

# ***PART III***

## ***CAPITAL PROGRAM AND FINANCING PLAN***



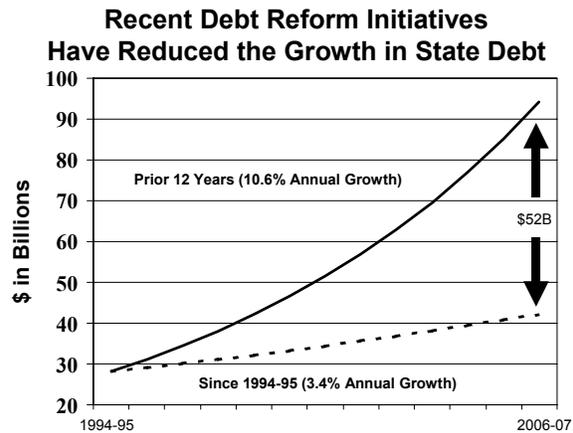
# CAPITAL PROGRAM AND FINANCING PLAN

## HIGHLIGHTS

### RECENT ACHIEVEMENTS HAVE SUCCESSFULLY REFORMED THE STATE'S DEBT MANAGEMENT PRACTICES

Governor Pataki has successfully reformed the State's debt management practices by controlling the costs of State debt while simultaneously making strategic capital investments to promote commerce and economic development, protect the environment and ensure the health and safety of all New Yorkers.

The Governor's reforms are highlighted by the enactment of the Debt Reform Act of 2000 to reduce State debt levels and the establishment of the Debt Reduction Reserve Fund to reduce high cost State debt. The results of these initiatives, in combination with financing essential capital projects with a prudent mix of pay-as-you-go resources and State-supported bonds and effectively managing the State's debt portfolio, are already evident — the growth in State debt has been cut by more than two-thirds.

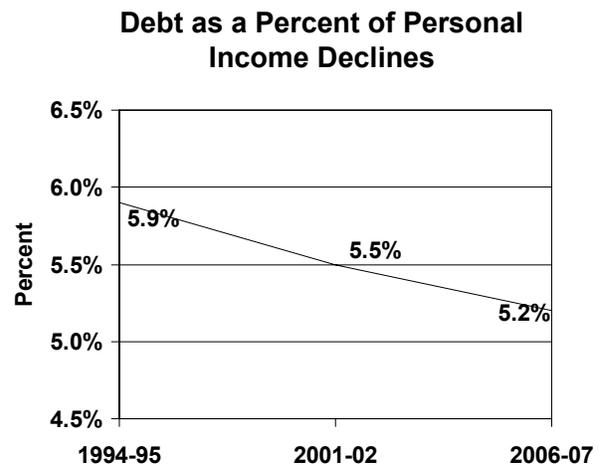


### GOVERNOR PATAKI'S 2002-03 EXECUTIVE BUDGET CONTINUES TO CONTROL DEBT

The State's Capital Program and Financing Plan continