,025
Subtotal - Off-Budget Agencies	1,947	2,025	2,025
Grand Total	45,822	46,538	48,351

Workforce Impact Summary

Special Revenue Funds - Other 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Minor Agencies			
Aging, Office for the	1	1	1
Agriculture and Markets, Department of	143	164	171
Alcoholic Beverage Control, Division of	155	141	155
Alcoholism and Substance Abuse Services, Office of	643	587	623
Authority Budget Office	0	0	0
Banking Department	559	538	555
Budget, Division of the	75	75	51
Civil Service, Department of	5	5	4
Consumer Protection Board, State	28	0	1
Criminal Justice Services, Division of	6	2	7
Deferred Compensation Board	4	4	4
Economic Development, Department of	4	2	6
Environmental Facilities Corporation	89	88	85
Financial Control Board, New York State	15	14	15
Higher Education Services Corporation, New York State	642	600	614
Homeland Security and Emergency Services, Division of	45	3	171
Housing and Community Renewal, Division of	469	445	412
Indigent Legal Services, Office of	0	0	20
Insurance Department	938	898	981
Interest on Lawyer Account	8	8	8
Lottery, Division of the	341	332	329
Medicaid Inspector General, Office of the	3	3	3
Military and Naval Affairs, Division of	23	17	14
Prevention of Domestic Violence, Office for	0	1	0
Public Service Department	528	514	519
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1	1	35
Racing and Wagering Board, State	127	121	99
Real Property Services, Office of	347	36	0
State, Department of	567	549	448
Statewide Financial System	0	0	120
Statewide Wireless Network	38	31	5
Victim Services, Office of	5	63	55
Welfare Inspector General, Office of	0	0	3
Subtotal - Minor Agencies	5,809	5,243	5,514

Workforce Impact Summary

Special Revenue Funds - Federal 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	459	431	457
Correctional Services, Department of	59	51	653
Education Department, State	1,348	1,316	1,330
Environmental Conservation, Department of	322	308	305
Health, Department of	862	817	942
Labor, Department of	3,406	3,618	3,418
Mental Health, Office of	8,629	10,560	10,722
Motor Vehicles, Department of	20	17	18
Parks, Recreation and Historic Preservation, Office of	16	16	15
People with Developmental Disabilities, Office for	19,433	14,542	14,857
State Police, Division of	0	0	41
Temporary and Disability Assistance, Office of	1,192	1,314	1,405
Transportation, Department of	83	77	79
Subtotal - Major Agencies	35,829	33,067	34,242
Minor Agencies			
Aging, Office for the	96	94	97
Agriculture and Markets, Department of	4	4	25
Alcoholism and Substance Abuse Services, Office of	290	289	260
Criminal Justice Services, Division of	90	100	100
Elections, State Board of	0	6	3
Homeland Security and Emergency Services, Division of	38	40	106
Housing and Community Renewal, Division of	110	138	165
Human Rights, Division of	12	47	54
Medicaid Inspector General, Office of the	297	301	363
Military and Naval Affairs, Division of	324	303	219
National and Community Service	0	0	6
Prevention of Domestic Violence, Office for	1	2	2
Probation and Correctional Alternatives, Division of	1	1	0
Public Service Department	12	12	12
Quality of Care and Advocacy for Persons With Disabilities, Commission on	53	52	23
State, Department of	61	57	58
Veterans' Affairs, Division of	10	8	11
Victim Services, Office of	23	21	24
Welfare Inspector General, Office of	3	2	0
Subtotal - Minor Agencies	1,425	1,477	1,528
Subtotal - Subject to Executive Control	37,254	34,544	35,770
Not Subject to Executive Control			
Audit and Control, Department of	5	5	8
Law, Department of	246	235	222
State University of New York	3	0	0
Subtotal - Not Subject to Executive Control	254	240	230
Grand Total	37,508	34,784	36,000

Workforce Impact Summary

Capital Projects Funds - Other 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Children and Family Services, Office of	6	6	7
Correctional Services, Department of	27	28	31
Environmental Conservation, Department of	471	451	447
Health, Department of	70	66	80
Mental Health, Office of	36	32	41
Motor Vehicles, Department of	1,923	1,861	1,920
Parks, Recreation and Historic Preservation, Office of	140	127	129
Transportation, Department of	9,939	9,723	9,356
Subtotal - Major Agencies	12,612	12,294	12,011
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	8	8	8
Subtotal - Minor Agencies	8	8	8
Subtotal - Subject to Executive Control	12,620	12,302	12,019
Not Subject to Executive Control			
Law, Department of	5	7	7
State University of New York	5	7	6
Subtotal - Not Subject to Executive Control	10	14	13
Grand Total	12,630	12,316	12,032

Workforce Impact Summary

Capital Projects Funds - Federal 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Environmental Conservation, Department of	7	7	7
Subtotal - Major Agencies	7	7	7
Minor Agencies			
Housing and Community Renewal, Division of	35	30	33
Subtotal - Minor Agencies	35	30	33
Subtotal - Subject to Executive Control	42	37	40
Grand Total	42	37	40

Workforce Impact Summary

Enterprise Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Correctional Services, Department of	5	5	11
General Services, Office of	11	11	10
Mental Health, Office of	5	0	10
People with Developmental Disabilities, Office for	1	1	0
Subtotal - Major Agencies	22	17	31
Minor Agencies			
Agriculture and Markets, Department of	44	27	42
Subtotal - Minor Agencies	44	27	42
Subtotal - Subject to Executive Control	66	44	73
Grand Total	66	44	73

Workforce Impact Summary

Internal Service Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Major Agencies			
Correctional Services, Department of	344	306	315
Education Department, State	166	159	159
General Services, Office of	553	511	503
Mental Health, Office of	19	19	20
Temporary and Disability Assistance, Office of	1	4	4
Subtotal - Major Agencies	1,083	999	1,001
Minor Agencies			
Civil Service, Department of	241	225	221
Criminal Justice Services, Division of	0	0	0
Employee Relations, Office of	14	12	14
Prevention of Domestic Violence, Office for	13	10	10
Technology, Office for	481	461	500
Subtotal - Minor Agencies	749	708	745
Subtotal - Subject to Executive Control	1,832	1,707	1,746
Not Subject to Executive Control			
Audit and Control, Department of	23	25	24
Subtotal - Not Subject to Executive Control	23	25	24
Grand Total	1,855	1,732	1,770

Workforce Impact Summary

Agency Trust Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Not Subject to Executive Control			
City University of New York	12,367	12,778	12,641
Subtotal - Not Subject to Executive Control	12,367	12,778	12,641
Off-Budget Agencies			
State Insurance Fund	2,622	2,547	2,564
Subtotal - Off-Budget Agencies	2,622	2,547	2,564
Grand Total	14,989	15,325	15,205

Workforce Impact Summary

Pension Trust Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Not Subject to Executive Control			
Audit and Control, Department of	872	912	904
Subtotal - Not Subject to Executive Control	872	912	904
Grand Total	872	912	904

Workforce Impact Summary

Private Purpose Trust Funds 2008-09 Through 2010-11

	2008-09 Actuals (03/31/09)	2009-10 Actuals (03/31/10)	2010-11 Estimate (03/31/11)
Minor Agencies			
Agriculture and Markets, Department of	6	4	5
Subtotal - Minor Agencies	6	4	5
Subtotal - Subject to Executive Control	6	4	5
Grand Total	6	4	5

Fiscal Impact of 2010-11 Enacted Budget on Local Governments
Local Fiscal Years Ending in 2010

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
Revenue Actions	6.0	0.0	0.0	4.2	0.6	1.2
- Restructure Sales Taxes	6.0	0.0	0.0	4.2	0.6	1.2
Human Services	(27.6)	0.0	0.0	(27.6)	0.0	0.0
- Remove General Fund Offset to Youth Facility Rates	(8.6)	0.0	0.0	(8.6)	0.0	0.0
- Reduce Funding for Child Welfare Services	(6.5)	0.0	0.0	(6.5)	0.0	0.0
- Intercept Payments to Local Districts Deficient in Paying Youth Facility Costs	(3.0)	0.0	0.0	(3.0)	0.0	0.0
- Reduce Funding for Summer Youth Employment Program	(4.4)	0.0	0.0	(4.4)	0.0	0.0
- Eliminate Funding for Comm. Solutions to Transp. & Non-Res. Dom. Violence Programs	(1.6)	0.0	0.0	(1.6)	0.0	0.0
- Eliminate Funding for Flexible Fund for Family Services Program	(1.0)	0.0	0.0	(1.0)	0.0	0.0
- Reduce Funding for Community Optional Preventive Services	(2.0)	0.0	0.0	(2.0)	0.0	0.0
- Reduce Funding for Youth Services Programs	(0.5)	0.0	0.0	(0.5)	0.0	0.0
Health	0.1	0.0	0.0	0.1	0.0	0.0
- Reform Early Intervention	1.4	0.0	0.0	1.4	0.0	0.0
- Eliminate Reimbursement for Certain Optional General Public Health Works Services	(1.3)	0.0	0.0	(1.3)	0.0	0.0
Mental Hygiene	(0.5)	0.0	0.0	(0.5)	0.0	0.0
- Recoup Outstanding State Aid Payments	(0.5)	0.0	0.0	(0.5)	0.0	0.0
Transportation	(2.5)	0.0	0.0	(2.5)	0.0	0.0
- Reduce Transit Assistance (NYC, Suffolk, Rockland, Nassau, Westchester)	(2.5)	0.0	0.0	(2.5)	0.0	0.0
Municipal Aid	(10.0)	0.0	0.0	(0.9)	(6.1)	(3.0)
- Eliminate AIM for Erie Co. / Reduce AIM for Other Municipalities	(9.4)	0.0	0.0	(0.7)	(6.1)	(2.6)
- Reduce Aid to VLT Host Municipalities	(0.6)	0.0	0.0	(0.2)	0.0	(0.4)
Public Protection	8.1	0.0	0.0	8.1	0.0	0.0
- Increase Aid for Public Safety Communications Systems	8.5	0.0	0.0	8.5	0.0	0.0
- Expand Crimes Against Revenue Program to Increase Funding to DA's Offices	1.8	0.0	0.0	1.8	0.0	0.0
- Increase Indigent Defense Services Grants to Localities	1.8	0.0	0.0	1.8	0.0	0.0
- Reduce DCJS Local Aid Programs	(1.9)	0.0	0.0	(1.9)	0.0	0.0
- Create a Local Probation Aid/Block Grant with Reduced Funding	(2.1)	0.0	0.0	(2.1)	0.0	0.0
All Other Mandate Reforms / Local Impacts	0.0	0.0	0.0	(0.3)	0.1	0.2
- Repeal OGS Procurement Contract Fee	0.5	0.0	0.0	0.3	0.1	0.1
- All Other Impacts	(0.5)	0.0	0.0	(0.6)	0.0	0.1
Total 2010-11 Enacted Budget Actions	(26.4)	0.0	0.0	(19.4)	(5.4)	(1.6)
Continuing Medicaid Cap & FHP Takeover Savings	1,626.1	1,075.3	0.0	550.8	0.0	0.0
Grand Total	1,599.7	1,075.3	0.0	531.4	(5.4)	(1.6)

Fiscal Impact of 2010-11 Enacted Budget on Local Governments
Local Fiscal Years Ending in 2011

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid/Education	(1,129.0)	(418.0)	(711.0)	0.0	0.0	0.0
- Reduce School Aid with a Gap Elimination Adjustment Offset with ARRA Funds	(1,129.0)	(418.0)	(711.0)	0.0	0.0	0.0
Revenue Actions	44.6	13.2	0.9	24.2	3.0	3.3
- Restructure Sales Taxes	47.6	16.2	0.9	24.2	3.0	3.3
- Reduce Allowable Charitable Contributions Deductions From 50% to 25%	16.0	16.0	0.0	0.0	0.0	0.0
- Increase State Cigarette Tax Rate	(19.0)	(19.0)	0.0	0.0	0.0	0.0
Human Services	(116.7)	(74.1)	0.0	(42.6)	0.0	0.0
- Reduce Funding for Child Welfare Services	(34.4)	(21.3)	0.0	(13.1)	0.0	0.0
- Remove General Fund Offset to Youth Facility Rates	(25.7)	(19.5)	0.0	(6.2)	0.0	0.0
- Intercept Payments to Local Districts Deficient in Paying Youth Facility Costs	(20.4)	(17.4)	0.0	(3.0)	0.0	0.0
- Reduce Funding for Summer Youth Employment Program	(19.6)	(10.8)	0.0	(8.8)	0.0	0.0
- Eliminate Funding for Flexible Fund for Family Services Program	(4.6)	(2.6)	0.0	(2.0)	0.0	0.0
- Eliminate Funding for Comm. Solutions to Transp. & Non-Res. Dom. Violence Programs	(3.8)	(0.7)	0.0	(3.1)	0.0	0.0
- Increase in Local Costs Associated with Staffing Ratios at Youth Facilities	(2.0)	(0.6)	0.0	(1.4)	0.0	0.0
- Reduce Funding for Community Optional Preventive Services	(4.8)	(0.8)	0.0	(4.0)	0.0	0.0
- Reduce Funding for Youth Services Programs	(1.4)	(0.4)	0.0	(1.0)	0.0	0.0
Health	4.9	1.3	0.0	3.6	0.0	0.0
- Reform Early Intervention	16.0	7.2	0.0	8.8	0.0	0.0
- Eliminate Reimbursement for Certain Optional General Public Health Works Services	(11.1)	(5.9)	0.0	(5.2)	0.0	0.0
Mental Hygiene	(1.6)	(0.5)	0.0	(1.1)	0.0	0.0
- Recoup Outstanding State Aid Payments	(1.6)	(0.5)	0.0	(1.1)	0.0	0.0
Transportation	(8.9)	(3.9)	0.0	(5.0)	0.0	0.0
- Reduce Transit Assistance (NYC, Suffolk, Rockland, Nassau, Westchester)	(8.9)	(3.9)	0.0	(5.0)	0.0	0.0
Municipal Aid	(318.2)	(301.7)	0.0	(0.9)	(11.4)	(4.2)
- Eliminate AIM for NYC & Erie Co. / Reduce AIM For Other Municipalities	(317.5)	(301.7)	0.0	(0.7)	(11.4)	(3.7)
- Reduce Aid to VLT Host Municipalities	(0.7)	0.0	0.0	(0.2)	0.0	(0.5)
Public Protection	41.0	6.4	0.0	34.6	0.0	0.0
- Increase Aid for Public Safety Communications Systems	36.8	3.9	0.0	32.9	0.0	0.0
- Expand Crimes Against Revenue Program to Increase Funding to DA's Offices	10.0	6.5	0.0	3.5	0.0	0.0
- Increase Indigent Defense Services Grants to Localities	6.1	0.0	0.0	6.1	0.0	0.0
- Reduce DCJS Local Aid Programs	(5.1)	(1.3)	0.0	(3.8)	0.0	0.0
- Create a Local Probation Aid Block Grant with Reduced Funding	(6.8)	(2.7)	0.0	(4.1)	0.0	0.0
All Other Mandate Reforms / Local Impacts	30.2	(0.6)	7.8	9.4	8.6	5.0
- Allow Local Governments to Amortize a Portion of Pension Rate Increases	29.4	0.0	6.7	9.8	8.4	4.5
- Repeal OGS Procurement Contract Fee	2.4	0.0	1.1	0.8	0.2	0.3
- All Other Impacts	(1.6)	(0.6)	0.0	(1.2)	0.0	0.2
Total 2010-11 Enacted Budget Actions	(1,453.7)	(777.9)	(702.3)	22.2	0.2	4.1
Continuing Medicaid Cap & FHP Takeover Savings	2,064.6	1,363.9	0.0	700.7	0.0	0.0
Grand Total	610.9	586.0	(702.3)	722.9	0.2	4.1

**Fiscal Impact of 2010-11 Enacted Budget on Local Governments
Local Fiscal Year**

(\$ in Millions)

	LFY 2010	LFY 2011	LFY 2012	LFY 2013
NYC	0.0	(777.9)	341.5	1,096.4
School Districts	0.0	(702.3)	545.5	1,649.6
Counties	(19.4)	22.2	71.4	85.4
Other Cities	(5.4)	0.2	10.2	9.4
Towns & Villages	(1.6)	4.1	10.0	8.9
Total 2010-11 Enacted Budget Actions	(26.4)	(1,453.7)	978.6	2,849.7
Continuing Medicaid Cap & FHP Takeover Savings	1,626.1	2,064.6	2,543.6	3,037.1
Grand Total	1,599.7	610.9	3,522.2	5,886.8

Fiscal Impact of 2010-11 Enacted Budget on NYC

City Fiscal Year

(\$ in Millions)

	CFY 2009-10	CFY 2010-11	CFY 2011-12	CFY 2012-13
School Aid/Education				
- Reduce School Aid with a Gap Elimination Adjustment Offset with ARRA Funds	0.0	(418.0)	355.0	1,095.0
Revenue Actions				
- Restructure Sales Taxes	0.0	13.2	23.0	38.0
- Reduce Allowable Charitable Contributions Deductions From 50% to 25%	0.0	16.0	16.0	16.0
- Increase State Cigarette Tax Rate	0.0	(19.0)	(19.0)	(19.0)
Human Services				
- Reduce Funding for Child Welfare Services	0.0	(74.1)	(50.4)	(54.1)
- Remove General Fund Offset to Youth Facility Rates	0.0	(21.3)	(21.3)	(21.3)
- Intercept Payments to Local Districts Deficient in Paying Youth Facility Costs	0.0	(19.5)	(3.5)	(3.5)
- Reduce Funding for Summer Youth Employment Program	0.0	(17.4)	(5.2)	0.0
- Eliminate Funding for Flexible Fund for Family Services Program	0.0	(10.8)	(10.8)	(10.8)
- Eliminate Funding for Non-Residential Domestic Violence Programs	0.0	(2.6)	(2.6)	(2.6)
- Increase in Local Costs Associated with Staffing Ratios at Youth Facilities	0.0	(0.7)	(0.7)	(0.7)
- Reduce Funding for Community Optional Preventive Services	0.0	(0.6)	(5.0)	(13.9)
- Reduce Funding for Youth Services Programs	0.0	(0.8)	(0.8)	(0.8)
	0.0	(0.4)	(0.5)	(0.5)
Health				
- Reform Early Intervention	0.0	1.3	8.4	8.4
- Eliminate Reimbursement for Certain Optional General Public Health Works Services	0.0	7.2	16.1	16.1
	0.0	(5.9)	(7.7)	(7.7)
Mental Hygiene				
- Recoup Outstanding State Aid Payments	0.0	(0.5)	(0.4)	(0.2)
Transportation				
- Reduce Transit Assistance (NYC DOT / Staten Island Ferry)	0.0	(3.9)	(3.9)	(3.9)
	0.0	(3.9)	(3.9)	(3.9)
Municipal Aid				
- Eliminate AIM Funding	0.0	(301.7)	0.0	0.0
	0.0	(301.7)	0.0	0.0
Public Protection				
- Increase Aid for Public Safety Communications Systems	0.0	6.4	10.4	13.8
- Expand Crimes Against Revenue Program to Increase Funding to DA's Offices	0.0	3.9	7.9	11.3
- Reduce DCJS Local Aid Programs	0.0	6.5	6.5	6.5
- Create a Local Probation Aid Block Grant with Reduced Funding	0.0	(1.3)	(1.3)	(1.3)
	0.0	(2.7)	(2.7)	(2.7)
All Other Mandate Reforms / Local Impacts				
- Increase NYC Rent Admin Billing	0.0	(0.6)	(0.6)	(0.6)
- Reduce Local Navigation Law Reimbursements from 75% to 50%	0.0	(0.5)	(0.5)	(0.5)
	0.0	(0.1)	(0.1)	(0.1)
Total 2010-11 Enacted Budget Actions	0.0	(777.9)	341.5	1,096.4
Continuing Medicaid Cap & FHP Takeover Savings	1,075.3	1,363.9	1,690.5	2,022.7
Grand Total	1,075.3	586.0	2,032.0	3,119.1

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
	58,146	49,736	49,113	51,693	52,077
Grants to Local Governments	26,841	20,023	20,154	22,548	22,685
State Operations	31,305	29,713	28,959	29,145	29,392
Personal Service	23,245	22,380	21,808	21,755	21,755
Non-Personal Service/Indirect Cost	8,060	7,333	7,151	7,390	7,637
General State Charges	0	0	0	0	0
	36	200	162	162	162
Developmental Authority North	36	200	162	162	162
Grants to Local Governments	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
	2,184	2,492	2,556	2,646	2,688
Consumer Protection Board	0	0	0	0	0
Grants to Local Governments	2,184	2,492	2,556	2,646	2,688
State Operations	1,993	2,184	2,211	2,281	2,306
Personal Service	191	308	345	365	382
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
	40,551	34,527	32,064	32,064	32,620
Economic Development, Department of	11,436	13,408	10,249	10,249	10,249
Grants to Local Governments	29,115	21,119	21,815	21,815	22,371
State Operations	12,973	12,606	12,704	12,704	12,849
Personal Service	16,142	8,513	9,111	9,111	9,522
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
	240	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Grants to Local Governments	240	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
	80,893	11,580	0	0	0
Insurance Department	66,598	11,145	0	0	0
Grants to Local Governments	12,295	435	0	0	0
State Operations	528	150	0	0	0
Personal Service	11,767	285	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT

Agriculture and Markets, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Developmental Authority North

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Consumer Protection Board

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Economic Development, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges
Capital Projects

Energy Research and Development Authority

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Insurance Department

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Empire State Development Corporation					
Grants to Local Governments	23,276	38,903	44,236	44,236	34,236
State Operations	23,276	38,903	44,236	44,236	34,236
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Olympic Regional Development Authority					
Grants to Local Governments	5,403	4,738	4,948	4,948	5,075
State Operations	0	0	0	0	0
Personal Service	5,403	4,738	4,948	4,948	5,075
Non-Personal Service/Indirect Cost	3,090	2,734	2,734	2,734	2,771
General State Charges	2,313	2,004	2,214	2,214	2,304
Capital Projects	0	0	0	0	0
Science, Technology and Innovation, Foundation for					
Grants to Local Governments	29,083	27,146	26,596	26,496	23,356
State Operations	26,204	24,251	23,640	23,540	20,340
Personal Service	2,879	2,895	2,956	2,956	3,016
Non-Personal Service/Indirect Cost	2,254	1,879	1,896	1,896	1,919
General State Charges	625	1,016	1,060	1,060	1,097
Capital Projects	0	0	0	0	0
Functional Total	239,821	169,322	159,675	162,245	150,214
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency					
Grants to Local Governments	5,153	5,120	4,669	4,671	4,671
State Operations	0	0	0	0	0
Personal Service	5,153	5,120	4,669	4,671	4,671
Non-Personal Service/Indirect Cost	4,729	4,571	4,212	4,214	4,214
General State Charges	424	549	457	457	457
Capital Projects	0	0	0	0	0
Environmental Conservation, Department of					
Grants to Local Governments	124,796	108,117	106,000	106,008	107,883
State Operations	7,965	6,925	6,526	6,526	6,526
Personal Service	116,831	101,192	99,474	99,482	101,357
Non-Personal Service/Indirect Cost	100,081	87,119	85,380	85,388	87,263
General State Charges	16,750	14,073	14,094	14,094	14,094
Capital Projects	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Environmental Facilities Corporation</i>					
Grants to Local Governments	125	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	125	0	0	0	0
Non-Personal Service/Indirect Cost	122	0	0	0	0
General State Charges	3	0	0	0	0
	0	0	0	0	0
<i>Parks, Recreation and Historic Preservation, Office of</i>					
Grants to Local Governments	142,061	140,882	139,627	141,291	141,291
State Operations	14,529	17,750	14,900	14,900	14,900
Personal Service	127,532	123,132	124,727	126,391	126,391
Non-Personal Service/Indirect Cost	113,362	97,265	99,045	99,918	99,918
General State Charges	14,170	25,682	25,682	26,473	26,473
	0	0	0	0	0
Functional Total	272,135	254,119	250,296	251,970	253,845

TRANSPORTATION

<i>Motor Vehicles, Department of</i>					
Grants to Local Governments	55	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	55	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Transportation, Department of</i>					
Grants to Local Governments	65,676	100,975	100,538	100,538	100,538
State Operations	64,139	100,011	99,574	99,574	99,574
Personal Service	1,537	964	964	964	964
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	1,537	964	964	964	964
	0	0	0	0	0
FUNCTIONAL TOTAL	65,731	100,975	100,538	100,538	100,538

HEALTH

<i>Aging, Office for the</i>					
Grants to Local Governments	115,777	116,639	119,909	119,909	119,909
State Operations	113,209	116,586	117,893	117,893	117,893
Personal Service	2,568	2,053	2,016	2,016	2,016
Non-Personal Service/Indirect Cost	2,427	1,634	1,634	1,634	1,634
General State Charges	141	359	382	382	382
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Health, Department of	7,695,522	8,109,938	13,489,412	14,935,666	16,165,762
Medical Assistance	6,295,718	6,564,450	11,912,465	13,254,289	14,498,385
Grants to Local Governments	6,274,434	6,518,095	11,866,110	13,207,934	14,452,030
State Operations	21,284	46,355	46,355	46,355	46,355
Personal Service	0	500	500	500	500
Non-Personal Service/Indirect Cost	21,284	45,855	45,855	45,855	45,855
General State Charges	0	0	0	0	0
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Grants to Local Governments	514,488	551,250	573,750	596,750	596,750
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Public Health	889,316	994,238	1,003,197	1,084,627	1,070,627
Grants to Local Governments	691,039	788,570	790,458	871,888	857,888
State Operations	198,277	205,668	212,739	212,739	212,739
Personal Service	81,583	86,612	89,141	89,141	89,141
Non-Personal Service/Indirect Cost	116,694	119,056	123,598	123,598	123,598
General State Charges	0	0	0	0	0
Medicaid Inspector General, Office of	23,551	31,050	34,564	34,564	34,564
Grants to Local Governments	0	0	0	0	0
State Operations	23,551	31,050	34,564	34,564	34,564
Personal Service	16,172	21,957	22,104	22,104	22,104
Non-Personal Service/Indirect Cost	7,379	9,093	12,460	12,460	12,460
General State Charges	0	0	0	0	0
Functional Total	7,838,850	8,259,627	13,643,885	15,090,139	16,320,235
SOCIAL WELFARE					
Children and Family Services, Office of					
Children and Family Services	2,000,459	2,138,949	2,366,294	2,596,383	2,823,292
Grants to Local Governments	1,950,981	2,005,406	2,232,837	2,459,028	2,682,032
State Operations	1,697,140	1,729,541	1,924,006	2,124,526	2,347,362
Personal Service	253,841	275,865	308,831	334,502	334,670
Non-Personal Service/Indirect Cost	170,669	176,830	197,828	217,067	213,800
General State Charges	83,172	99,035	111,003	117,435	120,870
	0	0	0	0	0
Children and Family Services - Medicaid	49,478	133,543	133,457	137,355	141,260
Grants to Local Governments	49,478	133,543	133,457	137,355	141,260
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Grants to Local Governments	0	0	0	0	0
State Operations	10,731	11,085	11,161	11,342	11,473
Personal Service	8,027	9,042	9,036	9,133	9,231
Non-Personal Service/Indirect Cost	2,704	2,043	2,125	2,209	2,242
General State Charges	0	0	0	0	0
Labor, Department of	14,062	7,756	7,104	3,346	2,459
Grants to Local Governments	13,019	7,756	7,104	3,346	2,459
State Operations	1,043	0	0	0	0
Personal Service	800	0	0	0	0
Non-Personal Service/Indirect Cost	243	0	0	0	0
General State Charges	0	0	0	0	0
Housing and Community Renewal, Division of	80,713	64,725	65,797	66,892	66,892
Grants to Local Governments	54,192	44,535	44,535	44,535	44,535
State Operations	26,521	20,190	21,262	22,357	22,357
Personal Service	15,110	11,618	12,301	13,012	13,012
Non-Personal Service/Indirect Cost	11,411	8,572	8,961	9,345	9,345
General State Charges	0	0	0	0	0
National Commission Services	359	626	627	629	711
Grants to Local Governments	0	350	350	350	350
State Operations	359	276	277	279	361
Personal Service	316	235	236	238	320
Non-Personal Service/Indirect Cost	43	41	41	41	41
General State Charges	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,127	2,048	2,060	2,081	2,081
Grants to Local Governments	656	685	685	685	685
State Operations	1,471	1,363	1,375	1,396	1,396
Personal Service	1,251	1,209	1,239	1,252	1,252
Non-Personal Service/Indirect Cost	220	154	136	144	144
General State Charges	0	0	0	0	0
Temporary and Disability Assistance, Office of	1,354,683	1,215,221	1,570,521	1,701,613	1,751,714
Welfare Assistance	1,136,459	1,004,114	1,352,602	1,477,266	1,515,522
Grants to Local Governments	1,136,459	1,004,114	1,352,602	1,477,266	1,515,522
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Welfare Administration	51,263	0	0	0	0
Grants to Local Governments	51,263	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	166,961	211,107	217,919	224,347	236,192
Grants to Local Governments	116,975	149,498	151,778	154,594	165,744
State Operations	49,986	61,609	66,141	69,753	70,448
Personal Service	14,749	16,048	16,194	16,343	16,519
Non-Personal Service/Indirect Cost	35,237	45,561	49,947	53,410	53,929
General State Charges	0	0	0	0	0
Welfare Inspector General, Office of	313	355	358	358	372
Grants to Local Governments	0	0	0	0	0
State Operations	313	355	358	358	372
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	3,463,447	3,440,765	4,023,922	4,382,644	4,658,994
MENTAL HYGIENE					
Mental Health, Office of	539,126	526,184	558,673	590,743	613,192
Office of Mental Health	114,462	113,048	117,282	120,982	124,868
Grants to Local Governments	114,462	112,248	116,482	120,182	124,068
State Operations	0	800	800	800	800
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	800	800	800	800
General State Charges	0	0	0	0	0
Office of Mental Health - Medicaid	424,664	413,136	441,391	469,761	488,324
Grants to Local Governments	424,664	413,136	441,391	469,761	488,324
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
People with Developmental Disabilities, Office for	1,478,173	1,574,142	1,674,094	1,778,769	1,892,233
Office for People with Developmental Disabilities	102,577	118,485	122,304	133,835	140,890
Grants to Local Governments	102,577	118,485	122,304	133,835	140,890
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Office for People with Developmental Disabilities - Medicaid					
Grants to Local Governments	1,375,596	1,455,657	1,551,790	1,644,934	1,751,343
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of					
Grants to Local Governments	134,108	134,362	144,406	150,493	154,183
State Operations	100,328	97,967	106,221	112,297	115,987
Personal Service	25	0	0	0	0
Non-Personal Service/Indirect Cost	25	0	0	0	0
General State Charges	0	0	0	0	0
Alcoholism and Substance Abuse Services - Medicaid					
Grants to Local Governments	33,780	36,395	38,185	38,196	38,196
State Operations	33,780	36,395	38,185	38,196	38,196
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on					
Grants to Local Governments	5,166	5,319	5,379	5,459	5,540
State Operations	593	170	170	170	170
Personal Service	4,573	5,149	5,209	5,289	5,370
Non-Personal Service/Indirect Cost	3,627	3,725	3,750	3,793	3,834
General State Charges	946	1,424	1,459	1,496	1,536
	0	0	0	0	0
Functional Total	2,156,573	2,240,007	2,382,552	2,525,464	2,665,148
PUBLIC PROTECTION					
Capital Defenders Office					
Grants to Local Governments	21	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	21	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Correction, Commission of					
Grants to Local Governments	2,596	2,893	2,932	2,984	3,016
State Operations	0	0	0	0	0
Personal Service	2,596	2,893	2,932	2,984	3,016
Non-Personal Service/Indirect Cost	2,142	2,491	2,518	2,552	2,568
General State Charges	454	402	414	432	448
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Correctional Services, Department of	2,622,853	2,474,613	2,526,655	2,580,001	2,615,324
Grants to Local Governments	1,390	300	243	243	243
State Operations	2,619,463	2,472,313	2,526,412	2,579,758	2,615,081
Personal Service	2,111,324	1,921,624	1,939,287	1,957,469	1,950,604
Non-Personal Service/Indirect Cost	508,139	550,689	587,125	622,289	664,477
General State Charges	2,000	2,000	0	0	0
Criminal Justice Services, Division of	145,752	187,236	192,197	194,724	194,424
Grants to Local Governments	90,194	130,449	132,966	134,366	132,908
State Operations	55,558	56,787	59,231	60,358	61,516
Personal Service	35,031	37,604	37,980	38,361	38,754
Non-Personal Service/Indirect Cost	20,527	19,183	21,251	21,997	22,762
General State Charges	0	0	0	0	0
Office of Victim Services	144	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	144	0	0	0	0
Personal Service	113	0	0	0	0
Non-Personal Service/Indirect Cost	31	0	0	0	0
General State Charges	0	0	0	0	0
Homeland Security and Emergency Services	21,665	10,858	11,892	11,814	11,814
Grants to Local Governments	0	2,650	3,300	3,300	3,300
State Operations	21,665	8,208	8,592	8,514	8,514
Personal Service	10,896	8,208	8,592	8,514	8,514
Non-Personal Service/Indirect Cost	10,769	0	0	0	0
General State Charges	0	0	0	0	0
Homeland Security	0	35,298	32,733	30,225	30,227
Grants to Local Governments	0	0	0	0	0
State Operations	0	35,298	32,733	30,225	30,227
Personal Service	0	31,909	32,733	30,225	30,227
Non-Personal Service/Indirect Cost	0	3,389	0	0	0
General State Charges	0	0	0	0	0
Investigator, Temporary State Commission of	391	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	391	0	0	0	0
Personal Service	386	0	0	0	0
Non-Personal Service/Indirect Cost	5	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Judicial Commissions					
Grants to Local Governments	5,145	5,492	5,595	5,669	5,749
State Operations	0	0	0	0	0
Personal Service	5,145	5,492	5,595	5,669	5,749
Non-Personal Service/Indirect Cost	3,988	4,116	4,145	4,185	4,228
General State Charges	1,157	1,376	1,450	1,484	1,521
	0	0	0	0	0
Military and Naval Affairs, Division of					
Grants to Local Governments	67,804	34,187	21,131	21,437	21,435
State Operations	31,571	19,835	6,466	6,466	6,466
Personal Service	36,233	14,350	14,663	14,969	14,967
Non-Personal Service/Indirect Cost	19,790	10,218	10,221	10,345	10,345
General State Charges	16,443	4,132	4,442	4,624	4,622
	0	2	2	2	2
Parole, Division of					
Grants to Local Governments	188,175	188,067	185,173	189,166	191,711
State Operations	16,324	11,321	12,504	14,051	14,051
Personal Service	171,851	171,746	172,669	175,115	177,660
Non-Personal Service/Indirect Cost	139,375	136,425	136,752	138,171	139,601
General State Charges	32,476	35,321	35,917	36,944	38,059
	0	0	0	0	0
Probation and Correctional Alternatives, Division of					
Grants to Local Governments	74,765	0	0	0	1,458
State Operations	72,254	0	0	0	1,458
Personal Service	2,511	0	0	0	0
Non-Personal Service/Indirect Cost	2,255	0	0	0	0
General State Charges	256	0	0	0	0
	0	0	0	0	0
State Police, Division of					
Grants to Local Governments	535,571	456,191	480,505	475,005	475,005
State Operations	0	0	0	0	0
Personal Service	535,571	456,191	480,505	475,005	475,005
Non-Personal Service/Indirect Cost	489,624	424,012	423,284	423,284	423,284
General State Charges	45,947	32,179	57,221	51,721	51,721
	0	0	0	0	0
Functional Total	3,664,882	3,389,835	3,458,813	3,511,025	3,550,163
HIGHER EDUCATION					
City University of New York					
Grants to Local Governments	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
State Operations	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Higher Education Services Corporation					
Grants to Local Governments	852,136	799,623	828,737	851,547	851,547
State Operations	823,299	795,138	818,737	841,547	841,547
Personal Service	28,837	4,485	10,000	10,000	10,000
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	28,837	4,485	10,000	10,000	10,000
	0	0	0	0	0
State University of New York					
Grants to Local Governments	1,833,353	1,746,300	1,896,837	1,934,774	1,956,980
State Operations	445,974	478,783	619,332	619,332	619,332
Personal Service	1,208,507	1,069,462	1,079,450	1,117,387	1,139,593
Non-Personal Service/Indirect Cost	849,522	800,357	797,364	818,914	828,176
General State Charges	358,985	269,105	282,086	298,473	311,417
	178,872	198,055	198,055	198,055	198,055
Functional Total	4,210,777	3,766,673	4,048,018	4,198,172	4,308,356

LOWER EDUCATION (Pre-K through 12)

Arts, Council on the					
Grants to Local Governments	42,286	44,836	40,251	40,307	40,364
State Operations	36,887	39,850	35,150	35,150	35,150
Personal Service	5,399	4,986	5,101	5,157	5,214
Non-Personal Service/Indirect Cost	3,741	3,484	3,514	3,554	3,594
General State Charges	1,658	1,502	1,587	1,603	1,620
	0	0	0	0	0

Education, Department of

School Aid					
Grants to Local Governments	17,057,597	20,450,274	21,722,309	24,156,431	26,459,636
State Operations	15,423,736	18,783,246	19,837,656	22,185,970	24,437,650
Personal Service	15,423,736	18,783,246	19,837,656	22,185,970	24,437,650
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

School Aid - Medicaid Assistance

Grants to Local Governments	63,757	125,820	0	0	0
State Operations	63,757	125,820	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

Special Education Categorical Programs

Grants to Local Governments	919,682	941,266	1,299,771	1,379,936	1,434,916
State Operations	919,682	941,266	1,299,771	1,379,936	1,434,916
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
All Other	650,422	599,942	584,882	590,525	587,070
Grants to Local Governments	600,090	555,200	539,976	544,930	541,511
State Operations	48,631	42,832	42,996	43,685	43,649
Personal Service	29,760	26,473	26,741	27,003	26,967
Non-Personal Service/Indirect Cost	18,871	16,359	16,255	16,682	16,682
General State Charges	1,701	1,910	1,910	1,910	1,910
Functional Total	17,099,883	20,495,110	21,762,560	24,196,738	26,500,000
GENERAL GOVERNMENT					
Budget, Division of the	24,368	26,845	27,803	28,250	28,630
Grants to Local Governments	0	0	0	0	0
State Operations	24,368	26,845	27,803	28,250	28,630
Personal Service	22,036	22,779	23,474	24,190	24,436
Non-Personal Service/Indirect Cost	2,332	4,066	4,329	4,060	4,194
General State Charges	0	0	0	0	0
Civil Service, Department of	20,257	17,212	17,426	17,629	17,857
Grants to Local Governments	0	0	0	0	0
State Operations	20,257	17,212	17,426	17,629	17,857
Personal Service	19,207	16,609	16,757	16,923	17,121
Non-Personal Service/Indirect Cost	1,050	603	669	706	736
General State Charges	0	0	0	0	0
Deferred Compensation	103	53	56	59	62
Grants to Local Governments	0	0	0	0	0
State Operations	103	53	56	59	62
Personal Service	31	31	31	31	31
Non-Personal Service/Indirect Cost	72	22	25	28	31
General State Charges	0	0	0	0	0
Elections, State Board of	6,031	9,088	6,197	36,339	6,464
Grants to Local Governments	195	3,000	0	30,000	0
State Operations	5,836	6,088	6,197	6,339	6,464
Personal Service	4,389	4,230	4,238	4,285	4,328
Non-Personal Service/Indirect Cost	1,447	1,858	1,959	2,054	2,136
General State Charges	0	0	0	0	0
Employee Relations, Office of	3,204	3,298	3,334	3,371	3,415
Grants to Local Governments	0	0	0	0	0
State Operations	3,204	3,298	3,334	3,371	3,415
Personal Service	3,071	3,167	3,195	3,224	3,259
Non-Personal Service/Indirect Cost	133	131	139	147	156
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
General Services, Office of	127,444	128,462	131,920	135,906	138,636
Grants to Local Governments	24	400	324	324	324
State Operations	127,420	128,062	131,596	135,582	138,312
Personal Service	59,521	56,659	56,790	57,670	58,257
Non-Personal Service/Indirect Cost	67,899	71,403	74,806	77,912	80,055
General State Charges	0	0	0	0	0
Inspector General, Office of	6,052	6,091	6,254	6,339	6,426
Grants to Local Governments	0	0	0	0	0
State Operations	6,052	6,091	6,254	6,339	6,426
Personal Service	5,767	5,629	5,673	5,734	5,795
Non-Personal Service/Indirect Cost	285	462	581	605	631
General State Charges	0	0	0	0	0
Labor Management Committee	33,609	59,133	67,526	25,718	25,718
Grants to Local Governments	0	0	0	0	0
State Operations	33,609	59,133	67,526	25,718	25,718
Personal Service	8,055	8,182	8,073	8,161	8,161
Non-Personal Service/Indirect Cost	25,554	50,951	59,453	17,557	17,557
General State Charges	0	0	0	0	0
Public Employment Relations Board	3,637	3,717	3,613	3,793	3,849
Grants to Local Governments	0	0	0	0	0
State Operations	3,637	3,717	3,613	3,793	3,849
Personal Service	3,262	3,268	3,088	3,122	3,158
Non-Personal Service/Indirect Cost	375	449	525	671	691
General State Charges	0	0	0	0	0
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Grants to Local Governments	0	0	0	0	0
State Operations	4,209	4,312	4,721	4,901	4,978
Personal Service	3,357	3,352	3,675	3,819	3,861
Non-Personal Service/Indirect Cost	852	960	1,046	1,082	1,117
General State Charges	0	0	0	0	0
Real Property Services, Office of	34,790	0	0	0	0
Grants to Local Governments	11,409	0	0	0	0
State Operations	23,381	0	0	0	0
Personal Service	19,732	0	0	0	0
Non-Personal Service/Indirect Cost	3,649	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Regulatory Reform, Governor's Office of					
Grants to Local Governments	2,449	2,276	2,276	2,276	2,276
State Operations	0	0	0	0	0
Personal Service	2,449	2,276	2,276	2,276	2,276
Non-Personal Service/Indirect Cost	2,248	2,082	2,082	2,082	2,082
General State Charges	201	194	194	194	194
	0	0	0	0	0
State, Department of					
Grants to Local Governments	41,868	46,975	34,262	34,237	34,212
State Operations	23,530	31,436	18,845	18,845	18,845
Personal Service	18,338	15,539	15,417	15,392	15,367
Non-Personal Service/Indirect Cost	13,737	12,474	12,435	12,435	12,435
General State Charges	4,601	3,065	2,982	2,957	2,932
	0	0	0	0	0
Tax Appeals, Division of					
Grants to Local Governments	3,458	3,108	3,108	3,108	3,146
State Operations	0	0	0	0	0
Personal Service	3,458	3,108	3,108	3,108	3,146
Non-Personal Service/Indirect Cost	2,960	2,741	2,741	2,741	2,768
General State Charges	498	367	367	367	378
	0	0	0	0	0
Taxation and Finance, Department of					
Grants to Local Governments	334,186	392,299	392,049	392,189	396,654
State Operations	0	12,196	12,361	12,461	12,461
Personal Service	334,186	380,103	379,688	379,728	384,193
Non-Personal Service/Indirect Cost	267,552	310,632	310,670	310,710	313,313
General State Charges	66,634	69,471	69,018	69,018	70,880
	0	0	0	0	0
Technology, Office for					
Grants to Local Governments	22,765	30,900	34,030	35,076	35,539
State Operations	299	2,200	0	0	0
Personal Service	22,466	28,700	34,030	35,076	35,539
Non-Personal Service/Indirect Cost	10,571	13,409	13,483	13,603	13,701
General State Charges	11,895	15,291	20,547	21,473	21,838
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Veterans' Affairs, Division of	14,756	15,868	15,495	15,418	15,500
Grants to Local Governments	8,290	9,513	9,076	9,076	9,076
State Operations	6,466	6,355	6,419	6,342	6,424
Personal Service	5,996	5,991	5,946	5,991	6,052
Non-Personal Service/Indirect Cost	470	454	473	351	372
General State Charges	0	0	0	0	0
Functional Total	683,186	749,637	750,070	744,609	723,362
ELECTED OFFICIALS					
Legislature	224,079	220,045	224,446	228,935	233,513
Grants to Local Governments	0	0	0	0	0
State Operations	224,079	220,045	224,446	228,935	233,513
Personal Service	177,874	165,047	168,348	171,715	175,149
Non-Personal Service/Indirect Cost	46,205	54,998	56,098	57,220	58,364
General State Charges	0	0	0	0	0
Judiciary	2,268,899	2,353,000	2,707,230	2,705,372	2,705,372
Grants to Local Governments	4,643	20,100	20,100	20,100	20,100
State Operations	1,771,673	1,792,200	2,096,640	2,110,535	2,110,535
Personal Service	1,476,862	1,477,186	1,779,799	1,785,836	1,785,836
Non-Personal Service/Indirect Cost	294,811	315,014	316,841	324,699	324,699
General State Charges	492,583	540,700	590,490	574,737	574,737
Audit and Control, Department of	168,256	172,318	175,591	180,039	182,181
Grants to Local Governments	32,026	32,024	32,024	32,024	32,024
State Operations	136,230	140,294	143,567	148,015	150,157
Personal Service	111,830	113,342	115,494	115,588	116,742
Non-Personal Service/Indirect Cost	24,400	26,952	28,073	32,427	33,415
General State Charges	0	0	0	0	0
Law, Department of	119,910	114,404	117,524	119,111	121,020
Grants to Local Governments	0	100	81	81	81
State Operations	119,910	114,304	117,443	119,030	120,939
Personal Service	101,527	100,259	101,188	101,973	103,027
Non-Personal Service/Indirect Cost	18,383	14,045	16,255	17,057	17,912
General State Charges	0	0	0	0	0
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Grants to Local Governments	0	0	0	0	0
State Operations	17,056	17,328	17,952	18,229	18,487
Personal Service	13,451	14,000	14,420	14,853	15,001
Non-Personal Service/Indirect Cost	3,605	3,328	3,532	3,376	3,486
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Lieutenant Governor, Office of the					
Grants to Local Governments	0	658	1,193	1,208	1,208
State Operations	0	0	0	0	0
Personal Service	0	658	1,193	1,208	1,208
Non-Personal Service/Indirect Cost	0	540	1,006	1,016	1,016
General State Charges	0	118	187	192	192
	0	0	0	0	0
Functional Total	2,798,200	2,877,753	3,243,936	3,252,894	3,261,781
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities					
Grants to Local Governments	1,039,488	751,538	1,027,357	1,037,229	1,044,566
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Efficiency Incentive Grants Program					
Grants to Local Governments	3,293	7,450	7,450	7,511	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous Financial Assistance					
Grants to Local Governments	8,920	3,920	3,920	3,920	3,920
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Municipalities with VLT Facilities					
Grants to Local Governments	26,489	25,801	25,801	25,801	25,801
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Small Government Assistance					
Grants to Local Governments	2,089	2,088	2,088	2,088	2,088
State Operations	2,089	2,088	2,088	2,088	2,088
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
General State Charges					
Grants to Local Governments	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
State Operations	0	0	0	0	0
Personal Service	4,852	0	0	0	0
Non-Personal Service/Indirect Cost	2,175	0	0	0	0
General State Charges	2,677	0	0	0	0
	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous					
Grants to Local Governments	(78,946)	(253,224)	61,890	175,053	178,831
State Operations	(84,359)	(9,086)	210,022	148,208	101,986
Personal Service	2,535	(248,558)	(152,552)	22,427	72,427
Non-Personal Service/Indirect Cost	86	(23,777)	32,229	157,228	157,228
General State Charges	2,449	(224,781)	(184,781)	(134,801)	(84,801)
	2,878	4,420	4,420	4,418	4,418
Functional Total	2,841,657	3,127,941	3,749,442	4,083,151	4,480,164
TOTAL GENERAL FUND SPENDING	46,415,421	49,662,561	58,640,323	63,576,138	68,049,175

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	58,146	49,736	49,113	51,693	52,077
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,184	2,492	2,556	2,646	2,688
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	40,551	34,527	32,064	32,064	32,620
Energy Research and Development Authority	240	0	0	0	0
Insurance Department	80,893	11,580	0	0	0
Empire State Development Corporation	23,276	38,903	44,236	44,236	34,236
Olympic Regional Development Authority	5,403	4,738	4,948	4,948	5,075
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	9	0	0	0	0
Science, Technology and Innovation, Foundation for	29,083	27,146	26,596	26,496	23,356
Strategic Investment	0	0	0	0	0
Functional Total	239,821	169,322	159,675	162,245	150,214
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	5,120	4,669	4,671	4,671
Environmental Conservation, Department of	124,796	108,117	106,000	106,008	107,883
Environmental Facilities Corporation	125	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,061	140,882	139,627	141,291	141,291
Functional Total	272,135	254,119	250,296	251,970	253,845
TRANSPORTATION					
Motor Vehicles, Department of	55	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	65,676	100,975	100,538	100,538	100,538
Functional Total	65,731	100,975	100,538	100,538	100,538
HEALTH					
Aging, Office for the	115,777	118,639	119,909	119,909	119,909
Health, Department of	7,699,522	8,109,938	13,489,412	14,935,666	16,165,762
Medical Assistance	6,295,718	6,564,450	11,912,465	13,254,289	14,498,385
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Public Health	889,316	994,238	1,003,197	1,084,627	1,070,627
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	23,551	31,050	34,564	34,564	34,564
Stem Cell and Innovation	0	0	0	0	0
Functional Total	7,838,850	8,259,627	13,643,885	15,090,139	16,320,235
SOCIAL WELFARE					
Children and Family Services, Office of	2,000,459	2,138,949	2,366,294	2,596,383	2,823,292
OCFS	1,950,981	2,005,406	2,232,837	2,459,028	2,682,032
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Labor, Department of	14,062	7,756	7,104	3,346	2,459
Housing and Community Renewal, Division of	80,713	64,725	65,797	66,892	66,892
National Commission Services	359	626	627	629	711
Prevention of Domestic Violence, Office for	2,127	2,048	2,060	2,081	2,081

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of Welfare Assistance	1,354,683	1,215,221	1,570,521	1,701,613	1,751,714
Welfare Administration	1,136,469	1,004,114	1,352,602	1,477,266	1,515,522
All Other	51,263	0	0	0	0
Welfare Inspector General, Office of	166,961	211,107	217,919	224,347	236,192
Workers' Compensation Board	313	355	358	358	372
Functional Total	3,463,447	3,440,765	4,023,922	4,382,644	4,658,994
MENTAL HYGIENE					
Mental Health, Office of	539,126	526,184	588,673	590,743	613,192
OMH	114,462	113,048	117,282	120,982	124,868
OMH - Medicaid	424,664	413,136	441,391	469,761	488,324
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,478,173	1,574,142	1,674,094	1,778,769	1,892,233
OPWDD	102,577	118,485	122,304	133,835	140,890
OPWDD - Medicaid	1,375,596	1,455,657	1,551,790	1,644,934	1,751,343
Alcoholism and Substance Abuse Services, Office of	134,108	134,362	144,406	150,493	154,183
OASAS	100,328	97,967	106,221	112,297	115,987
OASAS - Medicaid	33,780	36,395	38,185	38,196	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	5,166	5,319	5,379	5,459	5,540
Functional Total	2,156,573	2,240,007	2,382,552	2,525,464	2,665,148
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,893	2,932	2,984	3,016
Correctional Services, Department of	2,622,853	2,474,613	2,526,655	2,580,001	2,615,324
Criminal Justice Services, Division of	145,752	187,236	192,197	194,724	194,424
Office of Victim Services	144	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	21,665	10,858	11,892	11,814	11,814
Homeland Security	0	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	391	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	67,804	34,187	21,131	21,437	21,435
Parole, Division of	188,175	183,067	185,173	189,166	191,711
Probation and Correctional Alternatives, Division of	74,765	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	535,571	456,191	480,505	475,005	475,005
Wireless Network	0	0	0	0	0
Functional Total	3,664,882	3,389,835	3,458,813	3,511,025	3,550,163

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
Higher Education Services Corporation	852,136	799,623	828,737	851,547	851,547
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	1,833,353	1,746,300	1,896,837	1,934,774	1,956,980
Functional Total	4,210,777	3,766,673	4,048,018	4,198,172	4,308,356
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	42,286	44,836	40,251	40,307	40,364
Education, Department of	17,057,597	20,450,274	21,722,309	24,156,431	26,459,636
<i>School Aid</i>	15,423,736	18,783,246	19,837,656	22,185,970	24,437,650
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	919,682	941,266	1,299,771	1,379,936	1,434,916
<i>All Other</i>	650,422	599,942	584,882	590,525	587,070
Functional Total	17,099,883	20,495,110	21,762,560	24,196,738	26,500,000
GENERAL GOVERNMENT					
Budget, Division of the	24,368	26,845	27,803	28,250	28,630
Civil Service, Department of	20,257	17,212	17,426	17,629	17,857
Deferred Compensation	103	53	56	59	62
Elections, State Board of	6,031	9,088	6,197	36,339	6,464
Employee Relations, Office of	3,204	3,298	3,334	3,371	3,415
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	127,444	128,462	131,920	135,906	138,636
Inspector General, Office of	6,052	6,091	6,254	6,339	6,426
Labor Management Committee	33,609	59,133	67,526	25,718	25,718
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,637	3,717	3,613	3,793	3,849
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	34,790	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	41,868	46,975	34,262	34,237	34,212
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	334,186	392,299	392,049	392,189	396,654
Technology, Office for	22,765	30,900	34,030	35,076	35,539
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	14,756	15,868	15,495	15,418	15,500
Functional Total	683,186	749,637	750,070	744,609	723,362

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	224,079	220,045	224,446	228,935	233,513
Judiciary	2,268,889	2,353,000	2,707,230	2,705,372	2,705,372
Audit and Control, Department of	168,286	172,318	175,591	180,039	182,181
Law, Department of	119,910	114,404	117,524	119,111	121,020
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	2,798,200	2,877,753	3,243,936	3,252,894	3,261,781
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,450	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	(78,946)	(253,224)	61,890	175,053	178,831
Functional Total	2,841,657	3,127,941	3,749,442	4,083,151	4,480,164
TOTAL GENERAL FUND SPENDING	46,415,421	49,662,561	58,640,323	63,576,138	68,049,175

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	20,023	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	11,436	13,408	10,249	10,249	10,249
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	68,598	11,145	0	0	0
Empire State Development Corporation	23,276	38,903	44,236	44,236	34,236
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,251	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	156,391	107,930	98,441	100,735	87,672
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	7,965	6,925	6,526	6,526	6,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	14,529	17,750	14,900	14,900	14,900
Functional Total	22,494	24,675	21,426	21,426	21,426
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	64,139	100,011	99,574	99,574	99,574
Functional Total	64,139	100,011	99,574	99,574	99,574
HEALTH					
Aging, Office for the	113,209	116,586	117,893	117,893	117,893
Health, Department of	7,479,961	7,857,915	13,230,318	14,676,572	15,906,668
Medical Assistance	6,274,434	6,518,095	11,866,110	13,207,934	14,452,030
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Public Health	691,039	788,570	790,458	871,888	857,888
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	7,593,170	7,974,501	13,348,211	14,794,465	16,024,561
SOCIAL WELFARE					
Children and Family Services, Office of	1,746,618	1,863,084	2,057,463	2,261,881	2,488,622
OCFS	1,697,140	1,729,541	1,924,006	2,124,526	2,347,362
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	0	0	0	0	0
Labor, Department of	13,019	7,756	7,104	3,346	2,459
Housing and Community Renewal, Division of	54,192	44,535	44,535	44,535	44,535
National Commission Services	0	350	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	685	685	685	685
Temporary and Disability Assistance, Office of	1,304,697	1,153,612	1,504,380	1,631,860	1,661,266
Welfare Assistance	1,136,459	1,004,114	1,352,602	1,477,266	1,515,522
Welfare Administration	51,263	0	0	0	0
All Other	116,975	149,498	151,778	154,594	165,744
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,119,182	3,070,022	3,614,517	3,942,657	4,217,917
MENTAL HYGIENE					
Mental Health, Office of	539,126	525,384	557,873	589,943	612,392
OMH	114,462	112,248	116,482	120,182	124,068
OMH - Medicaid	424,664	413,136	441,391	469,761	488,324
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,478,173	1,574,142	1,674,094	1,778,769	1,892,233
OPWDD	102,577	118,485	122,304	133,835	140,890
OPWDD - Medicaid	1,375,596	1,455,657	1,551,790	1,644,934	1,751,343
Alcoholism and Substance Abuse Services, Office of	134,083	134,362	144,406	150,493	154,183
OASAS	100,303	97,967	106,221	112,297	115,987
OASAS - Medicaid	33,780	36,395	38,185	38,196	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	593	170	170	170	170
Functional Total	2,151,975	2,234,058	2,376,543	2,519,375	2,658,978
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,390	300	243	243	243
Criminal Justice Services, Division of	90,194	130,449	132,966	134,366	132,908
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	2,650	3,300	3,300	3,300
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	31,571	19,835	6,466	6,466	6,466
Parole, Division of	16,324	11,321	12,504	14,051	14,051
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	211,733	164,555	155,479	158,426	158,426

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
Higher Education Services Corporation	823,289	795,138	818,737	841,547	841,547
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	445,974	478,783	619,332	619,332	619,332
Functional Total	2,794,561	2,494,671	2,760,513	2,872,730	2,960,708
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	36,887	39,850	35,150	35,150	35,150
Education, Department of	17,007,265	20,405,532	21,677,403	24,110,836	26,414,077
School Aid	15,423,736	18,783,246	19,837,656	22,185,970	24,437,650
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	919,682	941,266	1,299,771	1,379,936	1,434,916
All Other	600,090	555,200	539,976	544,930	541,511
Functional Total	17,044,152	20,445,382	21,712,553	24,145,986	26,449,227
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	195	3,000	0	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	400	324	324	324
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	23,530	31,436	18,845	18,845	18,845
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,196	12,561	12,461	12,461
Technology, Office for	289	2,200	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,513	9,076	9,076	9,076
Functional Total	43,747	58,745	40,606	70,706	40,706

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	4,643	20,100	20,100	20,100	20,100
Audit and Control, Department of	32,026	32,024	32,024	32,024	32,024
Law, Department of	0	100	81	81	81
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	36,669	52,224	52,205	52,205	52,205
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,283	7,450	7,450	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(84,359)	(9,086)	210,022	148,208	101,986
Functional Total	(84,359)	(9,086)	210,022	148,208	101,986
TOTAL LOCAL ASSISTANCE SPENDING	34,234,133	37,508,485	45,556,706	50,003,042	53,949,761

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,305	29,713	28,959	29,145	29,392
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,184	2,492	2,556	2,646	2,688
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,115	21,119	21,815	21,815	22,371
Energy Research and Development Authority	240	0	0	0	0
Insurance Department	12,295	435	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,403	4,738	4,948	4,948	5,075
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	9	0	0	0	0
Science, Technology and Innovation, Foundation for	2,879	2,895	2,956	2,956	3,016
Strategic Investment	0	0	0	0	0
Functional Total	83,430	61,392	61,234	61,510	62,542
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	5,120	4,669	4,671	4,671
Environmental Conservation, Department of	116,831	101,192	99,474	99,482	101,357
Environmental Facilities Corporation	125	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	127,552	123,132	124,727	126,391	126,391
Functional Total	249,641	229,444	228,870	230,544	232,419
TRANSPORTATION					
Motor Vehicles, Department of	55	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,537	964	964	964	964
Functional Total	1,592	964	964	964	964
HEALTH					
Aging, Office for the	2,568	2,053	2,016	2,016	2,016
Health, Department of	219,561	252,023	259,094	259,094	259,094
Medical Assistance	21,284	46,355	46,355	46,355	46,355
Medicaid Administration	0	0	0	0	0
Public Health	198,277	205,668	212,739	212,739	212,739
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	23,551	31,050	34,564	34,564	34,564
Stem Cell and Innovation	0	0	0	0	0
Functional Total	245,680	285,126	295,674	295,674	295,674
SOCIAL WELFARE					
Children and Family Services, Office of	253,841	275,865	308,831	334,502	334,670
OCFS	253,841	275,865	308,831	334,502	334,670
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Labor, Department of	1,043	0	0	0	0
Housing and Community Renewal, Division of	26,521	20,190	21,262	22,357	22,357
National Commission Services	359	276	277	279	361

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,471	1,363	1,375	1,396	1,396
Temporary and Disability Assistance, Office of	49,986	61,609	66,141	69,753	70,448
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	49,986	61,609	66,141	69,753	70,448
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	0	0	0	0	0
Functional Total	344,265	370,743	409,405	439,987	441,077
MENTAL HYGIENE					
Mental Health, Office of	0	800	800	800	800
OMH	0	800	800	800	800
OMH - Medicaid	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	0	0	0	0	0
OPWDD	0	0	0	0	0
OPWDD - Medicaid	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	25	0	0	0	0
OASAS	25	0	0	0	0
OASAS - Medicaid	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	4,573	5,149	5,209	5,289	5,370
Functional Total	4,598	5,949	6,009	6,089	6,170
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,893	2,932	2,984	3,016
Correctional Services, Department of	2,619,463	2,472,313	2,526,412	2,579,758	2,615,081
Criminal Justice Services, Division of	55,558	56,787	59,231	60,358	61,516
Office of Victim Services	144	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	21,665	8,208	8,592	8,514	8,514
Homeland Security	0	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	391	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	36,233	14,350	14,663	14,969	14,967
Parole, Division of	171,851	171,746	172,669	175,115	177,660
Probation and Correctional Alternatives, Division of	2,511	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	535,571	456,191	480,505	475,005	475,005
Wireless Network	0	0	0	0	0
Functional Total	3,451,149	3,223,278	3,303,332	3,352,597	3,391,735

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	28,837	4,485	10,000	10,000	10,000
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	1,208,507	1,069,462	1,079,450	1,117,387	1,139,593
Functional Total	1,237,344	1,073,947	1,089,450	1,127,387	1,149,593
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	5,399	4,986	5,101	5,157	5,214
Education, Department of	48,631	42,832	42,996	43,685	43,649
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	48,631	42,832	42,996	43,685	43,649
Functional Total	54,030	47,818	48,097	48,842	48,863
GENERAL GOVERNMENT					
Budget, Division of the	24,368	26,845	27,803	28,250	28,630
Civil Service, Department of	20,257	17,212	17,426	17,629	17,857
Deferred Compensation	103	53	56	59	62
Elections, State Board of	5,836	6,088	6,197	6,339	6,464
Employee Relations, Office of	3,204	3,298	3,334	3,371	3,415
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	127,420	128,062	131,596	135,582	138,312
Inspector General, Office of	6,052	6,091	6,254	6,339	6,426
Labor Management Committee	33,609	59,133	67,526	25,718	25,718
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,637	3,717	3,613	3,793	3,849
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	23,381	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	18,338	15,539	15,417	15,392	15,367
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	334,186	380,103	379,688	379,728	384,193
Technology, Office for	22,466	28,700	34,030	35,076	35,539
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,466	6,355	6,419	6,342	6,424
Functional Total	639,439	690,892	709,464	673,903	682,656

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	224,079	220,045	224,446	228,935	233,513
Judiciary	1,771,673	1,792,200	2,096,640	2,110,535	2,110,535
Audit and Control, Department of	136,230	140,294	143,567	148,015	150,157
Law, Department of	119,910	114,304	117,443	119,030	120,939
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	2,268,948	2,284,829	2,601,241	2,625,952	2,634,839
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	2,535	(248,558)	(152,552)	22,427	72,427
Functional Total	7,387	(248,558)	(152,552)	22,427	72,427
TOTAL STATE OPERATIONS SPENDING	8,587,503	8,025,824	8,601,188	8,885,876	9,018,959

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	23,245	22,380	21,808	21,755	21,755
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	1,993	2,184	2,211	2,281	2,306
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,973	12,606	12,704	12,704	12,849
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	528	150	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,734	2,734	2,734	2,771
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	2,254	1,879	1,896	1,896	1,919
Strategic Investment	0	0	0	0	0
Functional Total	44,083	41,933	41,353	41,370	41,600
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,571	4,212	4,214	4,214
Environmental Conservation, Department of	100,081	87,119	85,380	85,388	87,263
Environmental Facilities Corporation	122	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	113,362	97,265	99,045	99,918	99,918
Functional Total	218,294	188,955	188,637	189,520	191,395
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	0	0	0	0	0
Functional Total	0	0	0	0	0
HEALTH					
Aging, Office for the	2,427	1,694	1,634	1,634	1,634
Health, Department of	81,583	87,112	89,641	89,641	89,641
Medical Assistance	0	500	500	500	500
Medicaid Administration	0	0	0	0	0
Public Health	81,583	86,612	89,141	89,141	89,141
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	16,172	21,957	22,104	22,104	22,104
Stem Cell and Innovation	0	0	0	0	0
Functional Total	100,182	110,763	113,379	113,379	113,379
SOCIAL WELFARE					
Children and Family Services, Office of	170,669	176,830	197,828	217,067	213,800
OCFS	170,669	176,830	197,828	217,067	213,800
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	8,027	9,042	9,036	9,133	9,231
Labor, Department of	800	0	0	0	0
Housing and Community Renewal, Division of	15,110	11,618	12,301	13,012	13,012
National Commission Services	316	235	236	238	320

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,251	1,209	1,239	1,252	1,252
Temporary and Disability Assistance, Office of	14,749	16,048	16,194	16,343	16,519
Welfare Assistance	0	0	0	0	0
Welfare Administration	14,749	16,048	16,194	16,343	16,519
All Other	313	355	358	358	372
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	211,235	215,337	237,192	257,403	254,506
Functional Total					
MENTAL HYGIENE					
Mental Health, Office of	0	0	0	0	0
OMH	0	0	0	0	0
OMH - Medicaid	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	0	0	0	0	0
OPWDD	0	0	0	0	0
OPWDD - Medicaid	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	0	0	0	0	0
OASAS	0	0	0	0	0
OASAS - Medicaid	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	3,627	3,725	3,750	3,793	3,834
Functional Total	3,627	3,725	3,750	3,793	3,834
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,142	2,491	2,518	2,552	2,568
Corrections Services, Department of	2,111,324	1,921,624	1,939,287	1,957,469	1,950,604
Criminal Justice Services, Division of	35,031	37,604	37,980	38,361	38,754
Office of Victim Services	113	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	10,896	8,208	8,592	8,514	8,514
Homeland Security	0	31,909	32,733	30,225	30,227
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	4,116	4,145	4,185	4,228
Military and Naval Affairs, Division of	19,790	10,218	10,221	10,345	10,345
Parole, Division of	139,375	136,425	136,752	138,171	139,601
Probation and Correctional Alternatives, Division of	2,255	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	489,624	424,012	423,284	423,284	423,284
Wireless Network	0	0	0	0	0
Functional Total	2,814,924	2,576,607	2,595,512	2,613,106	2,608,125

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	849,522	800,357	797,364	818,914	828,176
Functional Total	849,522	800,357	797,364	818,914	828,176
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	3,741	3,484	3,514	3,554	3,594
Education, Department of	29,760	26,473	26,741	27,003	26,967
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	29,760	26,473	26,741	27,003	26,967
Functional Total	33,501	29,957	30,255	30,557	30,561
GENERAL GOVERNMENT					
Budget, Division of the	22,036	22,779	23,474	24,190	24,436
Civil Service, Department of	19,207	16,609	16,757	16,923	17,121
Deferred Compensation	31	31	31	31	31
Elections, State Board of	4,389	4,230	4,238	4,285	4,328
Employee Relations, Office of	3,071	3,167	3,195	3,224	3,259
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	59,521	56,659	56,790	57,670	58,257
Inspector General, Office of	5,767	5,629	5,673	5,734	5,795
Labor Management Committee	8,055	8,182	8,073	8,161	8,161
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	3,262	3,268	3,088	3,122	3,158
Public Integrity, Commission on	3,357	3,352	3,675	3,819	3,861
Real Property Services, Office of	19,732	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	2,082	2,082	2,082	2,082
State, Department of	13,737	12,474	12,435	12,435	12,435
Tax Appeals, Division of	2,960	2,741	2,741	2,741	2,768
Taxation and Finance, Department of	267,552	310,632	310,670	310,710	313,313
Technology, Office for	10,571	13,409	13,483	13,603	13,701
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	5,996	5,901	5,946	5,991	6,052
Functional Total	451,492	471,145	472,351	474,721	478,758

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,476,862	1,477,186	1,779,799	1,785,836	1,785,836
Audit and Control, Department of	111,830	113,342	115,494	115,588	116,742
Law, Department of	101,527	100,259	101,188	101,973	103,027
Executive Chamber	13,451	14,000	14,420	14,853	15,001
Lieutenant Governor, Office of the	0	540	1,006	1,016	1,016
Functional Total	1,881,544	1,870,374	2,180,255	2,190,981	2,196,771
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	86	(23,777)	32,229	157,228	157,228
Functional Total	2,261	(23,777)	32,229	157,228	157,228
TOTAL PERSONAL SERVICE SPENDING	6,610,665	6,285,376	6,692,277	6,890,972	6,904,333

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,060	7,333	7,151	7,390	7,637
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	191	308	345	365	382
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,142	8,513	9,111	9,111	9,522
Energy Research and Development Authority	240	0	0	0	0
Insurance Department	11,767	285	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,313	2,004	2,214	2,214	2,304
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	9	0	0	0	0
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	39,347	19,459	19,881	20,140	20,942
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	424	549	457	457	457
Environmental Conservation, Department of	16,750	14,073	14,094	14,094	14,094
Environmental Facilities Corporation	3	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	14,170	25,867	25,682	26,473	26,473
Functional Total	31,347	40,489	40,233	41,024	41,024
TRANSPORTATION					
Motor Vehicles, Department of	55	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,537	964	964	964	964
Functional Total	1,592	964	964	964	964
HEALTH					
Aging, Office for the	141	359	382	382	382
Health, Department of	137,978	164,911	169,453	169,453	169,453
Medical Assistance	21,284	45,855	45,855	45,855	45,855
Medicaid Administration	0	0	0	0	0
Public Health	116,694	119,056	123,598	123,598	123,598
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	7,379	9,093	12,460	12,460	12,460
Stem Cell and Innovation	0	0	0	0	0
Functional Total	145,498	174,363	182,295	182,295	182,295
SOCIAL WELFARE					
Children and Family Services, Office of	83,172	99,035	111,003	117,435	120,870
OCFS	83,172	99,035	111,003	117,435	120,870
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	2,704	2,043	2,125	2,209	2,242
Labor, Department of	243	0	0	0	0
Housing and Community Renewal, Division of	11,411	8,572	8,961	9,345	9,345
National Commission Services	43	41	41	41	41

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	154	136	144	144
Temporary and Disability Assistance, Office of	35,237	45,561	49,947	53,410	53,929
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	35,237	45,561	49,947	53,410	53,929
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	133,030	155,406	172,213	182,584	186,571
MENTAL HYGIENE					
Mental Health, Office of	0	800	800	800	800
OMH	0	800	800	800	800
OMH - Medicaid	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	0	0	0	0	0
OPWDD	0	0	0	0	0
OPWDD - Medicaid	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	25	0	0	0	0
OASAS	25	0	0	0	0
OASAS - Medicaid	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	946	1,424	1,459	1,496	1,536
Functional Total	971	2,224	2,259	2,296	2,336
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	454	402	414	432	448
Correctional Services, Department of	508,139	550,689	587,125	622,289	664,477
Criminal Justice Services, Division of	20,627	19,183	21,251	21,997	22,762
Office of Victim Services	31	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	10,769	0	0	0	0
Homeland Security	0	3,389	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	5	0	0	0	0
Judicial Commissions	1,157	1,376	1,450	1,484	1,521
Military and Naval Affairs, Division of	16,443	4,132	4,442	4,624	4,622
Parole, Division of	32,476	35,321	35,917	36,944	38,059
Probation and Correctional Alternatives, Division of	256	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	45,947	32,179	57,221	51,721	51,721
Wireless Network	0	0	0	0	0
Functional Total	636,225	646,671	707,820	739,491	783,610

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	28,837	4,485	10,000	10,000	10,000
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	358,985	269,105	282,086	298,473	311,417
Functional Total	367,822	273,590	292,086	308,473	321,417
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	1,658	1,502	1,587	1,603	1,620
Education, Department of	18,871	16,359	16,255	16,682	16,682
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	18,871	16,359	16,255	16,682	16,682
Functional Total	20,529	17,861	17,842	18,285	18,302
GENERAL GOVERNMENT					
Budget, Division of the	2,332	4,066	4,329	4,060	4,194
Civil Service, Department of	1,050	603	669	706	736
Deferred Compensation	72	22	25	28	31
Elections, State Board of	1,447	1,858	1,959	2,054	2,136
Employee Relations, Office of	133	131	139	147	156
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	67,899	71,403	74,806	77,912	80,055
Inspector General, Office of	285	462	581	605	631
Labor Management Committee	25,554	50,951	59,453	17,557	17,557
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	375	449	525	671	691
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	3,649	0	0	0	0
Regulatory Reform, Governor's Office of	201	194	194	194	194
State, Department of	4,601	3,065	2,982	2,957	2,932
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	66,634	69,471	69,018	69,018	70,880
Technology, Office for	11,895	15,291	20,547	21,473	21,838
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	470	454	473	351	372
Functional Total	187,947	219,747	237,113	198,182	203,898

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	46,205	54,998	56,098	57,220	58,364
Judiciary	294,811	315,014	316,841	324,699	324,699
Audit and Control, Department of	24,400	26,952	28,073	32,427	33,415
Law, Department of	18,383	14,045	16,255	17,057	17,912
Executive Chamber	3,605	3,328	3,532	3,376	3,486
Lieutenant Governor, Office of the	0	118	187	192	192
Functional Total	387,404	414,455	420,986	434,971	438,068
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,449	(224,781)	(184,781)	(134,801)	(84,801)
Functional Total	5,126	(224,781)	(184,781)	(134,801)	(84,801)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	1,976,838	1,740,448	1,908,911	1,994,904	2,114,626

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	2,000	2,000	0	0	0
Functional Total	<u>2,000</u>	<u>2,002</u>	<u>2</u>	<u>2</u>	<u>2</u>
HIGHER EDUCATION					
State University of New York	178,872	198,055	198,055	198,055	198,055
Functional Total	<u>178,872</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>
LOWER EDUCATION (Pre-K through 12)					
Education, Department of	1,701	1,910	1,910	1,910	1,910
Functional Total	<u>1,701</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>
ELECTED OFFICIALS					
Judiciary	492,583	540,700	590,490	574,737	574,737
Functional Total	<u>492,583</u>	<u>540,700</u>	<u>590,490</u>	<u>574,737</u>	<u>574,737</u>
ALL OTHER CATEGORIES					
General State Charges	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	2,878	4,420	4,420	4,418	4,418
Functional Total	<u>2,918,629</u>	<u>3,385,585</u>	<u>3,691,972</u>	<u>3,912,516</u>	<u>4,305,751</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>3,593,785</u>	<u>4,128,252</u>	<u>4,482,429</u>	<u>4,687,220</u>	<u>5,080,455</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of					
Grants to Local Governments	94,674	83,831	84,125	87,820	89,033
State Operations	26,841	20,023	20,154	22,548	22,685
Personal Service	63,273	58,676	58,085	58,493	59,022
Non-Personal Service/Indirect Cost	34,218	32,976	32,614	32,724	32,944
General State Charges	29,055	25,700	25,471	25,769	26,078
Capital Projects	4,560	5,132	5,886	6,779	7,326
	0	0	0	0	0
	17,012	19,892	20,776	20,294	20,911
	0	0	0	0	0
	12,875	15,367	15,974	14,764	15,017
	8,838	9,293	9,367	9,441	9,559
	4,037	6,074	6,607	5,323	5,458
	4,137	4,525	4,802	5,530	5,894
	87,166	87,865	87,211	89,047	89,647
	1,035	0	0	0	0
	65,082	64,687	63,668	63,721	64,131
	49,855	49,687	49,149	49,200	49,251
	15,227	15,000	14,521	14,521	14,880
	21,049	23,178	23,543	25,326	25,516
	36	200	162	162	162
	36	200	162	162	162
	0	0	0	0	0
	0	0	0	0	0
	0	0	0	0	0
	2,295	2,946	2,926	2,741	2,783
	0	0	0	0	0
	2,295	2,906	2,926	2,741	2,783
	2,062	2,293	2,211	2,281	2,306
	233	613	715	460	477
	0	40	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Economic Development, Department of	41,065	37,204	34,741	34,741	35,297
Grants to Local Governments	11,461	13,408	10,249	10,249	10,249
State Operations	29,584	23,768	24,464	24,464	25,020
Personal Service	12,979	12,654	12,752	12,752	12,897
Non-Personal Service/Indirect Cost	16,605	11,114	11,712	11,712	12,123
General State Charges	20	28	28	28	28
Capital Projects	0	0	0	0	0
Energy Research and Development Authority	15,880	15,688	15,997	16,158	16,388
Grants to Local Governments	8,657	9,234	9,234	9,234	9,234
State Operations	5,481	4,871	5,180	5,286	5,396
Personal Service	3,968	3,299	3,365	3,432	3,501
Non-Personal Service/Indirect Cost	1,513	1,572	1,815	1,854	1,895
General State Charges	1,742	1,583	1,583	1,638	1,758
Capital Projects	0	0	0	0	0
Insurance Department	657,937	463,437	481,233	486,080	486,080
Grants to Local Governments	442,822	241,891	253,746	253,746	253,746
State Operations	169,067	177,594	182,512	183,712	183,712
Personal Service	106,061	95,963	95,813	97,013	97,013
Non-Personal Service/Indirect Cost	63,006	81,631	86,699	86,699	86,699
General State Charges	46,048	43,952	44,975	48,622	48,622
Empire State Development Corporation	23,276	38,903	44,236	44,236	34,236
Grants to Local Governments	23,276	38,903	44,236	44,236	34,236
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Olympic Regional Development Authority	5,441	5,064	5,274	5,274	5,401
Grants to Local Governments	0	0	0	0	0
State Operations	5,441	5,064	5,274	5,274	5,401
Personal Service	3,090	2,734	2,734	2,734	2,771
Non-Personal Service/Indirect Cost	2,351	2,330	2,540	2,540	2,630
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Public Service, Department of	75,638	76,016	80,895	85,889	89,396
Grants to Local Governments	0	500	500	500	500
State Operations	54,138	54,495	56,159	57,394	58,658
Personal Service	43,269	44,120	45,381	46,289	47,215
Non-Personal Service/Indirect Cost	10,869	10,375	10,778	11,105	11,443
General State Charges	21,500	21,021	24,236	27,995	30,238

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Racing and Wagering Board, State					
Grants to Local Governments	22,575	21,833	22,044	23,007	23,453
State Operations	0	0	0	0	0
Personal Service	17,374	15,755	15,632	15,682	15,733
Non-Personal Service/Indirect Cost	12,538	12,536	12,581	12,630	12,679
General State Charges	4,836	3,219	3,051	3,052	3,054
Capital Projects	5,201	6,078	6,412	7,325	7,720
	0	0	0	0	0
Science, Technology and Innovation, Foundation for					
Grants to Local Governments	29,083	27,146	26,596	26,496	23,356
State Operations	26,204	24,251	23,640	23,540	20,340
Personal Service	2,879	2,895	2,956	2,956	3,016
Non-Personal Service/Indirect Cost	2,254	1,879	1,896	1,896	1,919
General State Charges	625	1,016	1,060	1,060	1,097
Capital Projects	0	0	0	0	0
	0	0	0	0	0
Functional Total	1,072,078	880,025	906,216	921,945	916,143
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency					
Grants to Local Governments	5,153	5,120	4,669	4,671	4,671
State Operations	0	0	0	0	0
Personal Service	5,153	5,120	4,669	4,671	4,671
Non-Personal Service/Indirect Cost	4,729	4,571	4,212	4,214	4,214
General State Charges	424	549	457	457	457
	0	0	0	0	0
Environmental Conservation, Department of					
Grants to Local Governments	323,288	298,468	291,231	288,135	287,135
State Operations	8,364	6,925	6,526	6,526	6,526
Personal Service	278,567	252,178	246,489	243,173	243,173
Non-Personal Service/Indirect Cost	196,537	188,501	186,272	186,937	186,937
General State Charges	82,030	63,677	60,217	56,236	56,236
Capital Projects	36,337	39,365	38,216	38,436	37,436
	0	0	0	0	0
Environmental Facilities Corporation					
Grants to Local Governments	9,733	9,027	9,209	9,393	9,393
State Operations	0	0	0	0	0
Personal Service	7,859	7,171	7,310	7,451	7,451
Non-Personal Service/Indirect Cost	6,798	5,958	6,094	6,232	6,232
General State Charges	1,061	1,213	1,216	1,219	1,219
Capital Projects	1,874	1,856	1,899	1,942	1,942
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Parks, Recreation and Historic Preservation, Office of					
Grants to Local Governments	220,545	203,464	201,973	203,640	203,616
State Operations	19,546	22,750	19,750	19,750	19,750
Personal Service	187,422	174,862	176,399	178,065	178,700
Non-Personal Service/Indirect Cost	142,458	122,422	124,143	125,017	125,652
General State Charges	44,964	52,440	53,048	53,048	53,048
Capital Projects	2,537	3,852	3,824	3,825	3,166
	11,040	2,000	2,000	2,000	2,000
Functional Total	558,699	516,079	507,082	505,839	504,815
TRANSPORTATION					
Motor Vehicles, Department of					
Grants to Local Governments	94,433	100,146	102,681	106,178	107,657
State Operations	0	0	0	0	0
Personal Service	71,894	73,503	74,084	74,084	74,084
Non-Personal Service/Indirect Cost	52,901	55,015	53,437	53,437	53,437
General State Charges	18,993	18,488	20,647	20,647	20,647
Capital Projects	22,539	26,643	28,597	32,094	33,573
	0	0	0	0	0
Transportation, Department of					
Grants to Local Governments	3,847,020	4,332,790	4,432,831	4,527,522	4,610,965
State Operations	3,823,477	4,303,821	4,403,171	4,496,801	4,579,401
Personal Service	22,303	25,440	25,804	26,151	26,572
Non-Personal Service/Indirect Cost	6,642	7,249	7,521	7,803	8,096
General State Charges	15,661	18,191	18,283	18,348	18,476
Capital Projects	1,240	3,529	3,856	4,570	4,992
	0	0	0	0	0
FUNCTIONAL TOTAL	3,941,453	4,432,936	4,535,512	4,633,700	4,718,622
HEALTH					
Aging, Office for the					
Grants to Local Governments	116,764	118,638	119,910	119,910	119,910
State Operations	114,196	116,586	117,893	117,893	117,893
Personal Service	2,568	2,052	2,017	2,017	2,017
Non-Personal Service/Indirect Cost	2,427	1,692	1,634	1,634	1,634
General State Charges	141	360	383	383	383
	0	0	0	0	0
Health, Department of					
Medical Assistance					
Grants to Local Governments	13,883,400	14,197,700	19,656,281	21,442,850	22,903,347
State Operations	10,964,893	11,123,802	16,430,812	18,061,468	19,506,668
Personal Service	10,943,609	11,077,447	16,384,457	18,015,113	19,460,313
Non-Personal Service/Indirect Cost	21,284	46,355	46,355	46,355	46,355
General State Charges	0	500	500	500	500
	21,284	45,855	45,855	45,855	45,855
	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Medicaid Administration					
Grants to Local Governments	514,488	551,250	573,750	596,750	596,750
State Operations	514,488	551,250	573,750	596,750	596,750
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Public Health					
Grants to Local Governments	2,404,019	2,522,648	2,651,719	2,784,632	2,799,929
State Operations	1,873,866	1,955,826	2,080,646	2,208,476	2,217,376
Personal Service	496,923	521,921	523,937	522,915	526,490
Non-Personal Service/Indirect Cost	240,936	261,417	264,426	265,735	267,055
General State Charges	255,987	260,504	259,511	257,180	259,435
Capital Projects	33,230	44,901	47,136	53,241	56,063
Debt Service	0	0	0	0	0
	0	0	0	0	0
Medicaid Inspector General, Office of					
Grants to Local Governments	27,575	35,183	38,697	38,697	38,697
State Operations	0	0	0	0	0
Personal Service	27,481	35,057	38,571	38,571	38,571
Non-Personal Service/Indirect Cost	20,094	25,942	26,089	26,089	26,089
General State Charges	7,387	9,115	12,482	12,482	12,482
	94	126	126	126	126
Stem Cell and Innovation					
Grants to Local Governments	17,676	52,616	73,071	123,149	63,673
State Operations	0	0	0	0	0
Personal Service	17,248	52,616	73,071	123,149	63,673
Non-Personal Service/Indirect Cost	640	0	0	0	0
General State Charges	16,608	52,616	73,071	123,149	63,673
	428	0	0	0	0
Functional Total	14,045,415	14,404,137	19,887,959	21,724,606	23,125,627
SOCIAL WELFARE					
Children and Family Services, Office of					
Grants to Local Governments	2,006,471	2,147,119	2,374,208	2,604,423	2,831,445
State Operations	1,956,993	2,013,576	2,240,751	2,467,068	2,690,185
Personal Service	1,699,387	1,731,141	1,925,606	2,126,126	2,348,962
Non-Personal Service/Indirect Cost	256,595	281,121	313,798	339,595	339,681
General State Charges	171,709	178,903	199,901	219,198	215,812
Capital Projects	84,886	102,218	113,897	120,397	123,869
	1,011	1,314	1,347	1,347	1,542
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Children and Family Services - Medicaid	49,478	133,543	133,457	137,355	141,260
Grants to Local Governments	49,478	133,543	133,457	137,355	141,260
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Grants to Local Governments	0	0	0	0	0
State Operations	10,731	11,085	11,161	11,342	11,473
Personal Service	8,027	9,042	9,036	9,133	9,231
Non-Personal Service/Indirect Cost	2,704	2,043	2,125	2,209	2,242
General State Charges	0	0	0	0	0
Labor, Department of	76,086	56,379	57,251	56,602	56,775
Grants to Local Governments	13,057	7,931	7,304	3,546	2,659
State Operations	48,117	33,021	33,601	34,266	34,383
Personal Service	33,433	26,615	26,875	27,219	27,006
Non-Personal Service/Indirect Cost	14,684	6,406	6,726	7,047	7,377
General State Charges	14,912	15,427	16,346	18,790	19,733
Housing and Community Renewal, Division of	137,353	118,259	120,714	124,636	126,163
Grants to Local Governments	54,706	45,387	45,387	45,387	45,387
State Operations	67,369	57,800	59,320	60,836	61,254
Personal Service	50,376	43,991	44,977	45,992	46,301
Non-Personal Service/Indirect Cost	16,993	13,809	14,343	14,844	14,953
General State Charges	15,278	15,072	16,007	18,413	19,522
Capital Projects	0	0	0	0	0
National Commission Services	359	626	627	629	711
Grants to Local Governments	0	350	350	350	350
State Operations	359	276	277	279	361
Personal Service	316	235	236	238	320
Non-Personal Service/Indirect Cost	43	41	41	41	41
General State Charges	0	0	0	0	0
Prevention of Domestic Violence, Office for	2,127	2,076	2,088	2,109	2,109
Grants to Local Governments	656	685	685	685	685
State Operations	1,471	1,391	1,403	1,424	1,424
Personal Service	1,251	1,209	1,239	1,252	1,252
Non-Personal Service/Indirect Cost	220	182	164	172	172
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of					
Welfare Assistance	1,361,215	1,221,764	1,577,211	1,708,443	1,758,618
Grants to Local Governments	1,136,459	1,004,114	1,352,602	1,477,266	1,515,522
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Welfare Administration	51,263	0	0	0	0
Grants to Local Governments	51,263	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	173,493	217,650	224,609	231,177	243,096
Grants to Local Governments	117,106	149,498	151,778	154,594	165,744
State Operations	55,742	67,894	72,572	76,323	77,027
Personal Service	17,230	17,557	17,716	17,882	18,063
Non-Personal Service/Indirect Cost	38,512	50,337	54,856	58,441	58,964
General State Charges	645	258	259	260	325
Capital Projects	0	0	0	0	0
Welfare Inspector General, Office of	313	355	358	358	372
Grants to Local Governments	0	0	0	0	0
State Operations	313	355	358	358	372
Personal Service	313	355	358	358	372
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Workers' Compensation Board	186,742	205,801	200,605	208,541	215,220
Grants to Local Governments	0	0	0	0	0
State Operations	137,920	164,519	156,339	158,552	161,037
Personal Service	90,768	92,326	92,832	93,475	94,313
Non-Personal Service/Indirect Cost	47,152	72,193	63,507	65,077	66,724
General State Charges	48,822	41,282	44,266	49,989	54,183
Functional Total	3,781,397	3,763,464	4,344,223	4,717,083	5,002,886

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
MENTAL HYGIENE					
<i>Mental Health, Office of</i>					
Office of Mental Health	1,831,542	2,001,868	2,495,076	2,691,672	2,831,056
Grants to Local Governments	1,265,646	1,411,330	1,505,350	1,615,482	1,714,261
State Operations	643,710	762,494	873,286	944,498	1,018,343
Personal Service	455,191	491,411	451,956	462,838	469,484
Non-Personal Service/Indirect Cost	382,310	388,216	344,409	349,530	352,968
General State Charges	72,881	103,195	107,547	113,308	116,516
Capital Projects	166,745	157,425	180,108	208,146	226,434
	0	0	0	0	0
Office of Mental Health - Medicaid	565,896	590,538	989,726	1,076,190	1,116,795
Grants to Local Governments	424,664	413,136	441,391	469,761	488,324
State Operations	133,441	135,648	410,010	420,408	426,377
Personal Service	20,848	47,512	306,566	311,113	314,130
Non-Personal Service/Indirect Cost	112,593	88,136	103,444	109,295	112,247
General State Charges	7,791	41,754	138,325	186,021	202,094
People with Developmental Disabilities, Office for	2,140,392	2,103,213	2,526,962	2,784,952	2,941,423
Office for People with Developmental Disabilities	460,863	508,433	516,234	537,387	564,512
Grants to Local Governments	460,696	508,257	516,058	537,211	564,331
State Operations	167	176	176	176	181
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	167	176	176	176	181
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Office for People with Developmental Disabilities - Medicaid	1,679,529	1,594,780	2,010,728	2,247,565	2,376,911
Grants to Local Governments	1,375,596	1,455,657	1,551,790	1,644,934	1,751,343
State Operations	176,899	64,753	298,843	394,763	399,587
Personal Service	139,407	59,788	289,337	347,346	350,743
Non-Personal Service/Indirect Cost	37,492	4,965	9,506	47,417	48,844
General State Charges	127,034	74,370	160,095	207,868	225,981

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Alcoholism and Substance Abuse Services, Office of					
Alcoholism and Substance Abuse Services					
Grants to Local Governments	381,331	426,219	471,569	498,579	519,000
State Operations	305,722	340,003	380,962	405,344	423,958
Personal Service	259,993	299,424	337,068	358,559	375,439
Non-Personal Service/Indirect Cost	35,483	31,142	32,726	33,736	34,279
General State Charges	25,233	20,259	21,334	21,879	22,109
Capital Projects	10,250	10,883	11,392	11,857	12,170
	10,246	9,437	11,168	13,049	14,240
	0	0	0	0	0
Alcoholism and Substance Abuse Services - Medicaid					
Grants to Local Governments	75,609	86,216	90,607	93,235	95,042
State Operations	33,780	36,395	38,185	38,196	38,196
Personal Service	31,057	36,446	37,220	37,718	38,226
Non-Personal Service/Indirect Cost	20,294	27,618	27,909	28,018	28,299
General State Charges	10,763	8,828	9,311	9,700	9,927
	10,772	13,375	15,202	17,321	18,620
Quality of Care for the Mentally Disabled, Commission on					
Grants to Local Governments	5,331	5,518	5,582	5,666	5,756
State Operations	653	230	230	230	230
Personal Service	4,654	5,261	5,321	5,401	5,488
Non-Personal Service/Indirect Cost	3,684	3,783	3,808	3,851	3,893
General State Charges	970	1,478	1,513	1,550	1,595
	24	27	31	35	38
Functional Total	4,358,596	4,536,818	5,499,189	5,980,869	6,297,235
PUBLIC PROTECTION					
Capital Defenders Office					
Grants to Local Governments	21	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	21	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Correction, Commission of					
Grants to Local Governments	2,596	2,893	2,932	2,984	3,016
State Operations	0	0	0	0	0
Personal Service	2,596	2,893	2,932	2,984	3,016
Non-Personal Service/Indirect Cost	2,142	2,491	2,518	2,552	2,568
General State Charges	454	402	414	432	448
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Correctional Services, Department of	2,623,892	2,475,785	2,528,212	2,581,962	2,617,335
Grants to Local Governments	1,390	300	243	243	243
State Operations	2,620,502	2,473,485	2,527,969	2,581,719	2,617,092
Personal Service	2,111,324	1,921,624	1,939,287	1,957,469	1,950,604
Non-Personal Service/Indirect Cost	509,178	551,861	588,682	624,250	666,488
General State Charges	2,000	2,000	0	0	0
Capital Projects	0	0	0	0	0
Criminal Justice Services, Division of	181,032	239,466	240,957	243,484	244,184
Grants to Local Governments	114,425	166,157	167,472	168,872	168,414
State Operations	66,524	73,243	73,419	74,546	75,704
Personal Service	35,446	38,067	38,443	38,824	39,217
Non-Personal Service/Indirect Cost	31,078	35,176	34,976	35,722	36,487
General State Charges	83	66	66	66	66
Office of Victim Services	33,468	33,324	33,238	33,593	33,779
Grants to Local Governments	27,624	26,690	26,690	26,690	26,690
State Operations	4,722	4,779	4,574	4,653	4,701
Personal Service	4,014	3,687	3,455	3,505	3,527
Non-Personal Service/Indirect Cost	708	1,092	1,119	1,148	1,174
General State Charges	1,122	1,855	1,974	2,250	2,388
Statewide Financial System	0	31,930	41,359	50,943	51,043
Grants to Local Governments	0	0	0	0	0
State Operations	0	31,930	41,359	50,943	51,043
Personal Service	0	10,271	10,222	10,222	10,222
Non-Personal Service/Indirect Cost	0	21,659	31,137	40,721	40,821
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Homeland Security and Emergency Services	25,500	97,009	113,683	124,378	89,378
Grants to Local Governments	0	35,948	61,598	91,598	66,598
State Operations	25,402	60,843	51,860	32,540	22,540
Personal Service	11,319	8,819	9,214	9,136	9,136
Non-Personal Service/Indirect Cost	14,083	52,024	42,646	23,404	13,404
General State Charges	98	218	225	240	240
Capital Projects	0	0	0	0	0
Homeland Security	0	35,298	32,733	30,225	30,227
Grants to Local Governments	0	0	0	0	0
State Operations	0	35,298	32,733	30,225	30,227
Personal Service	0	31,909	32,733	30,225	30,227
Non-Personal Service/Indirect Cost	0	3,389	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Office of Indigent Legal Services					
Grants to Local Governments	0	75,000	80,000	80,000	80,000
State Operations	0	73,500	77,000	77,000	77,000
Personal Service	0	1,500	3,000	3,000	3,000
Non-Personal Service/Indirect Cost	0	750	1,500	1,500	1,500
General State Charges	0	0	1,500	1,500	1,500
Capital Projects	0	0	0	0	0
	395	0	0	0	0
Investigation, Temporary State Commission of					
Grants to Local Governments	0	0	0	0	0
State Operations	395	0	0	0	0
Personal Service	386	0	0	0	0
Non-Personal Service/Indirect Cost	9	0	0	0	0
General State Charges	0	0	0	0	0
	5,145	5,492	5,595	5,669	5,749
Judicial Commissions					
Grants to Local Governments	0	0	0	0	0
State Operations	5,145	5,492	5,595	5,669	5,749
Personal Service	3,988	4,116	4,145	4,185	4,228
Non-Personal Service/Indirect Cost	1,157	1,376	1,450	1,484	1,521
General State Charges	0	0	0	0	0
	79,775	44,322	30,641	31,160	31,158
Military and Naval Affairs, Division of					
Grants to Local Governments	34,538	22,835	9,466	9,466	9,466
State Operations	44,426	20,589	20,254	20,653	20,651
Personal Service	22,271	12,149	12,155	12,297	12,297
Non-Personal Service/Indirect Cost	22,155	8,440	8,099	8,356	8,354
General State Charges	811	898	921	1,041	1,041
Capital Projects	0	0	0	0	0
	188,383	183,069	185,175	189,168	191,713
Parole, Division of					
Grants to Local Governments	16,324	11,321	12,504	14,051	14,051
State Operations	172,059	171,748	172,671	175,117	177,662
Personal Service	139,375	136,425	136,752	138,171	139,601
Non-Personal Service/Indirect Cost	32,684	35,323	35,919	36,946	38,061
General State Charges	0	0	0	0	0
	74,765	0	0	0	1,458
Probation and Correctional Alternatives, Division of					
Grants to Local Governments	72,254	0	0	0	0
State Operations	2,511	0	0	0	0
Personal Service	2,255	0	0	0	0
Non-Personal Service/Indirect Cost	256	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
State Police, Division of	747,810	700,240	700,185	696,261	696,261
Grants to Local Governments	0	0	0	0	0
State Operations	727,917	677,241	676,503	671,007	671,007
Personal Service	646,775	601,491	601,128	601,128	601,128
Non-Personal Service/Indirect Cost	81,142	75,750	75,375	69,879	69,879
General State Charges	19,893	22,999	23,682	25,254	25,254
Capital Projects	0	0	0	0	0
Wireless Network	6,672	1,586	1,586	1,586	1,586
Grants to Local Governments	0	0	0	0	0
State Operations	5,412	1,086	1,086	1,086	1,086
Personal Service	2,820	1,000	1,000	1,000	1,000
Non-Personal Service/Indirect Cost	2,592	86	86	86	86
General State Charges	1,260	500	500	500	500
Capital Projects	0	0	0	0	0
Functional Total	3,969,454	3,925,414	3,996,296	4,071,413	4,076,887
HIGHER EDUCATION					
City University of New York	1,632,320	1,352,950	1,457,201	1,549,217	1,639,853
Grants to Local Governments	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
State Operations	102,796	126,066	128,482	130,947	133,457
Personal Service	73,896	87,688	89,222	90,784	92,372
Non-Personal Service/Indirect Cost	28,900	38,378	39,260	40,163	41,085
General State Charges	4,236	6,134	6,275	6,419	6,567
Capital Projects	0	0	0	0	0
Higher Education Services Corporation	955,735	916,620	951,861	979,866	981,691
Grants to Local Governments	845,501	817,338	840,937	863,747	863,747
State Operations	95,037	82,590	91,741	93,903	94,301
Personal Service	36,260	37,721	38,098	38,479	38,864
Non-Personal Service/Indirect Cost	58,777	44,869	53,643	55,424	55,437
General State Charges	15,197	16,692	19,183	22,216	23,643
Higher Education Miscellaneous	378	355	355	355	355
Grants to Local Governments	0	0	0	0	0
State Operations	270	220	220	220	220
Personal Service	238	175	175	175	175
Non-Personal Service/Indirect Cost	32	45	45	45	45
General State Charges	108	135	135	135	135
Capital Projects	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
State University Construction Fund	18,595	25,678	26,388	27,830	28,982
Grants to Local Governments	0	0	0	0	0
State Operations	14,504	18,239	18,477	18,702	19,196
Personal Service	12,074	15,278	15,431	15,585	15,870
Non-Personal Service/Indirect Cost	2,430	2,961	3,046	3,117	3,326
General State Charges	4,091	7,439	7,911	9,128	9,786
State University of New York	5,859,418	5,821,775	5,958,491	6,047,962	6,132,175
Grants to Local Governments	445,974	478,783	619,332	619,332	619,332
State Operations	4,949,282	4,817,612	4,841,830	4,928,744	5,009,148
Personal Service	3,243,082	3,124,144	3,155,162	3,188,899	3,213,939
Non-Personal Service/Indirect Cost	1,706,200	1,693,468	1,686,668	1,739,845	1,795,209
General State Charges	464,162	525,380	497,329	499,886	503,695
Capital Projects	0	0	0	0	0
Debt Service	0	0	0	0	0
Functional Total	8,466,446	8,117,378	8,394,296	8,605,230	8,783,056

LOWER EDUCATION (Pre-K through 12)

Arts, Council on the	42,286	44,836	40,349	40,405	40,462
Grants to Local Governments	36,887	39,850	36,248	35,248	35,248
State Operations	5,399	4,986	5,101	5,157	5,214
Personal Service	3,741	3,484	3,514	3,554	3,594
Non-Personal Service/Indirect Cost	1,658	1,502	1,587	1,603	1,620
General State Charges	0	0	0	0	0
Education, Department of	23,419,734	26,965,314	28,006,895	30,817,509	33,384,959
School Aid	18,249,731	21,876,246	22,585,656	25,143,970	27,469,450
Grants to Local Governments	18,249,731	21,876,246	22,585,656	25,143,970	27,469,450
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
Grants to Local Governments	63,757	125,820	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
STAR Property Tax Relief	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
Grants to Local Governments	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Special Education Categorical Programs	919,682	941,266	1,299,771	1,379,936	1,434,916
Grants to Local Governments	919,682	941,266	1,299,771	1,379,936	1,434,916
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	773,022	722,412	703,848	709,436	708,118
Grants to Local Governments	614,770	570,139	554,315	558,623	555,004
State Operations	131,615	124,567	121,245	119,237	119,937
Personal Service	86,682	80,905	80,154	80,659	81,054
Non-Personal Service/Indirect Cost	44,933	43,662	41,091	38,578	38,883
General State Charges	26,637	27,706	28,288	31,576	33,177
Capital Projects	0	0	0	0	0
Functional Total	23,462,020	27,010,150	28,047,244	30,857,914	33,425,421
GENERAL GOVERNMENT					
Budget, Division of the	40,775	42,502	44,117	45,191	46,121
Grants to Local Governments	0	0	0	0	0
State Operations	38,930	40,222	41,686	42,331	43,080
Personal Service	28,860	27,459	28,212	29,071	29,366
Non-Personal Service/Indirect Cost	10,070	12,763	13,474	13,260	13,714
General State Charges	1,845	2,280	2,431	2,860	3,041
Civil Service, Department of	21,384	19,164	19,426	19,697	19,989
Grants to Local Governments	0	0	0	0	0
State Operations	21,245	18,913	19,157	19,393	19,660
Personal Service	19,595	17,138	17,288	17,458	17,662
Non-Personal Service/Indirect Cost	1,650	1,775	1,869	1,935	1,998
General State Charges	139	251	269	304	329
Deferred Compensation	673	792	820	854	885
Grants to Local Governments	0	0	0	0	0
State Operations	521	604	617	626	638
Personal Service	388	401	405	407	411
Non-Personal Service/Indirect Cost	133	203	212	219	227
General State Charges	152	188	203	228	247

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Elections, State Board of	9,898	9,088	6,197	36,339	6,464
Grants to Local Governments	195	3,000	0	30,000	0
State Operations	9,703	6,088	6,197	6,339	6,464
Personal Service	4,389	4,230	4,236	4,285	4,328
Non-Personal Service/Indirect Cost	5,314	1,858	1,959	2,054	2,136
General State Charges	0	0	0	0	0
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Grants to Local Governments	0	0	0	0	0
State Operations	3,204	3,350	3,388	3,427	3,473
Personal Service	3,071	3,167	3,195	3,224	3,259
Non-Personal Service/Indirect Cost	133	183	193	203	214
General State Charges	0	0	0	0	0
Financial Plan Control Board	2,650	3,190	3,392	3,595	3,727
Grants to Local Governments	0	0	0	0	0
State Operations	2,039	2,385	2,543	2,605	2,664
Personal Service	1,357	1,566	1,657	1,690	1,724
Non-Personal Service/Indirect Cost	682	819	886	915	940
General State Charges	591	805	849	990	1,063
General Services, Office of	136,701	137,478	141,681	146,050	149,120
Grants to Local Governments	24	400	324	324	324
State Operations	135,014	135,295	139,464	143,552	146,491
Personal Service	62,775	60,263	60,426	61,325	61,950
Non-Personal Service/Indirect Cost	72,239	75,032	79,038	82,227	84,541
General State Charges	1,663	1,763	1,893	2,174	2,305
Capital Projects	0	0	0	0	0
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Grants to Local Governments	0	0	0	0	0
State Operations	6,079	6,178	6,341	6,426	6,513
Personal Service	5,767	5,629	5,673	5,734	5,795
Non-Personal Service/Indirect Cost	312	549	668	692	718
General State Charges	0	0	0	0	0
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Grants to Local Governments	0	0	0	0	0
State Operations	33,609	59,433	67,826	26,018	26,018
Personal Service	8,055	8,182	8,073	8,161	8,161
Non-Personal Service/Indirect Cost	25,554	51,251	59,753	17,857	17,857
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Lottery, Division of	185,777	176,892	180,969	181,459	185,723
Grants to Local Governments	0	0	0	0	0
State Operations	176,513	166,311	169,825	168,725	172,165
Personal Service	21,798	21,730	21,730	21,730	21,973
Non-Personal Service/Indirect Cost	154,715	144,581	148,095	146,995	150,192
General State Charges	9,264	10,581	11,144	12,734	13,558
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Grants to Local Governments	0	0	0	0	0
State Operations	3,785	4,252	4,020	4,068	4,129
Personal Service	3,362	3,503	3,323	3,358	3,395
Non-Personal Service/Indirect Cost	423	749	697	710	734
General State Charges	0	0	0	0	0
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Grants to Local Governments	0	0	0	0	0
State Operations	4,209	4,312	4,721	4,901	4,978
Personal Service	3,357	3,352	3,675	3,819	3,861
Non-Personal Service/Indirect Cost	852	960	1,046	1,082	1,117
General State Charges	0	0	0	0	0
Real Property Services, Office of	42,806	0	0	0	0
Grants to Local Governments	11,409	0	0	0	0
State Operations	27,977	0	0	0	0
Personal Service	23,407	0	0	0	0
Non-Personal Service/Indirect Cost	4,570	0	0	0	0
General State Charges	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
Grants to Local Governments	0	0	0	0	0
State Operations	2,449	2,276	2,276	2,276	2,276
Personal Service	2,248	2,082	2,082	2,082	2,082
Non-Personal Service/Indirect Cost	201	194	194	194	194
General State Charges	0	0	0	0	0
State, Department of	91,073	81,399	68,548	69,128	69,103
Grants to Local Governments	37,352	31,975	19,384	19,384	19,384
State Operations	45,977	40,729	40,271	40,246	40,221
Personal Service	32,761	31,218	30,843	30,843	30,843
Non-Personal Service/Indirect Cost	13,216	9,511	9,428	9,403	9,378
General State Charges	7,744	8,695	8,893	9,498	9,498
Capital Projects	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Tax Appeals, Division of					
Grants to Local Governments	3,458	3,108	3,108	3,108	3,146
State Operations	0	0	0	0	0
Personal Service	3,458	3,108	3,108	3,108	3,146
Non-Personal Service/Indirect Cost	2,960	2,741	2,741	2,741	2,768
General State Charges	498	367	367	367	378
	0	0	0	0	0
Taxation and Finance, Department of					
Grants to Local Governments	417,242	476,883	477,689	480,641	487,398
State Operations	0	12,196	12,361	12,461	12,461
Personal Service	398,676	445,755	445,411	445,493	450,898
Non-Personal Service/Indirect Cost	306,466	349,404	349,475	349,554	352,522
General State Charges	92,210	96,351	95,936	95,939	98,376
	18,566	18,932	19,917	22,687	24,039
Technology, Office for					
Grants to Local Governments	22,765	30,900	34,030	35,076	35,539
State Operations	299	2,200	0	0	0
Personal Service	22,466	28,700	34,030	35,076	35,539
Non-Personal Service/Indirect Cost	10,571	13,409	13,483	13,603	13,701
General State Charges	11,895	15,291	20,547	21,473	21,838
Capital Projects	0	0	0	0	0
	0	0	0	0	0
Veterans' Affairs, Division of					
Grants to Local Governments	14,756	15,868	15,495	15,418	15,500
State Operations	8,290	9,513	9,076	9,076	9,076
Personal Service	6,466	6,355	6,419	6,342	6,424
Non-Personal Service/Indirect Cost	5,996	5,901	5,946	5,991	6,052
General State Charges	470	454	473	351	372
	0	0	0	0	0
Functional Total	1,043,273	1,077,065	1,084,044	1,083,672	1,070,102
ELECTED OFFICIALS					
Legislature					
Grants to Local Governments	226,089	220,995	225,396	229,885	234,463
State Operations	0	0	0	0	0
Personal Service	226,089	220,995	225,396	229,885	234,463
Non-Personal Service/Indirect Cost	177,874	165,047	168,348	171,715	175,149
General State Charges	48,215	55,948	57,048	58,170	59,314
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Judiciary					
Grants to Local Governments	2,506,041	2,600,398	2,951,409	2,951,172	2,952,914
State Operations	118,892	140,100	142,100	142,100	144,100
Personal Service	1,872,453	1,902,900	2,204,961	2,219,427	2,219,969
Non-Personal Service/Indirect Cost	1,536,724	1,536,806	1,836,220	1,846,128	1,846,670
General State Charges	335,729	366,094	366,141	373,299	373,299
Capital Projects	514,696	557,398	604,948	589,645	588,845
	0	0	0	0	0
	242,721	182,135	185,420	189,979	192,289
Audit and Control, Department of					
Grants to Local Governments	102,115	32,024	32,024	32,024	32,024
State Operations	139,406	148,526	151,805	156,221	158,531
Personal Service	114,716	116,084	118,239	118,364	119,545
Non-Personal Service/Indirect Cost	24,690	32,442	33,566	37,857	38,986
General State Charges	1,200	1,585	1,591	1,734	1,734
	184,022	176,770	182,877	186,300	189,339
Law, Department of					
Grants to Local Governments	0	100	81	81	81
State Operations	173,611	167,531	172,670	174,810	177,849
Personal Service	123,683	117,461	118,469	119,433	120,729
Non-Personal Service/Indirect Cost	49,928	50,070	54,201	55,377	57,120
General State Charges	10,411	9,139	10,126	11,409	11,409
	17,056	17,328	17,952	18,229	18,487
Executive Chamber					
Grants to Local Governments	0	0	0	0	0
State Operations	17,056	17,328	17,952	18,229	18,487
Personal Service	13,451	14,000	14,420	14,853	15,001
Non-Personal Service/Indirect Cost	3,605	3,328	3,532	3,376	3,486
General State Charges	0	0	0	0	0
	0	658	1,193	1,208	1,208
Lieutenant Governor, Office of the					
Grants to Local Governments	0	0	0	0	0
State Operations	0	658	1,193	1,208	1,208
Personal Service	0	540	1,006	1,016	1,016
Non-Personal Service/Indirect Cost	0	118	187	192	192
General State Charges	0	0	0	0	0
Functional Total	3,175,929	3,198,284	3,564,247	3,576,773	3,588,700
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities					
Grants to Local Governments	1,039,488	751,538	1,027,357	1,037,229	1,044,566
State Operations	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
<i>Efficiency Incentive Grants Program</i>					
Grants to Local Governments	3,293	7,450	7,450	7,511	0
State Operations	3,293	7,450	7,450	7,511	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Miscellaneous Financial Assistance</i>					
Grants to Local Governments	8,920	3,920	3,920	3,920	3,920
State Operations	8,920	3,920	3,920	3,920	3,920
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Municipalities with VLT Facilities</i>					
Grants to Local Governments	26,489	25,801	25,801	25,801	25,801
State Operations	26,489	25,801	25,801	25,801	25,801
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
<i>Small Government Assistance</i>					
Grants to Local Governments	2,088	2,088	2,088	2,088	2,088
State Operations	2,088	2,088	2,088	2,088	2,088
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
<i>Long-Term Debt Service</i>					
Grants to Local Governments	5,012,102	5,607,388	6,127,092	6,448,886	6,595,358
State Operations	0	0	0	0	0
Personal Service	50,631	91,697	91,869	91,869	91,869
Non-Personal Service/Indirect Cost	50,631	91,697	91,869	91,869	91,869
General State Charges	0	0	0	0	0
Debt Service	4,961,471	5,515,691	6,035,223	6,357,017	6,503,489
<i>General State Charges</i>					
Grants to Local Governments	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
State Operations	0	0	0	0	0
Personal Service	4,852	0	0	0	0
Non-Personal Service/Indirect Cost	2,175	0	0	0	0
General State Charges	2,677	0	0	0	0
Functional Total	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Miscellaneous	(13,933)	(582,221)	(264,761)	(150,565)	(145,770)
Grants to Local Governments	(21,174)	33,388	253,410	192,537	147,285
State Operations	4,009	(620,567)	(523,167)	(348,154)	(298,117)
Personal Service	1,083	(184,110)	(126,734)	(1,724)	(1,713)
Non-Personal Service/Indirect Cost	2,926	(436,437)	(396,433)	(346,430)	(296,404)
General State Charges	3,232	4,958	4,996	5,052	5,062
Capital Projects	0	0	0	0	0
Functional Total	7,918,772	8,406,332	9,549,883	10,206,419	10,750,921
TOTAL STATE FUNDS OPERATING SPENDING	76,873,811	81,058,879	91,382,807	97,962,012	103,336,790

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	94,674	83,831	84,125	87,820	89,033
Alcoholic Beverage Control	17,012	19,892	20,776	20,911	20,911
Banking Department	87,166	87,865	87,211	89,047	89,647
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,295	2,946	2,926	2,741	2,783
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	41,065	37,204	34,741	34,741	35,297
Energy Research and Development Authority	15,880	15,688	15,997	16,158	16,388
Insurance Department	657,937	463,437	481,233	486,080	486,080
Empire State Development Corporation	23,276	38,903	44,236	44,236	34,236
Olympic Regional Development Authority	5,441	5,064	5,274	5,274	5,401
Public Service, Department of	75,638	76,016	80,895	85,889	89,396
Racing and Wagering Board, State	22,575	21,833	22,044	23,007	23,453
Science, Technology and Innovation, Foundation for	29,083	27,146	26,596	26,496	23,356
Strategic Investment	0	0	0	0	0
Functional Total	1,072,078	880,025	906,216	921,945	916,143
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	5,120	4,669	4,671	4,671
Environmental Conservation, Department of	323,268	298,468	291,231	288,135	287,135
Environmental Facilities Corporation	9,733	9,027	9,209	9,393	9,393
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	220,545	203,464	201,973	203,640	203,616
Functional Total	558,699	516,079	507,082	505,839	504,815
TRANSPORTATION					
Motor Vehicles, Department of	94,433	100,146	102,681	106,178	107,657
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,847,020	4,332,790	4,432,831	4,527,522	4,610,965
Functional Total	3,941,453	4,432,936	4,535,512	4,633,700	4,718,622
HEALTH					
Aging, Office for the	116,764	118,638	119,910	119,910	119,910
Health, Department of	13,883,400	14,197,700	19,656,281	21,442,850	22,903,347
Medical Assistance	10,964,893	11,123,802	16,430,812	18,061,468	19,506,668
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Public Health	2,404,019	2,522,648	2,651,719	2,784,632	2,799,929
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	27,575	35,183	38,697	38,697	38,697
Stem Cell and Innovation	17,676	52,616	73,071	123,149	63,673
Functional Total	14,045,415	14,404,137	19,887,959	21,724,606	23,125,627
SOCIAL WELFARE					
Children and Family Services, Office of	2,006,471	2,147,119	2,374,208	2,604,423	2,831,445
OCFS	1,956,983	2,013,576	2,240,751	2,467,068	2,690,185
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Labor, Department of	76,086	56,379	57,251	56,602	56,775
Housing and Community Renewal, Division of	137,353	118,259	120,714	124,636	126,163
National Commission Services	359	626	627	629	711
Prevention of Domestic Violence, Office for	2,127	2,076	2,088	2,109	2,109

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	1,361,215	1,221,764	1,577,211	1,708,443	1,758,618
<i>Welfare Administration</i>	1,136,469	1,004,114	1,352,602	1,477,266	1,515,522
<i>All Other</i>	51,263	0	0	0	0
Welfare Inspector General, Office of	173,493	217,650	224,609	231,177	243,096
Workers' Compensation Board	313	355	358	358	372
Functional Total	186,742	205,801	200,605	208,541	215,220
	3,781,397	3,763,464	4,344,223	4,717,083	5,002,886
MENTAL HYGIENE					
Mental Health, Office of	1,831,542	2,001,868	2,495,076	2,691,672	2,831,056
<i>OMH</i>	1,265,646	1,411,330	1,505,350	1,615,482	1,714,261
<i>OMH - Medicaid</i>	565,896	590,538	989,726	1,076,190	1,116,795
Mental Hygiene, Department of People with Developmental Disabilities, Office for	0	0	0	0	0
<i>OPWDD</i>	460,863	2,103,213	2,526,962	2,784,952	2,941,423
<i>OPWDD - Medicaid</i>	1,679,529	508,433	516,234	537,387	564,512
Alcoholism and Substance Abuse Services, Office of	381,331	1,594,780	2,010,728	2,247,565	2,376,911
<i>OASAS</i>	305,722	426,219	471,569	498,579	519,000
<i>OASAS - Medicaid</i>	75,609	340,003	380,862	405,344	423,958
Developmental Disabilities Planning Council	0	86,216	90,607	93,235	95,042
Quality of Care for the Mentally Disabled, Commission on	5,331	0	0	0	0
Functional Total	4,358,596	5,518	5,582	5,666	5,756
		4,536,818	5,499,189	5,980,869	6,297,235
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,893	2,832	2,984	3,016
Correctional Services, Department of	2,623,892	2,475,785	2,528,212	2,581,962	2,617,335
Criminal Justice Services, Division of	181,032	239,466	240,957	243,484	244,184
Office of Victim Services	33,468	33,324	33,238	33,593	33,779
Statewide Financial System	0	31,930	41,359	50,943	51,043
Homeland Security and Emergency Services	25,500	97,009	113,683	124,378	89,378
Homeland Security	0	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	75,000	80,000	80,000	80,000
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	79,775	44,322	30,641	31,160	31,158
Parole, Division of	188,383	183,069	185,175	189,168	191,713
Probation and Correctional Alternatives, Division of	74,765	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	747,810	700,240	700,185	696,261	696,261
Wireless Network	6,672	1,586	1,586	1,586	1,586
Functional Total	3,969,454	3,925,414	3,996,296	4,071,413	4,076,887

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,632,320	1,352,950	1,457,201	1,549,217	1,639,853
Higher Education Services Corporation	955,735	916,620	951,861	979,866	981,691
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	378	355	355	355	355
State University Construction Fund	18,595	25,678	26,388	27,830	28,906
State University of New York	5,859,418	5,821,775	5,958,491	6,047,962	6,132,251
Functional Total	8,466,446	8,117,378	8,394,296	8,605,230	8,783,056
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	42,286	44,836	40,349	40,405	40,462
Education, Department of	23,419,734	26,965,314	28,006,895	30,817,509	33,384,959
School Aid	18,249,731	21,876,246	22,585,656	25,143,970	27,469,450
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
STAR Property Tax Relief	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
Special Education Categorical Programs	919,682	941,266	1,299,771	1,379,936	1,434,916
All Other	773,022	722,412	703,848	709,436	708,118
Functional Total	23,462,020	27,010,150	28,047,244	30,857,914	33,425,421
GENERAL GOVERNMENT					
Budget, Division of the	40,775	42,502	44,117	45,191	46,121
Civil Service, Department of	21,384	19,164	19,426	19,697	19,989
Deferred Compensation	673	792	820	854	885
Elections, State Board of	9,888	9,088	6,197	36,339	6,464
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Financial Plan Control Board	2,630	3,190	3,392	3,595	3,727
General Services, Office of	136,701	137,478	141,681	146,050	149,120
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Lottery, Division of	185,777	176,892	180,969	181,459	185,723
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	42,806	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	91,073	81,399	68,548	69,128	69,103
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	417,242	476,883	477,689	480,641	487,398
Technology, Office for	22,765	30,900	34,030	35,076	35,539
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	14,756	15,868	15,495	15,418	15,500
Functional Total	1,043,273	1,077,065	1,084,044	1,083,672	1,070,102

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	226,089	220,995	225,396	229,885	234,463
Judiciary	2,506,041	2,600,398	2,951,409	2,951,172	2,952,914
Audit and Control, Department of	242,721	182,135	185,420	189,979	192,289
Law, Department of	184,022	176,770	182,877	186,300	189,339
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	3,175,929	3,198,284	3,564,247	3,576,773	3,588,700
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,450	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,607,388	6,127,092	6,448,886	6,595,358
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	(13,953)	(582,221)	(264,761)	(150,565)	(145,770)
Functional Total	7,918,772	8,406,332	9,549,883	10,206,419	10,750,921
TOTAL STATE FUNDS OPERATING SPENDING	76,873,811	81,058,879	91,382,807	97,962,012	103,336,790

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	20,023	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	11,461	13,408	10,249	10,249	10,249
Energy Research and Development Authority	8,657	9,234	9,234	9,234	9,234
Insurance Department	442,822	241,891	253,746	253,746	253,746
Empire State Development Corporation	23,276	38,903	44,236	44,236	34,236
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	500	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,251	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	540,332	348,410	361,921	364,215	351,152
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	8,364	6,925	6,526	6,526	6,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	19,546	22,750	19,750	19,750	19,750
Functional Total	27,910	29,675	26,276	26,276	26,276
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,823,477	4,303,821	4,403,171	4,496,801	4,579,401
Functional Total	3,823,477	4,303,821	4,403,171	4,496,801	4,579,401
HEALTH					
Aging, Office for the	114,196	116,586	117,893	117,893	117,893
Health, Department of	13,331,963	13,584,523	19,038,853	20,820,339	22,274,439
Medical Assistance	10,943,609	11,077,447	16,384,457	18,015,113	19,460,313
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Public Health	1,873,866	1,955,826	2,080,646	2,208,476	2,217,376
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	13,446,159	13,701,109	19,156,746	20,938,232	22,392,332
SOCIAL WELFARE					
Children and Family Services, Office of	1,748,865	1,864,684	2,059,063	2,263,481	2,490,222
OCFS	1,699,387	1,731,141	1,925,606	2,126,126	2,348,962
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	0	0	0	0	0
Labor, Department of	13,057	7,931	7,304	3,546	2,659
Housing and Community Renewal, Division of	54,706	45,387	45,387	45,387	45,387
National Commission Services	0	350	350	350	350

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	685	685	685	685
Temporary and Disability Assistance, Office of	1,304,828	1,153,612	1,504,380	1,631,860	1,661,266
Welfare Assistance	1,136,459	1,004,114	1,352,602	1,477,266	1,515,522
Welfare Administration	51,263	0	0	0	0
All Other	117,106	149,498	151,778	154,594	165,744
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,122,112	3,072,649	3,617,169	3,945,309	4,220,569
MENTAL HYGIENE					
Mental Health, Office of	1,068,374	1,175,630	1,314,677	1,414,259	1,506,667
OMH	643,710	762,494	873,286	944,498	1,018,343
OMH - Medicaid	424,664	413,136	441,391	469,761	488,324
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,836,292	1,963,914	2,067,848	2,182,145	2,315,674
OPWDD	460,696	508,257	516,058	537,211	564,331
OPWDD - Medicaid	1,375,596	1,455,657	1,551,790	1,644,934	1,751,343
Alcoholism and Substance Abuse Services, Office of	293,773	335,819	375,253	396,755	413,635
OASAS	259,983	299,424	337,068	358,559	375,439
OASAS - Medicaid	33,780	36,395	38,185	38,196	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	653	230	230	230	230
Functional Total	3,199,092	3,475,593	3,758,008	3,993,389	4,236,206
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,390	300	243	243	243
Criminal Justice Services, Division of	114,425	166,157	167,472	168,872	168,414
Office of Victim Services	27,624	26,690	26,690	26,690	26,690
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	35,948	61,598	91,598	66,598
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	73,500	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	34,538	22,835	9,466	9,466	9,466
Parole, Division of	16,324	11,321	12,504	14,051	14,051
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	266,555	336,751	354,973	387,920	363,920

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
Higher Education Services Corporation	845,501	817,338	840,937	863,747	863,747
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	445,974	478,783	619,332	619,332	619,332
Functional Total	2,816,763	2,516,871	2,782,713	2,894,930	2,982,908
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	36,887	39,850	35,248	35,248	35,248
Education, Department of	23,261,482	26,813,041	27,857,362	30,666,696	33,231,845
<i>School Aid</i>	18,249,731	21,876,246	22,585,656	25,143,970	27,469,450
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	919,682	941,266	1,299,771	1,379,936	1,434,916
<i>All Other</i>	614,770	570,139	554,315	558,623	555,004
Functional Total	23,298,369	26,852,891	27,892,610	30,701,944	33,267,093
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	195	3,000	0	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	400	324	324	324
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	37,352	31,975	19,384	19,384	19,384
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,196	12,561	12,461	12,461
Technology, Office for	289	2,200	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,513	9,076	9,076	9,076
Functional Total	57,569	59,284	41,145	71,245	41,245

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	118,892	140,100	142,100	142,100	144,100
Audit and Control, Department of	102,115	32,024	32,024	32,024	32,024
Law, Department of	0	100	81	81	81
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	221,007	172,224	174,205	174,205	176,205
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,511	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(21,174)	33,388	253,410	192,537	147,285
Functional Total	(21,174)	33,388	253,410	192,537	147,285
TOTAL LOCAL ASSISTANCE SPENDING	51,878,450	55,693,463	63,888,963	69,263,552	73,860,967

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	63,273	58,676	58,085	58,493	59,022
Alcoholic Beverage Control	12,875	15,974	15,974	14,764	15,017
Banking Department	65,082	64,687	63,668	63,721	64,131
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,295	2,906	2,741	2,741	2,783
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,584	23,768	24,464	24,464	25,020
Energy Research and Development Authority	5,481	4,871	5,180	5,286	5,396
Insurance Department	169,067	177,594	182,512	183,712	183,712
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,441	5,064	5,274	5,274	5,401
Public Service, Department of	54,138	54,495	56,159	57,394	58,658
Racing and Wagering Board, State	17,369	15,750	15,627	15,677	15,728
Science, Technology and Innovation, Foundation for	2,879	2,895	2,956	2,956	3,016
Strategic Investment	0	0	0	0	0
Functional Total	427,484	426,073	432,825	434,482	437,884
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	5,120	4,669	4,671	4,671
Environmental Conservation, Department of	278,567	252,178	246,489	243,173	243,173
Environmental Facilities Corporation	7,859	7,171	7,310	7,451	7,451
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	187,422	174,862	176,399	178,065	178,700
Functional Total	479,001	439,331	434,867	433,360	433,995
TRANSPORTATION					
Motor Vehicles, Department of	71,894	73,503	74,084	74,084	74,084
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	22,303	25,440	25,804	26,151	26,572
Functional Total	94,197	98,943	99,888	100,235	100,656
HEALTH					
Aging, Office for the	2,568	2,052	2,017	2,017	2,017
Health, Department of	518,207	568,276	570,292	569,270	572,845
Medical Assistance	21,284	46,355	46,355	46,355	46,355
Medicaid Administration	0	0	0	0	0
Public Health	496,923	521,921	523,937	522,915	526,490
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	27,481	35,057	38,571	38,571	38,571
Stem Cell and Innovation	17,248	52,616	73,071	123,149	63,673
Functional Total	565,504	658,001	683,951	733,007	677,106
SOCIAL WELFARE					
Children and Family Services, Office of	256,595	281,121	313,798	339,595	339,681
OCFS	256,595	281,121	313,798	339,595	339,681
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Labor, Department of	48,117	33,021	33,601	34,266	34,383
Housing and Community Renewal, Division of	67,369	57,800	59,320	60,836	61,254
National Commission Services	359	276	277	279	361

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,471	1,391	1,403	1,424	1,424
Temporary and Disability Assistance, Office of	55,742	67,894	72,572	76,323	77,027
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	55,742	67,894	72,572	76,323	77,027
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	137,920	164,519	156,339	158,552	161,037
Functional Total	578,617	617,462	648,829	682,975	667,012
MENTAL HYGIENE					
Mental Health, Office of	588,632	627,059	861,966	883,246	895,861
OMH	455,191	491,411	451,956	462,838	469,484
OMH - Medicaid	133,441	135,648	410,010	420,408	426,377
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	177,066	64,929	299,019	394,939	399,768
OPWDD	167	176	176	176	181
OPWDD - Medicaid	176,899	64,753	298,843	394,763	399,587
Alcoholism and Substance Abuse Services, Office of	66,540	67,588	69,946	71,454	72,505
OASAS	35,483	31,142	32,726	33,736	34,279
OASAS - Medicaid	31,057	36,446	37,220	37,718	38,226
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	4,654	5,261	5,321	5,401	5,488
Functional Total	836,892	764,837	1,236,252	1,355,040	1,373,622
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,893	2,932	2,984	3,016
Correctional Services, Department of	2,620,502	2,473,485	2,527,969	2,581,719	2,617,092
Criminal Justice Services, Division of	66,524	73,243	73,419	74,546	75,704
Office of Victim Services	4,722	4,779	4,574	4,653	4,701
Statewide Financial System	0	31,930	41,359	50,943	51,043
Homeland Security and Emergency Services	25,402	60,843	51,860	32,540	22,540
Homeland Security	0	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	1,500	3,000	3,000	3,000
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	44,426	20,589	20,254	20,653	20,651
Parole, Division of	172,059	171,748	172,671	175,117	177,862
Probation and Correctional Alternatives, Division of	2,511	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	727,917	677,241	676,503	671,007	671,007
Wireless Network	5,412	1,086	1,086	1,086	1,086
Functional Total	3,677,632	3,560,127	3,613,955	3,654,142	3,663,478

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	102,796	126,066	128,482	130,947	133,457
Higher Education Services Corporation	95,037	82,590	91,741	93,903	94,301
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	270	220	220	220	220
State University Construction Fund	14,504	18,239	18,477	18,702	19,120
State University of New York	4,949,282	4,817,612	4,841,830	4,928,744	5,009,224
Functional Total	5,161,889	5,044,727	5,080,750	5,172,516	5,256,322
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	5,399	4,986	5,101	5,157	5,214
Education, Department of	131,615	124,567	121,245	119,237	119,937
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	131,615	124,567	121,245	119,237	119,937
Functional Total	137,014	129,553	126,346	124,394	125,151
GENERAL GOVERNMENT					
Budget, Division of the	38,930	40,222	41,686	42,331	43,080
Civil Service, Department of	21,245	18,913	19,157	19,393	19,660
Deferred Compensation	521	604	617	626	638
Elections, State Board of	9,703	6,088	6,197	6,339	6,464
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Financial Plan Control Board	2,099	2,385	2,543	2,605	2,664
General Services, Office of	135,014	135,295	139,464	143,552	146,491
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Lottery, Division of	176,513	166,311	169,825	168,725	172,165
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	27,977	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	45,977	40,729	40,271	40,246	40,221
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	398,676	445,755	445,411	445,493	450,898
Technology, Office for	22,466	28,700	34,030	35,076	35,539
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,466	6,355	6,419	6,342	6,424
Functional Total	942,320	974,266	997,300	960,952	974,777

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	226,089	220,995	225,396	229,885	234,463
Judiciary	1,872,453	1,902,900	2,204,361	2,219,427	2,219,969
Audit and Control, Department of	139,406	148,526	151,805	156,221	158,531
Law, Department of	173,611	167,531	172,670	174,810	177,849
Executive Chamber	17,066	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	2,428,615	2,457,938	2,773,377	2,799,780	2,810,507
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	4,009	(620,567)	(523,167)	(348,154)	(298,117)
Functional Total	59,492	(528,870)	(431,298)	(256,285)	(206,248)
TOTAL STATE OPERATIONS SPENDING	15,388,657	14,642,388	15,697,042	16,194,598	16,354,262

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	34,218	32,976	32,614	32,724	32,944
Alcoholic Beverage Control	8,838	9,367	9,441	9,441	9,559
Banking Department	49,855	49,687	49,149	49,200	49,251
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,062	2,293	2,211	2,281	2,306
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,979	12,654	12,752	12,752	12,897
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	106,061	95,963	95,813	97,013	97,013
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,734	2,734	2,734	2,771
Public Service, Department of	43,269	44,120	45,381	46,289	47,215
Racing and Wagering Board, State	12,538	12,536	12,581	12,630	12,679
Science, Technology and Innovation, Foundation for	2,254	1,879	1,896	1,896	1,919
Strategic Investment	0	0	0	0	0
Functional Total	279,132	267,434	267,863	270,392	272,055
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,571	4,212	4,214	4,214
Environmental Conservation, Department of	196,537	188,501	186,272	186,937	186,937
Environmental Facilities Corporation	6,798	5,958	6,094	6,232	6,232
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,458	122,422	124,143	125,017	125,652
Functional Total	350,522	321,452	320,721	322,400	323,035
TRANSPORTATION					
Motor Vehicles, Department of	52,901	55,015	53,437	53,437	53,437
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,642	7,249	7,521	7,803	8,096
Functional Total	59,543	62,264	60,958	61,240	61,533
HEALTH					
Aging, Office for the	2,427	1,692	1,634	1,634	1,634
Health, Department of	240,936	261,917	264,926	266,235	267,555
Medical Assistance	0	500	500	500	500
Medicaid Administration	0	0	0	0	0
Public Health	240,936	261,417	264,426	265,735	267,055
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	20,094	25,942	26,089	26,089	26,089
Stem Cell and Innovation	640	0	0	0	0
Functional Total	264,097	289,551	292,649	293,958	295,278
SOCIAL WELFARE					
Children and Family Services, Office of	171,709	178,903	199,901	219,198	215,812
OCFS	171,709	178,903	199,901	219,198	215,812
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	8,027	9,042	9,036	9,133	9,231
Labor, Department of	33,433	26,615	26,875	27,219	27,006
Housing and Community Renewal, Division of	50,376	43,991	44,977	45,992	46,301
National Commission Services	316	235	236	238	320

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,251	1,209	1,239	1,252	1,252
Temporary and Disability Assistance, Office of	17,230	17,557	17,716	17,882	18,063
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	17,230	17,557	17,716	17,882	18,063
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	90,768	92,326	92,832	93,475	94,313
Functional Total	373,423	370,233	393,170	414,747	412,670
MENTAL HYGIENE					
Mental Health, Office of	403,158	435,728	650,975	660,643	667,098
OMH	382,310	388,216	344,409	349,530	352,968
OMH - Medicaid	20,848	47,512	306,566	311,113	314,130
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	139,407	59,788	289,337	347,346	350,743
OPWDD	0	0	0	0	0
OPWDD - Medicaid	139,407	59,788	289,337	347,346	350,743
Alcoholism and Substance Abuse Services, Office of	45,527	47,877	49,243	49,897	50,408
OASAS	25,233	20,259	21,334	21,879	22,109
OASAS - Medicaid	20,294	27,618	27,909	28,018	28,299
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	3,684	3,783	3,808	3,851	3,893
Functional Total	591,776	547,176	993,363	1,061,737	1,072,142
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,142	2,491	2,518	2,552	2,568
Correctional Services, Department of	2,111,324	1,921,624	1,939,287	1,957,469	1,950,604
Criminal Justice Services, Division of	35,446	38,067	38,443	38,824	39,217
Office of Victim Services	4,014	3,687	3,455	3,505	3,527
Statewide Financial System	0	10,271	10,222	10,222	10,222
Homeland Security and Emergency Services	11,319	8,819	9,214	9,136	9,136
Homeland Security	0	31,909	32,733	30,225	30,227
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	4,116	4,145	4,185	4,228
Military and Naval Affairs, Division of	22,271	12,149	12,155	12,297	12,297
Parole, Division of	139,375	136,425	136,752	138,171	139,601
Probation and Correctional Alternatives, Division of	2,255	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	646,775	601,491	601,128	601,128	601,128
Wireless Network	2,820	1,000	1,000	1,000	1,000
Functional Total	2,982,115	2,772,799	2,792,552	2,810,214	2,805,255

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,260	37,721	38,098	38,479	38,864
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,431	15,585	15,870
State University of New York	3,243,082	3,124,144	3,155,162	3,188,889	3,213,939
Functional Total	3,365,550	3,265,006	3,298,088	3,333,922	3,361,220
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	3,741	3,484	3,514	3,554	3,594
Education, Department of	86,682	80,905	80,154	80,659	81,054
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	86,682	80,905	80,154	80,659	81,054
Functional Total	90,423	84,389	83,668	84,213	84,648
GENERAL GOVERNMENT					
Budget, Division of the	28,860	27,459	28,212	29,071	29,366
Civil Service, Department of	19,585	17,138	17,288	17,458	17,662
Deferred Compensation	388	401	405	407	411
Elections, State Board of	4,389	4,230	4,238	4,285	4,328
Employee Relations, Office of	3,071	3,167	3,195	3,224	3,259
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	62,775	60,263	60,426	61,325	61,950
Inspector General, Office of	5,767	5,629	5,673	5,734	5,795
Labor Management Committee	8,055	8,182	8,073	8,161	8,161
Lottery, Division of	21,798	21,730	21,730	21,730	21,973
Public Employment Relations Board	3,362	3,503	3,323	3,358	3,395
Public Integrity, Commission on	3,357	3,352	3,675	3,819	3,861
Real Property Services, Office of	23,407	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	2,082	2,082	2,082	2,082
State, Department of	32,761	31,218	30,843	30,843	30,843
Tax Appeals, Division of	2,960	2,741	2,741	2,741	2,768
Taxation and Finance, Department of	306,466	349,404	349,475	349,554	352,522
Technology, Office for	10,571	13,409	13,483	13,603	13,701
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	5,996	5,901	5,946	5,991	6,052
Functional Total	547,183	561,375	562,465	565,076	569,853

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,536,724	1,536,806	1,838,220	1,846,128	1,846,670
Audit and Control, Department of	114,716	116,084	118,239	118,364	119,545
Law, Department of	123,683	117,461	118,469	119,433	120,729
Executive Chamber	13,451	14,000	14,420	14,853	15,001
Lieutenant Governor, Office of the	0	540	1,006	1,016	1,016
Functional Total	1,966,448	1,949,938	2,258,702	2,271,509	2,278,110
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	1,083	(184,110)	(126,734)	(1,724)	(1,713)
Functional Total	3,258	(184,110)	(126,734)	(1,724)	(1,713)
TOTAL PERSONAL SERVICE SPENDING	10,873,470	10,307,507	11,197,465	11,487,684	11,534,086

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	29,055	25,700	25,471	25,769	26,078
Alcoholic Beverage Control	4,037	6,074	6,607	5,323	5,458
Banking Department	15,227	15,000	14,519	14,521	14,880
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	233	613	715	460	477
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,605	11,114	11,712	11,712	12,123
Energy Research and Development Authority	1,513	1,572	1,815	1,854	1,895
Insurance Department	63,006	81,631	86,699	86,699	86,699
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,351	2,330	2,540	2,540	2,630
Public Service, Department of	10,869	10,375	10,778	11,105	11,443
Racing and Wagering Board, State	4,831	3,219	3,051	3,052	3,054
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	148,352	158,644	164,967	164,095	165,534
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	424	549	457	457	457
Environmental Conservation, Department of	82,030	63,677	60,217	56,236	56,236
Environmental Facilities Corporation	1,061	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	44,964	52,440	52,256	53,048	53,048
Functional Total	128,479	117,879	114,146	110,960	110,960
TRANSPORTATION					
Motor Vehicles, Department of	18,993	18,488	20,647	20,647	20,647
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	15,661	18,191	18,283	18,348	18,476
Functional Total	34,654	36,679	38,930	38,995	39,123
HEALTH					
Aging, Office for the	141	360	383	383	383
Health, Department of	277,271	306,359	305,366	303,035	305,290
Medical Assistance	21,284	45,855	45,855	45,855	45,855
Medicaid Administration	0	0	0	0	0
Public Health	255,987	260,504	259,511	257,180	259,435
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	7,387	9,115	12,482	12,482	12,482
Stem Cell and Innovation	16,608	52,616	73,071	123,149	63,673
Functional Total	301,407	368,450	391,302	439,049	381,828
SOCIAL WELFARE					
Children and Family Services, Office of	84,886	102,218	113,897	120,397	123,869
OCFS	84,886	102,218	113,897	120,397	123,869
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	2,704	2,043	2,125	2,209	2,242
Labor, Department of	14,684	6,406	6,726	7,047	7,377
Housing and Community Renewal, Division of	16,953	13,809	14,343	14,844	14,953
National Commission Services	43	41	41	41	41

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	182	164	172	172
Temporary and Disability Assistance, Office of	38,512	50,337	54,856	58,441	58,964
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	38,512	50,337	54,856	58,441	58,964
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	47,152	72,193	63,507	65,077	66,724
Functional Total	205,194	247,229	255,659	268,228	274,342
MENTAL HYGIENE					
Mental Health, Office of	185,474	191,331	210,991	222,603	228,763
OMH	72,881	103,195	107,547	113,308	116,516
OMH - Medicaid	112,593	88,136	103,444	109,295	112,247
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	37,659	5,141	9,682	47,593	49,025
OPWDD	167	176	176	176	181
OPWDD - Medicaid	37,492	4,965	9,506	47,417	48,844
Alcoholism and Substance Abuse Services, Office of	21,013	19,711	20,703	21,557	22,097
OASAS	10,250	10,883	11,392	11,857	12,170
OASAS - Medicaid	10,763	8,828	9,311	9,700	9,927
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	970	1,478	1,513	1,550	1,595
Functional Total	245,116	217,661	242,889	293,303	301,480
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	454	402	414	432	448
Correctional Services, Department of	509,178	551,861	588,682	624,250	666,488
Criminal Justice Services, Division of	31,078	35,176	34,976	35,722	36,487
Office of Victim Services	708	1,092	1,119	1,148	1,174
Statewide Financial System	0	21,659	31,137	40,721	40,821
Homeland Security and Emergency Services	14,083	52,024	42,646	23,404	13,404
Homeland Security	0	3,389	0	0	0
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	9	0	0	0	0
Judicial Commissions	1,157	1,376	1,450	1,484	1,521
Military and Naval Affairs, Division of	22,155	8,440	8,099	8,356	8,354
Parole, Division of	32,694	35,323	35,919	36,946	38,061
Probation and Correctional Alternatives, Division of	256	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	81,142	75,750	75,375	69,879	69,879
Wireless Network	2,592	86	86	86	86
Functional Total	695,517	787,328	821,403	843,928	878,223

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	58,777	44,869	53,643	55,424	55,437
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,046	3,117	3,250
State University of New York	1,706,200	1,693,468	1,686,668	1,739,645	1,795,209
Functional Total	1,796,339	1,779,721	1,782,662	1,838,594	1,895,026
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	1,658	1,502	1,587	1,603	1,620
Education, Department of	44,933	43,662	41,091	38,578	38,883
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	44,933	43,662	41,091	38,578	38,883
Functional Total	46,591	45,164	42,678	40,181	40,503
GENERAL GOVERNMENT					
Budget, Division of the	10,070	12,763	13,474	13,260	13,714
Civil Service, Department of	1,650	1,775	1,869	1,935	1,998
Deferred Compensation	133	203	212	219	227
Elections, State Board of	5,314	1,858	1,959	2,054	2,136
Employee Relations, Office of	133	183	193	203	214
Financial Plan Control Board	692	819	886	915	940
General Services, Office of	72,239	75,032	79,038	82,227	84,541
Inspector General, Office of	312	549	668	692	718
Labor Management Committee	25,554	51,251	59,753	17,857	17,857
Lottery, Division of	154,715	144,581	148,095	146,995	150,192
Public Employment Relations Board	423	749	697	710	734
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	4,570	0	0	0	0
Regulatory Reform, Governor's Office of	201	194	194	194	194
State, Department of	13,216	9,511	9,428	9,403	9,378
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	92,210	96,351	95,936	95,939	98,376
Technology, Office for	11,895	15,291	20,547	21,473	21,838
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	470	454	473	351	372
Functional Total	395,137	412,891	434,835	395,676	404,924

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	48,215	55,948	57,048	58,170	59,314
Judiciary	335,729	366,094	366,141	373,299	373,299
Audit and Control, Department of	24,690	32,442	33,566	37,857	38,986
Law, Department of	49,928	50,070	54,201	55,377	57,120
Executive Chamber	3,605	3,532	3,376	3,376	3,486
Lieutenant Governor, Office of the	0	118	187	192	192
Functional Total	<u>462,167</u>	<u>508,000</u>	<u>514,875</u>	<u>528,271</u>	<u>532,397</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,926	(436,457)	(396,433)	(346,430)	(296,404)
Functional Total	<u>56,234</u>	<u>(344,760)</u>	<u>(304,564)</u>	<u>(254,561)</u>	<u>(204,535)</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>4,515,187</u>	<u>4,334,886</u>	<u>4,499,582</u>	<u>4,706,919</u>	<u>4,820,105</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,560	5,132	5,886	6,779	7,326
Alcoholic Beverage Control	4,137	4,525	4,802	5,530	5,894
Banking Department	21,049	23,178	23,543	25,326	25,516
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	43,952	44,975	48,622	48,622
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,500	21,021	24,236	27,985	30,238
Racing and Wagering Board, State	5,206	6,083	6,417	7,330	7,725
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	104,262	105,542	111,470	123,248	127,107
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	36,337	39,365	38,216	38,436	37,436
Environmental Facilities Corporation	1,874	1,856	1,899	1,942	1,942
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,537	3,852	3,824	3,825	3,166
Functional Total	40,748	45,073	43,939	44,203	42,544
TRANSPORTATION					
Motor Vehicles, Department of	22,539	26,643	28,597	32,094	33,573
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,240	3,529	3,856	4,570	4,992
Functional Total	23,779	30,172	32,453	36,664	38,565
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	33,230	44,901	47,136	53,241	56,063
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	33,230	44,901	47,136	53,241	56,063
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	94	126	126	126	126
Stem Cell and Innovation	428	0	0	0	0
Functional Total	33,752	45,027	47,262	53,367	56,189
SOCIAL WELFARE					
Children and Family Services, Office of	1,011	1,314	1,347	1,347	1,542
OCFS	1,011	1,314	1,347	1,347	1,542
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,912	15,427	16,346	18,790	19,733
Housing and Community Renewal, Division of	15,278	15,072	16,007	18,413	19,522
National Commission Services	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Assistance</i>	645	258	259	260	325
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	645	258	259	260	325
Welfare Inspector General, Office of Workers Compensation Board	48,822	41,282	44,266	49,989	54,183
Functional Total	80,868	73,353	78,225	88,799	95,305
MENTAL HYGIENE					
Mental Health, Office of	174,536	199,179	318,433	394,167	428,528
<i>OMH</i>	166,745	157,425	180,108	208,146	226,434
<i>OMH - Medicaid</i>	7,791	41,754	138,325	186,021	202,094
Mental Hygiene, Department of People with Developmental Disabilities, Office for <i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	127,034	74,370	160,095	207,868	225,981
<i>OPWDD - Medicaid</i>	127,034	74,370	160,095	207,868	225,981
Alcoholism and Substance Abuse Services, Office of	21,018	22,812	26,370	30,370	32,860
<i>OASAS</i>	10,246	9,437	11,168	13,049	14,240
<i>OASAS - Medicaid</i>	10,772	13,375	15,202	17,321	18,620
Developmental Disabilities Planning Council Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
Functional Total	322,612	296,388	504,929	632,440	687,407
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	2,000	2,000	0	0	0
Criminal Justice Services, Division of	83	66	66	66	66
Office of Victim Services	1,122	1,855	1,974	2,250	2,388
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	98	218	225	240	240
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	811	898	921	1,041	1,041
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	19,893	22,999	23,682	25,254	25,254
State Police, Division of	1,260	500	500	500	500
Wireless Network	25,267	28,536	27,368	29,351	29,489
Functional Total	25,267	28,536	27,368	29,351	29,489

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,197	16,692	19,183	22,216	23,643
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,911	9,128	9,786
State University of New York	464,162	525,380	497,329	499,886	503,695
Functional Total	487,794	555,780	530,833	537,784	543,826
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	26,637	27,706	28,288	31,576	33,177
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	26,637	27,706	28,288	31,576	33,177
Functional Total	26,637	27,706	28,288	31,576	33,177
GENERAL GOVERNMENT					
Budget, Division of the	1,845	2,280	2,431	2,860	3,041
Civil Service, Department of	139	251	269	304	329
Deferred Compensation	152	188	203	228	247
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	849	990	1,063
General Services, Office of	1,663	1,783	1,893	2,174	2,305
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	10,581	11,144	12,734	13,558
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,744	8,695	8,893	9,498	9,498
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	19,932	19,917	22,687	24,039
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	43,384	43,515	45,599	51,475	54,080

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	514,696	557,398	604,948	589,645	588,845
Audit and Control, Department of	1,200	1,585	1,591	1,734	1,734
Law, Department of	10,411	9,139	10,126	11,409	11,409
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>526,307</u>	<u>568,122</u>	<u>616,665</u>	<u>602,788</u>	<u>601,988</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	3,232	4,958	4,996	5,052	5,062
Functional Total	<u>2,918,983</u>	<u>3,386,123</u>	<u>3,692,548</u>	<u>3,913,150</u>	<u>4,306,395</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>4,634,193</u>	<u>5,205,337</u>	<u>5,759,579</u>	<u>6,144,845</u>	<u>6,616,072</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
11,040	2,000	2,000	2,000	2,000
<u>11,040</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>
<u>11,040</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>

PARKS AND THE ENVIRONMENT
Parks, Recreation and Historic Preservation, Office of
Functional Total

TOTAL CAPITAL PROJECTS SPENDING

CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,586	12,549	23,440	17,624	5,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	18,306	2,500	2,500	2,500	2,500
Economic Development, Department of	35,824	33,809	31,745	32,616	46,745
Energy Research and Development Authority	13,500	19,247	15,161	13,500	14,790
Insurance Department	0	0	0	0	0
Empire State Development Corporation	583,292	733,945	816,435	387,065	348,760
Olympic Regional Development Authority	2,525	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	4,230	2,585	300	0
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	665,860	816,280	895,866	458,605	423,045
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	487,450	680,103	670,303	469,003	440,703
Environmental Facilities Corporation	292	343	343	343	343
Hudson River Park Trust	11,977	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	79,274	33,050	22,050	22,050	22,050
Functional Total	578,993	703,496	692,696	491,396	463,096
TRANSPORTATION					
Motor Vehicles, Department of	208,105	217,942	228,337	237,712	243,082
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	3,472,811	3,949,733	3,814,050	3,606,818	3,573,929
Functional Total	3,867,000	4,386,575	4,238,687	4,029,930	4,002,411
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	238,053	393,810	703,058	282,140	203,796
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	238,053	393,810	703,058	282,140	203,796
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	238,053	393,810	703,058	282,140	203,796
SOCIAL WELFARE					
Children and Family Services, Office of	28,011	23,000	20,900	20,900	20,900
OCFS	28,011	23,000	20,900	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Housing and Community Renewal, Division of	116,317	96,882	83,635	68,181	83,575
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	30,390	35,000	35,000	35,000	30,000
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of Workers' Compensation Board	30,390	35,000	35,000	35,000	30,000
Functional Total	174,718	154,882	139,535	124,081	134,475
MENTAL HYGIENE					
Mental Health, Office of <i>OMH - Medicaid</i>	111,788	125,448	179,090	192,081	192,081
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of People with Developmental Disabilities, Office for <i>OPWDD</i>	31,784	38,555	47,069	49,099	43,099
<i>OPWDD - Medicaid</i>	31,784	38,555	47,069	49,099	43,099
Alcoholism and Substance Abuse Services, Office of <i>OASAS</i>	30,721	31,167	122,401	134,179	127,390
<i>OASAS - Medicaid</i>	30,721	31,167	122,401	134,179	127,390
Developmental Disabilities Planning Council Quality of Care for the Mentally Disabled, Commission on Functional Total	174,293	195,170	348,560	375,359	362,570
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office Correction, Commission of Correctional Services, Department of Criminal Justice Services, Division of Office of Victim Services Statewide Financial System Homeland Security and Emergency Services Homeland Security	0	0	0	0	0
Office of Indigent Legal Services Investigation, Temporary State Commission of Judicial Commissions Military and Naval Affairs, Division of Parole, Division of Probation and Correctional Alternatives, Division of State Emergency Management Office State Police, Division of Wireless Network	263,964	255,788	283,786	292,801	299,211
Functional Total	800	8,000	11,000	8,000	6,000
	37,099	55,487	50,790	50,679	50,634
	0	0	0	0	0
	0	0	0	0	0
	14,074	29,739	35,695	16,625	16,457
Functional Total	315,937	349,014	381,271	368,105	372,302

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	81,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	858,369	1,129,768	1,121,997	1,055,050	1,020,802
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	30,394	52,617	52,118	44,120	42,320
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	30,394	52,617	52,118	44,120	42,320
Functional Total	30,394	52,617	52,118	44,120	42,320
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	(291)	2,750	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	784	21,516	23,827	50,000	9,060
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	53,537	85,951	87,424	122,863	81,619

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	8,089	18,000	16,700	17,900	0
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	146,592	169,285	237,000	160,000	100,000
Functional Total	146,592	169,285	237,000	160,000	100,000
TOTAL CAPITAL PROJECTS FUNDS SPENDING	7,111,835	8,454,848	8,914,912	7,529,549	7,206,436

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
109,449	109,328	120,189	118,068	106,907	
Grants to Local Governments	26,841	20,023	20,154	22,548	22,685
State Operations	73,619	70,661	69,759	70,167	70,696
Personal Service	36,185	35,160	34,769	34,879	35,089
Non-Personal Service/Indirect Cost	37,434	35,501	34,990	35,288	35,597
General State Charges	5,403	6,095	6,836	7,729	8,276
Capital Projects	3,586	12,549	23,440	17,624	5,250
17,012	19,892	20,776	20,294	20,911	
Grants to Local Governments	0	0	0	0	0
State Operations	12,875	15,367	15,974	14,764	15,017
Personal Service	8,838	9,293	9,367	9,441	9,559
Non-Personal Service/Indirect Cost	4,037	6,074	6,607	5,323	5,458
General State Charges	4,137	4,525	4,802	5,530	5,894
87,166	87,865	87,211	89,047	89,647	
Grants to Local Governments	1,035	0	0	0	0
State Operations	65,082	64,687	63,668	63,721	64,131
Personal Service	49,855	49,687	49,149	49,200	49,251
Non-Personal Service/Indirect Cost	15,227	15,000	14,519	14,521	14,860
General State Charges	21,049	23,178	23,543	25,326	25,516
36	200	162	162	162	
Grants to Local Governments	36	200	162	162	162
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
2,295	2,946	2,926	2,741	2,783	
Grants to Local Governments	0	0	0	0	0
State Operations	2,295	2,906	2,926	2,741	2,783
Personal Service	2,062	2,293	2,211	2,281	2,306
Non-Personal Service/Indirect Cost	233	613	715	460	477
General State Charges	0	40	0	0	0
18,306	2,500	2,500	2,500	2,500	
Grants to Local Governments	8,906	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	9,400	2,500	2,500	2,500	2,500

ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT

Agriculture and Markets, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges
Capital Projects

Alcoholic Beverage Control

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Banking Department

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Developmental Authority North

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Consumer Protection Board

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Economic Development Capital Programs

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges
Capital Projects

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Economic Development, Department of					
Grants to Local Governments	76,889	71,358	66,831	67,702	82,387
State Operations	28,815	13,508	10,349	10,349	10,349
Personal Service	29,584	24,013	24,709	24,709	25,265
Non-Personal Service/Indirect Cost	12,979	12,654	12,752	12,752	12,897
General State Charges	16,605	11,359	11,957	11,957	12,368
Capital Projects	20	28	28	28	28
	18,470	33,809	31,745	32,616	46,745
Energy Research and Development Authority					
Grants to Local Governments	29,380	34,935	31,158	29,658	31,178
State Operations	8,657	9,234	9,234	9,234	9,234
Personal Service	5,481	4,871	5,180	5,286	5,396
Non-Personal Service/Indirect Cost	3,968	3,299	3,365	3,432	3,501
General State Charges	1,513	1,572	1,815	1,854	1,895
Capital Projects	1,742	1,583	1,583	1,638	1,758
	13,500	19,247	15,161	13,500	14,790
Insurance Department					
Grants to Local Governments	657,937	463,437	481,233	486,080	486,080
State Operations	442,822	241,891	253,746	253,746	253,746
Personal Service	169,067	177,594	182,512	183,712	183,712
Non-Personal Service/Indirect Cost	106,061	95,963	95,813	97,013	97,013
General State Charges	63,006	81,631	86,699	86,699	86,699
	46,048	43,952	44,975	48,622	48,622
Empire State Development Corporation					
Grants to Local Governments	606,568	772,848	860,671	431,301	382,996
State Operations	178,041	38,903	44,236	44,236	34,236
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	428,527	733,945	816,435	387,065	348,760
Olympic Regional Development Authority					
Grants to Local Governments	7,966	5,064	5,274	5,274	5,401
State Operations	2,525	0	0	0	0
Personal Service	5,441	5,064	5,274	5,274	5,401
Non-Personal Service/Indirect Cost	3,090	2,734	2,734	2,734	2,771
General State Charges	2,351	2,330	2,540	2,540	2,630
Capital Projects	0	0	0	0	0
	0	0	0	0	0
Public Service, Department of					
Grants to Local Governments	77,313	78,738	83,729	88,620	91,901
State Operations	0	500	500	500	500
Personal Service	55,329	56,498	58,123	59,208	60,291
Non-Personal Service/Indirect Cost	44,332	45,742	46,996	47,801	48,578
General State Charges	10,997	10,756	11,407	11,407	11,713
	21,984	21,740	25,106	28,912	31,110

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Racing and Wagering Board, State	22,575	21,833	22,044	23,007	23,453
Grants to Local Governments	0	0	0	0	0
State Operations	17,369	15,755	15,632	15,682	15,733
Personal Service	12,538	12,536	12,581	12,630	12,679
Non-Personal Service/Indirect Cost	4,831	3,219	3,051	3,052	3,054
General State Charges	5,206	6,078	6,412	7,325	7,720
Capital Projects	0	0	0	0	0
Science, Technology and Innovation, Foundation for	29,083	31,376	29,181	26,796	23,356
Grants to Local Governments	26,204	24,251	23,640	23,540	20,340
State Operations	2,879	2,895	2,956	2,956	3,016
Personal Service	2,254	1,879	1,896	1,896	1,919
Non-Personal Service/Indirect Cost	625	1,016	1,060	1,060	1,087
General State Charges	0	0	0	0	0
Capital Projects	0	4,230	2,585	300	0
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Grants to Local Governments	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	8,827	10,000	4,000	5,000	5,000
Functional Total	1,750,802	1,712,320	1,817,885	1,396,250	1,354,662
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,292	5,470	5,019	5,021	5,021
Grants to Local Governments	0	0	0	0	0
State Operations	5,292	5,470	5,019	5,021	5,021
Personal Service	4,729	4,571	4,212	4,214	4,214
Non-Personal Service/Indirect Cost	563	899	807	807	807
General State Charges	0	0	0	0	0
Environmental Conservation, Department of	864,001	1,042,606	1,021,569	817,173	787,873
Grants to Local Governments	180,449	314,925	327,526	127,526	127,526
State Operations	321,847	297,812	292,123	288,807	288,807
Personal Service	220,767	217,579	215,350	216,015	216,015
Non-Personal Service/Indirect Cost	101,080	80,233	76,773	72,792	72,792
General State Charges	46,340	53,766	52,617	52,837	51,837
Capital Projects	315,365	376,103	349,303	348,003	319,703

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Environmental Facilities Corporation	10,025	9,370	9,552	9,736	9,736
Grants to Local Governments	0	0	0	0	0
State Operations	7,859	7,171	7,310	7,451	7,451
Personal Service	6,798	5,958	6,094	6,232	6,232
Non-Personal Service/Indirect Cost	1,061	1,213	1,216	1,219	1,219
General State Charges	1,874	1,856	1,899	1,942	1,942
Capital Projects	292	343	343	343	343
Hudson River Park Trust	11,977	10,000	0	0	0
Grants to Local Governments	0	10,000	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	11,977	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	305,485	240,442	227,951	229,618	229,594
Grants to Local Governments	29,405	24,020	21,020	21,020	21,020
State Operations	190,807	177,003	178,540	180,206	180,841
Personal Service	143,678	123,478	125,199	126,073	126,708
Non-Personal Service/Indirect Cost	47,129	53,525	53,341	54,133	54,133
General State Charges	3,020	4,369	4,341	4,342	3,683
Capital Projects	82,253	35,050	24,050	24,050	24,050
Functional Total	1,196,780	1,307,888	1,264,091	1,061,548	1,032,224
TRANSPORTATION					
Motor Vehicles, Department of	320,230	336,621	347,882	360,754	367,603
Grants to Local Governments	13,486	14,000	13,200	13,200	13,200
State Operations	75,637	77,428	77,105	77,105	77,105
Personal Service	54,016	56,395	54,667	54,667	54,667
Non-Personal Service/Indirect Cost	21,621	21,033	22,438	22,438	22,438
General State Charges	23,002	27,251	29,240	32,737	34,216
Capital Projects	208,105	217,942	228,337	237,712	243,082
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Grants to Local Governments	1,403	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	1,800	1,800	1,800	1,800

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Metropolitan Transportation Authority					
Grants to Local Governments	184,681	217,100	194,500	183,600	183,600
State Operations	184,681	217,100	194,500	183,600	183,600
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Transportation, Department of					
Grants to Local Governments	7,376,584	8,341,474	8,306,937	8,196,273	8,248,166
State Operations	4,268,202	4,878,314	4,994,692	5,096,725	5,168,104
Personal Service	43,257	47,188	48,186	49,377	50,529
Non-Personal Service/Indirect Cost	16,265	17,724	18,388	19,278	19,993
General State Charges	26,992	29,464	29,798	30,099	30,536
Capital Projects	5,308	8,629	9,427	11,174	12,204
	3,059,817	3,407,343	3,254,632	3,038,997	3,017,329
FUNCTIONAL TOTAL	7,882,898	8,896,995	8,851,119	8,742,427	8,801,169

HEALTH

Aging, Office for the					
Grants to Local Governments	229,966	227,821	224,739	224,739	224,739
State Operations	219,608	216,740	213,662	213,662	213,662
Personal Service	10,358	10,890	10,814	10,814	10,814
Non-Personal Service/Indirect Cost	8,791	9,145	9,311	9,311	9,311
General State Charges	1,567	1,745	1,503	1,503	1,503
	0	191	263	263	263
Health, Department of					
Grants to Local Governments	42,156,549	43,728,010	47,352,064	49,027,667	52,109,920
State Operations	37,025,209	38,091,219	41,341,155	43,229,713	46,375,013
Personal Service	37,003,925	38,044,864	41,294,800	43,183,358	46,328,658
Non-Personal Service/Indirect Cost	21,284	46,355	46,355	46,355	46,355
General State Charges	0	500	500	500	500
	21,284	45,855	45,855	45,855	45,855
	0	0	0	0	0
Medicaid Administration					
Grants to Local Governments	939,296	1,102,500	1,147,500	1,193,500	1,193,500
State Operations	939,296	1,102,500	1,147,500	1,193,500	1,193,500
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Public Health	4,192,044	4,534,291	4,863,409	4,604,454	4,541,407
Grants to Local Governments	3,360,024	3,301,606	3,344,126	3,509,456	3,509,356
State Operations	769,755	808,728	784,114	783,642	787,217
Personal Service	364,585	365,990	367,915	369,774	371,094
Non-Personal Service/Indirect Cost	405,170	442,738	416,199	413,868	416,123
General State Charges	54,425	65,672	67,636	73,741	76,563
Capital Projects	7,840	358,285	667,533	246,615	168,271
Debt Service	0	0	0	0	0
Medicaid Inspector General, Office of	64,868	76,563	91,660	93,500	94,430
Grants to Local Governments	0	(4,000)	0	0	0
State Operations	56,567	71,047	77,633	77,633	77,633
Personal Service	40,140	48,994	52,141	52,141	52,141
Non-Personal Service/Indirect Cost	16,427	22,053	25,492	25,492	25,492
General State Charges	8,301	9,516	14,027	15,867	16,797
Stem Cell and Innovation	17,676	52,616	73,071	123,149	63,673
Grants to Local Governments	0	0	0	0	0
State Operations	17,248	52,616	73,071	123,149	63,673
Personal Service	640	0	0	0	0
Non-Personal Service/Indirect Cost	16,608	52,616	73,071	123,149	63,673
General State Charges	428	0	0	0	0
Functional Total	42,469,059	44,085,010	47,741,534	49,469,055	52,492,762
SOCIAL WELFARE					
Children and Family Services, Office of	3,189,020	3,431,576	3,497,590	3,728,859	3,952,980
Children and Family Services	3,139,542	3,298,033	3,364,133	3,591,504	3,811,720
Grants to Local Governments	2,716,339	2,823,590	2,860,406	3,060,926	3,283,762
State Operations	384,285	439,012	470,241	497,092	495,360
Personal Service	229,085	239,206	260,542	280,061	274,796
Non-Personal Service/Indirect Cost	155,200	199,806	209,699	217,031	220,564
General State Charges	11,241	12,431	12,586	12,586	11,698
Capital Projects	27,677	23,000	20,900	20,900	20,900

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Children and Family Services - Medicaid					
Grants to Local Governments	49,478	133,543	133,457	137,355	141,260
State Operations	49,478	133,543	133,457	137,355	141,260
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Human Rights, Division of					
Grants to Local Governments	20,300	19,690	20,058	20,664	20,949
State Operations	0	0	0	0	0
Personal Service	19,650	17,503	17,528	17,752	17,883
Non-Personal Service/Indirect Cost	14,873	13,985	14,074	14,202	14,300
General State Charges	4,777	3,518	3,454	3,550	3,583
	650	2,187	2,530	2,912	3,066
Labor, Department of					
Grants to Local Governments	728,721	703,650	606,814	603,128	595,107
State Operations	273,863	254,092	178,794	175,036	174,149
Personal Service	360,817	347,975	321,504	308,110	299,029
Non-Personal Service/Indirect Cost	235,698	226,021	210,518	206,862	197,451
General State Charges	125,119	121,954	110,986	101,248	101,578
	94,041	101,583	106,516	119,982	121,929
Housing and Community Renewal, Division of					
Grants to Local Governments	417,003	464,833	405,261	275,451	292,533
State Operations	319,046	378,446	316,030	181,065	196,459
Personal Service	76,254	68,622	70,369	72,517	73,108
Non-Personal Service/Indirect Cost	58,315	51,057	52,123	53,210	53,668
General State Charges	17,939	17,565	18,246	19,307	19,440
Capital Projects	18,313	17,765	18,862	21,869	22,966
	3,390	0	0	0	0
National Commission Services					
Grants to Local Governments	16,862	16,016	14,627	14,629	14,715
State Operations	0	350	350	350	350
Personal Service	16,862	15,666	14,277	14,279	14,365
Non-Personal Service/Indirect Cost	690	589	590	592	678
General State Charges	16,172	15,077	13,687	13,687	13,687
	0	0	0	0	0
Prevention of Domestic Violence, Office for					
Grants to Local Governments	2,167	2,076	2,088	2,109	2,109
State Operations	656	685	685	685	685
Personal Service	1,511	1,391	1,403	1,424	1,424
Non-Personal Service/Indirect Cost	1,291	1,209	1,239	1,252	1,252
General State Charges	220	182	164	172	172
	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of					
Welfare Assistance					
Grants to Local Governments	3,857,439	3,702,854	3,820,732	3,821,396	3,859,652
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Welfare Administration					
Grants to Local Governments	51,263	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other					
Grants to Local Governments	1,367,291	1,411,345	1,403,426	1,421,291	1,424,789
State Operations	963,456	988,748	966,728	969,544	970,994
Personal Service	334,813	341,847	348,691	355,246	358,923
Non-Personal Service/Indirect Cost	169,039	168,945	169,912	171,261	172,640
General State Charges	38,632	172,902	178,779	183,985	186,283
Capital Projects	30,390	45,750	53,007	61,501	64,872
		35,000	35,000	35,000	30,000
Welfare Inspector General, Office of					
Grants to Local Governments	727	1,432	1,456	1,472	1,492
State Operations	0	0	0	0	0
Personal Service	635	1,225	1,242	1,251	1,271
Non-Personal Service/Indirect Cost	506	760	766	766	786
General State Charges	129	465	476	485	485
	92	207	214	221	221
Workers' Compensation Board					
Grants to Local Governments	190,135	209,333	204,137	212,073	218,844
State Operations	0	0	0	0	0
Personal Service	141,313	168,051	159,871	162,084	164,661
Non-Personal Service/Indirect Cost	90,768	92,326	92,832	93,475	94,313
General State Charges	50,545	75,725	67,039	68,609	70,348
	48,822	41,282	44,266	49,989	54,183
Functional Total	9,840,928	9,962,805	9,976,189	10,101,072	10,383,170

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
MENTAL HYGIENE					
<i>Mental Health, Office of</i>					
Office of Mental Health	3,121,486	3,432,824	3,720,387	3,952,381	4,128,403
Grants to Local Governments	1,423,971	1,582,848	1,736,557	1,859,680	1,958,324
State Operations	731,742	852,982	1,025,736	1,109,939	1,183,784
Personal Service	456,454	492,154	452,699	463,581	470,237
Non-Personal Service/Indirect Cost	383,287	388,794	344,987	350,108	353,552
General State Charges	73,167	103,360	107,712	113,473	116,685
Capital Projects	167,136	157,869	180,552	208,590	226,733
	68,639	79,843	77,570	77,570	77,570
Office of Mental Health - Medicaid	1,697,515	1,849,976	1,983,830	2,092,701	2,170,079
Grants to Local Governments	424,664	413,136	441,391	469,761	488,324
State Operations	956,266	1,041,379	1,096,953	1,115,382	1,130,583
Personal Service	717,769	794,076	841,928	848,179	856,425
Non-Personal Service/Indirect Cost	238,497	247,303	255,025	267,203	274,158
General State Charges	316,585	395,461	445,486	507,558	551,172
Mental Hygiene, Department of	175	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	175	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	175	0	0	0	0
General State Charges	0	0	0	0	0
Debt Service	0	0	0	0	0
People with Developmental Disabilities, Office for	4,397,581	4,504,769	4,720,747	4,960,595	5,172,871
Office for People with Developmental Disabilities	522,032	580,445	596,821	620,162	642,162
Grants to Local Governments	462,213	511,136	519,537	541,090	568,210
State Operations	29,495	33,582	33,632	33,782	34,658
Personal Service	81	116	116	116	116
Non-Personal Service/Indirect Cost	29,414	33,466	33,516	33,666	34,542
General State Charges	57	51	62	70	74
Capital Projects	30,267	35,676	43,590	45,220	39,220
Office for People with Developmental Disabilities - Medicaid	3,875,549	3,924,324	4,123,926	4,340,433	4,530,709
Grants to Local Governments	1,675,089	1,727,599	1,830,984	1,932,557	2,037,598
State Operations	1,526,722	1,627,494	1,646,760	1,669,948	1,691,966
Personal Service	1,135,886	1,217,608	1,221,513	1,232,867	1,243,434
Non-Personal Service/Indirect Cost	390,836	409,886	425,247	437,081	448,532
General State Charges	670,738	569,231	646,182	737,928	801,145

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Alcoholism and Substance Abuse Services, Office of					
Alcoholism and Substance Abuse Services	550,090	595,301	733,936	775,610	790,368
Grants to Local Governments	456,695	486,237	619,472	657,321	669,322
State Operations	402,260	428,839	554,143	589,241	595,975
Personal Service	42,866	38,980	40,728	40,693	41,286
Non-Personal Service/Indirect Cost	31,031	26,213	27,481	27,066	27,345
General State Charges	11,835	12,767	13,247	13,627	13,941
Capital Projects	10,246	11,986	14,305	16,220	17,537
	1,323	6,432	10,296	11,167	14,524
Alcoholism and Substance Abuse Services - Medicaid	93,395	109,064	114,364	118,289	121,046
Grants to Local Governments	33,780	36,395	38,185	38,196	38,196
State Operations	44,668	52,189	52,965	53,625	54,288
Personal Service	33,560	42,747	43,038	43,302	43,733
Non-Personal Service/Indirect Cost	11,108	9,442	9,927	10,323	10,555
General State Charges	14,947	20,480	23,214	26,468	28,562
Developmental Disabilities Planning Council	3,397	4,200	4,200	4,200	4,200
Grants to Local Governments	0	0	0	0	0
State Operations	3,148	3,682	3,589	3,498	3,439
Personal Service	1,224	1,197	1,197	1,197	1,209
Non-Personal Service/Indirect Cost	1,924	2,485	2,392	2,301	2,230
General State Charges	249	518	611	702	761
Quality of Care for the Mentally Disabled, Commission on	15,508	15,784	17,780	18,158	18,631
Grants to Local Governments	857	420	669	669	669
State Operations	13,099	13,604	14,952	15,032	15,303
Personal Service	7,395	7,546	7,897	7,940	8,022
Non-Personal Service/Indirect Cost	5,704	6,058	7,055	7,092	7,281
General State Charges	1,552	1,760	2,159	2,457	2,659
Functional Total	8,088,237	8,552,878	9,196,950	9,710,944	10,114,473
PUBLIC PROTECTION					
Capital Defenders Office					
Grants to Local Governments	21	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	21	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	21	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Correction, Commission of	2,628	2,893	2,932	2,984	3,016
Grants to Local Governments	0	0	0	0	0
State Operations	2,617	2,893	2,932	2,984	3,016
Personal Service	2,156	2,491	2,518	2,552	2,568
Non-Personal Service/Indirect Cost	461	402	414	432	448
General State Charges	11	0	0	0	0
Correctional Services, Department of	2,909,312	2,759,247	2,849,122	2,911,887	2,953,670
Grants to Local Governments	1,399	300	243	243	243
State Operations	2,641,091	2,499,139	2,563,678	2,617,428	2,652,801
Personal Service	2,130,894	1,946,139	1,973,846	1,992,028	1,965,163
Non-Personal Service/Indirect Cost	510,197	553,000	589,832	625,400	667,638
General State Charges	2,858	3,020	1,415	1,415	1,415
Capital Projects	263,964	255,788	283,786	292,801	299,211
Criminal Justice Services, Division of	241,767	356,401	325,892	308,669	309,359
Grants to Local Governments	156,441	241,757	219,072	209,472	209,014
State Operations	85,178	113,025	105,201	97,578	98,729
Personal Service	44,265	54,519	53,395	51,776	52,162
Non-Personal Service/Indirect Cost	40,913	58,506	51,806	45,802	46,567
General State Charges	148	1,619	1,619	1,619	1,616
Office of Victim Services	67,342	67,830	65,394	65,749	65,935
Grants to Local Governments	59,852	58,018	55,668	55,668	55,668
State Operations	6,368	7,631	7,426	7,505	7,553
Personal Service	5,245	4,887	4,655	4,705	4,727
Non-Personal Service/Indirect Cost	1,123	2,744	2,771	2,800	2,826
General State Charges	1,122	2,181	2,300	2,576	2,714
Statewide Financial System	0	31,930	41,359	50,943	51,043
Grants to Local Governments	0	0	0	0	0
State Operations	0	31,930	41,359	50,943	51,043
Personal Service	0	10,271	10,222	10,222	10,222
Non-Personal Service/Indirect Cost	0	21,659	31,137	40,721	40,821
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Homeland Security and Emergency Services	296,589	325,709	610,532	617,974	580,503
Grants to Local Governments	265,657	238,250	528,788	558,788	533,788
State Operations	29,481	77,709	68,847	49,359	39,042
Personal Service	13,796	11,834	12,341	12,090	11,773
Non-Personal Service/Indirect Cost	15,685	65,875	56,506	37,269	27,269
General State Charges	1,451	1,750	1,897	1,827	1,673
Capital Projects	0	8,000	11,000	8,000	6,000

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Homeland Security	800	35,298	32,733	30,225	30,227
Grants to Local Governments	0	0	0	0	0
State Operations	0	35,298	32,733	30,225	30,227
Personal Service	0	31,909	32,733	30,225	30,227
Non-Personal Service/Indirect Cost	0	3,389	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	800	0	0	0	0
Investigation, Temporary State Commission of	395	0	0	0	0
Grants to Local Governments	0	0	0	0	0
State Operations	395	0	0	0	0
Personal Service	386	0	0	0	0
Non-Personal Service/Indirect Cost	9	0	0	0	0
General State Charges	0	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Grants to Local Governments	0	0	0	0	0
State Operations	5,145	5,492	5,595	5,669	5,749
Personal Service	3,988	4,116	4,145	4,185	4,228
Non-Personal Service/Indirect Cost	1,157	1,376	1,450	1,484	1,521
General State Charges	0	0	0	0	0
Military and Naval Affairs, Division of	276,622	213,125	180,463	181,311	180,068
Grants to Local Governments	143,148	87,835	59,466	59,466	59,466
State Operations	88,139	59,398	59,536	59,664	58,527
Personal Service	50,490	32,522	32,881	32,874	31,739
Non-Personal Service/Indirect Cost	37,649	26,876	26,855	26,790	26,788
General State Charges	8,236	10,405	10,671	11,502	11,441
Capital Projects	37,099	55,487	50,790	50,679	50,634
Office of Indigent Legal Services	0	75,000	80,000	80,000	80,000
Grants to Local Governments	0	73,500	77,000	77,000	77,000
State Operations	0	1,500	3,000	3,000	3,000
Personal Service	0	750	1,500	1,500	1,500
Non-Personal Service/Indirect Cost	0	750	1,500	1,500	1,500
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
Parole, Division of	186,383	183,169	185,275	189,268	191,813
Grants to Local Governments	16,324	11,321	12,504	14,051	14,051
State Operations	172,059	171,848	172,771	175,217	177,762
Personal Service	139,375	136,525	136,852	138,271	139,701
Non-Personal Service/Indirect Cost	32,684	35,323	35,919	36,946	38,061
General State Charges	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION

ALL FUNDS

(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Probation and Correctional Alternatives, Division of					
Grants to Local Governments	74,852	0	0	0	1,468
State Operations	72,254	0	0	0	1,468
Personal Service	2,576	0	0	0	7
Non-Personal Service/Indirect Cost	2,311	0	0	0	7
General State Charges	265	0	0	0	0
	22	0	0	0	3
State Police, Division of	776,340	736,584	741,685	718,691	718,523
Grants to Local Governments	0	0	0	0	0
State Operations	741,303	682,859	681,321	675,825	675,825
Personal Service	651,675	605,188	604,825	604,825	604,825
Non-Personal Service/Indirect Cost	89,628	77,671	76,496	71,000	71,000
General State Charges	20,963	23,986	24,669	26,241	26,241
Capital Projects	14,074	29,739	35,695	16,625	16,457
Wireless Network	6,672	1,586	1,586	1,586	1,586
Grants to Local Governments	0	0	0	0	0
State Operations	5,412	1,086	1,086	1,086	1,086
Personal Service	2,820	1,000	1,000	1,000	1,000
Non-Personal Service/Indirect Cost	2,592	86	86	86	86
General State Charges	1,260	500	500	500	500
Capital Projects	0	0	0	0	0
Functional Total	4,846,868	4,793,264	5,122,568	5,164,956	5,172,960
HIGHER EDUCATION					
City University of New York	1,655,773	1,397,211	1,470,906	1,564,361	1,654,997
Grants to Local Governments	1,539,018	1,253,529	1,322,444	1,411,851	1,499,829
State Operations	102,796	126,066	128,482	130,947	133,457
Personal Service	73,896	87,688	89,222	90,784	92,372
Non-Personal Service/Indirect Cost	28,900	38,378	39,260	40,163	41,085
General State Charges	4,236	6,134	6,275	6,419	6,567
Capital Projects	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	1,022,235	980,520	965,861	993,866	995,691
Grants to Local Governments	907,813	875,759	849,458	872,268	872,268
State Operations	99,127	87,969	97,120	99,282	99,680
Personal Service	36,495	38,106	38,483	38,864	39,249
Non-Personal Service/Indirect Cost	62,632	49,863	58,637	60,418	60,431
General State Charges	15,295	16,792	19,283	22,316	23,743

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Grants to Local Governments	37,649	20,000	38,000	20,000	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	(329)	8,000	10,000	9,000	0
Higher Education Miscellaneous	378	355	355	355	355
Grants to Local Governments	0	0	0	0	0
State Operations	270	220	220	220	220
Personal Service	238	175	175	175	175
Non-Personal Service/Indirect Cost	32	45	45	45	45
General State Charges	108	135	135	135	135
Capital Projects	0	0	0	0	0
State University Construction Fund	18,595	25,678	26,388	27,830	28,982
Grants to Local Governments	0	0	0	0	0
State Operations	14,504	18,239	18,477	18,702	19,196
Personal Service	12,074	15,278	15,431	15,585	15,870
Non-Personal Service/Indirect Cost	2,430	2,961	3,046	3,117	3,326
General State Charges	4,091	7,439	7,911	9,128	9,786
State University of New York	6,989,582	7,295,555	7,261,632	7,301,717	7,380,682
Grants to Local Governments	489,214	569,988	627,273	627,273	627,273
State Operations	5,224,812	5,109,851	5,076,688	5,163,602	5,244,006
Personal Service	3,252,188	3,133,378	3,162,389	3,196,126	3,221,166
Non-Personal Service/Indirect Cost	1,972,624	1,976,473	1,914,299	1,967,476	2,022,840
General State Charges	464,230	525,430	497,379	499,936	503,745
Capital Projects	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Debt Service	0	0	0	0	0
Functional Total	9,723,883	9,727,319	9,773,142	9,917,129	10,060,707
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	43,436	45,356	40,869	40,925	40,982
Grants to Local Governments	38,037	40,270	35,668	35,668	35,668
State Operations	5,399	5,086	5,201	5,257	5,314
Personal Service	3,741	3,484	3,514	3,554	3,594
Non-Personal Service/Indirect Cost	1,658	1,602	1,687	1,703	1,720
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Education, Department of					
School Aid	27,725,560	33,001,293	32,969,960	34,559,952	37,184,929
Grants to Local Governments	21,484,784	26,151,747	26,200,210	27,770,970	30,096,450
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
Grants to Local Governments	63,757	125,820	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
STAR Property Tax Relief	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
Grants to Local Governments	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Special Education Categorical Programs	1,680,004	2,309,388	2,287,745	2,139,936	2,244,916
Grants to Local Governments	1,680,004	2,309,388	2,287,745	2,139,936	2,244,916
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
All Other	1,083,473	1,114,768	1,064,385	1,064,879	1,071,088
Grants to Local Governments	686,522	657,408	620,536	628,446	628,709
State Operations	319,742	345,960	324,398	313,800	315,963
Personal Service	185,914	181,992	180,403	181,911	183,318
Non-Personal Service/Indirect Cost	133,828	163,968	143,995	131,889	132,645
General State Charges	66,951	72,383	81,333	92,513	98,096
Capital Projects	10,258	39,017	38,118	30,120	28,320
Functional Total	27,768,996	33,046,649	33,010,829	34,600,877	37,225,911

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
GENERAL GOVERNMENT					
Budget, Division of the					
Grants to Local Governments	40,775	42,502	44,117	45,191	46,121
State Operations	0	0	0	0	0
Personal Service	38,930	40,222	41,686	42,331	43,080
Non-Personal Service/Indirect Cost	28,860	27,459	28,212	29,071	29,366
General State Charges	10,070	12,763	13,474	13,260	13,714
	1,845	2,280	2,431	2,860	3,041
Civil Service, Department of					
Grants to Local Governments	21,384	19,164	19,426	19,697	19,989
State Operations	0	0	0	0	0
Personal Service	21,245	18,913	19,157	19,393	19,660
Non-Personal Service/Indirect Cost	19,595	17,138	17,288	17,458	17,662
General State Charges	1,650	1,775	1,869	1,935	1,998
	139	251	269	304	329
Deferred Compensation					
Grants to Local Governments	673	792	820	854	885
State Operations	0	0	0	0	0
Personal Service	521	604	617	626	638
Non-Personal Service/Indirect Cost	388	401	405	407	411
General State Charges	133	203	212	219	227
	152	188	203	228	247
Elections, State Board of					
Grants to Local Governments	50,405	104,148	6,197	36,339	6,464
State Operations	2,345	11,200	0	30,000	0
Personal Service	48,054	92,948	6,197	6,339	6,464
Non-Personal Service/Indirect Cost	4,472	4,590	4,238	4,285	4,328
General State Charges	43,582	88,358	1,959	2,054	2,136
	6	0	0	0	0
Employee Relations, Office of					
Grants to Local Governments	3,204	3,350	3,388	3,427	3,473
State Operations	0	0	0	0	0
Personal Service	3,204	3,350	3,388	3,427	3,473
Non-Personal Service/Indirect Cost	3,071	3,167	3,195	3,224	3,259
General State Charges	133	183	193	203	214
	0	0	0	0	0
Financial Plan Control Board					
Grants to Local Governments	2,630	3,190	3,392	3,595	3,727
State Operations	0	0	0	0	0
Personal Service	2,039	2,385	2,543	2,605	2,664
Non-Personal Service/Indirect Cost	1,357	1,566	1,657	1,690	1,724
General State Charges	682	819	886	915	940
	591	805	849	990	1,063

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
General Services, Office of	197,766	204,400	207,765	221,400	224,166
Grants to Local Governments	24	650	574	574	574
State Operations	143,035	140,282	144,451	148,539	151,478
Personal Service	62,775	60,263	60,426	61,325	61,950
Non-Personal Service/Indirect Cost	80,260	80,019	84,025	87,214	89,528
General State Charges	1,663	1,783	1,893	2,174	2,305
Capital Projects	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Grants to Local Governments	0	0	0	0	0
State Operations	6,079	6,178	6,341	6,426	6,513
Personal Service	5,677	5,629	5,673	5,734	5,795
Non-Personal Service/Indirect Cost	312	549	668	692	718
General State Charges	0	0	0	0	0
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Grants to Local Governments	0	0	0	0	0
State Operations	33,609	59,433	67,826	26,018	26,018
Personal Service	8,055	8,182	8,073	8,161	8,161
Non-Personal Service/Indirect Cost	25,554	51,251	59,753	17,857	17,857
General State Charges	0	0	0	0	0
Lottery, Division of	185,777	176,892	180,969	181,459	185,723
Grants to Local Governments	0	0	0	0	0
State Operations	176,513	166,311	169,825	168,725	172,165
Personal Service	21,798	21,730	21,730	21,730	21,973
Non-Personal Service/Indirect Cost	154,715	144,581	148,095	146,995	150,192
General State Charges	9,264	10,581	11,144	12,734	13,558
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Grants to Local Governments	0	0	0	0	0
State Operations	3,785	4,252	4,020	4,068	4,129
Personal Service	3,362	3,503	3,323	3,358	3,395
Non-Personal Service/Indirect Cost	423	749	697	710	734
General State Charges	0	0	0	0	0
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Grants to Local Governments	0	0	0	0	0
State Operations	4,209	4,312	4,721	4,901	4,978
Personal Service	3,357	3,352	3,675	3,819	3,861
Non-Personal Service/Indirect Cost	852	960	1,046	1,082	1,117
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Real Property Services, Office of	42,806	0	0	0	0
Grants to Local Governments	11,409	0	0	0	0
State Operations	27,977	0	0	0	0
Personal Service	23,407	0	0	0	0
Non-Personal Service/Indirect Cost	4,570	0	0	0	0
General State Charges	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
Grants to Local Governments	0	0	0	0	0
State Operations	2,449	2,276	2,276	2,276	2,276
Personal Service	2,248	2,082	2,082	2,082	2,082
Non-Personal Service/Indirect Cost	201	194	194	194	194
General State Charges	0	0	0	0	0
State, Department of	176,349	208,567	136,246	138,728	138,703
Grants to Local Governments	115,566	147,007	74,841	74,841	74,841
State Operations	50,722	48,311	47,853	47,828	47,803
Personal Service	36,549	34,989	34,614	34,614	34,614
Non-Personal Service/Indirect Cost	14,173	13,322	13,239	13,214	13,189
General State Charges	10,352	10,499	10,802	13,309	13,309
Capital Projects	(291)	2,750	2,750	2,750	2,750
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Grants to Local Governments	0	0	0	0	0
State Operations	3,458	3,108	3,108	3,108	3,146
Personal Service	2,960	2,741	2,741	2,741	2,768
Non-Personal Service/Indirect Cost	498	367	367	367	378
General State Charges	0	0	0	0	0
Taxation and Finance, Department of	417,898	477,182	477,991	480,947	487,713
Grants to Local Governments	0	12,196	12,361	12,461	12,461
State Operations	399,332	446,027	445,683	445,765	451,176
Personal Service	308,466	349,464	349,535	349,614	352,583
Non-Personal Service/Indirect Cost	92,866	96,563	96,148	96,151	98,593
General State Charges	18,566	18,959	19,947	22,721	24,076
Technology, Office for	23,549	70,166	57,857	85,076	44,599
Grants to Local Governments	1,083	2,200	0	0	0
State Operations	22,466	46,450	34,030	35,076	35,539
Personal Service	10,571	13,409	13,483	13,603	13,701
Non-Personal Service/Indirect Cost	11,895	33,041	20,547	21,473	21,838
General State Charges	0	0	0	0	0
Capital Projects	0	21,516	23,827	50,000	9,060

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Veterans' Affairs, Division of					
Grants to Local Governments	16,072	17,487	17,188	17,198	17,331
State Operations	8,290	9,513	9,076	9,076	9,076
Personal Service	7,475	7,564	7,562	7,580	7,679
Non-Personal Service/Indirect Cost	6,790	6,827	6,881	6,935	7,005
General State Charges	685	737	761	645	674
	307	410	470	542	576
Functional Total	1,232,877	1,407,399	1,243,648	1,280,708	1,225,954
ELECTED OFFICIALS					
Legislature					
Grants to Local Governments	226,089	220,995	225,396	229,885	234,463
State Operations	0	0	0	0	0
Personal Service	226,089	220,995	225,396	229,885	234,463
Non-Personal Service/Indirect Cost	177,874	165,047	168,348	171,715	175,149
General State Charges	48,215	55,948	57,048	58,170	59,314
	0	0	0	0	0
Judiciary					
Grants to Local Governments	2,520,040	2,625,898	2,975,609	2,976,572	2,960,414
State Operations	118,892	140,100	142,100	142,100	144,100
Personal Service	1,878,272	1,910,400	2,211,861	2,226,927	2,227,469
Non-Personal Service/Indirect Cost	1,538,771	1,536,806	1,838,220	1,846,128	1,846,670
General State Charges	339,501	373,594	373,641	380,799	380,799
Capital Projects	514,787	557,398	604,948	589,645	588,845
	8,089	18,000	16,700	17,900	0
Audit and Control, Department of					
Grants to Local Governments	242,702	182,135	185,420	189,979	192,289
State Operations	102,115	32,024	32,024	32,024	32,024
Personal Service	139,387	148,526	151,805	156,221	158,531
Non-Personal Service/Indirect Cost	114,716	116,084	118,239	118,364	119,545
General State Charges	24,671	32,442	33,566	37,857	38,986
	1,200	1,585	1,591	1,734	1,734
Law, Department of					
Grants to Local Governments	220,152	213,642	220,407	224,931	228,404
State Operations	0	100	81	81	81
Personal Service	200,395	195,545	201,042	203,425	206,898
Non-Personal Service/Indirect Cost	143,311	136,932	138,099	139,223	140,734
General State Charges	57,084	58,613	62,943	64,202	66,164
	19,757	17,997	19,284	21,425	21,425
Executive Chamber					
Grants to Local Governments	17,056	17,328	17,952	18,229	18,487
State Operations	0	0	0	0	0
Personal Service	17,056	17,328	17,952	18,229	18,487
Non-Personal Service/Indirect Cost	13,451	14,000	14,420	14,853	15,001
General State Charges	3,605	3,328	3,532	3,376	3,486
	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Lieutenant Governor, Office of the					
Grants to Local Governments	0	658	1,193	1,208	1,208
State Operations	0	0	0	0	0
Personal Service	0	658	1,193	1,208	1,208
Non-Personal Service/Indirect Cost	0	540	1,006	1,016	1,016
General State Charges	0	118	187	192	192
	0	0	0	0	0
Functional Total	3,226,039	3,260,656	3,625,977	3,640,804	3,635,265
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities					
Grants to Local Governments	1,039,488	751,538	1,027,357	1,037,229	1,044,566
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Efficiency Incentive Grants Program					
Grants to Local Governments	3,293	7,450	7,450	7,511	0
State Operations	3,293	7,450	7,450	7,511	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous Financial Assistance					
Grants to Local Governments	8,920	3,920	3,920	3,920	3,920
State Operations	8,920	3,920	3,920	3,920	3,920
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Municipalities with VLT Facilities					
Grants to Local Governments	26,489	25,801	25,801	25,801	25,801
State Operations	26,489	25,801	25,801	25,801	25,801
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Small Government Assistance					
Grants to Local Governments	2,089	2,088	2,088	2,088	2,088
State Operations	2,089	2,088	2,088	2,088	2,088
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	0	0	0	0	0
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service					
Grants to Local Governments	5,012,102	5,607,388	6,127,092	6,448,886	6,595,358
State Operations	0	0	0	0	0
Personal Service	50,631	91,697	91,869	91,869	91,869
Non-Personal Service/Indirect Cost	0	0	0	0	0
General State Charges	50,631	91,697	91,869	91,869	91,869
Debt Service	4,961,471	5,515,691	6,035,223	6,357,017	6,503,489
General State Charges	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
Grants to Local Governments	0	0	0	0	0
State Operations	4,852	0	0	0	0
Personal Service	2,175	0	0	0	0
Non-Personal Service/Indirect Cost	2,677	0	0	0	0
General State Charges	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	(162,872)	(642,815)	(258,161)	(222,965)	(278,170)
Grants to Local Governments	(314,934)	(196,012)	23,010	(39,863)	(85,115)
State Operations	4,009	(621,046)	(523,167)	(348,154)	(298,117)
Personal Service	1,083	(184,589)	(126,734)	(1,724)	(1,713)
Non-Personal Service/Indirect Cost	2,926	(436,457)	(396,433)	(346,430)	(296,404)
General State Charges	3,232	4,958	4,956	5,052	5,062
Capital Projects	144,821	169,285	237,000	160,000	100,000
Functional Total	7,769,833	8,345,738	9,556,483	10,134,019	10,618,521
TOTAL ALL FUNDS SPENDING	126,877,479	135,889,718	142,247,031	146,296,338	153,194,153

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	109,449	109,328	120,189	118,068	106,907
Alcoholic Beverage Control	17,012	19,892	20,776	20,294	20,911
Banking Department	87,166	87,865	87,211	89,047	89,647
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,295	2,946	2,926	2,741	2,783
Economic Development Capital Programs	18,306	2,500	2,500	2,500	2,500
Economic Development, Department of	76,889	71,358	66,831	67,702	82,387
Energy Research and Development Authority	29,380	34,935	31,158	29,658	31,178
Insurance Department	657,937	463,437	481,233	486,080	486,080
Empire State Development Corporation	606,568	772,848	860,671	431,301	382,996
Olympic Regional Development Authority	7,966	5,064	5,274	5,274	5,401
Public Service, Department of	77,313	78,738	83,729	88,620	91,901
Racing and Wagering Board, State	22,575	21,833	22,044	23,007	23,453
Science, Technology and Innovation, Foundation for	29,083	31,376	29,181	26,796	23,356
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	1,750,802	1,712,320	1,817,885	1,396,250	1,354,662
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,292	5,470	5,019	5,021	5,021
Environmental Conservation, Department of	864,001	1,042,606	1,021,569	817,173	787,873
Environmental Facilities Corporation	10,025	9,370	9,552	9,736	9,736
Hudson River Park Trust	11,977	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	305,485	240,442	227,951	229,618	229,594
Functional Total	1,196,780	1,307,888	1,264,091	1,061,548	1,032,224
TRANSPORTATION					
Motor Vehicles, Department of	320,230	336,621	347,882	360,754	367,603
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	7,376,584	8,341,474	8,306,937	8,196,273	8,248,166
Functional Total	7,882,898	8,896,995	8,851,119	8,742,427	8,801,169
HEALTH					
Aging, Office for the	229,966	227,821	224,739	224,739	224,739
Health, Department of	42,156,549	43,728,010	47,352,064	49,027,667	52,109,920
Medical Assistance	37,025,209	38,091,219	41,341,155	43,229,713	46,375,013
Medicaid Administration	939,296	1,102,500	1,147,500	1,193,500	1,193,500
Public Health	4,192,044	4,534,291	4,863,409	4,604,454	4,541,407
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	64,868	76,563	91,660	93,500	94,430
Stem Cell and Innovation	17,676	52,616	73,071	123,149	63,673
Functional Total	42,469,059	44,085,010	47,741,534	49,469,055	52,492,762
SOCIAL WELFARE					
Children and Family Services, Office of	3,189,020	3,431,576	3,497,590	3,728,859	3,952,980
OCFS	3,139,542	3,288,033	3,364,133	3,591,504	3,811,720
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	20,300	19,690	20,058	20,664	20,949
Labor, Department of	728,721	703,650	606,814	603,128	595,107
Housing and Community Renewal, Division of	417,003	464,833	405,261	275,451	292,633
National Commission Services	16,862	16,016	14,627	14,629	14,715
Prevention of Domestic Violence, Office for	2,167	2,076	2,088	2,109	2,109

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of Welfare Assistance	5,275,993	5,114,199	5,224,158	5,242,687	5,284,441
Welfare Administration	3,857,439	3,702,854	3,820,732	3,821,396	3,859,652
All Other	51,263	0	0	0	0
Welfare Inspector General, Office of	1,367,291	1,411,345	1,403,426	1,421,291	1,424,789
Workers' Compensation Board	727	1,432	1,456	1,472	1,492
190,135	209,333	204,137	212,073	218,844	218,844
Functional Total	9,840,928	9,962,805	9,976,189	10,101,072	10,383,170
MENTAL HYGIENE					
Mental Health, Office of	3,121,486	3,432,824	3,720,387	3,952,381	4,128,403
OMH	1,423,971	1,582,848	1,736,557	1,859,680	1,958,324
OMH - Medicaid	1,697,515	1,849,976	1,983,830	2,092,701	2,170,079
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	4,397,581	4,504,769	4,720,747	4,960,595	5,172,871
OPWDD	522,032	580,445	596,821	620,162	642,162
OPWDD - Medicaid	3,875,549	3,924,324	4,123,926	4,340,433	4,530,709
Alcoholism and Substance Abuse Services, Office of	550,090	595,301	733,836	775,610	790,368
OASAS	466,685	486,237	619,472	657,321	669,322
OASAS - Medicaid	93,395	109,064	114,364	118,289	121,046
Developmental Disabilities Planning Council	3,397	4,200	4,200	4,200	4,200
Quality of Care for the Mentally Disabled, Commission on	15,508	15,784	17,780	18,158	18,631
Functional Total	8,088,237	8,552,878	9,196,950	9,710,944	10,114,473
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,628	2,893	2,832	2,984	3,016
Correctional Services, Department of	2,909,312	2,758,247	2,849,122	2,911,887	2,953,670
Criminal Justice Services, Division of	241,767	356,401	325,892	308,669	309,359
Office of Victim Services	67,342	67,830	65,394	65,749	65,935
Statewide Financial System	0	31,930	41,359	50,943	51,043
Homeland Security and Emergency Services	296,589	325,709	610,532	617,974	580,503
Homeland Security	800	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	75,000	80,000	80,000	80,000
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	276,622	213,125	180,463	181,311	180,068
Parole, Division of	188,383	183,169	185,275	189,268	191,813
Probation and Correctional Alternatives, Division of	74,862	0	0	0	1,468
State Emergency Management Office	0	0	0	0	0
State Police, Division of	776,340	736,584	741,685	718,691	718,523
Wireless Network	6,672	1,586	1,586	1,586	1,586
Functional Total	4,846,868	4,793,264	5,122,568	5,164,956	5,172,960

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,655,773	1,397,211	1,470,906	1,564,361	1,654,997
Higher Education Services Corporation	1,022,235	980,520	965,861	993,866	995,691
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Higher Education Miscellaneous	378	355	355	355	355
State University Construction Fund	18,595	25,678	26,388	27,830	28,906
State University of New York	6,989,582	7,295,555	7,261,632	7,301,717	7,380,758
Functional Total	9,723,883	9,727,319	9,773,142	9,917,129	10,060,707
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	43,436	45,356	40,869	40,925	40,982
Education, Department of	27,725,560	33,001,293	32,969,960	34,559,952	37,184,929
School Aid	21,484,784	26,151,747	26,200,210	27,770,970	30,096,450
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
STAR Property Tax Relief	3,413,542	3,289,570	3,417,620	3,584,167	3,772,475
Special Education Categorical Programs	1,680,004	2,309,388	2,287,745	2,139,936	2,244,916
All Other	1,083,473	1,114,768	1,064,385	1,064,879	1,071,088
Functional Total	27,768,986	33,046,649	33,010,829	34,600,877	37,225,911
GENERAL GOVERNMENT					
Budget, Division of the	40,775	42,502	44,117	45,191	46,121
Civil Service, Department of	21,384	19,164	19,426	19,697	19,989
Deferred Compensation	673	792	820	854	885
Elections, State Board of	50,405	104,148	6,197	36,339	6,464
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Financial Plan Control Board	2,630	3,190	3,392	3,595	3,727
General Services, Office of	197,766	204,400	207,765	221,400	224,166
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Lottery, Division of	185,777	176,892	180,969	181,459	185,723
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	42,806	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	176,349	208,567	136,246	138,728	138,703
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	417,898	477,182	477,991	480,947	487,713
Technology, Office for	23,549	70,166	57,857	85,076	44,599
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	16,072	17,487	17,188	17,198	17,331
Functional Total	1,232,877	1,407,399	1,243,648	1,280,708	1,225,954

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	226,089	220,995	225,396	229,885	234,463
Judiciary	2,520,040	2,625,898	2,975,609	2,976,572	2,960,414
Audit and Control, Department of	242,702	182,135	185,420	189,979	192,289
Law, Department of	220,152	213,642	220,407	224,931	228,404
Executive Chamber	17,066	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	3,226,039	3,260,656	3,625,977	3,640,804	3,635,265
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,450	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,607,388	6,127,092	6,448,886	6,595,358
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	(162,872)	(642,815)	(258,161)	(222,965)	(278,170)
Functional Total	7,769,833	8,345,738	9,556,483	10,134,019	10,618,521
TOTAL ALL FUNDS SPENDING	126,877,479	135,889,718	142,247,031	146,296,338	153,194,153

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	20,023	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	8,906	0	0	0	0
Economic Development, Department of	28,815	13,508	10,349	10,349	10,349
Energy Research and Development Authority	8,657	9,234	9,234	9,234	9,234
Insurance Department	442,822	241,891	253,746	253,746	253,746
Empire State Development Corporation	178,041	38,903	44,236	44,236	34,236
Olympic Regional Development Authority	2,525	0	0	0	0
Public Service, Department of	0	500	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,251	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	723,882	348,510	362,021	364,315	351,252
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	180,449	314,925	327,526	127,526	127,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	29,405	24,020	21,020	21,020	21,020
Functional Total	209,854	348,945	348,546	148,546	148,546
TRANSPORTATION					
Motor Vehicles, Department of	13,486	14,000	13,200	13,200	13,200
Thruway Authority	1,403	0	0	0	0
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	4,268,202	4,878,314	4,994,692	5,096,725	5,168,104
Functional Total	4,467,772	5,109,414	5,202,392	5,293,525	5,364,904
HEALTH					
Aging, Office for the	219,608	216,740	213,662	213,662	213,662
Health, Department of	41,303,245	42,448,970	45,786,426	47,877,314	51,031,514
Medical Assistance	37,003,925	38,044,864	41,294,800	43,183,358	46,328,658
Medicaid Administration	939,296	1,102,500	1,147,500	1,193,500	1,193,500
Public Health	3,360,024	3,301,606	3,344,126	3,500,456	3,509,356
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	(4,000)	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	41,522,853	42,661,710	46,000,088	48,090,976	51,245,176
SOCIAL WELFARE					
Children and Family Services, Office of	2,765,817	2,957,133	2,993,863	3,198,281	3,425,022
OCFS	2,716,339	2,823,590	2,860,406	3,060,926	3,283,762
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	0	0	0	0	0
Labor, Department of	273,863	254,092	178,794	175,036	174,149
Housing and Community Renewal, Division of	319,046	378,446	316,030	181,065	196,459
National Commission Services	0	350	350	350	350

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	685	685	685	685
Temporary and Disability Assistance, Office of	4,872,158	4,691,602	4,787,460	4,790,940	4,830,646
Welfare Assistance	3,857,439	3,702,854	3,820,732	3,821,396	3,859,652
Welfare Administration	51,263	0	0	0	0
All Other	963,456	988,748	966,728	969,544	970,994
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	8,231,540	8,282,308	8,277,182	8,346,357	8,627,311
MENTAL HYGIENE					
Mental Health, Office of	1,156,406	1,266,118	1,467,127	1,579,700	1,672,108
OMH	731,742	852,982	1,025,736	1,109,939	1,183,784
OMH - Medicaid	424,664	413,136	441,391	469,761	488,324
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	2,140,302	2,238,735	2,350,521	2,473,647	2,605,808
OPWDD	462,213	511,136	519,537	541,090	568,210
OPWDD - Medicaid	1,678,089	1,727,599	1,830,984	1,932,557	2,037,598
Alcoholism and Substance Abuse Services, Office of	436,040	465,234	592,328	627,437	634,171
OASAS	402,260	428,839	554,143	589,241	595,975
OASAS - Medicaid	33,780	36,395	38,185	38,196	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	857	420	669	669	669
Functional Total	3,733,605	3,970,507	4,410,645	4,681,453	4,912,756
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Corrections Services, Department of	1,389	300	243	243	243
Criminal Justice Services, Division of	156,441	241,757	219,072	209,472	209,014
Office of Victim Services	59,852	58,018	55,668	55,668	55,668
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	265,657	238,250	528,788	558,788	533,788
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	73,500	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	143,148	87,835	59,466	59,466	59,466
Parole, Division of	16,324	11,321	12,504	14,051	14,051
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	715,075	710,981	952,741	974,688	950,688

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,539,018	1,253,529	1,322,444	1,411,851	1,499,829
Higher Education Services Corporation	907,813	875,759	849,458	872,268	872,268
Higher Education Capital Grants	37,649	20,000	38,000	20,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	489,214	569,988	627,273	627,273	627,273
Functional Total	2,973,694	2,719,276	2,837,175	2,931,392	2,999,370
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	38,037	40,270	35,668	35,668	35,668
Education, Department of	27,328,609	32,543,933	32,526,111	34,123,519	36,742,550
<i>School Aid</i>	21,484,784	26,151,747	26,200,210	27,770,970	30,096,450
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	1,680,004	2,309,388	2,287,745	2,139,936	2,244,916
<i>All Other</i>	686,522	667,408	620,536	628,446	628,709
Functional Total	27,366,646	32,564,203	32,561,779	34,159,187	36,778,218
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	2,345	11,200	0	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	650	574	574	574
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	115,566	147,007	74,841	74,841	74,841
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,196	12,361	12,461	12,461
Technology, Office for	1,083	2,200	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,513	9,076	9,076	9,076
Functional Total	138,717	182,766	96,852	126,952	96,952

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	118,892	140,100	142,100	142,100	144,100
Audit and Control, Department of	102,115	32,024	32,024	32,024	32,024
Law, Department of	0	100	81	81	81
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	221,007	172,224	174,205	174,205	176,205
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,511	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(314,934)	(196,012)	23,010	(39,863)	(85,115)
Functional Total	(314,934)	(196,012)	23,010	(39,863)	(85,115)
TOTAL LOCAL ASSISTANCE SPENDING	91,069,990	97,685,629	102,313,252	106,328,282	112,642,638

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	73,619	70,661	69,759	70,167	70,696
Alcoholic Beverage Control	12,875	15,974	15,974	14,764	15,017
Banking Department	65,082	64,687	63,668	63,721	64,131
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,295	2,906	2,326	2,741	2,783
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,584	24,013	24,709	24,709	25,265
Energy Research and Development Authority	5,481	4,871	5,180	5,286	5,396
Insurance Department	169,067	177,594	182,512	183,712	183,712
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,441	5,064	5,274	5,274	5,401
Public Service, Department of	55,329	56,498	58,123	59,208	60,291
Racing and Wagering Board, State	17,369	15,750	15,627	15,677	15,728
Science, Technology and Innovation, Foundation for	2,879	2,895	2,956	2,956	3,016
Strategic Investment	0	0	0	0	0
Functional Total	439,021	440,306	446,708	448,215	451,436
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,292	5,470	5,019	5,021	5,021
Environmental Conservation, Department of	321,847	297,812	292,123	288,807	288,807
Environmental Facilities Corporation	7,859	7,171	7,310	7,451	7,451
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	190,807	177,003	178,540	180,206	180,841
Functional Total	525,805	487,456	482,992	481,485	482,120
TRANSPORTATION					
Motor Vehicles, Department of	75,637	77,428	77,105	77,105	77,105
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	43,257	47,188	48,186	49,377	50,529
Functional Total	118,894	124,616	125,291	126,482	127,634
HEALTH					
Aging, Office for the	10,358	10,890	10,814	10,814	10,814
Health, Department of	791,039	855,083	830,469	829,997	833,572
Medical Assistance	21,284	46,355	46,355	46,355	46,355
Medicaid Administration	0	0	0	0	0
Public Health	769,755	808,728	784,114	783,642	787,217
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	56,567	71,047	77,633	77,633	77,633
Stem Cell and Innovation	17,248	52,616	73,071	123,149	63,673
Functional Total	875,212	989,636	991,987	1,041,593	985,692
SOCIAL WELFARE					
Children and Family Services, Office of	384,285	439,012	470,241	497,092	495,360
OCFS	384,285	439,012	470,241	497,092	495,360
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	19,650	17,503	17,528	17,752	17,883
Labor, Department of	360,817	347,975	321,504	308,110	299,029
Housing and Community Renewal, Division of	76,254	68,622	70,369	72,517	73,108
National Commission Services	16,862	15,666	14,277	14,279	14,365

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,511	1,391	1,403	1,424	1,424
Temporary and Disability Assistance, Office of	334,813	341,847	348,691	355,246	358,923
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	334,813	341,847	348,691	355,246	358,923
Welfare Inspector General, Office of	635	1,225	1,242	1,251	1,271
Workers' Compensation Board	141,313	168,051	159,871	162,084	164,661
Functional Total	1,336,140	1,401,292	1,405,126	1,429,755	1,426,024
MENTAL HYGIENE					
Mental Health, Office of	1,412,720	1,533,533	1,549,652	1,578,963	1,600,820
OMH	456,454	492,154	452,699	463,581	470,237
OMH - Medicaid	956,266	1,041,379	1,096,953	1,115,382	1,130,583
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	1,556,217	1,661,076	1,680,392	1,703,730	1,726,624
OPWDD	29,495	33,582	33,632	33,782	34,658
OPWDD - Medicaid	1,526,722	1,627,494	1,646,760	1,669,948	1,691,966
Alcoholism and Substance Abuse Services, Office of	87,534	91,169	93,693	94,318	95,574
OASAS	42,866	38,980	40,728	40,693	41,286
OASAS - Medicaid	44,668	52,189	52,965	53,625	54,288
Developmental Disabilities Planning Council	3,148	3,682	3,589	3,498	3,439
Quality of Care for the Mentally Disabled, Commission on	13,099	13,604	14,952	15,032	15,303
Functional Total	3,072,893	3,303,064	3,342,278	3,395,541	3,441,760
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,617	2,893	2,932	2,984	3,016
Correctional Services, Department of	2,641,091	2,499,139	2,563,678	2,617,428	2,652,801
Criminal Justice Services, Division of	85,178	113,025	105,201	97,578	98,729
Office of Victim Services	6,368	7,631	7,426	7,505	7,553
Statewide Financial System	0	31,930	41,359	50,943	51,043
Homeland Security and Emergency Services	29,481	77,709	68,847	49,359	39,042
Homeland Security	0	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	1,500	3,000	3,000	3,000
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	88,139	59,398	59,536	59,664	58,527
Parole, Division of	172,059	171,848	172,771	175,217	177,762
Probation and Correctional Alternatives, Division of	2,576	0	0	0	7
State Emergency Management Office	0	0	0	0	0
State Police, Division of	741,303	682,859	681,321	675,825	675,825
Wireless Network	5,412	1,086	1,086	1,086	1,086
Functional Total	3,779,785	3,689,808	3,745,485	3,776,483	3,804,367

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	102,796	126,066	128,482	130,947	133,457
Higher Education Services Corporation	99,127	87,969	97,120	99,282	99,680
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	270	220	220	220	220
State University Construction Fund	14,504	18,239	18,477	18,702	19,120
State University of New York	5,224,812	5,109,851	5,076,688	5,163,602	5,244,082
Functional Total	5,441,509	5,342,345	5,320,987	5,412,753	5,496,559
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	5,399	5,086	5,201	5,257	5,314
Education, Department of	319,742	345,960	324,398	313,800	315,963
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	319,742	345,960	324,398	313,800	315,963
Functional Total	325,141	351,046	329,599	319,057	321,277
GENERAL GOVERNMENT					
Budget, Division of the	38,930	40,222	41,686	42,331	43,080
Civil Service, Department of	21,245	18,913	19,157	19,393	19,660
Deferred Compensation	521	604	617	626	638
Elections, State Board of	48,054	92,948	6,197	6,339	6,464
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Financial Plan Control Board	2,039	2,385	2,543	2,605	2,664
General Services, Office of	143,035	140,282	144,451	148,539	151,478
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Lottery, Division of	176,513	166,311	169,825	168,725	172,165
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	27,977	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	50,722	48,311	47,853	47,828	47,803
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	399,332	446,027	445,683	445,765	451,176
Technology, Office for	22,466	46,450	34,030	35,076	35,539
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	7,475	7,564	7,642	7,580	7,679
Functional Total	995,102	1,092,926	1,011,364	975,031	988,879

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	226,089	220,995	225,396	229,885	234,463
Judiciary	1,878,272	1,910,400	2,211,861	2,226,927	2,227,469
Audit and Control, Department of	139,387	148,526	151,805	156,221	158,531
Law, Department of	200,395	195,545	201,042	203,425	206,898
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	2,461,199	2,493,452	2,809,249	2,835,895	2,847,056
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	4,009	(621,046)	(523,167)	(348,154)	(298,117)
Functional Total	59,492	(529,349)	(431,298)	(256,285)	(206,248)
TOTAL STATE OPERATIONS SPENDING	19,430,193	19,186,598	19,579,768	19,986,005	20,166,556

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	36,185	35,160	34,769	34,879	35,099
Alcoholic Beverage Control	8,838	9,367	9,367	9,441	9,559
Banking Department	49,855	49,687	49,149	49,200	49,251
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,062	2,293	2,211	2,281	2,306
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,979	12,654	12,752	12,752	12,897
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	106,061	95,963	95,813	97,013	97,013
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,734	2,734	2,734	2,771
Public Service, Department of	44,332	45,742	46,996	47,801	48,578
Racing and Wagering Board, State	12,538	12,536	12,581	12,630	12,679
Science, Technology and Innovation, Foundation for	2,254	1,879	1,896	1,896	1,919
Strategic Investment	0	0	0	0	0
Functional Total	282,162	271,240	271,633	274,059	275,573
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,571	4,212	4,214	4,214
Environmental Conservation, Department of	220,767	217,579	215,350	216,015	216,015
Environmental Facilities Corporation	6,798	5,958	6,094	6,232	6,232
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	143,678	123,478	125,199	126,073	126,708
Functional Total	375,972	351,586	350,855	352,534	353,169
TRANSPORTATION					
Motor Vehicles, Department of	54,016	56,395	54,667	54,667	54,667
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	16,265	17,724	18,388	19,278	19,993
Functional Total	70,281	74,119	73,055	73,945	74,660
HEALTH					
Aging, Office for the	8,791	9,145	9,311	9,311	9,311
Health, Department of	364,585	366,490	368,415	370,274	371,594
Medical Assistance	0	500	500	500	500
Medicaid Administration	0	0	0	0	0
Public Health	364,585	365,990	367,915	369,774	371,094
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	40,140	48,994	52,141	52,141	52,141
Stem Cell and Innovation	640	0	0	0	0
Functional Total	414,156	424,629	429,867	431,726	433,046
SOCIAL WELFARE					
Children and Family Services, Office of	229,085	239,206	260,542	280,061	274,796
OCFS	229,085	239,206	260,542	280,061	274,796
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	14,873	13,985	14,074	14,202	14,300
Labor, Department of	235,698	226,021	210,518	206,862	197,451
Housing and Community Renewal, Division of	58,315	51,057	52,123	53,210	53,668
National Commission Services	680	589	590	592	678

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of Welfare Assistance	1,291	1,209	1,239	1,252	1,252
Welfare Administration	0	168,945	169,912	171,261	172,640
All Other	165,774	0	0	0	0
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	165,774	168,945	169,912	171,261	172,640
	506	760	766	766	786
Functional Total	90,768	92,326	92,832	93,475	94,313
	797,000	794,098	802,596	821,681	809,884
MENTAL HYGIENE					
Mental Health, Office of	1,101,056	1,182,870	1,186,915	1,198,287	1,209,977
OMH	383,287	388,794	344,987	350,108	353,552
OMH - Medicaid	717,769	794,076	841,928	848,179	856,425
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,135,967	1,217,724	1,221,629	1,232,983	1,243,550
OPWDD	81	116	116	116	116
OPWDD - Medicaid	1,135,886	1,217,608	1,221,513	1,232,867	1,243,434
Alcoholism and Substance Abuse Services, Office of	64,591	68,960	70,519	70,368	71,078
OASAS	31,031	26,213	27,481	27,066	27,345
OASAS - Medicaid	33,560	42,747	43,038	43,302	43,733
Developmental Disabilities Planning Council	1,224	1,197	1,197	1,197	1,209
Quality of Care for the Mentally Disabled, Commission on	7,395	7,546	7,897	7,940	8,022
Functional Total	2,310,233	2,478,297	2,488,157	2,510,775	2,533,836
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,156	2,491	2,518	2,552	2,568
Corrections Services, Department of	2,130,894	1,946,139	1,973,846	1,992,028	1,995,163
Criminal Justice Services, Division of	44,265	54,519	53,395	51,776	52,162
Office of Victim Services	5,245	4,887	4,655	4,705	4,727
Statewide Financial System	0	10,271	10,222	10,222	10,222
Homeland Security and Emergency Services	13,796	11,834	12,341	12,090	11,773
Homeland Security	0	31,909	32,733	30,225	30,227
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	4,116	4,145	4,185	4,228
Military and Naval Affairs, Division of	50,490	32,522	32,681	32,874	31,739
Parole, Division of	139,375	136,525	136,852	138,271	139,701
Probation and Correctional Alternatives, Division of	2,311	0	0	0	7
State Emergency Management Office	0	0	0	0	0
State Police, Division of	651,675	605,188	604,825	604,825	604,825
Wireless Network	2,820	1,000	1,000	1,000	1,000
Functional Total	3,047,401	2,842,151	2,870,713	2,886,253	2,879,842

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,485	38,106	38,483	38,864	39,249
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,431	15,585	15,870
State University of New York	3,252,188	3,133,378	3,162,389	3,196,126	3,221,166
Functional Total	3,374,881	3,274,625	3,305,700	3,341,534	3,368,832
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	3,741	3,484	3,514	3,554	3,594
Education, Department of	185,914	181,992	180,403	181,911	183,318
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	185,914	181,992	180,403	181,911	183,318
Functional Total	189,655	185,476	183,917	185,465	186,912
GENERAL GOVERNMENT					
Budget, Division of the	28,860	27,459	28,212	29,071	29,366
Civil Service, Department of	19,585	17,138	17,288	17,458	17,662
Deferred Compensation	388	401	405	407	411
Elections, State Board of	4,472	4,590	4,238	4,285	4,328
Employee Relations, Office of	3,071	3,167	3,195	3,224	3,259
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	62,775	60,263	60,426	61,325	61,950
Inspector General, Office of	5,767	5,629	5,673	5,734	5,795
Labor Management Committee	8,055	8,182	8,073	8,161	8,161
Lottery, Division of	21,798	21,730	21,730	21,730	21,973
Public Employment Relations Board	3,362	3,503	3,323	3,358	3,395
Public Integrity, Commission on	3,357	3,352	3,675	3,819	3,861
Real Property Services, Office of	23,407	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	2,082	2,082	2,082	2,082
State, Department of	36,549	34,989	34,614	34,614	34,614
Tax Appeals, Division of	2,960	2,741	2,741	2,741	2,768
Taxation and Finance, Department of	306,466	349,464	349,535	349,614	352,583
Technology, Office for	10,571	13,409	13,483	13,603	13,701
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,790	6,827	6,881	6,935	7,005
Functional Total	551,848	566,492	567,231	569,851	574,638

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,538,771	1,536,806	1,838,220	1,846,128	1,846,670
Audit and Control, Department of	114,716	116,084	118,239	118,364	119,545
Law, Department of	143,311	136,932	138,099	139,223	140,734
Executive Chamber	13,451	14,000	14,420	14,853	15,001
Lieutenant Governor, Office of the	0	540	1,006	1,016	1,016
Functional Total	1,988,123	1,969,409	2,278,332	2,291,299	2,298,115
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	1,083	(184,589)	(126,734)	(1,724)	(1,713)
Functional Total	3,258	(184,589)	(126,734)	(1,724)	(1,713)
TOTAL PERSONAL SERVICE SPENDING	13,404,980	13,047,533	13,495,322	13,737,398	13,786,794

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	37,434	35,501	34,990	35,288	35,597
Alcoholic Beverage Control	4,037	6,074	6,607	5,323	5,458
Banking Department	15,227	15,000	14,519	14,521	14,880
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	233	613	715	460	477
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,605	11,359	11,957	11,957	12,368
Energy Research and Development Authority	1,513	1,572	1,815	1,854	1,895
Insurance Department	63,006	81,631	86,699	86,699	86,699
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,351	2,330	2,540	2,540	2,630
Public Service, Department of	10,997	10,756	11,127	11,407	11,713
Racing and Wagering Board, State	4,831	3,219	3,051	3,052	3,054
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	156,859	169,071	175,080	174,161	175,868
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	563	899	807	807	807
Environmental Conservation, Department of	101,080	80,233	76,773	72,792	72,792
Environmental Facilities Corporation	1,061	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	47,129	53,525	53,341	54,133	54,133
Functional Total	149,833	135,870	132,137	128,951	128,951
TRANSPORTATION					
Motor Vehicles, Department of	21,621	21,033	22,438	22,438	22,438
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	26,992	29,464	29,798	30,099	30,536
Functional Total	48,613	50,497	52,236	52,537	52,974
HEALTH					
Aging, Office for the	1,567	1,745	1,503	1,503	1,503
Health, Department of	426,454	488,593	462,054	459,723	461,978
Medical Assistance	21,284	45,855	45,855	45,855	45,855
Medicaid Administration	0	0	0	0	0
Public Health	405,170	442,738	416,199	413,868	416,123
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	16,427	22,053	25,492	25,492	25,492
Stem Cell and Innovation	16,608	52,616	73,071	123,149	63,673
Functional Total	461,056	565,007	562,120	609,867	552,646
SOCIAL WELFARE					
Children and Family Services, Office of	155,200	199,806	209,699	217,031	220,564
OCFS	155,200	199,806	209,699	217,031	220,564
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	4,777	3,518	3,454	3,550	3,583
Labor, Department of	125,119	121,954	110,986	101,248	101,578
Housing and Community Renewal, Division of	17,939	17,565	18,246	19,307	19,440
National Commission Services	16,172	15,077	13,687	13,687	13,687

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	182	164	172	172
Temporary and Disability Assistance, Office of	169,039	172,902	178,779	183,985	186,283
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	169,039	172,902	178,779	183,985	186,283
Welfare Inspector General, Office of	129	465	476	485	485
Workers' Compensation Board	50,545	75,725	67,039	68,609	70,348
Functional Total	539,140	607,194	602,530	608,074	616,140
MENTAL HYGIENE					
Mental Health, Office of	311,664	350,663	362,737	380,676	390,843
OMH	73,167	103,360	107,712	113,473	116,685
OMH - Medicaid	238,497	247,303	255,025	267,203	274,158
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	420,250	443,352	458,763	470,747	483,074
OPWDD	29,414	33,486	33,516	33,666	34,542
OPWDD - Medicaid	390,836	409,866	425,247	437,081	448,532
Alcoholism and Substance Abuse Services, Office of	22,943	22,209	23,174	23,950	24,496
OASAS	11,835	12,767	13,247	13,627	13,941
OASAS - Medicaid	11,108	9,442	9,927	10,323	10,555
Developmental Disabilities Planning Council	1,924	2,485	2,392	2,301	2,230
Quality of Care for the Mentally Disabled, Commission on	5,704	6,058	7,055	7,092	7,281
Functional Total	762,660	824,767	854,121	884,766	907,924
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	461	402	414	432	448
Correctional Services, Department of	510,197	553,000	589,832	625,400	667,638
Criminal Justice Services, Division of	40,913	58,506	51,806	45,802	46,567
Office of Victim Services	1,123	2,744	2,771	2,800	2,826
Statewide Financial System	0	21,659	31,137	40,721	40,821
Homeland Security and Emergency Services	15,685	65,875	56,506	37,269	27,269
Homeland Security	0	3,389	0	0	0
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	9	0	0	0	0
Judicial Commissions	1,157	1,376	1,450	1,484	1,521
Military and Naval Affairs, Division of	37,649	26,876	26,855	26,790	26,788
Parole, Division of	32,684	35,323	35,919	36,946	38,061
Probation and Correctional Alternatives, Division of	265	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	89,628	77,671	76,496	71,000	71,000
Wireless Network	2,592	86	86	86	86
Functional Total	732,364	847,657	874,772	890,230	924,525

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	62,632	49,863	58,637	60,418	60,431
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,046	3,117	3,250
State University of New York	1,972,624	1,976,473	1,914,299	1,967,476	2,022,840
Functional Total	2,066,618	2,067,720	2,015,287	2,071,219	2,127,651
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	1,658	1,602	1,687	1,703	1,720
Education, Department of	133,828	163,968	143,995	131,889	132,645
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	133,828	163,968	143,995	131,889	132,645
Functional Total	135,486	165,570	145,682	133,592	134,365
GENERAL GOVERNMENT					
Budget, Division of the	10,070	12,763	13,474	13,260	13,714
Civil Service, Department of	1,650	1,775	1,869	1,935	1,998
Deferred Compensation	133	203	212	219	227
Elections, State Board of	43,562	88,358	1,959	2,054	2,136
Employee Relations, Office of	133	183	193	203	214
Financial Plan Control Board	662	819	886	915	940
General Services, Office of	80,260	80,019	84,025	87,214	89,528
Inspector General, Office of	312	549	668	692	718
Labor Management Committee	25,554	51,251	59,753	17,857	17,857
Lottery, Division of	154,715	144,581	148,095	146,995	150,192
Public Employment Relations Board	423	749	697	710	734
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	4,570	0	0	0	0
Regulatory Reform, Governor's Office of	201	194	194	194	194
State, Department of	14,173	13,322	13,239	13,214	13,189
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	92,866	96,563	96,148	96,151	98,593
Technology, Office for	11,895	33,041	20,547	21,473	21,838
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	665	737	761	645	674
Functional Total	443,254	526,434	444,133	405,180	414,241

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
 (thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	48,215	55,948	57,048	58,170	59,314
Judiciary	339,501	373,594	373,641	380,799	380,799
Audit and Control, Department of	24,671	32,442	33,566	37,857	38,986
Law, Department of	57,084	58,613	62,943	64,202	66,164
Executive Chamber	3,605	3,532	3,532	3,376	3,486
Lieutenant Governor, Office of the	0	118	187	192	192
Functional Total	473,076	524,043	530,917	544,596	548,941
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,926	(436,457)	(396,433)	(346,430)	(296,404)
Functional Total	56,234	(344,760)	(304,564)	(254,561)	(204,535)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	6,025,213	6,139,070	6,084,451	6,248,612	6,379,691

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,403	6,095	6,836	7,729	8,276
Alcoholic Beverage Control	4,137	4,525	4,802	5,530	5,894
Banking Department	21,049	23,178	23,543	25,326	25,516
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	43,952	44,975	48,622	48,622
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,984	21,740	25,106	28,912	31,110
Racing and Wagering Board, State	5,206	6,083	6,417	7,330	7,725
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	105,589	107,224	113,290	125,115	128,929
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	46,340	53,766	52,617	52,837	51,837
Environmental Facilities Corporation	1,874	1,856	1,899	1,942	1,942
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,020	4,369	4,341	4,342	3,683
Functional Total	51,234	59,991	58,857	59,121	57,462
TRANSPORTATION					
Motor Vehicles, Department of	23,002	27,251	29,240	32,737	34,216
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	5,308	8,629	9,427	11,174	12,204
Functional Total	28,310	35,880	38,667	43,911	46,420
HEALTH					
Aging, Office for the	0	191	263	263	263
Health, Department of	54,425	65,672	67,636	73,741	76,563
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	54,425	65,672	67,636	73,741	76,563
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	8,301	9,516	14,027	15,867	16,797
Stem Cell and Innovation	428	0	0	0	0
Functional Total	63,154	75,379	81,926	89,871	93,623
SOCIAL WELFARE					
Children and Family Services, Office of	11,241	12,431	12,586	12,586	11,698
OCFS	11,241	12,431	12,586	12,586	11,698
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	650	2,187	2,530	2,912	3,066
Labor, Department of	94,041	101,583	106,516	119,982	121,929
Housing and Community Renewal, Division of	18,313	17,765	18,862	21,869	22,966
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	38,632	45,750	53,007	61,501	64,872
Welfare Assistance	0	0	0	0	0
Welfare Administration	38,632	45,750	53,007	61,501	64,872
All Other	92	207	214	221	221
Welfare Inspector General, Office of	48,822	41,282	44,266	49,989	54,183
Workers' Compensation Board	211,791	221,205	237,981	269,060	278,935
Functional Total					
MENTAL HYGIENE					
Mental Health, Office of	483,721	553,330	626,038	716,148	777,905
OMH	167,136	157,869	180,552	208,590	226,733
OMH - Medicaid	316,585	395,461	445,486	507,558	551,172
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	670,795	569,282	646,244	737,998	801,219
OPWDD	57	51	62	70	74
OPWDD - Medicaid	670,738	569,231	646,182	737,928	801,145
Alcoholism and Substance Abuse Services, Office of	25,193	32,466	37,519	42,688	46,099
OASAS	10,246	11,986	14,305	16,220	17,537
OASAS - Medicaid	14,947	20,480	23,214	26,468	28,562
Developmental Disabilities Planning Council	249	518	611	702	761
Quality of Care for the Mentally Disabled, Commission on	1,552	1,760	2,159	2,457	2,659
Functional Total	1,181,510	1,157,356	1,312,571	1,499,993	1,628,643
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	11	0	0	0	0
Correctional Services, Department of	2,856	3,020	1,415	1,415	1,415
Criminal Justice Services, Division of	148	1,619	1,619	1,619	1,616
Office of Victim Services	1,122	2,181	2,300	2,576	2,714
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	1,451	1,750	1,897	1,827	1,673
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	8,236	10,405	10,671	11,502	11,441
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	22	0	0	0	3
State Emergency Management Office	0	0	0	0	0
State Police, Division of	20,963	23,986	24,669	26,241	26,241
Wireless Network	1,260	500	500	500	500
Functional Total	36,071	43,461	43,071	45,680	45,603

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,295	16,792	19,283	22,316	23,743
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,911	9,128	9,786
State University of New York	464,230	525,430	497,379	499,936	503,745
Functional Total	487,960	555,930	530,983	537,934	543,976
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	66,951	72,383	81,333	92,513	98,096
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	66,951	72,383	81,333	92,513	98,096
Functional Total	66,951	72,383	81,333	92,513	98,096
GENERAL GOVERNMENT					
Budget, Division of the	1,845	2,280	2,431	2,860	3,041
Civil Service, Department of	139	251	269	304	329
Deferred Compensation	152	188	203	228	247
Elections, State Board of	6	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	849	990	1,063
General Services, Office of	1,663	1,783	1,893	2,174	2,305
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	10,581	11,144	12,734	13,558
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	10,352	10,499	10,802	13,309	13,309
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,959	19,947	22,721	24,076
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	307	410	470	542	576
Functional Total	46,305	45,756	48,008	55,862	58,504

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	514,787	557,398	604,948	589,645	588,845
Audit and Control, Department of	1,200	1,585	1,581	1,734	1,734
Law, Department of	19,757	17,997	19,284	21,425	21,425
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	535,744	576,980	625,823	612,804	612,004
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	3,232	4,958	4,996	5,052	5,062
Functional Total	2,918,983	3,386,123	3,692,548	3,913,150	4,306,395
TOTAL GENERAL STATE CHARGES SPENDING	5,733,602	6,337,668	6,865,058	7,345,014	7,898,590

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,586	12,549	23,440	17,624	5,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	9,400	2,500	2,500	2,500	2,500
Economic Development, Department of	18,470	33,809	31,745	32,616	46,745
Energy Research and Development Authority	13,500	19,247	15,161	13,500	14,790
Insurance Department	0	0	0	0	0
Empire State Development Corporation	428,527	733,945	816,435	387,065	348,760
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	4,230	2,585	300	0
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	482,310	816,280	895,866	458,605	423,045
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	315,365	376,103	349,303	348,003	319,703
Environmental Facilities Corporation	292	343	343	343	343
Hudson River Park Trust	11,977	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	82,253	35,050	24,050	24,050	24,050
Functional Total	409,887	411,496	373,696	372,396	344,096
TRANSPORTATION					
Motor Vehicles, Department of	208,105	217,942	228,337	237,712	243,082
Thruway Authority	0	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,059,817	3,407,343	3,254,632	3,038,997	3,017,329
Functional Total	3,267,922	3,627,085	3,484,769	3,278,509	3,262,211
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	7,840	358,285	667,533	246,615	168,271
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	7,840	358,285	667,533	246,615	168,271
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	7,840	358,285	667,533	246,615	168,271
SOCIAL WELFARE					
Children and Family Services, Office of	27,677	23,000	20,900	20,900	20,900
OCFS	27,677	23,000	20,900	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Housing and Community Renewal, Division of	3,390	0	0	0	0
National Commission Services	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	30,390	35,000	35,000	35,000	30,000
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	30,390	35,000	35,000	35,000	30,000
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	61,457	58,000	55,900	55,900	50,900
MENTAL HYGIENE					
Mental Health, Office of	68,639	79,843	77,570	77,570	77,570
OMH	68,639	79,843	77,570	77,570	77,570
OMH - Medicaid	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	30,267	35,676	43,590	45,220	39,220
OPWDD	30,267	35,676	43,590	45,220	39,220
OPWDD - Medicaid	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	1,323	6,432	10,296	11,167	14,524
OASAS	1,323	6,432	10,296	11,167	14,524
OASAS - Medicaid	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
Functional Total	100,229	121,951	131,456	133,957	131,314
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	263,964	255,788	283,786	292,801	299,211
Criminal Justice Services, Division of	0	0	0	0	0
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	8,000	11,000	8,000	6,000
Homeland Security	800	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	37,099	55,487	50,790	50,679	50,634
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	14,074	29,739	35,695	16,625	16,457
Wireless Network	0	0	0	0	0
Functional Total	315,937	349,014	381,271	368,105	372,302

**CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	(329)	8,000	10,000	9,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	820,720	1,109,768	1,083,997	1,035,050	1,020,802
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	10,258	39,017	38,118	30,120	28,320
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	10,258	39,017	38,118	30,120	28,320
Functional Total	10,258	39,017	38,118	30,120	28,320
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	(291)	2,750	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	21,516	23,827	50,000	9,060
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	52,753	85,951	87,424	122,863	81,619

CASH DISBURSEMENTS BY FUNCTION
ALL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	8,089	18,000	16,700	17,900	0
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	144,821	169,285	237,000	160,000	100,000
Functional Total	144,821	169,285	237,000	160,000	100,000
TOTAL CAPITAL PROJECTS SPENDING	5,682,223	7,164,132	7,453,730	6,280,020	5,982,880

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	98,260	96,360	107,565	105,444	94,283
Alcoholic Beverage Control	17,012	19,892	20,776	20,994	20,911
Banking Department	87,166	87,865	87,211	89,047	89,647
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	2,295	2,946	2,926	2,741	2,783
Economic Development Capital Programs	18,306	2,500	2,500	2,500	2,500
Economic Development, Department of	76,889	71,013	66,486	67,357	82,042
Energy Research and Development Authority	29,380	34,935	31,158	29,658	31,178
Insurance Department	657,937	463,437	481,233	486,080	486,080
Empire State Development Corporation	606,568	772,848	860,671	431,301	382,996
Olympic Regional Development Authority	7,966	5,064	5,274	5,274	5,401
Public Service, Department of	75,638	76,016	80,895	85,889	89,396
Racing and Wagering Board, State	22,575	21,833	22,044	23,007	23,453
Science, Technology and Innovation, Foundation for	29,083	31,376	29,181	26,796	23,356
Strategic Investment	8,827	10,000	4,000	5,000	5,000
Functional Total	1,737,938	1,696,305	1,802,082	1,380,550	1,339,188
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	5,120	4,669	4,671	4,671
Environmental Conservation, Department of	697,729	698,571	659,034	654,638	625,338
Environmental Facilities Corporation	10,025	9,370	9,552	9,736	9,736
Hudson River Park Trust	11,977	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	298,613	233,714	221,223	222,890	222,866
Functional Total	1,023,497	956,775	894,478	891,935	862,611
TRANSPORTATION					
Motor Vehicles, Department of	302,538	318,088	331,018	343,890	350,739
Thruway Authority	1,403	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	5,635,579	6,332,084	6,411,304	6,511,206	6,588,406
Functional Total	6,124,201	6,869,072	6,938,622	7,040,496	7,104,545
HEALTH					
Aging, Office for the	116,764	118,638	119,910	119,910	119,910
Health, Department of	14,054,817	14,523,358	20,270,221	21,635,872	23,018,025
Medical Assistance	10,964,893	11,123,802	16,430,812	18,061,468	19,506,668
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Public Health	2,575,436	2,848,306	3,265,659	2,977,654	2,914,607
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	27,575	35,183	38,697	38,697	38,697
Stem Cell and Innovation	17,676	52,616	73,071	123,149	63,673
Functional Total	14,216,832	14,729,795	20,501,999	21,917,628	23,240,305
SOCIAL WELFARE					
Children and Family Services, Office of	2,034,482	2,170,119	2,395,108	2,625,323	2,852,345
OCFS	1,985,004	2,036,576	2,261,651	2,487,968	2,711,085
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Labor, Department of	76,086	56,379	57,251	56,602	56,775
Housing and Community Renewal, Division of	250,280	212,141	201,349	189,817	206,738
National Commission Services	359	626	627	629	711
Prevention of Domestic Violence, Office for	2,127	2,076	2,088	2,109	2,109

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	1,391,605	1,256,764	1,612,211	1,743,443	1,788,618
<i>Welfare Administration</i>	1,136,469	1,004,114	1,352,602	1,477,266	1,515,522
<i>All Other</i>	51,263	0	0	0	0
Welfare Inspector General, Office of	203,883	252,650	259,609	266,177	273,096
Workers' Compensation Board	313	355	358	358	372
Functional Total	186,742	205,801	200,605	208,541	215,220
	3,952,725	3,915,346	4,480,758	4,838,164	5,134,361
MENTAL HYGIENE					
Mental Health, Office of	1,943,330	2,127,316	2,674,166	2,883,753	3,023,137
<i>OMH</i>	1,377,434	1,536,778	1,684,440	1,807,563	1,906,342
<i>OMH - Medicaid</i>	565,896	590,538	989,726	1,076,190	1,116,795
Mental Hygiene, Department of People with Developmental Disabilities, Office for	0	0	0	0	0
<i>OPWDD</i>	492,647	2,141,768	2,574,031	2,834,051	2,984,522
<i>OPWDD - Medicaid</i>	1,679,529	546,988	563,303	586,486	607,611
Alcoholism and Substance Abuse Services, Office of	412,052	1,594,780	2,010,728	2,247,565	2,376,911
<i>OASAS</i>	336,443	467,386	593,970	632,758	646,390
<i>OASAS - Medicaid</i>	75,609	371,170	503,363	539,523	551,348
Developmental Disabilities Planning Council	0	86,216	90,607	93,235	95,042
Quality of Care for the Mentally Disabled, Commission on	5,331	0	0	0	0
Functional Total	4,532,889	5,518	5,582	5,666	5,756
		4,731,988	5,847,749	6,356,228	6,659,805
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,893	2,832	2,984	3,016
Correctional Services, Department of	2,867,856	2,731,573	2,811,998	2,874,763	2,916,546
Criminal Justice Services, Division of	181,032	239,466	240,957	243,484	244,184
Office of Victim Services	33,468	33,324	33,238	33,593	33,779
Statewide Financial System	0	31,930	41,359	50,943	51,043
Homeland Security and Emergency Services	25,500	105,009	124,683	132,378	95,378
Homeland Security	756	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	75,000	80,000	80,000	80,000
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	89,915	54,809	40,431	40,839	40,792
Parole, Division of	188,383	183,069	185,175	189,168	191,713
Probation and Correctional Alternatives, Division of	74,765	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	761,884	729,979	735,880	712,886	712,718
Wireless Network	6,672	1,586	1,586	1,586	1,586
Functional Total	4,258,388	4,229,428	4,336,567	4,398,518	4,408,189

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,642,043	1,364,432	1,470,906	1,564,361	1,654,997
Higher Education Services Corporation	955,735	916,620	951,861	979,866	981,691
Higher Education Capital Grants	37,320	28,000	48,000	29,000	0
Higher Education Miscellaneous	378	355	355	355	355
State University Construction Fund	18,595	25,678	26,388	27,830	28,906
State University of New York	6,670,744	6,912,061	7,018,783	7,058,868	7,137,909
Functional Total	9,324,815	9,247,146	9,516,293	9,660,280	9,803,858
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	42,286	44,836	40,349	40,405	40,462
Education, Department of	23,450,128	27,017,931	28,059,013	30,861,629	33,427,279
School Aid	18,249,731	21,876,246	22,585,656	25,143,970	27,469,450
School Aid - Medicaid Assistance	63,757	125,820	0	0	0
STAR Property Tax Relief	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
Special Education Categorical Programs	919,682	941,266	1,299,771	1,379,936	1,434,916
All Other	803,416	775,029	755,966	753,556	750,438
Functional Total	23,492,414	27,062,767	28,099,362	30,902,034	33,467,741
GENERAL GOVERNMENT					
Budget, Division of the	40,775	42,502	44,117	45,191	46,121
Civil Service, Department of	21,384	19,164	19,426	19,697	19,989
Deferred Compensation	673	792	820	854	885
Elections, State Board of	9,888	9,088	6,197	36,339	6,464
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Financial Plan Control Board	2,630	3,190	3,392	3,595	3,727
General Services, Office of	189,745	199,163	202,528	216,163	218,929
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Lottery, Division of	185,777	176,892	180,969	181,459	185,723
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	42,806	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	90,782	84,149	71,298	71,878	71,853
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	417,242	476,883	477,689	480,641	487,398
Technology, Office for	23,549	52,416	57,857	85,076	44,599
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	14,756	15,868	15,495	15,418	15,500
Functional Total	1,096,810	1,163,016	1,171,468	1,206,535	1,151,721

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	226,089	220,995	225,396	229,885	234,463
Judiciary	2,514,130	2,618,398	2,968,109	2,969,072	2,952,914
Audit and Control, Department of	242,721	182,135	185,420	189,979	192,289
Law, Department of	184,022	176,770	182,877	186,300	189,339
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	3,184,018	3,216,284	3,580,947	3,594,673	3,588,700
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,450	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,012,102	5,607,388	6,127,092	6,448,886	6,595,358
Capital Projects	0	0	0	0	0
General State Charges	2,920,603	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	76,418	(462,936)	(77,761)	(40,565)	(45,770)
Functional Total	8,009,123	8,525,617	9,736,883	10,316,419	10,850,921
TOTAL STATE FUNDS SPENDING	82,033,929	87,134,336	97,973,724	103,580,009	108,688,320

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,841	20,023	20,154	22,548	22,685
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	0	0	0	0
Developmental Authority North	36	200	162	162	162
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	8,906	0	0	0	0
Economic Development, Department of	28,815	13,408	10,249	10,249	10,249
Energy Research and Development Authority	8,657	9,234	9,234	9,234	9,234
Insurance Department	442,822	241,891	253,746	253,746	253,746
Empire State Development Corporation	178,041	38,903	44,236	44,236	34,236
Olympic Regional Development Authority	2,525	0	0	0	0
Public Service, Department of	0	500	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	26,204	24,251	23,640	23,540	20,340
Strategic Investment	0	0	0	0	0
Functional Total	723,882	348,410	361,921	364,215	351,152
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	68,389	32,925	37,526	37,526	37,526
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	10,000	0	0	0
Parks, Recreation and Historic Preservation, Office of	27,607	22,750	19,750	19,750	19,750
Functional Total	96,006	65,675	57,276	57,276	57,276
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	1,403	0	0	0	0
Metropolitan Transportation Authority	184,681	217,100	194,500	183,600	183,600
Transportation, Department of	3,856,658	4,359,307	4,473,276	4,579,317	4,660,503
Functional Total	4,042,742	4,576,407	4,667,776	4,762,917	4,844,103
HEALTH					
Aging, Office for the	114,196	116,586	117,893	117,893	117,893
Health, Department of	13,495,540	13,584,523	19,038,853	20,820,339	22,274,439
Medical Assistance	10,943,609	11,077,447	16,384,457	18,015,113	19,460,313
Medicaid Administration	514,488	551,250	573,750	596,750	596,750
Public Health	2,037,443	1,955,826	2,080,646	2,208,476	2,217,376
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	13,609,736	13,701,109	19,156,746	20,938,232	22,392,332
SOCIAL WELFARE					
Children and Family Services, Office of	1,749,199	1,864,684	2,059,063	2,263,481	2,490,222
OCFS	1,699,721	1,731,141	1,925,606	2,126,126	2,348,962
OCFS - Medicaid	49,478	133,543	133,457	137,355	141,260
Human Rights, Division of	0	0	0	0	0
Labor, Department of	13,057	7,931	7,304	3,546	2,659
Housing and Community Renewal, Division of	167,633	139,269	126,022	110,568	125,962
National Commission Services	0	350	350	350	350

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	656	685	685	685	685
Temporary and Disability Assistance, Office of	1,304,828	1,153,612	1,504,380	1,631,860	1,681,266
Welfare Assistance	1,136,459	1,004,114	1,352,602	1,477,266	1,515,522
Welfare Administration	51,263	0	0	0	0
All Other	117,106	149,498	151,778	154,594	165,744
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	3,235,373	3,166,531	3,697,804	4,010,490	4,301,144
MENTAL HYGIENE					
Mental Health, Office of	1,111,523	1,221,235	1,416,197	1,528,770	1,621,178
OMH	686,859	808,099	974,806	1,059,009	1,132,854
OMH - Medicaid	424,664	413,136	441,391	469,761	488,324
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	1,837,809	1,966,793	2,071,327	2,186,024	2,319,553
OPWDD	462,213	511,136	519,537	541,090	568,210
OPWDD - Medicaid	1,375,596	1,455,657	1,551,790	1,644,934	1,751,343
Alcoholism and Substance Abuse Services, Office of	323,171	360,554	487,358	519,767	526,501
OASAS	289,391	324,159	449,173	481,571	488,305
OASAS - Medicaid	33,780	36,395	38,185	38,196	38,196
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	653	230	230	230	230
Functional Total	3,273,156	3,548,812	3,975,112	4,234,791	4,467,462
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,390	300	243	243	243
Criminal Justice Services, Division of	114,425	166,157	167,472	168,872	168,414
Office of Victim Services	27,624	26,690	26,690	26,690	26,690
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	35,948	61,598	91,598	66,598
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	73,500	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	34,538	22,835	9,466	9,466	9,466
Parole, Division of	16,324	11,321	12,504	14,051	14,051
Probation and Correctional Alternatives, Division of	72,254	0	0	0	1,458
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	266,555	336,751	354,973	387,920	363,920

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	1,525,288	1,220,750	1,322,444	1,411,851	1,499,829
Higher Education Services Corporation	845,501	817,338	840,937	863,747	863,747
Higher Education Capital Grants	37,649	20,000	38,000	20,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	445,974	478,783	619,332	619,332	619,332
Functional Total	2,854,412	2,536,871	2,820,713	2,914,930	2,982,908
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	36,887	39,850	35,248	35,248	35,248
Education, Department of	23,281,618	26,826,641	27,871,362	30,680,696	33,245,845
<i>School Aid</i>	18,249,731	21,876,246	22,585,656	25,143,970	27,469,450
<i>School Aid - Medicaid Assistance</i>	63,757	125,820	0	0	0
<i>STAR Property Tax Relief</i>	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
<i>Special Education Categorical Programs</i>	919,682	941,266	1,299,771	1,379,936	1,434,916
<i>All Other</i>	634,906	583,739	568,315	572,623	569,004
Functional Total	23,318,505	26,866,491	27,906,610	30,715,944	33,281,093
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	195	3,000	0	30,000	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	24	400	324	324	324
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	11,409	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	37,352	31,975	19,384	19,384	19,384
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	12,196	12,361	12,461	12,461
Technology, Office for	1,083	2,200	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	8,290	9,513	9,076	9,076	9,076
Functional Total	58,353	59,284	41,145	71,245	41,245

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	118,892	140,100	142,100	142,100	144,100
Audit and Control, Department of	102,115	32,024	32,024	32,024	32,024
Law, Department of	0	100	81	81	81
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	221,007	172,224	174,205	174,205	176,205
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	1,039,488	751,538	1,027,357	1,037,229	1,044,566
Efficiency Incentive Grants Program	3,293	7,450	7,511	7,511	0
Miscellaneous Financial Assistance	8,920	3,920	3,920	3,920	3,920
Municipalities with VLT Facilities	26,489	25,801	25,801	25,801	25,801
Small Government Assistance	2,089	2,088	2,088	2,088	2,088
Functional Total	1,080,279	790,797	1,066,616	1,076,549	1,076,375
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(21,204)	33,388	253,410	192,537	147,285
Functional Total	(21,204)	33,388	253,410	192,537	147,285
TOTAL LOCAL ASSISTANCE SPENDING	52,758,802	56,202,750	64,534,307	69,901,251	74,482,500

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	63,273	58,676	58,085	58,493	59,022
Alcoholic Beverage Control	12,875	15,974	15,974	14,764	15,017
Banking Department	65,082	64,687	63,668	63,721	64,131
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,295	2,906	2,326	2,741	2,783
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	29,584	23,768	24,464	24,464	25,020
Energy Research and Development Authority	5,481	4,871	5,180	5,286	5,396
Insurance Department	169,067	177,594	182,512	183,712	183,712
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	5,441	5,064	5,274	5,274	5,401
Public Service, Department of	54,138	54,495	56,159	57,394	58,658
Racing and Wagering Board, State	17,369	15,750	15,627	15,677	15,728
Science, Technology and Innovation, Foundation for	2,879	2,895	2,956	2,956	3,016
Strategic Investment	0	0	0	0	0
Functional Total	427,484	426,073	432,825	434,482	437,884
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	5,153	5,120	4,669	4,671	4,671
Environmental Conservation, Department of	278,567	252,178	246,489	243,173	243,173
Environmental Facilities Corporation	7,859	7,171	7,310	7,451	7,451
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	187,422	174,862	176,399	178,065	178,700
Functional Total	479,001	439,331	434,867	433,360	433,995
TRANSPORTATION					
Motor Vehicles, Department of	71,894	73,503	74,084	74,084	74,084
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	22,303	25,440	25,804	26,151	26,572
Functional Total	94,197	98,943	99,888	100,235	100,656
HEALTH					
Aging, Office for the	2,568	2,052	2,017	2,017	2,017
Health, Department of	518,207	568,276	570,292	569,270	572,845
Medical Assistance	21,284	46,355	46,355	46,355	46,355
Medicaid Administration	0	0	0	0	0
Public Health	496,923	521,921	523,937	522,915	526,490
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	27,481	35,057	38,571	38,571	38,571
Stem Cell and Innovation	17,248	52,616	73,071	123,149	63,673
Functional Total	565,504	658,001	683,951	733,007	677,106
SOCIAL WELFARE					
Children and Family Services, Office of	256,595	281,121	313,798	339,595	339,681
OCFS	256,595	281,121	313,798	339,595	339,681
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	10,731	11,085	11,161	11,342	11,473
Labor, Department of	48,117	33,021	33,601	34,266	34,383
Housing and Community Renewal, Division of	67,369	57,800	59,320	60,836	61,254
National Commission Services	359	276	277	279	361

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,471	1,391	1,403	1,424	1,424
Temporary and Disability Assistance, Office of	55,742	67,894	72,572	76,323	77,027
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	55,742	67,894	72,572	76,323	77,027
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	137,920	164,519	156,339	158,552	161,037
Functional Total	578,617	617,462	648,829	682,975	667,012
MENTAL HYGIENE					
Mental Health, Office of	588,632	627,059	861,966	883,246	895,861
OMH	455,191	491,411	451,956	462,838	469,484
OMH - Medicaid	133,441	135,648	410,010	420,408	426,377
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	177,066	64,929	299,019	394,939	399,768
OPWDD	167	176	176	176	181
OPWDD - Medicaid	176,899	64,753	298,843	394,763	399,587
Alcoholism and Substance Abuse Services, Office of	66,540	67,588	69,946	71,454	72,505
OASAS	35,483	31,142	32,726	33,736	34,279
OASAS - Medicaid	31,057	36,446	37,220	37,718	38,226
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	4,654	5,261	5,321	5,401	5,488
Functional Total	836,892	764,837	1,236,252	1,355,040	1,373,622
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	2,596	2,893	2,932	2,984	3,016
Correctional Services, Department of	2,620,502	2,473,485	2,527,969	2,581,719	2,617,092
Criminal Justice Services, Division of	66,524	73,243	73,419	74,546	75,704
Office of Victim Services	4,722	4,779	4,574	4,653	4,701
Statewide Financial System	0	31,930	41,359	50,943	51,043
Homeland Security and Emergency Services	25,402	60,843	51,860	32,540	22,540
Homeland Security	0	35,298	32,733	30,225	30,227
Office of Indigent Legal Services	0	1,500	3,000	3,000	3,000
Investigation, Temporary State Commission of	395	0	0	0	0
Judicial Commissions	5,145	5,492	5,595	5,669	5,749
Military and Naval Affairs, Division of	44,426	20,589	20,254	20,653	20,651
Parole, Division of	172,059	171,748	172,671	175,117	177,662
Probation and Correctional Alternatives, Division of	2,511	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	727,917	677,241	676,503	671,007	671,007
Wireless Network	5,412	1,086	1,086	1,086	1,086
Functional Total	3,677,632	3,560,127	3,613,955	3,654,142	3,663,478

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	102,796	126,066	128,482	130,947	133,457
Higher Education Services Corporation	95,037	82,590	91,741	93,903	94,301
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	270	220	220	220	220
State University Construction Fund	14,504	18,239	18,477	18,702	19,120
State University of New York	4,949,282	4,817,612	4,841,830	4,928,744	5,009,224
Functional Total	5,161,889	5,044,727	5,080,750	5,172,516	5,256,322
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	5,399	4,986	5,101	5,157	5,214
Education, Department of	131,615	124,567	121,245	119,237	119,937
School Aid	0	0	0	0	0
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	0	0	0	0	0
Special Education Categorical Programs	0	0	0	0	0
All Other	131,615	124,567	121,245	119,237	119,937
Functional Total	137,014	129,553	126,346	124,394	125,151
GENERAL GOVERNMENT					
Budget, Division of the	38,930	40,222	41,686	42,331	43,080
Civil Service, Department of	21,245	18,913	19,157	19,393	19,660
Deferred Compensation	521	604	617	626	638
Elections, State Board of	9,703	6,088	6,197	6,339	6,464
Employee Relations, Office of	3,204	3,350	3,388	3,427	3,473
Financial Plan Control Board	2,099	2,385	2,543	2,605	2,664
General Services, Office of	135,014	135,295	139,464	143,552	146,491
Inspector General, Office of	6,079	6,178	6,341	6,426	6,513
Labor Management Committee	33,609	59,433	67,826	26,018	26,018
Lottery, Division of	176,513	166,311	169,825	168,725	172,165
Public Employment Relations Board	3,785	4,252	4,020	4,068	4,129
Public Integrity, Commission on	4,209	4,312	4,721	4,901	4,978
Real Property Services, Office of	27,977	0	0	0	0
Regulatory Reform, Governor's Office of	2,449	2,276	2,276	2,276	2,276
State, Department of	45,977	40,729	40,271	40,246	40,221
Tax Appeals, Division of	3,458	3,108	3,108	3,108	3,146
Taxation and Finance, Department of	398,676	445,755	445,411	445,493	450,898
Technology, Office for	22,466	28,700	34,030	35,076	35,539
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	6,466	6,355	6,419	6,342	6,424
Functional Total	942,320	974,266	997,300	960,952	974,777

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	226,089	220,995	225,396	229,885	234,463
Judiciary	1,872,453	1,902,900	2,204,361	2,219,427	2,219,969
Audit and Control, Department of	139,406	148,526	151,805	156,221	158,531
Law, Department of	173,611	167,531	172,670	174,810	177,849
Executive Chamber	17,056	17,328	17,952	18,229	18,487
Lieutenant Governor, Office of the	0	658	1,193	1,208	1,208
Functional Total	2,428,615	2,457,938	2,773,377	2,799,780	2,810,507
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	4,852	0	0	0	0
Miscellaneous	4,009	(620,567)	(523,167)	(348,154)	(298,117)
Functional Total	59,492	(528,870)	(431,298)	(256,285)	(206,248)
TOTAL STATE OPERATIONS SPENDING	15,388,657	14,642,388	15,697,042	16,194,598	16,354,262

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	34,218	32,976	32,614	32,724	32,944
Alcoholic Beverage Control	8,838	9,367	9,441	9,441	9,559
Banking Department	49,855	49,687	49,149	49,200	49,251
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	2,062	2,293	2,211	2,281	2,306
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	12,979	12,654	12,752	12,752	12,897
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	106,061	95,963	95,813	97,013	97,013
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	3,090	2,734	2,734	2,734	2,771
Public Service, Department of	43,269	44,120	45,381	46,289	47,215
Racing and Wagering Board, State	12,538	12,536	12,581	12,630	12,679
Science, Technology and Innovation, Foundation for	2,254	1,879	1,896	1,896	1,919
Strategic Investment	0	0	0	0	0
Functional Total	279,132	267,434	267,863	270,392	272,055
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,729	4,571	4,212	4,214	4,214
Environmental Conservation, Department of	196,537	188,501	186,272	186,937	186,937
Environmental Facilities Corporation	6,798	5,958	6,094	6,232	6,232
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	142,458	122,422	124,143	125,017	125,652
Functional Total	350,522	321,452	320,721	322,400	323,035
TRANSPORTATION					
Motor Vehicles, Department of	52,901	55,015	53,437	53,437	53,437
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,642	7,249	7,521	7,803	8,096
Functional Total	59,543	62,264	60,958	61,240	61,533
HEALTH					
Aging, Office for the	2,427	1,692	1,634	1,634	1,634
Health, Department of	240,936	261,917	264,926	266,235	267,555
Medical Assistance	0	500	500	500	500
Medicaid Administration	0	0	0	0	0
Public Health	240,936	261,417	264,426	265,735	267,055
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	20,094	25,942	26,089	26,089	26,089
Stem Cell and Innovation	640	0	0	0	0
Functional Total	264,097	289,551	292,649	293,958	295,278
SOCIAL WELFARE					
Children and Family Services, Office of	171,709	178,903	199,901	219,198	215,812
OCFS	171,709	178,903	199,901	219,198	215,812
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	8,027	9,042	9,036	9,133	9,231
Labor, Department of	33,433	26,615	26,875	27,219	27,006
Housing and Community Renewal, Division of	50,376	43,991	44,977	45,992	46,301
National Commission Services	316	235	236	238	320

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	1,251	1,209	1,239	1,252	1,252
Temporary and Disability Assistance, Office of	17,230	17,557	17,716	17,882	18,063
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	17,230	17,557	17,716	17,882	18,063
Welfare Inspector General, Office of	313	355	358	358	372
Workers' Compensation Board	90,768	92,326	92,832	93,475	94,313
Functional Total	373,423	370,233	393,170	414,747	412,670
MENTAL HYGIENE					
Mental Health, Office of	403,158	435,728	650,975	660,643	667,098
OMH	382,310	388,216	344,409	349,530	352,968
OMH - Medicaid	20,848	47,512	306,566	311,113	314,130
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	139,407	59,788	289,337	347,346	350,743
OPWDD	0	0	0	0	0
OPWDD - Medicaid	139,407	59,788	289,337	347,346	350,743
Alcoholism and Substance Abuse Services, Office of	45,527	47,877	49,243	49,897	50,408
OASAS	25,233	20,259	21,334	21,879	22,109
OASAS - Medicaid	20,294	27,618	27,909	28,018	28,299
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	3,684	3,783	3,608	3,851	3,893
Functional Total	591,776	547,176	993,363	1,061,737	1,072,142
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	2,142	2,491	2,518	2,552	2,568
Correctional Services, Department of	2,111,324	1,921,624	1,939,287	1,957,469	1,950,604
Criminal Justice Services, Division of	35,446	38,067	38,443	38,824	39,217
Office of Victim Services	4,014	3,687	3,455	3,505	3,527
Statewide Financial System	0	10,271	10,222	10,222	10,222
Homeland Security and Emergency Services	11,319	8,819	9,214	9,136	9,136
Homeland Security	0	31,909	32,733	30,225	30,227
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	386	0	0	0	0
Judicial Commissions	3,988	4,116	4,145	4,185	4,228
Military and Naval Affairs, Division of	22,271	12,149	12,155	12,297	12,297
Parole, Division of	139,375	136,425	136,752	138,171	139,601
Probation and Correctional Alternatives, Division of	2,255	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	646,775	601,491	601,128	601,128	601,128
Wireless Network	2,820	1,000	1,000	1,000	1,000
Functional Total	2,982,115	2,772,799	2,792,552	2,810,214	2,805,255

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,260	37,721	38,098	38,479	38,864
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,431	15,585	15,870
State University of New York	3,243,082	3,124,144	3,155,162	3,188,889	3,213,939
Functional Total	3,365,550	3,265,006	3,298,088	3,333,922	3,361,220
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	3,741	3,484	3,514	3,554	3,594
Education, Department of	86,682	80,905	80,154	80,659	81,054
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	86,682	80,905	80,154	80,659	81,054
Functional Total	90,423	84,389	83,668	84,213	84,648
GENERAL GOVERNMENT					
Budget, Division of the	28,860	27,459	28,212	29,071	29,366
Civil Service, Department of	19,585	17,138	17,288	17,458	17,662
Deferred Compensation	388	401	405	407	411
Elections, State Board of	4,389	4,230	4,238	4,285	4,328
Employee Relations, Office of	3,071	3,167	3,195	3,224	3,259
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	62,775	60,263	60,426	61,325	61,950
Inspector General, Office of	5,767	5,629	5,673	5,734	5,795
Labor Management Committee	8,055	8,182	8,073	8,161	8,161
Lottery, Division of	21,798	21,730	21,730	21,730	21,973
Public Employment Relations Board	3,362	3,503	3,323	3,358	3,395
Public Integrity, Commission on	3,357	3,352	3,675	3,819	3,861
Real Property Services, Office of	23,407	0	0	0	0
Regulatory Reform, Governor's Office of	2,248	2,082	2,082	2,082	2,082
State, Department of	32,761	31,218	30,843	30,843	30,843
Tax Appeals, Division of	2,960	2,741	2,741	2,741	2,768
Taxation and Finance, Department of	306,466	349,404	349,475	349,554	352,522
Technology, Office for	10,571	13,409	13,483	13,603	13,701
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	5,996	5,901	5,946	5,991	6,052
Functional Total	547,183	561,375	562,465	565,076	569,853

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	177,874	165,047	168,348	171,715	175,149
Judiciary	1,536,724	1,536,806	1,838,220	1,846,128	1,846,670
Audit and Control, Department of	114,716	116,084	118,239	118,364	119,545
Law, Department of	123,683	117,461	118,469	119,433	120,729
Executive Chamber	13,451	14,000	14,420	14,853	15,001
Lieutenant Governor, Office of the	0	540	1,006	1,016	1,016
Functional Total	1,966,448	1,949,938	2,258,702	2,271,509	2,278,110
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,175	0	0	0	0
Miscellaneous	1,083	(184,110)	(126,734)	(1,724)	(1,713)
Functional Total	3,258	(184,110)	(126,734)	(1,724)	(1,713)
TOTAL PERSONAL SERVICE SPENDING	10,873,470	10,307,507	11,197,465	11,487,684	11,534,086

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	29,055	25,700	25,471	25,769	26,078
Alcoholic Beverage Control	4,037	6,074	6,607	5,323	5,458
Banking Department	15,227	15,000	14,519	14,521	14,880
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	233	613	715	460	477
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	16,605	11,114	11,712	11,712	12,123
Energy Research and Development Authority	1,513	1,572	1,815	1,854	1,895
Insurance Department	63,006	81,631	86,699	86,699	86,699
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	2,351	2,330	2,540	2,540	2,630
Public Service, Department of	10,869	10,375	10,778	11,105	11,443
Racing and Wagering Board, State	4,831	3,219	3,051	3,052	3,054
Science, Technology and Innovation, Foundation for	625	1,016	1,060	1,060	1,097
Strategic Investment	0	0	0	0	0
Functional Total	148,352	158,644	164,987	164,095	165,834
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	424	549	457	457	457
Environmental Conservation, Department of	82,030	63,677	60,217	56,236	56,236
Environmental Facilities Corporation	1,061	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	44,964	52,440	52,256	53,048	53,048
Functional Total	128,479	117,879	114,146	110,960	110,960
TRANSPORTATION					
Motor Vehicles, Department of	18,993	18,488	20,647	20,647	20,647
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	15,661	18,191	18,283	18,348	18,476
Functional Total	34,654	36,679	38,930	38,995	39,123
HEALTH					
Aging, Office for the	141	360	383	383	383
Health, Department of	277,271	306,359	305,366	303,035	305,290
Medical Assistance	21,284	45,855	45,855	45,855	45,855
Medicaid Administration	0	0	0	0	0
Public Health	255,987	260,504	259,511	257,180	259,495
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	7,387	9,115	12,482	12,482	12,482
Stem Cell and Innovation	16,608	52,616	73,071	123,149	63,673
Functional Total	301,407	368,450	391,302	439,049	381,828
SOCIAL WELFARE					
Children and Family Services, Office of	84,886	102,218	113,897	120,397	123,869
OCFS	84,886	102,218	113,897	120,397	123,869
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	2,704	2,043	2,125	2,209	2,242
Labor, Department of	14,684	6,406	6,726	7,047	7,377
Housing and Community Renewal, Division of	16,993	13,809	14,343	14,844	14,953
National Commission Services	43	41	41	41	41

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	220	182	164	172	172
Temporary and Disability Assistance, Office of	38,512	50,337	54,856	58,441	58,964
Welfare Assistance	0	0	0	0	0
Welfare Administration	38,512	50,337	54,856	58,441	58,964
All Other	0	0	0	0	0
Welfare Inspector General, Office of	47,152	72,193	63,507	65,077	66,724
Workers' Compensation Board	205,194	247,229	255,659	268,228	274,342
Functional Total					
MENTAL HYGIENE					
Mental Health, Office of	185,474	191,331	210,991	222,603	228,763
OMH	72,881	103,195	107,547	113,308	116,516
OMH - Medicaid	112,593	88,136	103,444	109,295	112,247
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	37,659	5,141	9,682	47,593	49,025
OPWDD	167	176	176	176	181
OPWDD - Medicaid	37,492	4,965	9,506	47,417	48,844
Alcoholism and Substance Abuse Services, Office of	21,013	19,711	20,703	21,557	22,097
OASAS	10,250	10,883	11,392	11,857	12,170
OASAS - Medicaid	10,763	8,828	9,311	9,700	9,927
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	970	1,478	1,513	1,550	1,595
Functional Total	245,116	217,661	242,889	293,303	301,480
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	21	0	0	0	0
Correction, Commission of	454	402	414	432	448
Correctional Services, Department of	509,178	551,861	588,682	624,250	666,488
Criminal Justice Services, Division of	31,078	35,176	34,976	35,722	36,487
Office of Victim Services	708	1,092	1,119	1,148	1,174
Statewide Financial System	0	21,659	31,137	40,721	40,821
Homeland Security and Emergency Services	14,083	52,024	42,646	23,404	13,404
Homeland Security	0	3,389	0	0	0
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	9	0	0	0	0
Judicial Commissions	1,157	1,376	1,450	1,484	1,521
Military and Naval Affairs, Division of	22,155	8,440	8,099	8,356	8,354
Parole, Division of	32,684	36,323	35,919	36,946	38,061
Probation and Correctional Alternatives, Division of	256	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	81,142	75,750	75,375	69,879	69,879
Wireless Network	2,592	86	86	86	86
Functional Total	695,517	787,328	821,403	843,928	878,223

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	56,777	44,869	53,643	55,424	55,437
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,046	3,117	3,250
State University of New York	1,706,200	1,693,468	1,686,668	1,739,845	1,795,209
Functional Total	<u>1,796,339</u>	<u>1,779,721</u>	<u>1,782,662</u>	<u>1,838,594</u>	<u>1,895,026</u>
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	1,658	1,502	1,587	1,603	1,620
Education, Department of	44,933	43,662	41,091	38,578	38,883
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	44,933	43,662	41,091	38,578	38,883
Functional Total	<u>46,591</u>	<u>45,164</u>	<u>42,678</u>	<u>40,181</u>	<u>40,503</u>
GENERAL GOVERNMENT					
Budget, Division of the	10,070	12,763	13,474	13,260	13,714
Civil Service, Department of	1,650	1,775	1,869	1,935	1,996
Deferred Compensation	133	203	212	219	227
Elections, State Board of	5,314	1,858	1,959	2,054	2,136
Employee Relations, Office of	133	183	193	203	214
Financial Plan Control Board	682	819	886	915	940
General Services, Office of	72,239	75,032	79,038	82,227	84,541
Inspector General, Office of	312	549	668	692	718
Labor Management Committee	25,554	51,251	59,753	17,857	17,857
Lottery, Division of	154,715	144,581	148,095	146,995	150,192
Public Employment Relations Board	423	749	697	710	734
Public Integrity, Commission on	852	960	1,046	1,082	1,117
Real Property Services, Office of	4,570	0	0	0	0
Regulatory Reform, Governor's Office of	201	194	194	194	194
State, Department of	13,216	9,511	9,428	9,403	9,378
Tax Appeals, Division of	498	367	367	367	378
Taxation and Finance, Department of	92,210	96,351	95,936	95,939	98,376
Technology, Office for	11,895	15,291	20,547	21,473	21,838
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	470	454	473	351	372
Functional Total	<u>395,137</u>	<u>412,891</u>	<u>434,835</u>	<u>395,876</u>	<u>404,924</u>

CASH DISBURSEMENTS BY FUNCTION					
STATE FUNDS					
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)					
(thousands of dollars)					
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
	Year-End	Enacted	Projected	Projected	Projected
ELECTED OFFICIALS					
Legislature	48,215	55,948	57,048	58,170	59,314
Judiciary	335,729	366,094	366,141	373,299	373,299
Audit and Control, Department of	24,690	32,442	33,566	37,857	38,986
Law, Department of	49,928	50,070	54,201	55,377	57,120
Executive Chamber	3,605	3,328	3,532	3,376	3,486
Lieutenant Governor, Office of the	0	118	187	192	192
Functional Total	462,167	508,000	514,675	528,271	532,397
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	50,631	91,697	91,869	91,869	91,869
Capital Projects	0	0	0	0	0
General State Charges	2,677	0	0	0	0
Miscellaneous	2,926	(436,457)	(396,433)	(346,430)	(296,404)
Functional Total	56,234	(344,760)	(304,564)	(254,561)	(204,535)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	4,515,187	4,334,886	4,499,582	4,706,919	4,820,105

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,560	5,132	5,886	6,779	7,326
Alcoholic Beverage Control	4,137	4,525	4,802	5,530	5,894
Banking Department	21,049	23,178	23,543	25,326	25,516
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	43,952	44,975	48,622	48,622
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,500	21,021	24,236	27,995	30,238
Racing and Wagering Board, State	5,206	6,083	6,417	7,330	7,725
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	104,262	105,542	111,470	123,248	127,107
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	36,337	39,365	38,216	38,436	37,436
Environmental Facilities Corporation	1,874	1,856	1,899	1,942	1,942
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,537	3,852	3,824	3,825	3,166
Functional Total	40,748	45,073	43,939	44,203	42,544
TRANSPORTATION					
Motor Vehicles, Department of	22,539	26,643	28,597	32,094	33,573
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,240	3,529	3,856	4,570	4,992
Functional Total	23,779	30,172	32,453	36,664	38,565
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	33,230	44,901	47,136	53,241	56,063
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	33,230	44,901	47,136	53,241	56,063
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	94	126	126	126	126
Stem Cell and Innovation	428	0	0	0	0
Functional Total	33,752	45,027	47,262	53,367	56,189
SOCIAL WELFARE					
Children and Family Services, Office of	1,011	1,314	1,347	1,347	1,542
OCFS	1,011	1,314	1,347	1,347	1,542
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,912	15,427	16,346	18,790	19,733
Housing and Community Renewal, Division of	15,278	15,072	16,007	18,413	19,522
National Commission Services	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	645	258	259	260	325
Welfare Assistance	0	0	0	0	0
Welfare Administration	645	258	259	260	325
All Other	0	0	0	0	0
Welfare Inspector General, Office of	48,822	41,282	44,266	49,989	54,183
Workers' Compensation Board	80,668	73,353	78,225	88,799	95,305
Functional Total	174,536	199,179	318,433	394,167	428,528
MENTAL HYGIENE					
Mental Health, Office of	166,745	157,425	180,108	208,146	226,434
OMH	7,791	41,754	138,325	186,021	202,094
OMH - Medicaid	0	0	0	0	0
Mental Hygiene, Department of	127,034	74,370	160,095	207,868	225,981
People with Developmental Disabilities, Office for	0	0	0	0	0
OPWDD	127,034	74,370	160,095	207,868	225,981
OPWDD - Medicaid	127,034	74,370	160,095	207,868	225,981
Alcoholism and Substance Abuse Services, Office of	21,018	22,812	26,370	30,370	32,860
OASAS	10,246	9,437	11,168	13,049	14,240
OASAS - Medicaid	10,772	13,375	15,202	17,321	18,620
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	24	27	31	35	38
Functional Total	322,612	296,388	504,929	632,440	687,407
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	2,000	2,000	0	0	0
Criminal Justice Services, Division of	83	66	66	66	66
Office of Victim Services	1,122	1,855	1,974	2,250	2,388
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	98	218	225	240	240
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	811	898	921	1,041	1,041
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	19,693	22,999	23,682	25,254	25,254
Wireless Network	1,260	500	500	500	500
Functional Total	25,267	28,536	27,368	29,351	29,489

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,197	16,692	19,183	22,216	23,643
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,911	9,128	9,786
State University of New York	464,162	525,380	497,329	499,886	503,695
Functional Total	<u>487,794</u>	<u>555,780</u>	<u>530,833</u>	<u>537,784</u>	<u>543,826</u>
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	26,637	27,706	28,288	31,576	33,177
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	26,637	27,706	28,288	31,576	33,177
Functional Total	<u>26,637</u>	<u>27,706</u>	<u>28,288</u>	<u>31,576</u>	<u>33,177</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,845	2,280	2,431	2,860	3,041
Civil Service, Department of	139	251	269	304	329
Deferred Compensation	152	188	203	228	247
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	849	990	1,063
General Services, Office of	1,663	1,783	1,893	2,174	2,305
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	10,581	11,144	12,734	13,558
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,744	8,695	8,893	9,498	9,498
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,932	19,917	22,687	24,039
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	<u>43,384</u>	<u>43,515</u>	<u>45,599</u>	<u>51,475</u>	<u>54,080</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	514,696	557,398	604,948	589,645	588,845
Audit and Control, Department of	1,200	1,585	1,591	1,734	1,734
Law, Department of	10,411	9,139	10,126	11,409	11,409
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	526,307	568,122	616,665	602,788	601,988
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	2,915,751	3,381,165	3,687,552	3,908,098	4,301,333
Miscellaneous	3,232	4,958	4,996	5,052	5,062
Functional Total	2,918,983	3,386,123	3,692,548	3,913,150	4,306,395
TOTAL GENERAL STATE CHARGES SPENDING	4,634,193	5,205,337	5,759,579	6,144,845	6,616,072

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,586	12,549	23,440	17,624	5,250
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	9,400	2,500	2,500	2,500	2,500
Economic Development Capital Programs	18,470	33,809	31,745	32,616	46,745
Economic Development, Department of	13,500	19,247	15,161	13,500	14,790
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	428,527	733,945	816,435	387,065	348,760
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	4,230	2,585	300	0
Science, Technology and Innovation, Foundation for	8,827	10,000	4,000	5,000	5,000
Strategic Investment	482,310	816,280	895,866	458,605	423,045
Functional Total					
		<u>816,280</u>	<u>895,866</u>	<u>458,605</u>	<u>423,045</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	314,426	374,103	336,803	335,503	307,203
Environmental Facilities Corporation	292	343	343	343	343
Hudson River Park Trust	11,977	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	81,047	32,250	21,250	21,250	21,250
Functional Total					
	<u>407,742</u>	<u>406,696</u>	<u>358,396</u>	<u>357,096</u>	<u>328,796</u>
TRANSPORTATION					
Motor Vehicles, Department of	208,105	217,942	228,337	237,712	243,082
Thruway Authority	0	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,755,378	1,943,808	1,908,368	1,901,168	1,876,339
Functional Total					
	<u>1,963,483</u>	<u>2,163,550</u>	<u>2,138,505</u>	<u>2,140,680</u>	<u>2,121,221</u>
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	7,840	325,658	613,940	193,022	114,678
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	7,840	325,658	613,940	193,022	114,678
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total					
	<u>7,840</u>	<u>325,658</u>	<u>613,940</u>	<u>193,022</u>	<u>114,678</u>
SOCIAL WELFARE					
Children and Family Services, Office of	27,677	23,000	20,900	20,900	20,900
OCFS	27,677	23,000	20,900	20,900	20,900
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	0	0	0	0	0
Housing and Community Renewal, Division of	0	0	0	0	0
National Commission Services	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	30,390	35,000	35,000	35,000	30,000
Welfare Assistance	0	0	0	0	0
Welfare Administration	30,390	35,000	35,000	35,000	30,000
All Other	0	0	0	0	0
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	0	0	0	0	0
Functional Total	58,067	58,000	55,900	55,900	50,900
MENTAL HYGIENE					
Mental Health, Office of	68,639	79,843	77,570	77,570	77,570
OMH	68,639	79,843	77,570	77,570	77,570
OMH - Medicaid	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	30,267	35,676	43,590	45,220	39,220
OPWDD	30,267	35,676	43,590	45,220	39,220
OPWDD - Medicaid	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	1,323	6,432	10,296	11,167	14,524
OASAS	1,323	6,432	10,296	11,167	14,524
OASAS - Medicaid	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	0	0	0	0	0
Functional Total	100,229	121,951	131,456	133,957	131,314
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	263,964	255,788	283,786	282,801	299,211
Criminal Justice Services, Division of	0	0	0	0	0
Office of Victim Services	0	0	0	0	0
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	8,000	11,000	8,000	6,000
Homeland Security	756	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	10,140	10,487	9,790	9,679	9,634
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	14,074	29,739	35,695	16,625	16,457
Wireless Network	0	0	0	0	0
Functional Total	288,934	304,014	340,271	327,105	331,302

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	9,723	11,482	13,705	15,144	15,144
Higher Education Services Corporation	0	0	0	0	0
Higher Education Capital Grants	(329)	8,000	10,000	9,000	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	811,326	1,090,286	1,060,292	1,010,906	1,005,658
Functional Total	<u>820,720</u>	<u>1,109,768</u>	<u>1,083,997</u>	<u>1,035,050</u>	<u>1,020,802</u>
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	10,258	39,017	38,118	30,120	28,320
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	10,258	39,017	38,118	30,120	28,320
Functional Total	<u>10,258</u>	<u>39,017</u>	<u>38,118</u>	<u>30,120</u>	<u>28,320</u>
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	53,044	61,685	60,847	70,113	69,809
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lobbying, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	(291)	2,750	2,750	2,750	2,750
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	21,516	23,827	50,000	9,060
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	<u>52,753</u>	<u>85,951</u>	<u>87,424</u>	<u>122,863</u>	<u>81,619</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	8,089	18,000	16,700	17,900	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	<u>8,089</u>	<u>18,000</u>	<u>16,700</u>	<u>17,900</u>	<u>0</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	90,381	119,285	187,000	110,000	100,000
Functional Total	<u>90,381</u>	<u>119,285</u>	<u>187,000</u>	<u>110,000</u>	<u>100,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>4,290,806</u>	<u>5,568,170</u>	<u>5,947,573</u>	<u>4,982,298</u>	<u>4,731,997</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	0	0	0	0	0
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	1,035	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	25	0	0	0	0
Energy Research and Development Authority	8,657	9,234	9,234	9,234	9,234
Insurance Department	374,224	230,746	253,746	253,746	253,746
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	500	500	500	500
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	353,941	240,480	263,480	263,480	263,480
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	399	0	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,017	5,000	4,850	4,850	4,850
Functional Total	5,416	5,000	4,850	4,850	4,850
TRANSPORTATION					
Motor Vehicles, Department of	0	0	0	0	0
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	3,759,338	4,203,810	4,303,597	4,397,827	4,479,827
Functional Total	3,759,338	4,203,810	4,303,597	4,397,827	4,479,827
HEALTH					
Aging, Office for the	987	0	0	0	0
Health, Department of	5,852,002	5,726,608	5,808,535	6,143,767	6,367,771
Medical Assistance	4,689,175	4,559,352	4,518,347	4,807,179	5,008,283
Medicaid Administration	0	0	0	0	0
Public Health	1,182,827	1,167,256	1,290,188	1,336,588	1,359,488
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	0	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	5,852,989	5,726,608	5,808,535	6,143,767	6,367,771
SOCIAL WELFARE					
Children and Family Services, Office of	2,247	1,600	1,600	1,600	1,600
OCFS	2,247	1,600	1,600	1,600	1,600
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	38	175	200	200	200
Housing and Community Renewal, Division of	514	852	852	852	852
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	0	0	0	0	0
<i>Welfare Administration</i>	131	0	0	0	0
<i>All Other</i>	0	0	0	0	0
131	0	0	0	0	0
Welfare Inspector General, Office of Workers' Compensation Board	0	0	0	0	0
Functional Total	2,930	2,627	2,652	2,652	2,652
MENTAL HYGIENE					
Mental Health, Office of	529,248	650,246	756,804	824,316	894,275
<i>OMH</i>	529,248	650,246	756,804	824,316	894,275
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	358,119	389,772	393,754	403,376	423,441
<i>OPWDD</i>	358,119	389,772	393,754	403,376	423,441
<i>OPWDD - Medicaid</i>	0	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	159,690	201,457	230,847	246,262	259,452
<i>OASAS</i>	159,690	201,457	230,847	246,262	259,452
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	60	60	60	60	60
Functional Total	1,047,117	1,241,535	1,381,465	1,474,014	1,577,228
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	24,231	35,708	34,506	34,506	35,506
Office of Victim Services	27,624	26,690	26,690	26,690	26,690
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	0	33,298	58,298	88,298	63,298
<i>Homeland Security</i>	0	0	0	0	0
Office of Indigent Legal Services	0	73,500	77,000	77,000	77,000
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	2,967	3,000	3,000	3,000	3,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	54,822	172,196	199,494	229,494	205,494

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	22,202	22,200	22,200	22,200	22,200
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	0	0	0	0	0
Functional Total	22,202	22,200	22,200	22,200	22,200
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	98	98	98
Education, Department of	6,254,217	6,407,509	6,179,959	6,555,860	6,817,768
School Aid	2,825,995	3,093,000	2,748,000	2,958,000	3,031,800
School Aid - Medicaid Assistance	0	0	0	0	0
STAR Property Tax Relief	3,413,542	3,299,570	3,417,620	3,584,167	3,772,475
Special Education Categorical Programs	0	0	0	0	0
All Other	14,680	14,939	14,339	13,693	13,493
Functional Total	6,254,217	6,407,509	6,180,057	6,555,958	6,817,866
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	13,822	539	539	539	539
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	13,822	539	539	539	539

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	114,249	120,000	122,000	122,000	124,000
Audit and Control, Department of	70,089	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	184,338	120,000	122,000	122,000	124,000
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	63,185	42,474	43,388	44,329	45,299
Functional Total	63,185	42,474	43,388	44,329	45,299
TOTAL LOCAL ASSISTANCE SPENDING	17,644,317	18,184,978	18,332,257	19,260,510	19,911,206

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	10,973	10,586	10,806	10,969	11,189
Alcoholic Beverage Control	8,838	9,293	9,367	9,441	9,559
Banking Department	49,855	49,687	49,149	49,200	49,251
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	69	109	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	6	48	48	48	48
Energy Research and Development Authority	3,968	3,299	3,365	3,432	3,501
Insurance Department	105,533	95,813	95,813	97,013	97,013
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	43,269	44,120	45,381	46,289	47,215
Racing and Wagering Board, State	12,538	12,536	12,581	12,630	12,679
Science, Technology and Innovation, Foundation for Strategic Investment	0	0	0	0	0
Functional Total	235,049	225,501	226,510	229,022	230,455
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	96,456	101,382	100,892	101,549	99,674
Environmental Facilities Corporation	6,676	5,958	6,094	6,232	6,232
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	29,096	25,157	25,098	25,099	25,734
Functional Total	132,228	132,497	132,084	132,880	131,640
TRANSPORTATION					
Motor Vehicles, Department of	52,901	55,015	53,437	53,437	53,437
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	6,642	7,249	7,521	7,803	8,096
Functional Total	59,543	62,264	60,958	61,240	61,533
HEALTH					
Aging, Office for the	0	(2)	0	0	0
Health, Department of	159,353	174,805	175,285	176,594	177,914
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	159,353	174,805	175,285	176,594	177,914
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	3,922	3,985	3,985	3,985	3,985
Stem Cell and Innovation	640	0	0	0	0
Functional Total	163,915	178,788	179,270	180,579	181,899
SOCIAL WELFARE					
Children and Family Services, Office of	1,040	2,073	2,073	2,131	2,012
OCFS	1,040	2,073	2,073	2,131	2,012
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	32,633	26,615	26,875	27,219	27,006
Housing and Community Renewal, Division of	35,266	32,373	32,676	32,980	33,289
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	0	0	0	0	0
	2,481	1,509	1,522	1,539	1,544
<i>Welfare Administration</i>	0	0	0	0	0
<i>All Other</i>	2,481	1,509	1,522	1,539	1,544
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	90,768	92,326	92,832	93,475	94,313
Functional Total	162,188	154,896	155,978	157,344	158,164
MENTAL HYGIENE					
Mental Health, Office of	403,158	435,728	650,975	660,643	667,098
<i>OMH</i>	382,310	388,216	344,409	349,530	352,968
<i>OMH - Medicaid</i>	20,848	47,512	306,566	311,113	314,130
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for <i>OPWDD</i>	139,407	59,788	289,337	347,346	350,743
	0	0	0	0	0
<i>OPWDD - Medicaid</i>	139,407	59,788	289,337	347,346	350,743
Alcoholism and Substance Abuse Services, Office of	45,527	47,877	49,243	49,897	50,408
<i>OASAS</i>	25,233	20,259	21,334	21,879	22,109
<i>OASAS - Medicaid</i>	20,294	27,618	27,909	28,018	28,299
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	57	58	58	58	59
Functional Total	588,149	543,451	989,613	1,057,944	1,068,308
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Corrections Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	415	463	463	463	463
Office of Victim Services	3,901	3,687	3,455	3,505	3,527
Statewide Financial System	0	10,271	10,222	10,222	10,222
Homeland Security and Emergency Services	423	611	622	622	622
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	2,481	1,931	1,934	1,952	1,952
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	157,151	177,479	177,844	177,844	177,844
Wireless Network	2,820	1,000	1,000	1,000	1,000
Functional Total	167,191	196,192	197,040	197,108	197,130

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	73,896	87,688	89,222	90,784	92,372
Higher Education Services Corporation	36,260	37,721	38,098	38,479	38,864
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	238	175	175	175	175
State University Construction Fund	12,074	15,278	15,431	15,585	15,870
State University of New York	2,393,560	2,323,787	2,357,798	2,369,985	2,385,763
Functional Total	2,516,028	2,464,649	2,500,724	2,515,008	2,533,044
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	56,922	54,432	53,413	53,656	54,087
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	56,922	54,432	53,413	53,656	54,087
Functional Total	56,922	54,432	53,413	53,656	54,087
GENERAL GOVERNMENT					
Budget, Division of the	6,824	4,680	4,738	4,881	4,930
Civil Service, Department of	388	529	531	535	541
Deferred Compensation	357	370	374	376	380
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	1,357	1,566	1,657	1,690	1,724
General Services, Office of	3,254	3,604	3,636	3,655	3,693
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	21,798	21,730	21,730	21,730	21,973
Public Employment Relations Board	100	235	235	236	237
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,675	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	19,024	18,744	18,408	18,408	18,408
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	38,914	38,772	38,805	38,844	39,209
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	95,691	90,230	90,114	90,355	91,095

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	59,862	59,620	58,421	60,292	60,834
Audit and Control, Department of	2,886	2,742	2,745	2,776	2,803
Law, Department of	22,156	17,202	17,281	17,460	17,702
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	84,904	79,564	78,447	80,528	81,339
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	997	(160,333)	(158,963)	(158,952)	(158,941)
Functional Total	997	(160,333)	(158,963)	(158,952)	(158,941)
TOTAL PERSONAL SERVICE SPENDING	4,262,805	4,022,131	4,505,188	4,596,712	4,629,753

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	20,995	18,367	18,320	18,379	18,441
Alcoholic Beverage Control	4,037	6,074	6,607	5,323	5,458
Banking Department	15,227	15,000	14,519	14,521	14,880
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	42	305	370	95	95
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	463	2,601	2,601	2,601	2,601
Energy Research and Development Authority	1,273	1,572	1,815	1,854	1,895
Insurance Department	51,239	81,346	86,699	86,699	86,699
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	38	326	326	326	326
Public Service, Department of	10,869	10,375	10,778	11,105	11,443
Racing and Wagering Board, State	4,822	3,219	3,051	3,052	3,054
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	109,005	139,185	145,086	143,955	144,892
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	65,280	49,604	46,123	42,142	42,142
Environmental Facilities Corporation	1,058	1,213	1,216	1,219	1,219
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	30,794	26,573	26,574	26,575	26,575
Functional Total	97,132	77,390	73,913	69,936	69,936
TRANSPORTATION					
Motor Vehicles, Department of	18,938	18,488	20,647	20,647	20,647
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	14,124	17,227	17,319	17,384	17,512
Functional Total	33,062	35,715	37,966	38,031	38,159
HEALTH					
Aging, Office for the	0	1	1	1	1
Health, Department of	139,293	141,448	135,913	133,582	135,837
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	139,293	141,448	135,913	133,582	135,837
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	8	22	22	22	22
Stem Cell and Innovation	16,608	52,616	73,071	123,149	63,673
Functional Total	155,909	194,087	209,007	256,754	199,533
SOCIAL WELFARE					
Children and Family Services, Office of	1,714	3,183	2,894	2,962	2,999
OCFS	1,714	3,183	2,894	2,962	2,999
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,441	6,406	6,726	7,047	7,377
Housing and Community Renewal, Division of	5,582	5,237	5,382	5,499	5,608
National Commission Services	0	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	28	28	28	28
Temporary and Disability Assistance, Office of	3,275	4,776	4,909	5,031	5,035
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	3,275	4,776	4,909	5,031	5,035
Welfare Inspector General, Office of	0	0	0	0	0
Workers' Compensation Board	47,152	72,193	63,507	65,077	66,724
Functional Total	72,164	91,823	83,446	85,644	87,771
MENTAL HYGIENE					
Mental Health, Office of	185,474	190,531	210,191	221,803	227,963
OMH	72,881	102,395	106,747	112,508	115,716
OMH - Medicaid	112,593	88,136	103,444	109,295	112,247
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	37,659	5,141	9,682	47,593	49,025
OPWDD	167	176	176	176	181
OPWDD - Medicaid	37,492	4,965	9,506	47,417	48,844
Alcoholism and Substance Abuse Services, Office of	20,988	19,711	20,703	21,557	22,097
OASAS	10,225	10,883	11,392	11,857	12,170
OASAS - Medicaid	10,763	8,828	9,311	9,700	9,927
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	24	54	54	54	59
Functional Total	244,145	215,437	240,630	291,007	299,144
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	1,039	1,172	1,557	1,961	2,011
Criminal Justice Services, Division of	10,551	15,993	13,725	13,725	13,725
Office of Victim Services	677	1,092	1,119	1,148	1,174
Statewide Financial System	0	21,659	31,137	40,721	40,821
Homeland Security and Emergency Services	3,314	52,024	42,646	23,404	13,404
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	750	1,500	1,500	1,500
Investigation, Temporary State Commission of	4	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	5,712	4,308	3,657	3,732	3,732
Parole, Division of	208	2	2	2	2
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	35,195	43,571	18,154	18,158	18,158
Wireless Network	2,592	86	86	86	86
Functional Total	59,292	140,657	113,583	104,437	94,613

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	28,900	38,378	39,260	40,163	41,085
Higher Education Services Corporation	29,940	40,384	43,643	45,424	45,437
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	32	45	45	45	45
State University Construction Fund	2,430	2,961	3,046	3,117	3,250
State University of New York	1,347,215	1,424,363	1,404,582	1,441,372	1,483,792
Functional Total	1,408,517	1,506,131	1,490,576	1,530,121	1,573,609
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	26,062	27,303	24,836	21,896	22,201
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	26,062	27,303	24,836	21,896	22,201
Functional Total	26,062	27,303	24,836	21,896	22,201
GENERAL GOVERNMENT					
Budget, Division of	7,738	8,697	9,145	9,200	9,520
Civil Service, Department of	600	1,172	1,200	1,229	1,262
Deferred Compensation	61	181	187	191	196
Elections, State Board of	3,867	0	0	0	0
Employee Relations, Office of	0	52	54	56	58
Financial Plan Control Board	682	819	886	915	940
General Services, Office of	4,340	3,629	4,232	4,315	4,486
Inspector General, Office of	27	87	87	87	87
Labor Management Committee	0	300	300	300	300
Lottery, Division of	154,715	144,581	148,095	146,995	150,192
Public Employment Relations Board	48	300	172	39	43
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	921	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	8,615	6,446	6,446	6,446	6,446
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	25,576	26,880	26,918	26,921	27,496
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	207,190	193,144	197,722	196,894	201,026

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	2,010	950	950	950	950
Judiciary	40,918	51,060	49,300	48,600	48,600
Audit and Control, Department of	230	5,490	5,493	5,430	5,571
Law, Department of	31,545	36,025	37,946	38,320	39,208
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	74,763	93,545	93,689	93,300	94,329
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	477	(211,676)	(211,652)	(211,629)	(211,603)
Functional Total	477	(211,676)	(211,652)	(211,629)	(211,603)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	2,487,718	2,502,741	2,498,802	2,620,146	2,613,610

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,560	5,132	5,886	6,779	7,326
Alcoholic Beverage Control	4,137	4,525	4,802	5,530	5,894
Banking Department	21,049	23,178	23,543	25,326	25,516
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	40	0	0	0
Economic Development, Capital Programs	0	0	0	0	0
Economic Development, Department of	20	28	28	28	28
Energy Research and Development Authority	1,742	1,583	1,583	1,638	1,758
Insurance Department	46,048	43,952	44,975	48,622	48,622
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	21,500	21,021	24,236	27,995	30,238
Racing and Wagering Board, State	5,206	6,083	6,417	7,330	7,725
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	104,262	105,542	111,470	123,248	127,107
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	36,337	39,365	38,216	38,436	37,436
Environmental Facilities Corporation	1,874	1,856	1,899	1,942	1,942
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,537	3,852	3,824	3,825	3,166
Functional Total	40,748	45,073	43,939	44,203	42,544
TRANSPORTATION					
Motor Vehicles, Department of	22,539	26,643	28,597	32,094	33,573
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	1,240	3,529	3,856	4,570	4,992
Functional Total	23,779	30,172	32,453	36,664	38,565
HEALTH					
Aging, Office for the	0	0	0	0	0
Health, Department of	33,230	44,901	47,136	53,241	56,063
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	33,230	44,901	47,136	53,241	56,063
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	94	126	126	126	126
Stem Cell and Innovation	428	0	0	0	0
Functional Total	33,752	45,027	47,262	53,367	56,189
SOCIAL WELFARE					
Children and Family Services, Office of	1,011	1,314	1,347	1,347	1,542
OCFS	1,011	1,314	1,347	1,347	1,542
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	14,912	15,427	16,346	18,790	19,733
Housing and Community Renewal, Division of	15,278	15,072	16,007	18,413	19,522
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	645	258	259	260	325
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	645	258	259	260	325
Welfare Inspector General, Office of	48,822	41,282	44,266	49,989	54,183
Workers' Compensation Board	80,668	73,353	78,225	88,799	95,305
Functional Total					
MENTAL HYGIENE					
Mental Health, Office of	174,536	199,179	318,433	394,167	428,528
OMH	166,745	157,425	180,108	208,146	226,434
OMH - Medicaid	7,791	41,754	138,325	186,021	202,094
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	127,034	74,370	160,095	207,868	225,981
OPWDD	0	0	0	0	0
OPWDD - Medicaid	127,034	74,370	160,095	207,868	225,981
Alcoholism and Substance Abuse Services, Office of	21,018	22,812	26,370	30,370	32,860
OASAS	10,246	9,437	11,168	13,049	14,240
OASAS - Medicaid	10,772	13,375	15,202	17,321	18,620
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	24	27	31	35	38
Functional Total	322,612	296,388	504,929	632,440	687,407
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	83	66	66	66	66
Office of Victim Services	1,122	1,855	1,974	2,250	2,388
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	98	218	225	240	240
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	811	896	919	1,039	1,039
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	19,893	22,999	23,682	25,254	25,254
State Police, Division of	1,260	500	500	500	500
Wireless Network	0	0	0	0	0
Functional Total	23,267	26,534	27,366	29,349	29,487

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	4,236	6,134	6,275	6,419	6,567
Higher Education Services Corporation	15,197	16,682	19,183	22,216	23,643
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	108	135	135	135	135
State University Construction Fund	4,091	7,439	7,911	9,128	9,786
State University of New York	285,290	327,325	299,274	301,831	305,640
Functional Total	308,922	357,725	332,778	339,729	345,771
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	24,936	25,796	26,378	29,666	31,267
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	24,936	25,796	26,378	29,666	31,267
Functional Total	24,936	25,796	26,378	29,666	31,267
GENERAL GOVERNMENT					
Budget, Division of the	1,845	2,280	2,431	2,860	3,041
Civil Service, Department of	139	251	269	304	329
Deferred Compensation	152	188	203	228	247
Elections, State Board of	0	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	591	805	849	990	1,063
General Services, Office of	1,663	1,783	1,893	2,174	2,305
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	9,264	10,581	11,144	12,734	13,558
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	3,420	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	7,744	8,695	8,863	9,498	9,498
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	18,566	18,932	19,917	22,687	24,039
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	43,384	43,515	45,599	51,475	54,080

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	22,113	16,698	14,458	14,908	14,108
Audit and Control, Department of	1,200	1,585	1,581	1,734	1,734
Law, Department of	10,411	9,139	10,126	11,409	11,409
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	33,724	27,422	26,175	28,051	27,251
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	354	538	576	634	644
Functional Total	354	538	576	634	644
TOTAL GENERAL STATE CHARGES SPENDING	1,040,408	1,077,085	1,277,150	1,457,625	1,535,617

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	0	0	0	0	0
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	100	100	100	100
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	0	0	0	0	0
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	0	100	100	100	100
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	0	24,000	0	0	0
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	1,798	1,270	1,270	1,270	1,270
Functional Total	1,798	25,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	13,486	14,000	13,200	13,200	13,200
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	31,731	32,103	32,103	32,103	32,103
Functional Total	45,217	46,103	45,303	45,303	45,303
HEALTH					
Aging, Office for the	105,412	100,154	95,769	95,769	95,769
Health, Department of	27,741,069	28,828,922	26,712,048	27,021,450	28,721,550
Medical Assistance	26,060,316	26,967,417	24,910,343	25,168,245	26,868,345
Medicaid Administration	424,808	551,250	573,750	596,750	596,750
Public Health	1,255,945	1,310,255	1,227,955	1,256,455	1,256,455
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	0	(4,000)	0	0	0
Stem Cell and Innovation	0	0	0	0	0
Functional Total	27,846,481	28,925,076	26,807,817	27,117,219	28,817,319
SOCIAL WELFARE					
Children and Family Services, Office of	1,016,618	1,092,449	934,800	934,800	934,800
OCFS	1,016,618	1,092,449	934,800	934,800	934,800
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	0	0	0	0	0
Labor, Department of	260,806	246,161	171,490	171,490	171,490
Housing and Community Renewal, Division of	151,413	236,177	187,008	67,497	67,497
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	0	0	0	0	0
	3,567,330	3,537,990	3,283,080	3,159,080	3,149,380
<i>Welfare Administration</i>	2,720,980	2,698,740	2,468,130	2,344,130	2,344,130
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of	846,350	839,250	814,950	814,950	805,250
Workers' Compensation Board	0	0	0	0	0
Functional Total	4,936,167	5,112,777	4,576,378	4,332,867	4,323,167
MENTAL HYGIENE					
Mental Health, Office of	44,883	44,883	50,930	50,930	50,930
<i>OMH</i>	44,883	44,883	50,930	50,930	50,930
<i>OMH - Medicaid</i>	0	0	0	0	0
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	302,493	271,942	279,194	287,623	286,255
<i>OPWDD</i>	0	0	0	0	0
<i>OPWDD - Medicaid</i>	302,493	271,942	279,194	287,623	286,255
Alcoholism and Substance Abuse Services, Office of	112,869	104,680	104,970	107,670	107,670
<i>OASAS</i>	112,869	104,680	104,970	107,670	107,670
<i>OASAS - Medicaid</i>	0	0	0	0	0
Developmental Disabilities Planning Council	0	0	0	0	0
Quality of Care for the Mentally Disabled, Commission on	204	190	439	439	439
Functional Total	460,449	421,695	435,533	446,662	445,294
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	0	0	0	0	0
Correctional Services, Department of	9	0	0	0	0
Criminal Justice Services, Division of	42,016	75,600	51,600	40,600	40,600
Office of Victim Services	32,228	31,328	28,978	28,978	28,978
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	265,657	202,302	467,190	467,190	467,190
<i>Homeland Security</i>	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	108,610	65,000	50,000	50,000	50,000
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	0	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	0	0	0	0	0
Wireless Network	0	0	0	0	0
Functional Total	448,520	374,230	597,768	586,768	586,768

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	13,730	32,779	0	0	0
Higher Education Services Corporation	62,312	58,421	8,521	8,521	8,521
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	43,240	91,205	7,941	7,941	7,941
Functional Total	119,282	182,405	16,462	16,462	16,462
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	1,150	420	420	420	420
Education, Department of	4,046,991	5,717,292	4,654,749	3,442,823	3,496,705
<i>School Aid</i>	3,235,053	4,275,501	3,614,554	2,627,000	2,627,000
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	760,322	1,368,122	987,974	760,000	810,000
<i>All Other</i>	51,616	73,669	52,221	55,823	59,705
Functional Total	4,048,141	5,717,112	4,655,169	3,443,243	3,497,125
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	2,150	8,200	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	250	250	250	250
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	78,214	115,032	55,457	55,457	55,457
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	0	0	0	0
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	0	0	0	0	0
Functional Total	80,364	123,482	55,707	55,707	55,707

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	0	0	0	0	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	0	0	0	0	0
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	0	0	0	0	0
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	(295,531)	(229,400)	(230,400)	(232,400)	(232,400)
Functional Total	(295,531)	(229,400)	(230,400)	(232,400)	(232,400)
TOTAL LOCAL ASSISTANCE SPENDING	37,750,888	40,699,450	36,961,107	35,813,201	37,556,115

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,967	2,184	2,155	2,155	2,155
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	1,063	1,622	1,615	1,512	1,363
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	3,030	3,806	3,770	3,667	3,518
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	24,230	29,078	29,078	29,078	29,078
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	1,220	1,056	1,056	1,056	1,056
Functional Total	25,450	30,134	30,134	30,134	30,134
TRANSPORTATION					
Motor Vehicles, Department of	1,115	1,380	1,230	1,230	1,230
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	9,623	10,475	10,867	11,475	11,897
Functional Total	10,738	11,855	12,097	12,705	13,127
HEALTH					
Aging, Office for the	6,364	7,453	7,677	7,677	7,677
Health, Department of	123,649	104,573	103,489	104,039	104,039
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	123,649	104,573	103,489	104,039	104,039
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	20,046	23,052	26,052	26,052	26,052
Stem Cell and Innovation	0	0	0	0	0
Functional Total	150,059	135,078	137,218	137,768	137,768
SOCIAL WELFARE					
Children and Family Services, Office of	57,376	60,303	60,641	60,863	58,984
OCFS	57,376	60,303	60,641	60,863	58,984
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	6,846	4,943	5,038	5,069	5,069
Labor, Department of	202,265	199,406	183,643	179,643	170,445
Housing and Community Renewal, Division of	7,939	7,066	7,146	7,218	7,367
National Commission Services	374	354	354	354	358

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for Temporary and Disability Assistance, Office of <i>Welfare Assistance</i>	40	0	0	0	0
<i>Welfare Administration</i>	148,544	151,388	152,196	153,379	154,577
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of Workers' Compensation Board	148,544	151,388	152,196	153,379	154,577
	193	405	408	408	414
Functional Total	423,577	423,865	409,426	406,934	397,214
MENTAL HYGIENE					
Mental Health, Office of <i>OMH</i>	697,898	747,142	535,940	537,644	542,879
<i>OMH - Medicaid</i>	977	578	578	578	584
Mental Hygiene, Department of People with Developmental Disabilities, Office for <i>OPWDD</i>	696,921	746,564	535,362	537,066	542,295
	0	0	0	0	0
<i>OPWDD - Medicaid</i>	996,560	1,157,936	932,292	885,637	892,807
Alcoholism and Substance Abuse Services, Office of <i>OASAS</i>	81	116	116	116	116
<i>OASAS - Medicaid</i>	996,479	1,157,820	932,176	885,521	892,691
Developmental Disabilities Planning Council Quality of Care for the Mentally Disabled, Commission on	19,064	21,083	21,276	20,471	20,670
	5,798	5,954	6,147	5,187	5,236
	13,266	15,129	15,129	15,284	15,434
	1,224	1,197	1,197	1,197	1,209
	3,711	3,763	4,089	4,089	4,129
Functional Total	1,718,457	1,931,121	1,494,794	1,449,038	1,461,694
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office Correction, Commission of	0	0	0	0	0
Correctional Services, Department of Criminal Justice Services, Division of Office of Victim Services	14	24,515	34,559	34,559	34,559
	19,570	16,452	14,952	12,952	12,945
	8,819	1,200	1,200	1,200	1,200
Statewide Financial System Homeland Security and Emergency Services	1,231	0	0	0	0
	0	3,015	3,127	2,954	2,637
Homeland Security Office of Indigent Legal Services	2,477	0	0	0	0
Investigation, Temporary State Commission of Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of Parole, Division of	28,219	20,373	20,526	20,577	19,442
	0	100	100	100	100
Probation and Correctional Alternatives, Division of State Emergency Management Office	56	0	0	0	7
State Police, Division of Wireless Network	0	3,697	3,697	3,697	3,697
	4,900	0	0	0	0
Functional Total	65,286	69,352	78,161	76,039	74,587

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	235	385	385	385	385
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	9,106	9,234	7,227	7,227	7,227
Functional Total	9,341	9,619	7,612	7,612	7,612
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	99,232	101,087	100,249	101,252	102,264
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	99,232	101,087	100,249	101,252	102,264
Functional Total	99,232	101,087	100,249	101,252	102,264
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	83	360	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	3,768	3,771	3,771	3,771	3,771
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	60	60	60	61
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	794	926	935	944	953
Functional Total	4,665	5,117	4,766	4,775	4,785

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	2,047	0	0	0	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	19,628	19,471	19,630	19,790	20,005
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	21,675	19,471	19,630	19,790	20,005
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	(479)	0	0	0
Functional Total	0	(479)	0	0	0
TOTAL PERSONAL SERVICE SPENDING	2,531,510	2,740,026	2,297,857	2,249,714	2,252,708

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,379	9,801	9,519	9,519	9,519
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	245	245	245	245
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	128	381	349	302	270
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	8,507	10,427	10,113	10,066	10,034
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	139	350	350	350	350
Environmental Conservation, Department of	19,050	16,556	16,556	16,556	16,556
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,165	1,085	1,085	1,085	1,085
Functional Total	21,354	17,991	17,991	17,991	17,991
TRANSPORTATION					
Motor Vehicles, Department of	2,628	2,545	1,791	1,791	1,791
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	11,331	11,273	11,515	11,751	12,060
Functional Total	13,959	13,818	13,306	13,542	13,851
HEALTH					
Aging, Office for the	1,426	1,385	1,120	1,120	1,120
Health, Department of	149,183	182,234	156,688	156,688	156,688
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	149,183	182,234	156,688	156,688	156,688
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	9,040	12,938	13,010	13,010	13,010
Stem Cell and Innovation	0	0	0	0	0
Functional Total	159,649	196,557	170,818	170,818	170,818
SOCIAL WELFARE					
Children and Family Services, Office of	70,314	97,588	95,802	96,634	96,695
OCFS	70,314	97,588	95,802	96,634	96,695
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	2,073	1,475	1,329	1,341	1,341
Labor, Department of	110,435	115,548	104,260	94,201	94,201
Housing and Community Renewal, Division of	946	3,756	3,903	4,463	4,487
National Commission Services	16,129	15,036	13,646	13,646	13,646

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (Includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	130,527	122,565	123,923	125,544	127,319
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	130,527	122,565	123,923	125,544	127,319
Welfare Inspector General, Office of	129	465	476	485	485
Workers' Compensation Board	3,393	3,532	3,532	3,532	3,624
Functional Total	333,946	359,965	346,871	339,846	341,798
MENTAL HYGIENE					
Mental Health, Office of	126,190	159,332	151,746	158,073	162,080
OMH	286	165	165	165	169
OMH - Medicaid	125,904	159,167	151,581	157,908	161,911
Mental Hygiene, Department of	175	0	0	0	0
People with Developmental Disabilities, Office for	382,591	438,211	449,081	423,154	434,049
OPWDD	29,247	33,290	33,340	33,490	34,361
OPWDD - Medicaid	353,344	404,921	415,741	389,664	399,688
Alcoholism and Substance Abuse Services, Office of	1,930	2,498	2,471	2,393	2,399
OASAS	1,585	1,884	1,855	1,770	1,771
OASAS - Medicaid	345	614	616	623	628
Developmental Disabilities Planning Council	1,924	2,485	2,392	2,301	2,230
Quality of Care for the Mentally Disabled, Commission on	4,734	4,580	5,542	5,542	5,686
Functional Total	517,544	607,106	611,232	591,463	606,444
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	7	0	0	0	0
Correctional Services, Department of	1,019	1,139	1,150	1,150	1,150
Criminal Justice Services, Division of	9,835	23,330	16,830	10,080	10,080
Office of Victim Services	415	1,652	1,652	1,652	1,652
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	1,602	13,851	13,860	13,865	13,865
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	15,494	18,436	18,756	18,434	18,434
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	9	0	0	0	0
State Emergency Management Office	0	0	0	0	0
State Police, Division of	8,486	1,921	1,121	1,121	1,121
Wireless Network	0	0	0	0	0
Functional Total	36,867	60,329	53,369	46,302	46,302

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	3,855	4,994	4,994	4,994	4,994
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	266,424	283,005	227,631	227,631	227,631
Functional Total	270,279	287,999	232,625	232,625	232,625
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	100	100	100	100
Education, Department of	88,895	120,306	102,904	93,311	93,762
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	88,895	120,306	102,904	93,311	93,762
Functional Total	88,895	120,406	103,004	93,411	93,862
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	38,268	86,500	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	8,021	4,987	4,987	4,987	4,987
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	957	3,811	3,811	3,811	3,811
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	656	212	212	212	217
Technology, Office for	0	17,750	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	215	288	288	294	302
Functional Total	48,117	113,543	9,298	9,304	9,317

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	3,772	7,500	7,500	7,500	7,500
Audit and Control, Department of	(19)	0	0	0	0
Law, Department of	7,156	8,543	8,742	8,825	9,044
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	10,909	16,043	16,242	16,325	16,544
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	0	0	0	0
Functional Total	0	0	0	0	0
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	1,510,026	1,804,184	1,584,869	1,541,693	1,559,586

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	843	963	950	950	950
Alcoholic Beverage Control	0	0	0	0	0
Banking Department	0	0	0	0	0
Developmental Authority North	0	0	0	0	0
Consumer Protection Board	0	0	0	0	0
Economic Development Capital Programs	0	0	0	0	0
Economic Development, Department of	0	0	0	0	0
Energy Research and Development Authority	0	0	0	0	0
Insurance Department	0	0	0	0	0
Empire State Development Corporation	0	0	0	0	0
Olympic Regional Development Authority	0	0	0	0	0
Public Service, Department of	484	719	870	917	872
Racing and Wagering Board, State	0	0	0	0	0
Science, Technology and Innovation, Foundation for	0	0	0	0	0
Strategic Investment	0	0	0	0	0
Functional Total	1,327	1,682	1,820	1,867	1,822
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	0	0	0	0	0
Environmental Conservation, Department of	10,003	14,401	14,401	14,401	14,401
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	483	517	517	517	517
Functional Total	10,486	14,918	14,918	14,918	14,918
TRANSPORTATION					
Motor Vehicles, Department of	463	608	643	643	643
Thruway Authority	0	0	0	0	0
Metropolitan Transportation Authority	0	0	0	0	0
Transportation, Department of	4,068	5,100	5,571	6,604	7,212
Functional Total	4,531	5,708	6,214	7,247	7,855
HEALTH					
Aging, Office for the	0	191	263	263	263
Health, Department of	21,195	20,771	20,500	20,500	20,500
Medical Assistance	0	0	0	0	0
Medicaid Administration	0	0	0	0	0
Public Health	21,195	20,771	20,500	20,500	20,500
Health - Medicaid Assistance	0	0	0	0	0
Medicaid Inspector General, Office of	8,207	9,390	13,901	15,741	16,671
Stem Cell and Innovation	0	0	0	0	0
Functional Total	29,402	30,352	34,664	36,504	37,434
SOCIAL WELFARE					
Children and Family Services, Office of	10,230	11,117	11,239	11,239	10,156
OCFS	10,230	11,117	11,239	11,239	10,156
OCFS - Medicaid	0	0	0	0	0
Human Rights, Division of	650	2,187	2,530	2,912	3,066
Labor, Department of	79,129	86,156	90,170	101,192	102,196
Housing and Community Renewal, Division of	3,035	2,693	2,855	3,456	3,444
National Commission Services	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
Prevention of Domestic Violence, Office for	0	0	0	0	0
Temporary and Disability Assistance, Office of	37,987	45,492	52,748	61,241	64,547
Welfare Assistance	0	0	0	0	0
Welfare Administration	0	0	0	0	0
All Other	37,987	45,492	52,748	61,241	64,547
Welfare Inspector General, Office of	92	207	214	221	221
Workers' Compensation Board	0	0	0	0	0
Functional Total	131,123	147,852	159,756	180,261	183,630
MENTAL HYGIENE					
Mental Health, Office of	309,185	354,151	307,605	321,981	349,377
OMH	391	444	444	444	299
OMH - Medicaid	308,794	353,707	307,161	321,537	349,078
Mental Hygiene, Department of	0	0	0	0	0
People with Developmental Disabilities, Office for	543,761	494,912	486,149	530,130	575,238
OPWDD	57	51	62	70	74
OPWDD - Medicaid	543,704	494,861	486,087	530,060	575,164
Alcoholism and Substance Abuse Services, Office of	4,175	9,654	11,149	12,318	13,239
OASAS	0	2,549	3,137	3,171	3,297
OASAS - Medicaid	4,175	7,105	8,012	9,147	9,942
Developmental Disabilities Planning Council	249	518	611	702	761
Quality of Care for the Mentally Disabled, Commission on	1,528	1,733	2,128	2,422	2,621
Functional Total	858,898	860,968	807,642	867,553	941,236
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Capital Defenders Office	0	0	0	0	0
Correction, Commission of	11	0	0	0	0
Correctional Services, Department of	858	1,020	1,415	1,415	1,415
Criminal Justice Services, Division of	65	1,553	1,553	1,553	1,550
Office of Victim Services	0	326	326	326	326
Statewide Financial System	0	0	0	0	0
Homeland Security and Emergency Services	1,353	1,532	1,672	1,587	1,433
Homeland Security	0	0	0	0	0
Office of Indigent Legal Services	0	0	0	0	0
Investigation, Temporary State Commission of	0	0	0	0	0
Judicial Commissions	0	0	0	0	0
Military and Naval Affairs, Division of	7,425	9,507	9,750	10,461	10,400
Parole, Division of	0	0	0	0	0
Probation and Correctional Alternatives, Division of	22	0	0	0	3
State Emergency Management Office	0	0	0	0	0
State Police, Division of	1,070	987	987	987	987
Wireless Network	0	0	0	0	0
Functional Total	10,804	14,925	15,703	16,329	16,114

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
HIGHER EDUCATION					
City University of New York	0	0	0	0	0
Higher Education Services Corporation	98	100	100	100	100
Higher Education Capital Grants	0	0	0	0	0
Higher Education Miscellaneous	0	0	0	0	0
State University Construction Fund	0	0	0	0	0
State University of New York	68	50	50	50	50
Functional Total	166	150	150	150	150
LOWER EDUCATION (Pre-K through 12)					
Arts, Council on the	0	0	0	0	0
Education, Department of	40,314	44,677	53,045	60,937	64,919
<i>School Aid</i>	0	0	0	0	0
<i>School Aid - Medicaid Assistance</i>	0	0	0	0	0
<i>STAR Property Tax Relief</i>	0	0	0	0	0
<i>Special Education Categorical Programs</i>	0	0	0	0	0
<i>All Other</i>	40,314	44,677	53,045	60,937	64,919
Functional Total	40,314	44,677	53,045	60,937	64,919
GENERAL GOVERNMENT					
Budget, Division of the	0	0	0	0	0
Civil Service, Department of	0	0	0	0	0
Deferred Compensation	0	0	0	0	0
Elections, State Board of	6	0	0	0	0
Employee Relations, Office of	0	0	0	0	0
Financial Plan Control Board	0	0	0	0	0
General Services, Office of	0	0	0	0	0
Inspector General, Office of	0	0	0	0	0
Labor Management Committee	0	0	0	0	0
Lottery, Division of	0	0	0	0	0
Public Employment Relations Board	0	0	0	0	0
Public Integrity, Commission on	0	0	0	0	0
Real Property Services, Office of	0	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	2,608	1,804	1,909	3,811	3,811
Tax Appeals, Division of	0	0	0	0	0
Taxation and Finance, Department of	0	27	30	34	37
Technology, Office for	0	0	0	0	0
Lobbying, Temporary State Commission on	0	0	0	0	0
Veterans' Affairs, Division of	307	410	470	542	576
Functional Total	2,921	2,241	2,409	4,387	4,424

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2009-2010 Year-End	2010-2011 Enacted	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected
ELECTED OFFICIALS					
Legislature	0	0	0	0	0
Judiciary	91	0	0	0	0
Audit and Control, Department of	0	0	0	0	0
Law, Department of	9,346	8,858	9,158	10,016	10,016
Executive Chamber	0	0	0	0	0
Lieutenant Governor, Office of the	0	0	0	0	0
Functional Total	9,437	8,858	9,158	10,016	10,016
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	0	0	0	0	0
Efficiency Incentive Grants Program	0	0	0	0	0
Miscellaneous Financial Assistance	0	0	0	0	0
Municipalities with VLT Facilities	0	0	0	0	0
Small Government Assistance	0	0	0	0	0
Functional Total	0	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	0	0	0	0	0
Capital Projects	0	0	0	0	0
General State Charges	0	0	0	0	0
Miscellaneous	0	0	0	0	0
Functional Total	0	0	0	0	0
TOTAL GENERAL STATE CHARGES SPENDING	1,099,409	1,132,331	1,105,479	1,200,169	1,282,518

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
RBTF - Dedicated PIT in excess of Debt Service			7,641,039	7,794,626	8,227,451	8,060,879	8,504,187
LGAC - Dedicated Sales Tax in excess of Debt Service			2,122,759	2,317,792	2,342,797	2,439,425	2,589,660
CWCA - Real Estate Transfer Tax in excess of Debt Service			181,502	285,502	353,915	464,337	539,009
Sending Agency	Fund	Account					
Total All Other Transfers			1,654,722	1,392,047	1,399,840	1,136,680	1,146,144
OMH	339.10	Mental Hygiene	260,120	7,158	19,425	16,425	16,425
DMH	339.10	Mental Hygiene	197,371	-	-	-	-
CFS	339.YF	Yth Fac PerDiem	169,715	221,082	147,668	157,084	165,751
DOT	313.02	Metro Mass Tran	120,000	-	-	-	-
WCB	339.B7	Workers Comp Bd	100,495	26,424	-	-	-
ENCON	078.00	Environ Protect	93,484	10,000	-	-	-
SWN	339.LZ	Pub Safe Commun	90,000	-	-	-	-
TSCR	339.TS	TSCR Account	82,246	96,102	92,581	103,801	104,511
SUNY	345.1	S U Genl IFR	76,716	22,000	22,000	22,000	22,000
INSUR	339.B6	Insurance Dept	69,940	6,000	-	-	-
ENCON	312	Hazardous Waste	48,345	26,700	26,700	26,700	26,700
TADA OTH	265	Federal HHS	48,000	41,000	41,000	41,000	41,000
STATE	339.AG	Business Licens	37,185	36,869	36,665	36,079	36,079
CUNY	377.A1	CUNY Stabilizn	29,000	-	-	-	-
HLTH OTH	339.J6	EPIC Premium Ac	25,301	9,656	9,656	9,656	9,656
DOB	339.CR	Reven Arrearage	15,930	22,482	22,482	22,482	22,482
STATE	339.07	Fire Prev/Code	15,152	14,810	14,810	14,810	14,810
DMV	339.H7	DMV-Compulsory	12,950	14,300	14,300	14,300	14,300
ILS	390.01	Indigent Legal	12,200	8,000	0	0	0
LABOR	482.01	UI Sp Int & Pen	11,000	5,000	5,000	5,000	5,000
LABOR	305.01	OSH Trng & Educ	10,617	5,700	5,700	5,700	5,700
BANKING	339.A5	Banking Deptmnt	10,400	5,013	2,413	2,413	2,413
TADA OTH	339.GA	Adult Shelter	10,000	-	-	-	-
TADA OTH	339.L7	Fedl Admin Reim	10,000	-	-	-	-
OVS	339.62	Crim Jus Improv	9,946	12,861	10,951	10,951	10,951
LABOR	339.3	DOL Fee Penalty	8,450	7,950	7,950	7,950	7,950
DOT	225.01	Mobility Tax Tr	7,236	-	-	-	-
ENCON	301.48	Wst Tire Mgt/Re	6,919	5,946	5,946	5,946	5,946
CFS	265	Federal HHS	6,000	2,500	2,500	2,500	2,500
OMRDD	332.09	ICF/HCBS Loan	3,600	-	-	-	-
HLTH OTH	339.26	Cert of Need	3,176	279	279	279	279
OGS	339.YN	OGS Std & Purch	3,000	5,000	3,000	3,000	3,000
HLTH OTH	339.JA	Vital Rec Mgmt	2,997	2,252	2,252	2,252	2,252
PUB SVC	339.C3	Public Service	2,775	-	-	-	-
DOCS	339.CU	Spec Conserv Ac	2,722	-	-	-	-
STATE	339.LW	Local Wireless	2,500	-	-	-	-
DOB	339.ST	Systems & Tech	2,301	301	301	301	301
ENCON	301.XB	Mined Land Recl	2,200	1,700	1,700	1,700	1,700
TADA OTH	339.AX	Child Supp Rev	2,200	-	-	-	-
DOT	339.G7	DOT-Accident Da	2,000	-	-	-	-
OASAS	346	Subst Abuse Srv	2,000	-	-	-	-
SED OTH	339.05	Ofc of Professi	1,836	2,527	2,557	2,629	2,667
HLTH OTH	339.J1	Loc Pub Hlth	1,504	5	5	5	5
DOT	339.42	Tr Surplus Prop	1,500	803	803	803	803
DHCR	339.NG	Low Inc Housing	1,500	-	-	-	-
OGS	339.YL	OGS Bldg Admin	1,500	1,000	1,000	1,000	1,000
CIV SVC	339.ER	Exam & Misc Rev	1,485	1,503	1,506	1,506	1,506
HLTH OTH	339.H9	Prof Medic Cond	1,122	1,718	1,718	1,718	1,718
LABOR	305.02	OSHA Inspection	1,000	1,500	1,500	1,500	1,500
DMV	339.AE	Motorcycle Sfty	1,000	-	-	-	-
LABOR	339.BA	Public Work Enf	1,000	1,000	1,000	1,000	1,000
HLTH OTH	339.QC	Quality of Care	1,000	22	22	22	22
HLTH OTH	339.W4	Occ Hlth Clinic	928	1,325	1,325	1,325	1,325

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
HLTH OTH	339.Q6	Montrose S V H	814	1,288	1,288	1,288	1,288
ENCON	301.ZZ	Monitors-Aggre	785	-	-	-	-
HLTH OTH	339.FP	Funeral	753	3	3	3	3
HLTH OTH	339.21	Nurses Aide Reg	739	-	-	-	-
RACING	339.BJ	Bell Jar Collec	619	202	202	202	202
SED OTH	052.01	Loc Govt Record	549	897	918	929	937
DCJS	354.01	MVTIFA	536	-	-	-	-
HLTH OTH	339.28	Retir Community	502	2	2	2	2
STATE	339.CM	Reg Manu Hsg	500	-	-	-	-
HESC	339.VR	Volunteer Recru Serv	437	-	-	-	-
DHCR	360	Housing Develop	423	123	123	123	123
HLTH OTH	339.81	Envir.Lab.Fee A	410	181	181	181	181
DM & NA	290	Fed Oper Grant	389	-	-	-	-
AG&MKTS	290	Fed Oper Grant	360	-	-	-	-
DM & NA	339.61	Radiology	350	1,350	1,350	1,350	1,350
HLTH OTH	339.ES	Eating Disorder	350	-	-	-	-
ENCON	301.G8	S-Area Landfill	300	2,313	2,313	2,313	2,313
AG&MKTS	339.XE	Wine Industry	300	11	11	11	11
AG&MKTS	339.XX	A&M-Aggregated	300	253	253	253	253
RACING	339.TW	Statewide Gamin	282	282	-	-	-
ENCON	355.01	Great Lakes Pro	257	60	60	60	60
TADA OTH	339.GD	EBT/CBIC	250	-	-	-	-
ENCON	301.S4	Encon Magazine	244	131	131	131	131
OVS	290	Fed Oper Grant	234	-	-	-	-
HLTH OTH	339.AP	Administration	219	323	323	323	323
SED OTH	339.A4	Teacher Certif	204	727	852	821	831
AG&MKTS	339.99	Cons Food Indus	200	-	-	-	-
LABOR	339.DZ	Interest Assess	200	-	-	-	-
PUB SVC	339.US	Undrgrnd Sfty T	200	100	100	100	100
SED OTH	050.02	Prop Voc Sch Su	147	481	492	497	501
CFS	341.04	DFY-NYC Summer	143	-	-	-	-
OMRDD	290	Fed Oper Grant	112	-	-	-	-
TADA OTH	339.5	ODTA Trng Mgmt	100	-	-	-	-
DOT	313.06	Add Mass Trans	76	-	-	-	-
CFS	339.CY	Central Registry	75	-	-	-	-
STATE	339.72	NY Fire Academy	68	247	247	247	247
CQCAPD	339.EC	OASAS Fedl Sal	62	62	62	62	62
HLTH OTH	339.44	Hosp & Nurs Mgt	52	64	64	64	64
HLTH OTH	339.PS	Patient Safety	51	73	73	73	73
AG&MKTS	339.65	Farm Prod Insp-	50	285	285	285	285
AG&MKTS	339.CZ	Plant Industry	50	35	35	35	35
CFS	339.K1	Hwy Rev/Soc Sec	50	50	50	50	50
AG&MKTS	339.R4	Motor Fuel Qual	50	348	348	348	348
ABO	339.PO	Auth Bdgt Office	39	39	39	39	39
TADA OTH	359.02	Local Maximizat	38	-	-	-	-
PUB SVC	339.A6	Cable TV Acct	36	-	-	-	-
STATE	349.01	Lk George Park	36	197	197	197	197
LEGIS	339.F6	Lc On Solid Was	33	-	-	-	-
CFS	339.24	Child Care & Pr	30	-	-	-	-
CFS	339.88	Train Mgmt Eval	26	26	26	26	26
SED OTH	050.01	Tuition Reimb	23	48	48	48	48
ENCON	301.49	Oil & Gas Accou	17	48	48	48	48
HLTH OTH	339.95	Radio Hlth Prot	17	27	27	27	27
HLTH OTH	169.33	Medicaid Recov-	16	-	-	-	-
OFF TECH	334.21	Entrepreneurial	12	-	-	-	-
STATE	339.B8	Fire Protection	10	13	13	13	13
HLTH OTH	339.L2	Asst Living Res	9	9	9	9	9
CFS	307.01	Equip Loan Fund	7	7	7	7	7
SED OTH	339.TM	Teacher Ed Accr	7	14	14	14	14
ENCON	301.F7	Hazardous Sub B	6	17	17	17	17

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
HLTH OTH	339.B4	Radon Detct Dev	2	2	2	2	2
DOCS	290	Fed Oper Grant	1	-	-	-	-
STATE	339.DQ	Tug Hill Admin	1	10	10	10	10
SPEC REV	SRO.00	SRO Account	-	231,448	279,842	-	-
OMRDDM	339.1	Mental Hygiene	-	218,057	266,279	266,342	266,369
OMRDDM	339.13	M H Patient Inc	-	139,119	254,202	254,202	254,202
OMHM	339.1	Mental Hygiene	-	95,182	9,146	9,146	9,146
HSES	339.LZ	Pub Safe Commun	-	45,000	20,000	20,000	20,000
OGS	323.ZY	OGS Bldg Admin	-	10,000	-	-	-
TADA OTH	265.FS	Federal Stimulu	-	7,000	-	-	-
OMRDD	339.1	Mental Hygiene	-	2,500	47,736	47,248	47,248
OASAS	339.1	Mental Hygiene	-	1,258	3,607	3,607	3,607
DOCS	331.FM	Farm Program	-	1,000	-	-	-
OASASM	339.1	Mental Hygiene	-	306	604	604	604
OMH	290	Fed Oper Grant	-	750	-	-	-
DMV	339.IC	Accid Prevent C	-	600	600	600	600
OASASM	339.13	M H Patient Inc	-	135	135	135	135
ECON DEV	339.DO	DED Marketing A	-	131	131	131	131
SED OTH	339.38	Summer Sch Arts	-	109	109	109	109
AG&MKTS	325	State Fair Rece	-	105	-	-	-
ECON DEV	339.P4	Procure Op News	-	100	100	100	100
ECON DEV	339.A7	Econ Devel Asst	-	92	92	92	92
ORPS	339.BK	Ind & Util Serv	-	80	80	80	80
CQCAPD	020.D1	Disab Tech Asst	-	51	51	51	51
APT	024.00	NYS Archvs Ptne	-	51	51	51	51
AG&MKTS	339.R5	Weights Measure	-	37	37	37	37
TAX	339.G3	Local Services	-	26	26	26	26
ORDA	333	Wintr Sports Ed	-	23	23	23	23
ORDA	385.01	Lk Placid Train	-	23	23	23	23
HLTH OTH	339.HQ	Adlt Hme Qlty E	-	21	21	21	21
AG&MKTS	339.PD	Pet Dealer	-	7	7	7	7
			<u>11,600,022</u>	<u>11,789,967</u>	<u>12,324,003</u>	<u>12,101,321</u>	<u>12,779,000</u>

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>2009-2010</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>
Total Transfers to Debt Service Funds			1,844,153	1,641,642	1,765,615	1,755,444	1,686,253
DEBT SVC	311	Genl Debt Servc	1,844,153	1,641,642	1,765,615	1,755,444	1,686,253
Total Transfers to Capital Projects Funds			205,620	396,551	564,176	614,699	686,216
CAP PROJ	002.00	CPF	205,620	335,518	549,785	585,199	655,426
CAP PROJ	002.CC	CPF - Auth Bond	-	60,033	16,391	14,500	15,790
ENCON	312	Hazardous Waste	-	5,000	5,000	15,000	15,000
OGS	002.00	CPF	-	(4,000)	(10,000)	-	-
DOT	002.00	CPF	-	-	3,000	-	-
Total All Other Transfers			3,737,263	3,893,843	5,062,291	5,635,565	6,107,337
DMH	339.1	Mental Hygiene	2,400,459	2,449,877	3,022,369	3,119,869	3,082,924
DOT	072.00	DHBTf	359,808	699,234	803,618	909,764	1,001,225
SUNY	345.22	SUNY Hosp Operations	197,269	200,000	200,000	200,000	200,000
SUNY	345.22	SUNY Hosp Medicaid	135,025	32,650	-	-	-
JUDICIAR	340.AA	CFIA Undistrib	118,387	123,000	125,000	126,000	130,000
TAX	334.12	Banking Service	68,523	66,045	66,045	66,045	66,045
SED GSPS	160.03	Education - New	63,745	-	-	-	-
SED GSPS	160.06	VLT - Education	59,540	-	-	-	-
ILS	390.01	Indigent Legal	51,072	40,000	40,000	40,000	40,000
DMH	304	M. Health Servi	38,985	-	-	-	-
DOT	225.01	Mobility Tax Tr	30,908	24,000	24,500	24,500	24,500
JUDICIAR	368.01	NYCCC Operat Of	30,278	30,000	30,200	30,700	32,700
OGS	323.15	Design & Constr	23,011	-	-	-	-
ORPS	339.BZ	IMP R P Tax Adm	18,396	-	-	-	-
ABC	339.DB	Alcohol Beverag	17,970	19,296	19,696	18,296	18,296
HLTH OTH	319	DOH Income Fund	17,586	16,079	16,079	16,079	16,079
DOT	313.02	Metro Mass Tran	15,757	19,100	19,100	19,100	19,100
DOT	313.01	Pub Tran Systms	14,183	19,000	19,000	19,000	19,000
DOCS	397	Corr Industries	14,000	14,000	14,000	14,000	14,000
SCI	339.SR	ES Stem Cell Tr	10,439	2,616	-	11,373	13,673
HLTH OTH	339.AW	Spinal Injury	8,500	1,770	1,575	-	-
SUNY	345.11	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.31	SUNY Stabilizat	8,107	-	-	-	-
CIV SVC	396	Health Ins Intr	7,852	7,843	7,843	7,843	7,843
DCJS	339.CA	Crimes Against	5,250	10,000	16,000	16,000	16,000
SED OTH	054.01	Chtr Sch Sti Ac	4,837	-	-	-	-
DM & NA	339.U2	Recruitment Inc	2,087	2,087	2,087	2,087	2,087
PAROLE	334.17	Neighbor Work P	1,515	-	-	-	-
CFS	020.78	WB Hoyt Memoria	1,339	1,244	1,244	1,244	1,244
DEBT SVC	316	Housing Debt	930	-	-	-	-
SED OTH	339.S1	Medicaid Income	733	-	-	-	-
SED OTH	339.D9	Batavia School	700	700	700	700	700
HLTH OTH	020.BD	Br Can Res & Ed	650	650	650	650	650
SED OTH	339.08	Rome School	512	400	400	400	400
HLTH OTH	020.AA	Alzheimers Dis	342	250	250	250	250
HLTH OTH	020.PR	Prostate Cancer	250	150	150	150	150
DHCR	316	Housing Debt	-	1,000	1,000	1,000	1,000
DCJS	339.IM	Leg Svcs Assist	-	2,000	-	-	-
FMS	339.FM	FMS Account	-	9,000	45,000	55,100	60,000
FPADJ	020.00	Combined Exp Tr	-	44,350	42,600	42,500	42,500
CIV SVC	396.01	CS EBD Adm Reim	-	240	240	240	240
DMH	339.1	Mental Hygiene	-	-	24,077	177,305	201,398
OASAS	339.1	Mental Hygiene	-	-	16,140	16,404	30,434
OASASM	339.1	Mental Hygiene	-	-	2,108	3,906	5,713
OMH	339.1	Mental Hygiene	-	8,832	79,570	115,157	189,488
OMHM	339.1	Mental Hygiene	-	1,253	9,999	27,710	49,752
OMRDD	339.1	Mental Hygiene	-	33,070	41,480	49,967	49,967
OMRDDM	339.1	Mental Hygiene	-	(19,316)	2,277	30,302	102,417
DMH	339.13	M H Patient Inc	-	-	241,571	341,176	337,974
OASASM	339.13	M H Patient Inc	-	-	354	836	1,786
OMHM	339.13	M H Patient Inc	-	15,866	55,659	57,015	94,419
OMRDDM	339.13	M H Patient Inc	-	9,239	61,392	64,579	225,065
			5,787,036	5,932,036	7,392,082	8,005,708	8,479,806

CASH COMBINING STATEMENT
GENERAL FUND
 2010-2011
 (millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	State Employees Fund	Refund Reserve	Personal Income Tax Reserve Fund	Debt Reduction Reserve Fund	Eliminations	Total
Opening fund balance	0	1,031	21	96	175	0	906	0	73	0	2,302	
Receipts:												
Taxes	39,931	0	0	0	0	0	0	0	0	0	0	39,931
Miscellaneous receipts	2,897	0	0	0	0	0	0	0	0	0	0	2,897
Federal grants	60	0	0	0	0	0	0	0	0	0	0	60
Total receipts	42,888	0	0	0	0	0	0	0	0	0	0	42,888
Disbursements:												
Grants to local governments	37,342	0	0	166	0	0	0	0	0	0	0	37,508
State operations	8,025	0	0	0	0	0	0	0	0	0	0	8,025
General State charges	4,126	0	0	0	0	2	0	0	0	0	0	4,128
Debt service	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	49,493	0	0	166	0	2	0	0	0	0	0	49,661
Other financing sources (uses):												
Transfers from other funds	42,934	0	0	154	0	2	0	0	0	0	(31,302)	11,788
Transfers to other funds	(36,329)	0	0	0	0	0	(905)	0	0	0	31,302	(5,932)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	6,605	0	0	154	0	2	(905)	0	0	0	0	5,856
Change in fund balance	0	0	0	(12)	0	0	(905)	0	0	0	0	(917)
Closing fund balance	0	1,031	21	84	175	0	1	0	73	0	1,385	

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>059</u>	<u>061</u>
Opening Fund Balance	2,289	69,282	9,390	144	49	4,217	3,397	0	4,629	19	26,184
Receipts:											
Taxes	0	0	0	0	0	0	0	3,299,570	0	0	1,266,000
Miscellaneous Receipts	140	20,372	10,000	245	265	2,833	9,827	0	50	0	3,859,000
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	140	20,372	10,000	245	265	2,833	9,827	3,299,570	50	0	5,125,000
Disbursements:											
Grants to Local Governments	0	7,008	12,000	0	0	0	5,099	3,299,570	3,750	0	4,818,348
State Operations	140	49,674	1,521	328	183	2,808	2,472	0	1,733	0	58,398
General State Charges	0	715	400	138	60	572	1,002	0	0	0	6,058
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,000	0	0	0	0	0	0	0	0	0
Total Disbursements	140	59,397	13,921	466	243	3,380	8,573	3,299,570	5,483	0	4,882,804
Other Financing Sources (Uses):											
Transfers from Other Funds	0	46,294	0	300	0	0	0	0	0	0	0
Transfers to Other Funds	0	(51)	0	(105)	0	(529)	(1,632)	0	0	0	(268,378)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	46,243	0	195	0	(529)	(1,632)	0	0	0	(268,378)
Change in Fund Balance	0	7,218	(3,921)	(26)	22	(1,076)	(378)	0	(5,433)	0	(26,182)
Closing Fund Balance	2,289	76,500	5,469	118	71	3,141	3,019	0	(804)	19	2

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>073</u>	<u>160</u>	<u>221</u>	<u>225</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>	<u>290</u>	<u>300</u>	<u>301</u>
Opening Fund Balance	80,730	12,021	17,225	53,958	(2,489)	25,995	(22,219)	0	222,804	924	(9,075)
Receipts:											
Taxes	448,000	0	0	1,485,000	0	0	0	0	0	0	0
Miscellaneous Receipts	202,246	3,275,571	22,000	208,300	100,450	53,763	2,779	2,704	(28,031)	9,768	86,304
Federal Grants	0	0	650	0	1,535,599	37,702,110	5,837,904	82,199	1,122,013	0	0
Total Receipts	650,246	3,275,571	22,650	1,693,300	1,636,049	37,755,873	5,840,683	84,903	1,093,982	9,768	86,304
Disbursements:											
Grants to Local Governments	660,106	3,093,000	0	1,717,300	1,632,550	32,255,205	5,224,021	74,834	674,732	0	0
State Operations	0	166,311	21,036	0	61,853	466,790	553,381	5,971	357,029	8,111	79,246
General State Charges	0	10,581	0	0	10,036	81,720	41,444	1,098	43,041	2,016	20,421
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	660,106	3,269,892	21,036	1,717,300	1,704,439	32,803,715	5,818,846	81,903	1,074,802	10,127	99,667
Other Financing Sources (Uses):											
Transfers from Other Funds	0	85,000	0	24,000	0	0	0	0	500	0	23,412
Transfers to Other Funds	0	(85,000)	0	0	(28,610)	(4,753,158)	(14,855)	(3,000)	(19,680)	(71)	(15,321)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	24,000	(28,610)	(4,753,158)	(14,855)	(3,000)	(19,180)	(71)	8,091
Change in Fund Balance	(9,860)	5,679	1,614	0	(97,000)	199,000	6,982	0	0	(430)	(5,272)
Closing Fund Balance	70,870	17,700	18,839	53,958	(99,489)	224,995	(15,237)	0	222,804	494	(14,347)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>302</u>	<u>303</u>	<u>305</u>	<u>306</u>	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>
Opening Fund Balance	56,065	4,839	11,057	4,812	515	48,667	(5,411)	66	9,176	3,503	1,183
Receipts:											
Taxes	0	0	0	0	0	1,734,000	0	0	0	0	0
Miscellaneous Receipts	58,931	55,839	45,278	7,700	80	21,810	49,400	0	1,719	115	200
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	58,931	55,839	45,278	7,700	80	1,755,810	49,400	0	1,719	115	200
Disbursements:											
Grants to Local Governments	0	0	59	0	0	1,826,404	0	0	0	0	0
State Operations	34,635	25,127	23,428	10,500	73	4,142	36,699	0	950	58	163
General State Charges	9,976	4,689	10,796	98	0	1,696	14,563	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	44,611	29,816	34,283	10,598	73	1,832,242	51,262	0	950	58	163
Other Financing Sources (Uses):											
Transfers from Other Funds	1,300	20,306	0	0	0	54,821	0	0	0	0	0
Transfers to Other Funds	(3,023)	(36,958)	(7,200)	0	(7)	(16,721)	(70)	0	0	0	(23)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,723)	(16,652)	(7,200)	0	(7)	38,100	(70)	0	0	0	(23)
Change in Fund Balance	12,597	9,371	3,795	(2,898)	0	(38,332)	(1,932)	0	769	57	14
Closing Fund Balance	68,662	14,210	14,852	1,914	515	10,335	(7,343)	66	9,945	3,560	1,197

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	338	339	340	341	345	346	349	354	355	359	360
Opening Fund Balance	721	802,198	1,457	0	773,992	2,114	1,248	(22,853)	1,188	23	10,423
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	50	3,199,117	750	50	3,508,123	6,452	1,208	127,500	380	3,709	1,000
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	50	3,199,206	750	50	3,508,123	6,452	1,208	127,500	380	3,709	1,000
Disbursements:											
Grants to Local Governments	0	3,126,009	120,000	0	0	6,970	0	5,006	0	0	852
State Operations	0	4,972,381	2,500	15	3,465,391	546	655	125,163	80	0	1,117
General State Charges	0	1,520,687	400	8	319,601	29	246	52	44	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	9,619,077	122,900	23	3,784,992	7,545	901	130,221	124	0	1,969
Other Financing Sources (Uses):											
Transfers from Other Funds	0	10,317,840	123,000	0	525,184	0	0	0	0	0	0
Transfers to Other Funds	0	(3,951,372)	(1,251)	0	(73,166)	0	(197)	0	(60)	0	(123)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	6,366,468	121,749	0	452,018	0	(197)	0	(60)	0	(123)
Change in Fund Balance	50	(53,403)	(401)	27	175,149	(1,093)	110	(2,721)	196	3,709	(1,092)
Closing Fund Balance	771	748,795	1,056	27	949,141	1,021	1,358	(25,574)	1,384	3,732	9,331

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>362</u>	<u>365</u>	<u>366</u>	<u>368</u>	<u>369</u>	<u>377</u>	<u>385</u>	<u>390</u>	<u>480</u>	<u>482</u>
Opening Fund Balance	(1,620)	144	(2,712)	(13,370)	2,694	107,301	29	14,135	79,060	8,630
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	95	7,572	0	22,000	132,200	200	71,000	3,000	9,400
Federal Grants	0	0	0	0	0	0	0	0	349,498	0
Total Receipts	<u>3,068</u>	<u>95</u>	<u>7,572</u>	<u>0</u>	<u>22,000</u>	<u>132,200</u>	<u>200</u>	<u>71,000</u>	<u>352,498</u>	<u>9,400</u>
Disbursements:										
Grants to Local Governments	0	40	0	0	0	0	0	73,500	2,546	0
State Operations	3,219	37	12,316	25,700	19,200	126,066	163	26,500	270,375	2,058
General State Charges	0	0	2,544	4,500	7,200	6,134	0	0	79,577	927
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	<u>3,219</u>	<u>77</u>	<u>14,860</u>	<u>30,200</u>	<u>26,400</u>	<u>132,200</u>	<u>163</u>	<u>100,000</u>	<u>352,498</u>	<u>2,985</u>
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	30,000	0	0	0	40,000	0	0
Transfers to Other Funds	0	0	0	0	0	0	(23)	(8,000)	0	(5,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>0</u>	<u>0</u>	<u>0</u>	<u>30,000</u>	<u>0</u>	<u>0</u>	<u>(23)</u>	<u>32,000</u>	<u>0</u>	<u>(5,000)</u>
Change in Fund Balance	<u>(151)</u>	<u>18</u>	<u>(7,288)</u>	<u>(200)</u>	<u>(4,400)</u>	<u>0</u>	<u>14</u>	<u>3,000</u>	<u>0</u>	<u>1,415</u>
Closing Fund Balance	<u>(1,771)</u>	<u>162</u>	<u>(10,000)</u>	<u>(13,570)</u>	<u>(1,706)</u>	<u>107,301</u>	<u>43</u>	<u>17,135</u>	<u>79,060</u>	<u>10,045</u>

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2010-2011
(thousands of dollars)**

	<u>484</u>	<u>486</u>	<u>SRO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	91	(31)	817	2,399,625	0	2,399,625
Receipts:						
Taxes	0	0	0	8,232,570	0	8,232,570
Miscellaneous Receipts	0	0	0	15,176,532	0	15,176,532
Federal Grants	11,284	284,120	0	46,925,466	0	46,925,466
Total Receipts	11,284	284,120	0	70,334,568	0	70,334,568
Disbursements:						
Grants to Local Governments	0	245,519	0	58,884,428	0	58,884,428
State Operations	11,284	32,529	(1,499)	11,068,556	0	11,068,556
General State Charges	0	6,408	(61)	2,209,416	0	2,209,416
Debt Service	0	0	0	0	0	0
Capital Projects	0	0	0	2,000	0	2,000
Total Disbursements	11,284	284,456	(1,560)	72,164,400	0	72,164,400
Other Financing Sources (Uses):						
Transfers from Other Funds	0	0	0	11,291,957	(4,018,922)	7,273,035
Transfers to Other Funds	0	0	(231,448)	(9,525,032)	4,018,922	(5,506,110)
Bond & Note Proceeds	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(231,448)	1,766,925	0	1,766,925
Change in Fund Balance	0	(336)	(229,888)	(61,907)	0	(61,907)
Closing Fund Balance	91	(367)	(229,071)	2,337,718	0	2,337,718

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.00-Ment Hvg Gifts	2,288	0	140	0	0	0	140	0	0	0	140	0	0	0	0	0	140	2,288
020.00-Combined Exp Tr	(35)	0	0	0	0	42,500	42,500	0	0	42,500	0	0	0	0	0	0	42,500	(35)
020.01-Planting Fields	1,203	0	350	0	0	0	350	0	209	0	7	0	94	0	0	0	357	1,196
020.03-Chambers Restor	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.06-Animal Disease	77	0	51	0	0	0	51	0	0	0	0	0	0	0	0	0	46	56
020.20-DOCS Gift & Don	68	0	5	0	0	0	5	0	0	0	0	0	0	0	0	0	5	77
020.22-Helen Hayes Hsp	133	0	3	0	0	0	3	0	0	0	0	0	0	0	0	0	3	68
020.23-Oxford Donation	5	0	22	0	0	0	22	0	(1)	23	0	0	0	0	0	0	22	133
020.25-Donat-St-Albans	46	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	2	5
020.26-CVB Gifts & Beq	1	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	43
020.29-DCJS - MUNY Pol	35	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	37
020.30-Donations-Batav	99	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	99
020.33-Montrose Donat	224	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	105	224
020.36-IBR Genetic Cou	21	0	20	0	0	0	20	0	0	29	0	0	0	0	0	0	29	12
020.3A-Tech Transfer	315	0	1,246	0	0	0	1,246	0	77	803	2	0	47	0	0	0	929	632
020.49-Spec Events	52	0	3	0	0	0	3	0	0	2	0	0	0	0	0	0	2	53
020.62-L.M. Josephthal	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.63-RPMI Grrt & Beq	18	0	1,100	0	0	0	1,100	0	200	800	12	0	144	0	0	0	1,156	(38)
020.64-S U Restrict Cur	1,148	0	1,109	0	0	0	1,109	0	51	879	0	0	215	0	0	0	1,145	1,112
020.69-CBVH Vend Stand	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.76-RPMI Schoelkgf	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.77-DMNA Military	3,909	0	5	0	0	1,244	1,354	1,500	0	0	0	0	0	0	0	0	1,500	3,763
020.78-WB Hoyt Memoria	178	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	21,441
020.82-St Trism Money	(3)	0	150	0	0	0	150	0	60	0	0	0	18	0	0	0	355	190
020.83-Human Rights Dis	395	0	12	0	0	250	250	0	0	375	0	0	0	0	0	0	355	1,046
020.A7-Gifts, Grants & Dis	1,151	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,151
020.AB-Local Gov Comm	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
020.AH-Prostate/Tesic	293	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	293
020.AR-Autism Aware &	46	0	2,688	0	0	0	2,688	0	0	0	0	0	0	0	0	0	0	46
020.AU-Emergency Serv	4,805	0	20	0	0	1,500	4,188	3,998	126	3	5	0	59	0	0	0	4,191	4,802
020.B1-Balaw-Chariti	359	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	356
020.B3-Rome-Gifts And	21	0	0	0	0	0	0	0	0	19	0	0	0	0	0	0	19	2
020.B4-DY Rec & Wellfr	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21
020.B8-DAAA Grrts And	7,186	0	0	0	0	650	650	0	187	463	0	0	0	0	0	0	650	7,186
020.BD-Br Cn Res & Ed	139	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.CE-Community Relat	768	0	155	0	0	0	155	0	58	22	2	0	27	0	0	51	160	134
020.D1-Disab Tech Asst	1	0	277	0	0	0	277	0	237	246	0	0	14	0	0	0	497	548
020.E1-Missing Children	25	0	350	0	0	0	350	0	0	279	0	0	0	0	0	0	279	96
020.E5-DMNA Youth Prog	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
020.EC-Erie Canal Muse	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.F1-Women Vet Monum	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.FF-Ford Foundation	20	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	21
020.GB-Grants and Bequ	159	0	87	0	0	0	87	0	25	34	1	0	9	0	0	69	177	177
020.GW-CCF Grrt & Beqs	473	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	473
020.HH-OMH Grant & Beq	143	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	143
020.LP-Life Pass It on	27,081	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	2,000	0	2,000	27,081
020.MG-Misc. Gifts Acc	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
020.MS-Multiple Sclero	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.PM-Parole Ofr Mem	1,904	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	2,054
020.PR-Prostate Cancer	40	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	40
020.PT-Percy T Phillo	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.RP-Agriog Grants An	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.RW-RWV Johnson Foun	1,852	0	2,000	0	0	0	2,000	1,500	180	250	6	0	88	0	0	0	2,024	1,828
020.XK-Grants Account	123	0	300	0	0	0	300	10	0	0	0	0	0	0	0	0	0	425
020.ZS-Grants	0	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0
020.ZY-Misc. Gifts Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.ZZ-Nutriton Outre	9,390	0	10,000	0	0	0	10,000	12,000	742	729	50	0	400	0	0	0	13,921	5,469
023.00-N Y Trt Lawyers	145	0	245	0	0	300	545	0	283	36	9	0	138	0	0	105	571	119
024.00-NYS Archive Phse	51	0	265	0	0	0	265	0	123	56	4	0	60	0	0	0	243	73
025.0P-Child Performer	2,009	0	250	0	0	0	250	0	0	231	0	0	0	0	0	48	279	1,980
050.01-Tuition Reimb	2,208	0	2,583	0	0	0	2,583	0	1,176	1,369	33	0	572	0	0	481	3,630	1,161
050.02-Prop Voc Sch Su	3,396	0	9,827	0	0	0	9,827	5,099	2,057	357	58	0	1,002	0	0	1,632	10,205	3,018
052.01-Loc Govt Record	0	3,299,570	0	0	0	0	3,299,570	3,299,570	0	0	0	0	0	0	0	0	0	0
053.00-Sch Tax Relief	4,627	0	50	0	0	0	50	3,750	0	1,733	0	0	0	0	0	0	5,483	(806)
054.01-Chtr Sch Sti Ac	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.01-Greenway Commu	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
056.02-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

**CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
2010-2011
(Thousands of Dollars)**

Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
059.01-Alcohol&Subst A	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
061.01-Tobacco Cnt &	127	0	0	0	0	0	0	0	2,095	81	65	0	1,020	0	0	503	3,764	(3,637)
061.02-Health Care Srv	11,184	0	0	0	0	0	0	122,122	0	0	0	0	64	0	0	0	122,186	(111,002)
061.03-Medicaid Fraud	152	0	0	0	0	0	0	0	55	237	3	0	30	0	0	0	325	(173)
061.04-Medical Assit.	1,174	0	0	0	0	0	0	2,939,052	1,510	3,592	47	0	735	0	0	0	2,944,936	(2,943,762)
061.05-Enhanced Com	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4
061.06-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.07-HCRA Program	17,643	0	0	0	0	0	0	333,738	0	23,334	0	0	0	0	0	0	357,072	(339,429)
061.09-HCRA Translon	49	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	49	49
061.22-EMS Training	1,518	0	0	0	0	0	0	0	2,794	12,878	92	0	1,357	0	0	667	17,888	(16,370)
061.29-Child Health In	20,847	0	0	0	0	0	0	336,644	1,273	5,028	29	0	637	0	0	394	344,005	(323,158)
061.99-HCRA Undistrib	(5,616)	1,266,000	3,859,000	0	0	0	5,125,000	0	0	0	0	0	0	0	0	265,743	265,743	4,853,641
061.AF-Hospital Based	8,447	0	0	0	0	0	0	13,682	0	0	0	0	0	0	0	0	13,682	(5,235)
061.AH-Adult Home Res	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.BO-Primary Care In	38	0	0	0	0	0	0	487	0	15	0	0	232	0	0	125	859	(821)
061.DN-Priv Coll Maint	51	0	0	0	0	0	0	0	2,085	199	64	0	991	0	0	492	3,831	(3,780)
061.H3-Pilot Health h	(11)	0	0	0	0	0	0	1,051	0	92	32	0	494	0	0	286	1,955	(1,966)
061.IN-Indigent Care	18,366	0	0	0	0	0	0	870,500	0	0	0	0	0	0	0	0	870,500	(852,134)
061.J6-EPC Premium	(48,492)	0	0	0	0	0	0	202,550	0	0	0	0	0	0	0	0	202,550	(251,042)
061.LB-Health Occup De	156	0	0	0	0	0	0	766	0	78	26	0	374	0	0	129	1,373	(1,217)
061.LC-Matern & Ch HIV	340	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	340	0
061.LE-Health Care Del	182	0	0	0	0	0	0	0	265	17	8	0	124	0	0	39	453	(271)
068.01-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.01-Transit Authori	46,190	349,400	157,878	0	0	0	507,278	506,300	0	0	0	0	0	0	0	0	506,300	47,168
073.02-Railroad Accoun	8,154	62,700	27,846	0	0	0	90,546	89,400	0	0	0	0	0	0	0	0	89,400	9,300
073.03-DMTF	26,363	35,500	16,522	0	0	0	52,422	64,406	0	0	0	0	0	0	0	0	64,406	14,399
160.05-Education - New	0	0	2,146,000	0	0	85,000	2,231,000	2,231,000	0	18,286	569	0	8,909	0	0	0	2,231,000	0
160.06-State Lottery	6,423	0	170,371	0	0	0	170,371	0	0	137,075	0	0	1,672	0	0	0	169,852	11,942
160.06-VLT - Admin	597	0	17,200	0	0	0	17,200	0	3,434	6,628	106	0	0	0	0	85,000	947,000	4
160.06-VLT - Education	5,004	0	942,000	0	0	0	942,000	862,000	0	0	0	0	0	0	0	0	21,036	18,840
221.00-Comb Student Ln	17,226	0	22,000	650	0	24,000	22,650	0	0	21,036	0	0	0	0	0	0	1,389,000	53,935
225.01-Mobility Tax Tr	53,935	1,365,000	0	0	0	0	1,389,000	1,389,000	0	0	0	0	0	0	0	0	328,300	7,401
225.02-MTA Aid Trust	7,401	120,000	208,300	0	0	0	328,300	328,300	0	744	0	0	1,052	0	0	71	5,230	729
300.01-E F C Admin Acc	591	0	5,368	0	0	0	4,400	0	3,434	0	0	0	964	0	0	0	4,968	(235)
300.02-Ercon Admin Acc	333	0	4,400	0	0	0	0	0	3,924	0	0	0	0	0	0	0	0	57
301.01-EnCon-Energy Ef	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.12-EnCon-Seized As	210	0	20	0	0	20	20	0	7,500	8,554	0	0	2,700	0	0	5,946	24,700	5,399
301.48-Wst Tire MgrRe	10,099	0	20,000	0	0	0	20,000	0	0	0	0	0	0	0	0	0	0	0
301.49-Oil & Gas Accou	207	0	108	0	0	0	108	0	0	98	0	0	0	0	0	48	146	169
301.52-MarineCoastal	72	0	1	0	0	1	1	0	0	0	0	0	0	0	0	0	0	73
301.BJ-Indirect Charge	4,491	0	234	0	0	9,912	10,146	0	2,118	4,669	67	0	604	0	0	0	7,458	7,179
301.F7-Hazardous Sub B	43	0	350	0	0	0	350	0	179	0	8	0	111	0	0	17	348	45
301.G8-S-Area Landfill	20	0	22	0	0	0	22	0	0	0	0	0	0	0	0	2,313	2,313	(2,271)
301.H4-Utility Envr R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.IC-Fed Indirect R	2,197	0	30	0	0	13,500	13,530	0	8,091	622	0	0	4,300	0	0	0	13,013	2,714
301.K5-Low Level Radio	(4,502)	0	2,695	0	0	0	2,695	0	1,906	102	48	0	890	0	0	330	3,276	(5,083)
301.K6-Recreation Acco	(6,477)	0	14,815	0	0	0	14,815	0	10,240	3,016	246	0	1,195	0	0	255	14,962	(6,614)
301.P9-SEQR Review	6	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	6
301.S4-Ercon Magazine	(43)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(43)
301.S4-Ercon Magazine	857	0	765	0	0	0	765	0	0	514	0	0	0	0	0	131	645	977
301.S5-Environm Enfr	(20,760)	0	31,300	0	0	0	31,300	0	16,326	3,024	479	0	6,869	0	0	2,997	29,415	(18,885)
301.S6-Natural Resourc	(14,420)	0	3,750	0	0	0	3,750	0	3,174	397	149	0	1,864	0	0	400	7,984	(16,684)
301.S7-Town Of Roweine	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.TV-ATV DESP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.W8-UST-Trust Recov	34	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	46
301.XB-Mined Land Recl	31	0	4,110	0	0	0	4,110	0	2,079	117	79	0	699	0	0	1,700	4,674	(633)
301.ZZ-Monitors-Aggr	18,797	0	6,091	0	0	0	6,091	0	3,177	143	90	0	1,469	0	0	1,184	6,063	18,825

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
302.00-Conservation	9,596	0	52,031	0	0	1,300	53,331	0	19,993	9,709	963	0	8,812	0	0	1,723	41,200	21,727	
302.02-Marine Resource	3,026	0	4,200	0	0	0	4,200	0	2,862	866	72	0	1,144	0	0	0	4,944	2,282	
302.03-Migratory Bird	219	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	184	
302.04-License Guide	148	0	55	0	0	0	55	0	43	6	1	0	19	0	0	0	69	134	
302.06-Fish And Game T	42,478	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	1,300	1,300	43,678	
302.07-Surf Clean/Quato	332	0	65	0	0	0	65	0	22	29	0	0	1	0	0	0	52	345	
302.08-Habitat Account	256	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	279	
302.09-Visitors Donato	11	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	36	
303.01-01 Spill- DAC	3	0	109	0	0	705	814	0	439	63	16	0	253	0	0	0	793	24	
303.02-01 Spill- Relocain	3	0	30	0	0	301	331	0	179	16	6	0	87	0	0	0	288	46	
303.03-01 Spill- DEC	(1)	0	(1)	0	0	19,300	19,300	0	10,790	747	245	0	4,349	0	0	2,862	19,083	216	
303.04-01 Spill- DAC	4,836	0	42,000	0	0	0	42,000	0	0	12,604	0	0	0	0	0	20,306	32,910	13,926	
303.05-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)	
306.01-OSH Trng & Educ	5,232	0	21,785	0	0	0	21,785	59	6,163	2,697	370	0	4,862	0	0	5,700	19,861	7,156	
306.02-OSHA Inspection	5,825	0	23,493	0	0	0	23,493	0	11,941	1,997	370	0	5,814	0	0	1,500	21,622	7,696	
306.01-Client Protectin	4,813	0	7,700	0	0	0	7,700	0	620	9,880	0	0	98	0	0	0	10,598	1,915	
307.01-Equip Loan Fund	516	0	80	0	0	0	80	0	0	73	0	0	0	0	0	7	80	516	
313.01-Pub Tran Systems	1,342	55,800	410	0	0	35,721	91,831	92,099	974	326	30	0	474	0	0	0	93,903	(630)	
313.02-Metro Mass Tran	39,836	1,678,200	21,400	0	0	19,100	1,718,700	1,734,305	2,509	225	78	0	1,222	0	0	16,721	1,755,060	3,476	
313.03-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106	0
314.01-Operating Permit	(5,923)	0	12,100	0	0	0	12,100	0	7,473	1,607	291	0	3,900	0	0	0	13,271	(7,094)	
314.02-Mobile Source	66	0	37,300	0	0	0	37,300	0	22,018	4,625	685	0	10,663	0	0	70	38,061	(250)	
318.01-Housing Reserve	9,119	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	66	
321.01-Legisl Comp R&D	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60	0
321.02-Demographics/Re	19	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	19	0
332.01-Blummer Award	229	0	110	0	0	0	110	0	0	0	0	0	0	0	0	0	0	229	0
332.02-William Vance F	13	0	(1)	0	0	0	(1)	0	0	51	0	0	0	0	0	0	51	72	0
332.03-Rocky Pocatenco	74	0	(1)	0	0	0	(1)	0	0	0	0	0	0	0	0	0	0	72	0
332.04-OMR Nonexpnd Tr	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000	0
332.05-Piedeller Tru	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15	0
332.08-Helen Hayes Hos	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27	0
332.09-CFHGBS Loan	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100	0
332.10-Cunningham Fund	1,182	0	200	0	0	0	200	0	0	163	0	0	0	0	0	23	186	1,196	0
333.00-Winn Sports Ed	1	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	1	0
335.00-Nys Musical Ins	721	0	750	0	0	125,000	123,750	120,000	2,000	500	0	0	400	0	0	0	1,251	771	0
338.01-Arts Capital Re	1,457	0	50	0	0	0	50	0	15	0	0	0	8	0	0	0	124,151	1,056	0
340.0A-CFIA Underbr	1	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	23	28	0
341.04-DFY-NYC Summ	6,539	0	39,599	0	0	0	39,599	0	24,776	16,532	0	0	6,437	0	0	0	41,308	4,830	0
345.09-L1 Vets Home	469,099	0	645,000	0	0	150	645,150	0	128,798	415,078	0	0	0	0	0	22,000	572,313	541,936	0
345.10-S U Gen/IFR	(60,614)	0	(2,900)	0	0	0	(2,900)	0	0	24,888	0	0	0	0	0	0	0	(38,616)	0
345.11-S U Inc Offset	55,955	0	1,201,464	0	0	2,900	1,204,364	0	1,087,638	186,822	0	0	0	0	0	34,586	1,311,046	(60,727)	0
345.12-Gen Rev Offset	152,897	0	1,560,455	0	0	0	1,560,455	0	894,401	582,230	0	0	313,164	0	0	16,580	1,806,375	389,727	0
345.22-S U Hosp Ops	19,924	0	53,761	0	0	0	53,761	0	140	20,470	0	0	0	0	0	0	20,610	53,075	0
345.31-SUNY Stabilizat	55,635	0	34,533	0	0	0	34,533	0	30,463	2,298	0	0	0	0	0	0	32,761	57,407	0
345.46-S U Hosp Sponso	74,457	0	(23,789)	0	0	34,586	10,797	0	39,792	33,953	0	0	0	0	0	0	73,745	11,509	0
345.47-SUNY Tuiloin Re	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0
345.97-Bridge Program	2,112	0	6,452	0	0	0	6,452	6,970	63	481	2	0	29	0	0	0	7,545	1,019	0
346.00-Subst Abuse Srv	1,249	0	4,700	0	0	0	4,700	0	533	102	20	0	246	0	0	197	1,098	1,359	0
349.01-Lk George Park	5,173	0	122,800	0	0	0	122,800	5,006	226	37	0	0	52	0	0	0	5,321	4,552	0
354.01-MVTIFA	(28,026)	0	380	0	0	0	380	0	119,800	5,100	0	0	0	0	0	0	124,900	(30,126)	0
354.02-St Police MV En	1,577	0	0	0	0	0	0	0	78	0	2	0	44	0	0	60	184	1,383	0
355.01-Great Lakes Pro	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
358.01-Revenue Maximiz	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577	0
359.02-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
359.03-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154	0
360.00-Housing Develop	10,424	0	1,000	0	0	0	1,000	882	1,117	0	0	0	0	0	0	123	2,082	9,332	0
362.01-DOE Comm Veh Sa	(1,619)	0	3,068	0	0	0	3,068	40	2,759	460	0	0	0	0	0	0	3,219	(1,770)	0
365.01-Vocatl Rehabil	145	0	95	0	0	0	95	0	0	37	0	0	0	0	0	0	77	163	0
366.01-Drinking Water	326	0	1,499	0	0	0	1,499	0	1,262	283	0	0	373	0	0	0	1,916	507	0
366.02-Drink Water DOH	(3,636)	0	6,073	0	0	0	6,073	0	4,442	284	143	0	2,171	0	0	0	7,040	(4,603)	0
366.FS-Federal ARRA	0	0	0	0	0	0	0	0	647	5,255	0	0	0	0	0	0	5,902	(6,902)	0
368.01-NYCCC Operat Of	(13,371)	0	0	0	0	30,000	30,000	0	18,700	7,000	0	0	4,900	0	0	0	30,200	(13,571)	0
369.01-Jud Data Proc O	2,694	0	22,000	0	0	0	22,000	0	19,200	0	0	0	7,200	0	0	0	26,400	(1,706)	0
371.A1-CUNY Stabilizn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
372.ZX-CUNY Tuin Reim	42,358	0	42,200	0	0	0	42,200	0	45,788	300	0	0	0	0	0	0	42,200	42,358	0
377.ZY-CUNY Inc Reimb	64,943	0	90,000	0	0	0	90,000	0	0	0	0	0	6,134	0	0	0	90,000	64,943	0
385.01-Lk Placd Train	28	0	200	0	0	0	200	0	0	163	0	0	0	0	0	23	186	42	0
390.01-Indigent Legal	14,134	0	71,000	0	0	40,000	111,000	73,500	750	25,750	96	0	0	0	0	8,000	108,000	17,134	0
482.01-UJ Sp Int & Pen	8,631	0	9,400	0	0	0	9,400	0	1,903	0	59	0	927	0	0	5,000	7,865	10,046	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
 2010-2011
 (Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance		
339.01-Adopt Info Regl	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	0	49	
339.02-Intenorv Act	1,030	0	500	0	0	0	500	500	0	0	0	0	0	0	0	0	500	1,030	0	
339.03-S P A R C S	(1,239)	0	6,935	0	0	1,464	8,399	0	3,897	1,333	122	0	1,900	0	0	0	7,252	(92)	0	
339.05-OMRDD Provider	1	0	0	0	0	340,576	340,576	340,576	0	0	0	0	0	0	0	14,810	340,576	1	2,648	
339.07-Fire Prev/Code	2,648	0	14,810	0	0	0	14,810	14,810	0	0	0	0	18,389	0	0	0	64,908	0	0	
339.08-NYS Tvy Police	(1,278)	0	66,186	0	0	0	66,186	0	44,958	0	1,551	0	0	0	0	0	175	559	0	
339.09-DMV Seiz Assets	284	0	450	0	0	0	450	0	0	175	450	0	0	0	0	0	0	2,816	0	
339.10-Mental Hygiene	2,816	0	0	0	0	4,742,568	4,742,568	842,233	542,620	187,042	22,199	0	296,045	0	0	2,852,429	4,742,568	0	0	
339.11-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)	0	
339.13-MH Patient Inc	6,495	0	0	0	0	3,954,654	3,954,654	271,942	1,919,513	506,560	58,142	0	855,673	0	0	374,355	3,986,185	(25,036)	0	
339.15-Fin Cntrl Board	(645)	0	3,159	0	0	0	3,159	0	1,566	747	72	0	805	0	0	0	3,190	(676)	0	
339.16-Reg of Racing	(5,187)	0	10,000	0	0	18,113	10,000	0	6,882	2,400	213	0	3,351	0	0	0	12,846	(8,033)	0	
339.17-St Reg Plan	(7,295)	0	0	0	0	0	0	0	5,566	8,289	173	0	2,710	0	0	0	16,738	(5,920)	0	
339.18-SU Const Fund	56	0	26,617	0	0	0	26,617	0	15,278	2,487	474	0	7,439	0	0	0	25,678	995	0	
339.20-Quality Care	7,399	0	5,700	0	0	97,863	103,563	7,288	63,915	29,146	0	1,879	0	0	0	102,228	8,734	0		
339.21-Nurses Aide Reg	1,113	0	5,164	0	0	0	5,164	0	511	2,373	16	0	249	0	0	0	3,149	3,128	0	
339.22-Emerg Med Svs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	0	
339.23-Seized Assets	876	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	238	688	0	
339.24-Child Care & Pr	196	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	100	166	0	
339.25-Cyber Sec Upgr	1,143	0	900	0	0	0	900	0	0	837	0	0	0	0	0	0	837	1,206	0	
339.26-Cent of Need	6,123	0	6,099	0	0	0	6,099	0	2,728	1,269	85	0	1,328	0	0	279	5,689	6,533	0	
339.27-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	0	
339.28-Retr Community	440	0	74	0	0	0	74	0	24	1	1	0	12	0	0	2	40	474	0	
339.29-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
339.30-CHRD St Match	1,525	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	1,525	0	
339.30-DOL Fee Penalt	3,510	0	21,950	0	0	0	21,950	0	5,739	332	178	0	2,794	0	0	7,950	16,993	8,467	0	
339.31-Educ Museum	97	0	3,513	0	0	0	3,513	0	707	1,586	19	0	344	0	0	149	2,815	795	0	
339.32-Ns Hm Receptsh	2,801	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	2,826	0	
339.35-3rd Party Hlth	4	0	1,250	0	0	0	1,250	0	1,136	(13)	0	0	0	0	0	0	1,123	573	0	
339.36-Beating Noise L	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	
339.37-I Love NY Water	60	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	60	0	
339.38-Summer Sch Arts	358	0	630	0	0	500	1,130	0	0	1,026	0	0	0	0	0	109	1,135	353	0	
339.39-I Love NY Water	283	0	245	0	0	0	245	0	41	25	2	0	19	0	0	0	87	441	0	
339.41-Snowmobile	5,662	0	5,900	0	0	0	5,900	5,000	102	365	7	0	60	0	0	0	5,624	6,028	0	
339.42-Tt Surplus Prop	1,180	0	2,000	0	0	0	2,000	0	0	300	0	0	0	0	0	803	1,103	2,077	0	
339.44-Hosp & Nurs Mgt	2,303	0	32,739	0	0	0	32,739	0	15,542	142	487	0	7,572	0	0	64	23,807	11,235	0	
339.45-Watershed Pntr	4	0	2	0	0	0	2	0	138	25	6	0	64	0	0	0	233	(227)	0	
339.46-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	
339.47-SU Dorm Reimb	98	0	24,123	0	0	246,871	271,094	0	117,579	143,132	0	0	7,580	0	0	2,900	271,191	1	0	
339.48-ODTA Multi-Agen	1,424	0	30	0	0	8,000	8,030	0	0	8,000	0	0	0	0	0	0	8,000	1,454	0	
339.49-ODTA Siete Matic	271	0	0	0	0	0	0	0	0	34	0	0	0	0	0	0	34	237	0	
339.50-ODTA Trng Mgmt	509	0	945	0	0	0	945	0	515	153	19	0	258	0	0	0	945	509	0	
339.51-Methadone Regis	297	0	228	0	0	0	228	0	0	228	0	0	0	0	0	0	228	297	0	
339.60-Energy Research	(15,521)	0	15,688	0	0	0	15,688	9,234	3,299	978	594	0	1,563	0	0	0	15,688	(15,521)	0	
339.61-Radiology	1,964	0	6,000	0	0	0	6,000	3,000	936	0	38	0	435	0	0	1,350	5,759	2,205	0	
339.62-Crim Jus Improv	15,251	0	41,901	0	0	0	41,901	27,908	3,262	701	110	0	1,719	0	0	12,861	46,561	10,591	0	
339.65-Farm Prod Insp	480	0	1,750	0	0	0	1,750	0	1,689	135	53	0	818	0	0	100	2,795	(965)	0	
339.66-Fingerprint ID Tec	1,627	0	15,000	0	0	0	15,000	0	316	342	13	0	146	0	0	247	15,632	995	0	
339.72-NY Fire Academy	474	0	920	0	0	0	920	0	0	0	0	0	0	0	0	0	920	330	0	
339.77-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	19	0	0	0	0	0	0	19	(1)	0	
339.79-OPDV Training	67	0	3,700	0	0	0	3,700	0	1,888	374	59	0	919	0	0	181	3,421	1,016	0	
339.81-Envir Lab Fee A	737	0	116,997	0	0	0	116,997	22,200	37,721	39,215	1,169	0	16,692	0	0	0	116,997	2,292	0	
339.85-Ins St L Adm	2,292	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31	0
339.86-Health Services	31	0	3,000	0	0	0	3,000	0	1,832	689	68	0	1,005	0	0	26	3,620	1,110	0	
339.88-Train Mgmt Eval	1,730	0	18,059	0	0	0	18,059	(110)	8,228	3,261	255	0	4,006	0	0	0	15,640	(17,083)	0	
339.90-Clin Lab Refinc	(19,502)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0
339.91-MWBD Certificat	0	0	65	0	0	0	65	0	0	300	0	0	0	0	0	0	0	0	0	0
339.93-Pub Emp Rel Bid	1,075	0	86	0	0	0	86	0	235	0	0	0	0	0	0	0	535	626	0	
339.94-WIC CIVL Monetry	0	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	0	0	2,000	0	0
339.95-Radio Hlth Prot	3,192	0	2,703	0	0	0	2,703	0	2,247	124	70	0	1,094	0	0	27	3,562	2,333	0	
339.99-Cons Food Indus	1,925	0	7,997	0	0	0	7,997	0	5,500	585	171	0	2,664	0	0	100	9,020	902	0	
339.A.2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339-A3-Educator Library	139	0	82	0	0	0	82	0	0	0	61	0	0	0	0	0	61	160
339-A4-Teacher Certif	2,843	0	7,000	0	0	0	7,000	0	3,135	0	591	0	1,528	0	0	1,457	6,800	3,043
339-A5-Banking Depmnt	13,578	0	92,508	0	0	0	92,508	0	49,687	11,824	1,990	0	23,178	0	0	5,013	91,692	14,394
339-A6-Cable TV Acct	8,436	0	2,999	0	0	0	2,999	0	1,634	0	56	0	874	0	0	0	2,999	8,436
339-A7-Econ Devel Asst	480	0	838	0	0	0	838	0	0	687	0	0	0	0	0	92	779	539
339-A9-Banking Seized	214	0	75	0	0	0	75	0	0	70	0	0	0	0	0	0	70	219
339-AC-Non-Inv Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339-AD-ODD Earned Revn	187	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	187
339-AE-Motorcycle Sly	1,845	0	1,960	0	0	0	1,960	0	87	1,238	3	0	42	0	0	0	1,370	2,435
339-AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-AG-Business Liens	1,904	0	70,000	0	0	0	70,000	539	16,655	5,020	769	0	7,817	0	0	36,869	67,669	4,235
339-AH-Indir Cost Reco	973	0	(605)	0	0	23,927	23,322	0	10,373	6,155	343	0	5,063	0	0	0	21,924	2,371
339-AI-High School Equ	837	0	215	0	0	0	215	0	0	236	0	0	0	0	0	0	236	816
339-AJ-Regional Haulin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-AL-OTDA Program	521	0	500	0	0	0	500	0	0	700	0	0	0	0	0	0	700	321
339-AM-Hlth Care Advn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-AN-Dissas Prep Conf	21	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	22
339-AP-Administration	9,597	0	13,258	0	0	2,635	15,893	0	7,681	1,270	241	0	3,741	0	0	323	13,256	12,234
339-AQ-Rail Safety Ins	1,042	0	669	0	0	0	669	0	434	100	13	0	211	0	0	0	758	953
339-AR-Fed Admin Reim	1	0	130	0	0	27,860	27,990	0	27,990	0	0	0	0	0	0	0	27,990	1
339-AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-AU-Abandoned Prop	0	0	5,000	0	0	0	5,000	0	0	5,000	0	0	0	0	0	0	5,000	0
339-AV-Seized Assets	3	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	5
339-AW-Spinal Injury	13,523	0	0	0	0	1,770	1,770	0	240	7,510	0	0	117	0	0	0	7,874	7,419
339-AX-Child Supp Rev	870	0	0	0	0	10,000	10,000	0	2,019	6,973	100	0	980	0	0	0	10,072	798
339-AY-Mult Agen Train	20,789	0	0	0	0	32,000	32,000	0	2,307	29,187	83	0	1,196	0	0	0	32,773	20,016
339-AZ-Dept Law-Seized	1,151	0	600	0	0	0	600	0	0	1,678	0	0	0	0	0	0	1,678	73
339-B2-DMNA-Seiz Asset	585	0	200	0	0	0	200	0	0	191	0	0	0	0	0	0	191	594
339-B3-Critical Infrns	464	0	5,100	0	0	0	5,100	0	173	472	0	0	12	0	0	0	657	4,907
339-B4-Radon Defict Dev	290	0	(8)	0	0	0	(8)	0	0	9	0	0	0	0	0	2	11	271
339-B5-Insurance Dgtr	163,443	0	464,082	0	0	0	464,082	230,746	95,813	65,288	16,078	0	43,952	0	0	6,000	457,857	169,668
339-B7-Workers Comp Bd	57,486	0	250,691	0	0	0	250,691	0	92,326	69,427	2,766	0	41,282	0	0	26,424	232,225	75,952
339-B8-Fire Protection	80	0	100	0	0	0	100	0	7	77	0	0	3	0	0	13	100	80
339-B9-COC Conf Fee	6	0	5	0	0	0	5	0	0	30	0	0	0	0	0	0	30	(19)
339-BA-Public Work Erf	1,623	0	6,027	0	0	0	6,027	0	746	303	54	0	850	0	0	1,000	2,953	4,697
339-BB-Asset Forfeitur	30	0	21	0	0	0	21	0	0	2	0	0	0	0	0	0	2	49
339-BF-VESID SS	2,562	0	5,020	0	0	0	5,020	4,550	202	146	6	0	98	0	0	38	5,041	2,541
339-BT-Tr Mils Regist	58	0	6	0	0	0	6	0	0	23	0	0	0	0	0	0	23	41
339-BJ-Bell Jar Collic	1	0	2,100	0	0	0	2,100	0	806	182	32	0	372	0	0	202	1,594	507
339-BK-Ind & Util Serv	1,255	0	3,065	0	0	0	3,065	0	1,733	0	79	0	850	0	0	80	2,742	1,578
339-BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-BU-Land Utilizatio	(115)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(115)
339-BW-Asbestos Trning	(115)	0	314	0	0	0	314	0	126	11	4	0	61	0	0	0	202	(3)
339-BZ-IMP R P Fax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339-C2-Jones Boh Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-C3-Public Service	56,388	0	74,850	0	0	10	74,860	0	42,286	8,769	1,315	0	20,147	0	0	10	72,527	60,721
339-CA-Atty Licensing	6,196	0	26,000	0	0	0	26,000	0	19,100	8,700	0	0	4,500	0	0	0	32,300	(104)
339-C9-DSS Prov Recvcs	186	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	3,700	186
339-CA-Crimes Against	4,477	0	0	0	0	10,000	10,000	16,000	0	0	0	0	0	0	0	0	16,000	(1,523)
339-CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-CD-Daycare Earned	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-CE-Camp Smith Bill	27	0	253	0	0	0	253	0	120	107	5	0	55	0	0	0	287	(7)
339-CF-Cigarette Fire	0	0	500	0	0	0	500	0	0	320	0	0	0	0	0	0	320	180
339-CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-CL-Comm Feed Lic	200	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	200
339-CM-Reg Manu Hsg	137	0	400	0	0	0	400	0	425	64	10	0	113	0	0	0	612	(75)
339-CO-College Savngs	1,639	0	813	0	0	0	813	0	175	30	15	0	135	0	0	0	365	2,097
339-CO-Discover Queens	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339-CR-Reven-Arrearage	31,495	0	26,000	0	0	0	26,000	0	1,560	6,324	60	0	770	0	0	25,422	32,156	26,339
339-CS-Provider Assess	9,034	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9,034
339-CT-Cell Phone Towe	477	0	307	0	0	0	307	0	0	0	0	0	0	0	0	0	0	784

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
339-CU-Spec Conserv Ac	110	0	95	0	0	0	95	0	0	0	0	0	0	0	0	0	0	0	205
339-CY-Central Registry	305	0	195	0	0	0	195	0	90	0	0	0	59	0	0	0	169	0	331
339-CZ-Plant Industry	507	0	253	0	0	0	253	0	366	0	11	0	177	0	0	15	569	0	191
339-D1-Food Slip Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-D4-Food Slip Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339-D9-Batavia School	(8,964)	0	6,400	0	0	700	7,100	0	5,361	0	187	0	2,126	0	0	0	8,251	0	(10,115)
339-DB-Batavia School	2,430	0	0	0	0	19,296	19,296	0	9,293	5,786	288	0	4,525	0	0	0	19,894	0	1,834
339-DC-Investment Serv	(654)	0	3,390	0	0	0	3,390	0	2,014	4,153	62	0	981	0	0	0	7,210	0	(4,474)
339-DD-Drive out Diabe	41	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	41
339-DF-Keep Kids Drug	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34
339-DH-OMRDD Day Svs	(1,106)	0	48,988	0	0	0	48,988	48,988	0	0	0	0	0	0	0	0	48,988	0	(1,106)
339-DI-OSDC Finan Over	(974)	0	3,967	0	0	0	3,967	0	2,303	315	74	0	1,332	0	0	0	4,024	0	(1,031)
339-DK-Senate Recyclab	354	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	374
339-DL-Medicaid Fraud	61,203	0	12,513	0	0	0	12,513	0	6,514	2,012	209	0	3,178	0	0	0	11,913	0	61,803
339-DM-EAD Metallurg	1	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	7
339-DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-DO-DED Marketing A	3,555	0	2,009	0	0	0	2,009	0	63	1,190	2	0	28	0	0	131	1,414	0	4,150
339-DQ-Tug Hill Admin	40	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	42	0	36
339-DS-Settlement Entf	1,671	0	11	0	0	0	11	0	0	186	0	0	0	0	0	0	186	0	1,496
339-DT-Indian Gaming	(83,513)	0	24,260	0	0	0	24,260	0	14,810	1,850	571	(5)	6,960	0	0	0	24,186	0	(83,439)
339-DX-NYS FLEX Spend	71	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	300	0	71
339-DZ-Interest Assess	84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	84
339-E1-Crime Victims B	11	0	54	0	0	0	54	0	0	37	0	0	0	0	0	0	37	0	28
339-E2-Conference&Sign	102	0	35	0	0	0	35	0	0	53	0	0	0	0	0	0	53	0	84
339-E3-Ofc of Professi	7,845	0	46,000	0	0	0	46,000	0	20,434	11,224	548	0	10,165	0	0	6,385	48,756	0	5,089
339-E5-Armory Rental A	1,524	0	2,535	0	0	0	2,535	0	847	946	34	0	381	0	0	0	2,218	0	1,841
339-EE-Rome School	(4,649)	0	6,800	0	0	400	7,200	0	4,866	670	174	0	1,917	0	0	0	7,627	0	(5,076)
339-E7-Unit Commerec Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-E8-Seized Assets	(3,656)	0	8,725	0	0	25,500	34,225	0	0	34,225	0	0	0	0	0	0	34,225	0	(3,656)
339-E9-Trat Adjudicain	796	0	47,809	0	0	0	47,809	0	23,224	9,602	721	0	11,248	0	0	0	44,795	0	3,810
339-EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-EB-Antitrust Entor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-EC-OASAS Fred Sal	3,674	0	0	0	0	3,910	3,910	190	2,134	100	65	0	1,022	0	0	62	3,573	0	4,011
339-ED-Cook/Chil Acco	96	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	2,100	0	96
339-EE-Mgp Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-EG-Client Notices	529	0	2,000	0	0	2,000	4,000	0	994	4,604	0	0	287	0	0	0	5,598	0	(1,069)
339-EJ-Credentia Svs	(4)	0	915	0	0	0	915	0	668	0	19	0	0	0	0	0	914	0	(3)
339-EK-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339-EM-NYC Assessment	13,773	0	79,055	0	0	0	79,055	0	34,483	19,693	1,069	0	16,790	0	0	0	72,035	0	20,793
339-EN-Cultural Educat	(8,114)	0	35,000	0	0	0	35,000	0	16,315	5,434	471	0	7,956	0	0	3,926	34,102	0	(7,216)
339-EF-Distance Learn	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339-ER-Exam & Misc Rev	3,170	0	3,753	0	0	0	3,753	0	529	1,155	17	0	251	0	0	1,503	3,465	0	3,468
339-ES-Ealing Disorder	735	0	1,000	0	0	0	1,000	276	0	0	0	0	0	0	0	0	276	0	1,459
339-F1-Trans Regul Acc	3,187	0	4,800	0	0	0	4,800	0	2,738	335	85	0	1,333	0	0	0	4,491	0	3,496
339-F2-Cons Prot Act	1,015	0	100	0	0	0	100	0	109	300	5	0	40	0	0	0	454	0	861
339-F3-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0
339-F5-OER NASDER	117	0	24	0	0	0	24	0	0	29	0	0	0	0	0	0	29	0	112
339-FA-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-FC-Fostir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-FH-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-FI-Fed Liability	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-FM-FMS Account	28,649	0	0	0	0	9,000	9,000	0	10,271	21,659	0	0	0	0	0	0	31,930	0	5,719
339-FP-Funeral	632	0	986	0	0	0	986	0	229	9	8	0	112	0	0	3	361	0	1,257
339-FS-FSHRP	98	0	0	0	0	300,000	300,000	300,000	0	0	0	0	0	0	0	0	300,000	0	98
339-G1-Educ Archives	217	0	52	0	0	0	52	0	0	31	0	0	0	0	0	0	31	0	238
339-G3-Local Services	387	0	1,100	0	0	0	1,100	0	542	0	0	0	311	0	0	26	908	0	579
339-G7-DOT-Accident Da	2,702	0	12,500	0	0	0	12,500	0	472	11,783	15	0	230	0	0	0	12,500	0	2,702
339-GA-Adult Shelter	1,668	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	0	0	0	4,168
339-GB-QAA Earned Rev	714	0	2,241	0	0	0	2,241	0	285	0	22	0	126	0	0	0	433	0	2,522
339-GC-Family Pres Svc	1,483	0	60	0	0	7,000	60	923	0	0	0	0	0	0	0	0	923	0	630
339-GD-EB7/CBC	1,878	0	1,700	0	0	0	1,700	9,700	0	0	0	0	0	0	0	0	9,700	0	878

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
2010-2011
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339 GE-Federal-Seized	41	0	0	0	0	0	0	0	0	88	0	0	0	0	0	0	88	(47)
339 H2-DHCR Mortgage S	307	0	7,685	0	0	0	7,685	0	3,796	265	93	0	1,824	0	0	0	5,978	2,014
339 H3-Prior Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339 H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339 H6-OMH-Research OH	68	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	2,848	68
339 H7-DMV-Compulsory	11,312	0	30,000	0	0	0	30,000	0	9,514	963	295	0	4,607	0	0	14,300	29,669	11,643
339 H8-Prof Medic Cond	4,833	0	29,536	0	0	0	29,536	0	13,526	7,290	427	0	6,595	0	0	1,718	29,556	4,813
339 HC-Hwy Const & Ma	774	0	260	0	0	0	260	0	146	435	5	0	71	0	0	0	122	912
339 HI-Housing Indirec	416	0	650	0	0	0	650	0	146	435	5	0	71	0	0	0	657	409
339 HC-Adult Hme Qlty E	540	0	643	0	0	0	643	0	0	260	0	0	0	0	0	21	281	902
339 HR-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339 IA-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339 IC-Acid Prevent C	226	0	5,000	0	0	0	5,000	0	172	88	5	0	83	0	0	600	958	4,268
339 IG-IG Szd Assets	134	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	87	132
339 IM-Leg Svcs Assist	1,054	0	13,000	0	0	2,000	15,000	13,484	0	0	0	0	0	0	0	0	13,484	2,570
339 J1-Loc Pub Hlth	2,143	0	950	0	0	0	950	0	113	17	12	0	65	0	0	5	212	2,881
339 J2-Local Dist Trl	1,024	0	800	0	0	0	800	0	0	744	0	0	0	0	0	0	744	1,080
339 J4-Voting Mch Exa	124	0	2,200	0	0	0	2,200	0	995	486	31	0	484	0	0	0	1,986	124
339 J5-DHOR HCA Applic	2,623	0	180,900	0	0	0	180,900	158,200	2,410	11,615	75	0	1,174	0	0	9,656	183,130	61,276
339 J6-EPIC Premium Ac	63,506	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339 J7-Drug Enforce Ta	80	0	4,309	0	0	0	4,309	0	1,143	47	35	0	557	0	0	2,252	4,034	1,429
339 JA-Vital Rec Mgmt	1,154	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339 JB-CHCCDP Transfer	24,318	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24,318
339 JD-Problm Solv/Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339 JE-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339 K1-Hwy Rev/Soc Sec	1,176	0	406	0	0	0	406	0	0	388	0	0	0	0	0	50	448	1,134
339 K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339 K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339 KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339 KB-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339 L2-Asst Living Res	26	0	95	0	0	0	95	95	0	0	0	0	0	0	0	9	104	17
339 L4-OCFS Program	2,747	0	100	0	0	0	100	0	142	0	0	0	71	0	0	0	213	2,634
339 L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339 L7-Fedl Admin Reim	36,132	0	0	0	0	94,300	94,300	0	45,920	48,100	0	0	0	0	0	0	94,020	36,412
339 L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339 LB-Health Occup De	1	0	0	0	0	0	0	0	0	(272)	0	0	0	0	0	0	0	1
339 LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339 LF-Disabil Determs	859	0	208	0	0	0	208	208	0	0	0	0	0	0	0	0	208	859
339 LG-OMRDD-Jt Clinic	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34
339 LH-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339 LI-Litigation Sett	16,072	0	47,832	0	0	0	47,832	0	10,089	31,386	399	0	5,674	0	0	0	47,548	16,356
339 LJ-Animal Populati	255	0	1,000	0	0	0	1,000	0	1,096	0	34	0	534	0	0	0	1,664	255
339 LL-Love Your Libra	27	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	0	(17)
339 LW-Local Wireless	2,947	0	0	0	0	9,300	9,300	9,300	0	0	0	0	0	0	0	0	9,300	2,947
339 LZ-Pub Safe Commun	43,291	0	118,633	0	0	0	118,633	20,000	1,000	50,041	0	0	500	0	0	89,977	161,518	406
339 MC-Cuba Lake Mgmt	203	0	200	0	0	0	200	0	0	158	0	0	0	0	0	0	158	245
339 MH-St Justice Inst	69	0	2,500	0	0	0	2,500	2,500	0	0	0	0	0	0	0	0	2,500	69
339 MR-Med Reimb Acct	0	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	0	0	0
339 NG-Low Inc Housing	794	0	2,200	0	0	0	2,200	0	1,096	0	34	0	534	0	0	0	1,664	1,330
339 NH-Provider 900	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339 NY-New York Alert	65	0	100	0	0	0	100	0	28	744	1	0	15	0	0	0	788	(623)
339 P4-Procure Op News	1,040	0	832	0	0	0	832	0	(15)	663	0	0	0	0	0	100	748	1,124
339 P5-CVB Restitution	820	0	445	0	0	0	445	0	425	222	14	0	136	0	0	0	797	468
339 P6-EFC Corp Admin	(339)	0	1,587	0	0	0	1,587	0	1,262	186	0	0	431	0	0	0	1,879	(631)
339 PC-Food Prod Cr	526	0	1,153	0	0	0	1,153	0	0	1,153	0	0	0	0	0	0	1,153	526
339 PD-Pet Dealer	90	0	32	0	0	0	32	0	53	0	2	0	26	0	0	0	81	41
339 PO-Auth Bgdt Office	852	0	500	0	0	940	940	0	953	383	27	0	453	0	0	73	1,865	(73)
339 PS-Patient Safety	199	0	0	0	0	0	0	0	0	376	0	0	0	0	0	0	376	199
339 O2-Heien Hayes Hos	9,355	0	115	0	0	59,926	60,041	0	35,712	20,595	1,111	0	6,820	0	0	0	57,363	12,013
339 OS-NYC Veterans	7,081	0	350	0	0	30,337	30,687	0	15,393	7,015	470	0	0	0	0	0	29,698	8,070
339 Q4-NYS Home-Vetera	4,844	0	120	0	0	18,529	18,649	0	16,740	3,449	519	0	0	0	0	0	20,708	2,785
339 O5-WNY Vets Home	1,119	0	55	0	0	12,342	12,397	0	9,231	2,693	287	0	0	0	0	0	12,211	1,305

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
 2010-2011
 (Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339-06-Montrose S V H	2,751	0	30	0	0	18,130	18,160	0	17,877	5,819	248	0	(30)	0	0	1,288	25,202	(4,291)
339-09-DOH Hospital Ho	2,371	0	0	0	0	128,964	128,964	0	0	0	0	0	0	0	0	123,964	123,964	7,371
339-0A-Spec Energy Adm	204	0	0	0	0	0	0	0	154	53	0	0	0	0	0	0	207	(3)
339-0C-Quality of Care	489	0	1,327	0	0	0	1,327	0	0	1,485	0	0	0	0	0	22	1,507	309
339-R4-Motor Fuel Qual	981	0	2,833	0	0	0	2,833	0	0	1,242	31	0	487	0	0	100	2,865	1,049
339-R5-Weights Measure	264	0	390	0	0	0	390	0	223	229	7	0	108	0	0	50	617	37
339-R7-Defier Comp Adm	(1,400)	0	800	0	0	0	800	0	370	168	13	0	188	0	0	0	739	(79)
339-R9-Hazard Abatement	53	0	175	0	0	0	175	175	0	0	0	0	0	0	0	0	175	53
339-RD-Education Stats	92	0	0	89	0	0	89	0	0	36	0	0	0	0	0	0	36	145
339-RF-Real Estate Fin	3,868	0	900	0	0	0	900	0	599	84	19	0	287	0	0	0	989	3,779
339-RR-NYC Rent Rev	1,864	0	40,015	0	0	0	40,015	0	24,725	3,114	759	0	11,917	0	0	0	40,515	1,364
339-S1-Medicaid Income	(1,068)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,068)
339-S8-Rent Revenue	(3,400)	0	850	0	0	0	850	0	498	0	15	0	242	0	0	0	755	(245)
339-SA-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339-SR-ES Stem Cell Tr	(71)	0	0	0	0	52,616	52,616	0	0	52,616	0	0	0	0	0	0	52,616	(71)
339-SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-ST-Systems & Tech	2,220	0	7,500	0	0	0	7,500	0	3,100	2,214	99	0	1,510	0	0	301	7,224	2,486
339-TZ-OPR Patron Serv	7,284	0	60,650	0	0	0	60,650	0	24,805	26,079	0	0	3,679	0	0	100	54,563	13,371
339-T5-Trans Avian	2,535	0	3,410	0	0	0	3,410	0	122	3,811	4	0	59	0	0	0	3,996	1,949
339-TM-Teacher Ed Accr	71	0	65	0	0	0	65	0	0	65	0	0	0	0	0	14	79	57
339-TN-Training Academ	53	0	300	0	0	0	300	0	200	200	0	0	0	0	0	0	200	153
339-TR-Tax Rev Arrear	(1,394)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	1,795	(489)
339-TS-TSCR Account	52,460	0	121,856	0	0	0	121,856	30,464	0	0	0	0	0	0	0	96,102	126,566	47,750
339-TW-Slatelwide Gamln	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	282	282	(281)
339-U2-Recruitment Inc	2,556	0	90	0	0	2,087	2,177	0	0	1,941	0	0	0	0	0	0	1,941	2,792
339-US-Undgrmd Sfty T	152	0	110	0	0	0	110	0	0	0	0	0	0	0	0	100	100	162
339-VM-HAVA Match	1,927	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,927
339-VR-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-W4-Occ Hlth Clinic	6,032	0	9,000	0	0	0	9,000	0	511	6,870	50	0	100	0	0	1,325	8,856	6,176
339-W6-Crim Back Check	375	0	168	0	0	0	168	0	(600)	(400)	0	0	0	0	0	0	0	543
339-WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)	0
339-WK-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-WR-NYS Water Rescu	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339-WW-OWIG Adm Reimb	3,205	0	24	0	0	1,500	1,524	0	405	447	34	0	423	0	0	0	1,309	3,420
339-WZ-Durable Medical	0	0	376	0	0	0	376	0	0	0	0	0	0	0	0	0	0	376
339-XE-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4	1
339-XX-A&M-Aggregated	(1,050)	0	15,034	0	0	152	15,186	0	1,760	14,800	55	0	852	0	0	189	17,656	(3,520)
339-XZ-Early Intervent	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-Y7-Assembly Recyc	588	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	638
339-YF-Yth Fac PerDiem	1	0	221,082	0	0	0	221,082	0	0	0	0	0	0	0	0	221,082	221,082	1
339-YL-OGS Bldg Adm	1,856	0	7,075	0	0	0	7,075	0	2,713	2,125	79	0	1,245	0	0	1,000	7,162	1,769
339-YN-OGS Sid & Purch	7,093	0	4,691	0	0	0	4,691	0	814	431	31	0	491	0	0	5,000	6,767	5,017
339-YV-Provider Assess	0	0	749,800	0	0	0	749,800	749,800	0	0	0	0	0	0	0	0	749,800	1
339-YX-HEP	0	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	300	(300)
339-Z1-Fed Indirect Re	210	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	210
339-Z2-NYS Eg Loan	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-Z3-MHPIA OMR NPS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-ZM-License Plate	(1)	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	(2)
339-ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
339-ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339-ZW-DOCS Asset Porf	118	0	50	0	0	0	50	0	0	14	0	0	0	0	0	0	14	(19)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>
Opening Fund Balance	0	(8,825)	82,648	2,649	(9,812)	14	71,658	(433)	88	164	0	3,392
Receipts:												
Taxes	0	1,193,000	0	0	0	0	119,100	0	0	0	0	0
Miscellaneous Receipts	1,894,849	1,349,598	0	1,800	35,006	0	24,900	0	0	0	0	0
Federal Grants	0	5,567	0	0	0	0	0	0	0	0	0	0
Total Receipts	1,894,849	2,548,165	0	1,800	35,006	0	144,000	0	0	0	0	0
Disbursements:												
Grants to Local Governments	303,176	55,286	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,718,564	2,221,940	55,000	1,800	28,500	0	188,000	343	0	0	0	0
Total Disbursements	3,021,740	2,277,226	55,000	1,800	28,500	0	188,000	343	0	0	0	0
Other Financing Sources (Uses):												
Transfers from Other Funds	1,166,629	1,085,896	55,000	0	0	0	0	343	0	0	0	0
Transfers to Other Funds	(39,438)	(1,378,009)	0	0	(1,502)	0	(10,000)	0	0	(25)	(600)	(300)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	300
Net Other Financing Sources (Uses)	1,127,191	(292,113)	55,000	0	(1,502)	0	(10,000)	343	0	0	0	0
Change in Fund Balance	300	(21,174)	0	0	5,004	0	(54,000)	0	0	0	0	0
Closing Fund Balance	300	(29,999)	82,648	2,649	(4,808)	14	17,658	(433)	88	164	0	3,392

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	115	121	123	124	126	127	291	310	312	327	357
Opening Fund Balance	2,830	203,906	5,244	513	2,251	31,622	(197,043)	891	(54,137)	502	(4,242)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	112,600	0	20,000
Federal Grants	0	0	0	0	0	0	2,445,839	0	0	0	0
Total Receipts	0	0	0	0	0	0	2,445,839	10	112,600	0	20,000
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	783,429	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,244,049	10	115,193	0	20,000
Total Disbursements	0	0	0	0	0	0	2,027,478	10	115,193	0	20,000
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	0	0	0	0	0	18,700	0	0
Transfers to Other Funds	(1,500)	(525,411)	(4,000)	(4,000)	(2,000)	(40,343)	(365,835)	0	(26,700)	0	0
Bond & Note Proceeds	1,500	525,411	4,000	4,000	2,000	40,343	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	0	0	(365,835)	0	(8,000)	0	0
Change in Fund Balance	0	0	0	0	0	0	52,526	0	(10,593)	0	0
Closing Fund Balance	2,830	203,906	5,244	513	2,251	31,622	(144,517)	891	(64,730)	502	(4,242)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>358</u>	<u>374</u>	<u>376</u>	<u>378</u>	<u>380</u>	<u>384</u>	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>
Opening Fund Balance	0	(31,172)	(136,315)	22,333	(11,398)	105,633	21,280	(22)	(327,982)	(29,503)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	128,307	1,000	0	84,000	127,000	0	115,172	255,788
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	0	128,307	1,000	0	84,000	127,000	0	115,172	255,788
Disbursements:										
Grants to Local Governments	0	0	93,882	0	0	0	0	0	56,643	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	35,000	1,000	2,857	84,000	129,000	0	61,088	255,788
Total Disbursements	0	0	128,882	1,000	2,857	84,000	129,000	0	117,731	255,788
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	2,857	0	0	0	1,750	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	2,857	0	0	0	1,750	0
Change in Fund Balance	0	0	0	0	0	0	(2,000)	0	(809)	0
Closing Fund Balance	0	(31,172)	(136,315)	22,333	(11,398)	105,633	19,280	(22)	(328,791)	(29,503)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2010-2011
(thousands of dollars)**

	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	0	(253,266)	0	(253,266)
Receipts:				
Taxes	0	1,312,100	0	1,312,100
Miscellaneous Receipts	1	4,150,031	0	4,150,031
Federal Grants	0	2,451,406	0	2,451,406
Total Receipts	1	7,913,537	0	7,913,537
Disbursements:				
Grants to Local Governments	0	1,292,416	0	1,292,416
State Operations	0	0	0	0
General State Charges	0	0	0	0
Debt Service	0	0	0	0
Capital Projects	0	7,162,132	0	7,162,132
Total Disbursements	0	8,454,548	0	8,454,548
Other Financing Sources (Uses):				
Transfers from Other Funds	0	2,331,750	(970,366)	1,361,384
Transfers to Other Funds	0	(2,399,663)	970,366	(1,429,297)
Bond & Note Proceeds	0	578,179	0	578,179
Net Other Financing Sources (Uses)	0	510,266	0	510,266
Change in Fund Balance	1	(30,745)	0	(30,745)
Closing Fund Balance	1	(284,011)	0	(284,011)

CASH COMBINING STATEMENT
DEBT SERVICE
2010-2011
(thousands of dollars)

	064	304	311	316	319	320	361	364	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	98,240	0	0	32,625	280,063	0	0	410,928	0	410,928
Receipts:											
Taxes	0	0	9,224,800	0	0	0	400,900	2,694,785	12,320,485	0	12,320,485
Miscellaneous Receipts	0	297,679	0	15,030	135,831	341,400	0	500	790,440	0	790,440
Federal Grants	0	0	50,398	0	0	0	0	0	50,398	0	50,398
Total Receipts	0	297,679	9,275,198	15,030	135,831	341,400	400,900	2,695,285	13,161,323	0	13,161,323
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	7,971	58,495	0	1,162	6,572	0	17,497	91,697	0	91,697
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	306,696	4,724,522	16,030	29,671	78,776	0	359,996	5,515,691	0	5,515,691
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	314,667	4,783,017	16,030	30,833	85,348	0	377,493	5,607,388	0	5,607,388
Other Financing Sources (Uses):											
Transfers from Other Funds	0	3,886,457	3,302,445	1,000	42,069	0	0	0	7,241,971	(191,931)	7,050,040
Transfers to Other Funds	0	(3,856,351)	(7,794,626)	0	(144,264)	(302,121)	(400,900)	(2,317,792)	(14,816,054)	191,931	(14,624,123)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	40,106	(4,492,181)	1,000	(102,195)	(302,121)	(400,900)	(2,317,792)	(7,574,083)	0	(7,574,083)
Change in Fund Balance	0	23,118	0	0	2,803	(46,069)	0	0	(20,148)	0	(20,148)
Closing Fund Balance	0	121,358	0	0	35,428	233,994	0	0	390,780	0	390,780

**GAAP FINANCIAL PLAN
GENERAL FUND
2010-2011 THROUGH 2013-2014
(millions of dollars)**

	<u>2010-11 Exec. (Amended)</u>	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>
Revenues:				
Taxes:				
Personal income tax	24,794	25,125	25,716	27,648
User taxes and fees	8,893	8,985	9,272	9,714
Business taxes	5,631	6,349	6,682	6,985
Other taxes	914	1,017	1,687	1,122
Miscellaneous revenues	6,632	6,485	5,882	6,489
Federal grants	60	60	60	60
Total revenues	<u><u>46,924</u></u>	<u><u>48,021</u></u>	<u><u>49,299</u></u>	<u><u>52,018</u></u>
Expenditures:				
Grants to local governments	38,406	48,416	52,941	56,848
State operations	11,875	12,476	12,786	12,877
General State charges	5,148	5,403	5,853	6,384
Debt service	0	0	0	0
Capital projects	0	0	0	0
Total expenditures	<u><u>55,429</u></u>	<u><u>66,295</u></u>	<u><u>71,580</u></u>	<u><u>76,109</u></u>
Other financing sources (uses):				
Transfers from other funds	15,380	15,374	15,064	15,578
Transfers to other funds	(6,504)	(7,111)	(7,367)	(7,611)
Proceeds from financing arrangements/ advance refundings	403	400	400	400
Net other financing sources (uses)	<u><u>9,279</u></u>	<u><u>8,663</u></u>	<u><u>8,097</u></u>	<u><u>8,367</u></u>
Operating Surplus/(Deficit)	<u><u>774</u></u>	<u><u>(9,611)</u></u>	<u><u>(14,184)</u></u>	<u><u>(15,724)</u></u>

**GAAP FINANCIAL PLAN
GENERAL FUND
2009-2010 and 2010-2011
(millions of dollars)**

	<u>2009-10</u> <u>Actuals</u>	<u>2010-11</u> <u>Enacted</u>	<u>Annual</u> <u>Change</u>
Revenues:			
Taxes:			
Personal income tax	22,330	24,794	2,464
User taxes and fees	8,059	8,893	834
Business taxes	5,490	5,631	141
Other taxes	873	914	41
Miscellaneous revenues	8,060	6,632	(1,428)
Federal grants	71	60	(11)
Total revenues	<u><u>44,883</u></u>	<u><u>46,924</u></u>	<u><u>2,041</u></u>
Expenditures:			
Grants to local governments	37,722	38,406	684
State operations	11,882	11,875	(7)
General State charges	4,525	5,148	623
Debt service	0	0	0
Capital projects	0	0	0
Total expenditures	<u><u>54,129</u></u>	<u><u>55,429</u></u>	<u><u>1,300</u></u>
Other financing sources (uses):			
Transfers from other funds	14,815	15,380	565
Transfers to other funds	(6,619)	(6,504)	115
Proceeds from financing arrangements/ advance refundings	456	403	(53)
Net other financing sources (uses)	<u><u>8,652</u></u>	<u><u>9,279</u></u>	<u><u>627</u></u>
(Excess) deficiency of revenues and other financing sources over expenditures and other financing uses	<u><u>(594)</u></u>	<u><u>774</u></u>	<u><u>1,368</u></u>
Accumulated Surplus/(Deficit)	<u><u>(3,538)</u></u>	<u><u>(2,764)</u></u>	<u><u>774</u></u>

**GAAP FINANCIAL PLAN
GENERAL FUND
2010-11
(millions of dollars)**

	<u>Exec. (Amended)</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal income tax	22,939	1,855	24,794
User taxes and fees	8,134	759	8,893
Business taxes	5,482	149	5,631
Other taxes	937	(23)	914
Miscellaneous revenues	6,536	96	6,632
Federal grants	68	(8)	60
Total revenues	<u>44,096</u>	<u>2,828</u>	<u>46,924</u>
Expenditures:			
Grants to local governments	38,326	80	38,406
State operations	12,345	(470)	11,875
General State charges	4,132	1,016	5,148
Debt service	0	0	0
Capital projects	1	(1)	0
Total expenditures	<u>54,804</u>	<u>625</u>	<u>55,429</u>
Other financing sources (uses):			
Transfers from other funds	14,859	521	15,380
Transfers to other funds	(6,059)	(445)	(6,504)
Proceeds from financing arrangements/ advance refundings	450	(47)	403
Net other financing sources (uses)	<u>9,250</u>	<u>29</u>	<u>9,279</u>
Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses	<u>(1,458)</u>	<u>2,232</u>	<u>774</u>
Accumulated Surplus/(Deficit)	<u>(4,402)</u>	<u>1,638</u>	<u>(2,764)</u>

**GAAP FINANCIAL PLAN
ALL FUNDS
2010-2011
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	40,232	8,259	1,312	12,471	62,274
Public Health/Patient fees	0	3,859	0	434	4,293
Miscellaneous revenues	6,632	1,533	1,088	62	9,315
Federal grants	60	51,320	2,451	0	53,831
Total revenues	46,924	64,971	4,851	12,967	129,713
Expenditures:					
Grants to local governments	38,406	60,549	1,300	0	100,255
State operations	11,875	2,118	0	86	14,079
General State charges	5,148	338	0	0	5,486
Debt service	0	0	0	4,558	4,558
Capital projects	0	2	7,472	0	7,474
Total expenditures	55,429	63,007	8,772	4,644	131,852
Other financing sources (uses):					
Transfers from other funds	15,380	2,310	1,306	7,050	26,046
Transfers to other funds	(6,504)	(4,518)	(1,429)	(15,201)	(27,652)
Proceeds of general obligation bonds	0	0	578	0	578
Proceeds from financing arrangements/ advance refundings	403	0	3,455	0	3,858
Net other financing sources (uses)	9,279	(2,208)	3,910	(8,151)	2,830
Operating Surplus/(Deficit)	774	(244)	(11)	172	691

GAAP FINANCIAL PLAN
ALL FUNDS
2010-2011
(millions of dollars)

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Obligation Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal income tax	24,794	0	9,225	3,299	0	37,318
User taxes and fees	8,893	0	0	5,656	0	14,549
Business taxes	5,631	0	0	1,977	0	7,608
Other taxes	914	0	0	1,885	0	2,799
Public Health/Patient fees	0	0	0	4,293	0	4,293
Miscellaneous receipts	6,632	134	50	2,499	0	9,315
Federal grants	60	51,860	0	1,911	0	53,831
Total revenues	46,924	51,994	9,275	21,520	0	129,713
Expenditures:						
Grants to local governments	38,406	45,303	0	16,546	0	100,255
State operations	11,875	1,516	58	630	0	14,079
General State charges	5,148	255	0	83	0	5,486
Debt service	0	0	3,846	712	0	4,558
Capital projects	0	0	0	7,474	0	7,474
Total expenditures	55,429	47,074	3,904	25,445	0	131,852
Other financing sources (uses):						
Transfers from other funds	15,380	0	3,302	7,364	(21,133)	4,913
Transfers to other funds	(6,504)	(4,813)	(8,673)	(7,662)	21,133	(6,519)
Proceeds of General obligation bonds	0	0	0	578	0	578
Proceeds from financing arrangements/advance refundings	403	0	0	0	0	3,858
Net other financing sources (uses)	9,279	(4,813)	(5,371)	3,735	0	2,830
Operating Surplus/(Deficit)	774	107	0	(190)	0	691

GAAP COMBINING STATEMENT
GENERAL FUND
2010-2011
(millions of dollars)

	001	003	007	166	013	323	325	326	331
Receipts:									
Personal income tax	0	24,794	0	0	0	0	0	0	0
User taxes and fees	0	8,893	0	0	0	0	0	0	0
Business taxes	0	5,631	0	0	0	0	0	0	0
Other taxes	0	914	0	0	0	0	0	0	0
Miscellaneous receipts	0	2,717	0	620	0	252	18	36	34
Federal grants	0	60	0	0	0	0	0	0	0
Total receipts	<u>0</u>	<u>43,009</u>	<u>0</u>	<u>620</u>	<u>0</u>	<u>252</u>	<u>18</u>	<u>36</u>	<u>34</u>
Disbursements:									
Grants to local governments	35,114	0	166	0	0	0	0	0	0
State operations	0	7,026	0	60	2	170	17	36	30
General State charges	0	3,015	0	560	0	19	1	0	0
Debt service	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0
Total disbursements	<u>35,114</u>	<u>10,041</u>	<u>166</u>	<u>620</u>	<u>2</u>	<u>189</u>	<u>18</u>	<u>36</u>	<u>30</u>
Other financing sources (uses):									
Transfers from other funds	7	11,587	154	0	2	0	0	0	0
Transfers to other funds	(3,838)	(5,218)	0	0	0	(72)	0	0	(1)
Proceeds from financing arrangements/advance refundings	403	0	0	0	0	0	0	0	0
Net other financing sources (uses)	<u>(3,428)</u>	<u>6,369</u>	<u>154</u>	<u>0</u>	<u>2</u>	<u>(72)</u>	<u>0</u>	<u>0</u>	<u>(1)</u>
Operating Surplus/(Deficit)	<u>(38,542)</u>	<u>39,337</u>	<u>(12)</u>	<u>0</u>	<u>0</u>	<u>(9)</u>	<u>0</u>	<u>0</u>	<u>3</u>

**GAAP COMBINING STATEMENT
GENERAL FUND
2010-2011
(millions of dollars)**

	334	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
Receipts:													
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	0	24,794
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	0	8,893
Business taxes	0	0	0	0	0	0	0	0	0	0	0	0	5,631
Other taxes	0	0	0	0	0	0	0	0	0	0	0	0	914
Miscellaneous receipts	396	3,149	2	2	1	2	2	2	19	64	5	(689)	6,632
Federal grants	0	0	0	0	0	0	0	0	0	0	0	0	60
Total receipts	<u>396</u>	<u>3,149</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>19</u>	<u>64</u>	<u>5</u>	<u>(689)</u>	<u>46,924</u>
Disbursements:													
Grants to local governments	0	3,126	0	0	0	0	0	0	0	0	0	0	38,406
State operations	435	4,694	2	2	1	2	1	1	16	65	4	(689)	11,875
General State charges	26	1,506	1	0	0	0	1	1	6	11	1	0	5,148
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>461</u>	<u>9,326</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>22</u>	<u>76</u>	<u>5</u>	<u>(689)</u>	<u>55,429</u>
Other financing sources (uses):													
Transfers from other funds	66	7,184	0	0	0	0	0	0	8	14	0	(3,642)	15,380
Transfers to other funds	0	(1,017)	0	0	0	0	0	0	0	0	0	3,642	(6,504)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	0	403
Net other financing sources (uses)	<u>66</u>	<u>6,167</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>14</u>	<u>0</u>	<u>0</u>	<u>9,279</u>
Operating Surplus/(Deficit)	<u>1</u>	<u>(10)</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>5</u>	<u>2</u>	<u>0</u>	<u>0</u>	<u>774</u>

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
2010-2011
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
Receipts/Revenues:											
Taxes:											
Personal income tax	24,373	0	0	0	0	24,373	421	0	0	0	24,794
User taxes and fees	8,810	0	0	0	0	8,810	83	0	0	0	8,893
Business taxes	5,714	0	0	0	0	5,714	(83)	0	0	0	5,631
Other taxes	1,034	0	0	0	0	1,034	(120)	0	0	0	914
Miscellaneous receipts	2,897	3,200	830	830	0	6,927	0	620	(689)	(226)	6,632
Federal Grants	60	0	0	0	0	60	0	0	0	0	60
Total receipts/revenues	42,888	3,200	830	830	0	46,918	301	620	(689)	(226)	46,924
Disbursements/expenses:											
Grants to local governments	37,508	3,126	0	0	0	40,634	(1,164)	0	0	(1,064)	38,406
State operations	8,025	4,973	778	778	0	13,776	(17)	60	(689)	(1,255)	11,875
General State charges	4,128	1,521	66	66	0	5,715	137	560	0	(1,264)	5,148
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0
Total disbursements/expenses	49,661	9,620	844	844	0	60,125	(1,044)	620	(689)	(3,583)	55,429
Other financing sources (uses):											
Transfers from other funds	11,788	7,387	88	88	0	19,263	0	(3,811)	0	(72)	15,380
Transfers to other funds	(5,932)	(1,020)	(73)	(73)	0	(7,025)	(5)	3,811	0	(3,285)	(6,504)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	0	0	403	0	0	0	403
Net other financing sources (uses)	5,856	6,367	15	15	0	12,238	398	0	0	(3,357)	9,279
Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses	(917)	(53)	1	1	(969)	1,743	0	0	0	0	774
(Increase)/decrease in reserves	11	0	0	0	11	(11)	0	0	0	0	0
Operating Surplus/(Deficit)	(906)	(53)	1	1	(958)	1,732	0	0	0	0	774

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
2010-2011
(millions of dollars)

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:											
Taxes	8,233	0	0	0	0	0	0	0	0	26	8,259
Miscellaneous receipts	15,177	(132)	(3,508)	(3,200)	(3,276)	0	(3,859)	0	0	331	1,533
Public Health	0	0	0	0	0	0	3,859	0	0	0	3,859
Federal Grants	46,925	0	0	0	0	4,667	0	(282)	0	10	51,320
Total receipts/revenues	70,335	(132)	(3,508)	(3,200)	(3,276)	4,667	0	(282)	0	367	64,971
Disbursements/expenses:											
Grants to local governments	58,884	0	0	(3,126)	(255)	4,667	0	0	0	379	60,549
State operations	11,069	(126)	(3,465)	(4,973)	(166)	0	0	(276)	0	55	2,118
General State charges	2,209	0	(320)	(1,521)	(11)	0	0	0	0	(19)	338
Capital projects	2	0	0	0	0	0	0	0	0	0	2
Total disbursements/expenses	72,164	(126)	(3,785)	(9,620)	(432)	4,667	0	(276)	0	415	63,007
Other financing sources (uses):											
Transfers from other funds	7,273	0	(474)	(7,387)	2,838	0	0	0	60	0	2,310
Transfers to other funds	(5,506)	0	22	1,020	0	0	0	6	(60)	0	(4,518)
Net other financing sources (uses)	1,767	0	(452)	(6,367)	2,838	0	0	6	0	0	(2,208)
Operating Surplus/(Deficit)	(62)	(6)	(175)	53	(6)	0	0	0	0	(48)	(244)

CASH TO GAAP CONVERSION TABLE
 CAPITAL PROJECTS FUND
 2010-2011
 (millions of dollars)

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:									
Taxes	1,312	0	0	0	0	0	0	0	1,312
Miscellaneous receipts	4,150	0	(84)	(943)	(18)	0	(2,091)	74	1,088
Federal Grants	2,451	0	0	0	0	0	0	0	2,451
Total receipts/revenues	7,913	0	(84)	(943)	(18)	0	(2,091)	74	4,851
Disbursements/expenditures:									
Grants to local governments	1,292	0	0	0	0	0	0	8	1,300
Capital projects	7,162	(55)	(84)	(943)	(18)	1,247	0	163	7,472
Total disbursements/expenditures	8,454	(55)	(84)	(943)	(18)	1,247	0	171	8,772
Other financing sources (uses):									
Transfers from other funds	1,361	(55)	0	0	0	0	0	0	1,306
Transfers to other funds	(1,429)	0	0	0	0	0	0	0	(1,429)
Proceeds of GO Bonds	578	0	0	0	0	0	0	0	578
Proceeds from Financing Arrangements/ Advance Refundings	0	0	0	0	0	1,364	2,091	0	3,455
Net other financing sources (uses)	510	(55)	0	0	0	1,364	2,091	0	3,910
Operating Surplus/(Deficit)	(31)	0	0	0	0	117	0	(97)	(11)

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
2010-2011
(millions of dollars)

	Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	Reclass		System Accruals	Estimated GAAP Expenditures
					SUNY/ CUNY DS	SUNY/ CUNY DS		
Receipts/Revenues:								
Taxes	12,320	0	0	0	0	0	151	12,471
Patient fees	0	0	0	434	0	0	0	434
Miscellaneous receipts	840	(341)	(3)	(434)	0	0	0	62
Total receipts/revenues	13,160	(341)	(3)	0	0	0	151	12,967
Disbursements/expenditures:								
State operations	92	(6)	0	0	0	0	0	86
Debt Service	5,516	(79)	0	0	(879)	0	0	4,558
Total disbursements/expenditures	5,608	(85)	0	0	(879)	0	0	4,644
Other financing sources (uses):								
Transfers from other funds	7,050	0	0	0	0	0	0	7,050
Transfers to other funds	(14,624)	302	0	0	(879)	0	0	(15,201)
Net other financing sources (uses)	(7,574)	302	0	0	(879)	0	0	(8,151)
Operating Surplus/(Deficit)	(22)	46	(3)	0	0	0	151	172

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
001	Local Assistance Account	General
002	State Capital Projects	Capital Projects
003	State Operations Account	General
004	Tax Stabilization Reserve	General
005	Contingency Reserve	General
006	Universal Pre-Kindergarten Reserve	General
007	Community Projects	General
008	Rainy Day Reserve Fund	General
013	Attica State Employee Victims'	General
017	Refund Reserve Account	General
019	Mental Health Gift and Donations	Special Revenue
020	Combined Expendable Trust	Special Revenue
021	Agriculture Producers' Security	Private Purpose Trust
022	Milk Producers' Security	Private Purpose Trust
023	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	New York State Archives Partnership Trust	Special Revenue
025	Child Performer's Protection	Special Revenue
050	Tuition Reimbursement	Special Revenue
052	New York State Local Government Records Management Improvement	Special Revenue
053	School Tax Relief	Special Revenue
054	Charter Schools Stimulus	Special Revenue
055	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	Hudson River Valley Greenway	Special Revenue
059	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	Health Care Reform Act Resources	Special Revenue
064	Debt Reduction Reserve	Debt Service
065	State University Construction Fund Educational Facilities Payment	Debt Service
072	Dedicated Highway and Bridge Trust	Capital Projects
073	Dedicated Mass Transportation Trust	Special Revenue
074	State University Residence Halls Rehabilitation and Repair	Capital Projects
075	New York State Canal System Development	Capital Projects
076	State Park Infrastructure	Capital Projects
077	Passenger Facility Charge	Capital Projects
078	Environmental Protection	Capital Projects
079	Clean Water/Clean Air Implementation	Capital Projects
080	Hudson River Park	Capital Projects
101	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	Park and Recreation Land Acquisition Bond	Capital Projects
105	Pure Waters Bond	Capital Projects
106	Outdoor Recreation Development Bond	Capital Projects
109	Transportation Capital Facilities Bond	Capital Projects
115	Environmental Quality Protection Bond Act (1972)	Capital Projects
118	Rail Preservation and Development Bond	Capital Projects

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
119	State Housing Bond	Capital Projects
121	Rebuild and Renew New York Transportation Bond	Capital Projects
123	Transportation Infrastructure Renewal Bond	Capital Projects
124	Environmental Quality Bond Act (1986)	Capital Projects
126	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	Clean Water/Clean Air Bond	Capital Projects
129	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	School Capital Facilities Financing Reserve	Agency
135	Child Performer's Holding	Agency
136	Child Performer's Holding	Agency
137	Child Performer's Holding	Agency
152	Employees Health Insurance	Agency
153	Social Security Contribution	Agency
154	Employee Payroll Withholding	Agency
160	State Lottery	Special Revenue
162	Employees Dental Insurance	Agency
163	Management Confidential Group Insurance	Agency
165	Lottery Prize	Agency
166	Fringe Benefit Escrow	General
167	Health Insurance Reserve Receipts	Agency
169	Miscellaneous New York State Agency	Agency
174	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency
176	CUNY Senior College Operating	Agency
179	Medicaid Management Information System (MMIS) Escrow	Agency
221	Combined Student Loan	Special Revenue
225	MTA Financial Assistance Fund	Special Revenue
261	Federal USDA/Food and Nutrition Services	Special Revenue
265	Federal Health and Human Services	Special Revenue
267	Federal Education	Special Revenue
269	Federal Block Grants	Special Revenue
290	Federal Miscellaneous Operating Grants	Special Revenue
291	Federal Capital Projects	Capital Projects
300	Sewage Treatment Program Management and Administration	Special Revenue
301	ENCon Special Revenue	Special Revenue
302	Conservation	Special Revenue
303	Environmental Protection and Oil Spill Compensation	Special Revenue
304	Mental Health Services	Debt Service
305	Training and Education Program on Occupational Safety and Health	Special Revenue
306	Lawyers' Fund For Client Protection	Special Revenue
307	Equipment Loan Fund for the Disabled	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
309	Special Education	Agency
310	Forest Preserve Expansion	Capital Projects
311	General Debt Service	Debt Service
312	Hazardous Waste Remedial	Capital Projects
313	Mass Transportation Operating Assistance	Special Revenue
314	Clean Air	Special Revenue
315	Grade Crossing Elimination Debt Service	Debt Service
316	Housing Debt	Debt Service
317	Pine Barrens	Capital Projects
318	New York State Infrastructure Trust	Special Revenue
319	Department of Health Income	Debt Service
321	Legislative Computer Services	Special Revenue
322	Lake Champlain Bridges	Capital Projects
323	Centralized Services	Internal Service
324	Youth Commissary	Enterprise
325	State Exposition Special	Enterprise
326	Correctional Services Commissary	Enterprise
327	Suburban Transportation	Capital Projects
328	Biodiversity Stewardship and Research	Special Revenue
330	State University Dormitory Income	Debt Service
331	Agency Enterprise	Enterprise
332	Combined Non-Expendable Trust	Special Revenue
333	Winter Sports Education Trust	Special Revenue
334	Agency Internal Service	Internal Service
335	Musical Instrument Revolving	Special Revenue
337	Rural Housing Assistance	Special Revenue
338	Arts Capital Revolving	Special Revenue
339	Miscellaneous State Special Revenue	Special Revenue
340	Court Facilities Incentive Aid	Special Revenue
341	Employment Training	Special Revenue
342	Homeless Housing and Assistance	Special Revenue
343	Mental Hygiene Revolving	Internal Service
344	State University Revenue Collection	Agency
345	State University Income	Special Revenue
346	Chemical Dependence Service	Special Revenue
347	Youth Vocational Education	Internal Service
348	Tobacco Revenue Guarantee	General
349	Lake George Park Trust	Special Revenue
351	Sheltered Workshop	Enterprise
352	Patient Workshop	Enterprise
353	Mental Hygiene Community Stores	Enterprise
354	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	New York Great Lakes Protection	Special Revenue
357	Division For Youth Facilities Improvement	Capital Projects
358	Youth Centers Facility	Capital Projects
359	Federal Revenue Maximization Contract	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
360	Housing Development	Special Revenue
361	Clean Water/Clean Air	Debt Service
362	NYSDOT Commercial Vehicle Safety Program	Special Revenue
364	Local Government Assistance Tax	Debt Service
365	Vocational Rehabilitation	Special Revenue
366	Drinking Water Program Management and Administration	Special Revenue
368	New York City County Clerks' Operations Offset	Special Revenue
369	Judiciary Data Processing Offset	Special Revenue
374	Housing Assistance	Capital Projects
376	Housing Program	Capital Projects
377	City University Tuition Reimbursement (CUTRA)	Special Revenue
378	Natural Resource Damages	Capital Projects
380	Department of Transportation Engineering Services	Capital Projects
382	State University Federal Direct Lending	Agency
383	Supplemental Jury Facilities	Special Revenue
384	State University Capital Projects	Capital Projects
385	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	Miscellaneous Capital Projects	Capital Projects
388	City University of New York Capital Projects	Capital Projects
389	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	Indigent Legal Services	Special Revenue
394	Joint Labor and Management Administration	Internal Service
395	Audit and Control Revolving	Internal Service
396	Health Insurance Revolving	Internal Service
397	Correctional Industries Revolving	Internal Service
399	Correctional Facilities Capital Improvement	Capital Projects
400	Common Retirement - Administration	Pension Trust
450	Industrial Exhibit Authority	Enterprise
480	Unemployment Insurance Administration	Special Revenue
481	Unemployment Insurance Benefit	Enterprise
482	Unemployment Insurance Interest and Penalty	Special Revenue
484	Unemployment Insurance Occupational Training	Special Revenue
486	Federal Employment and Training Grants	Special Revenue

STATE OF NEW YORK FUND STRUCTURE

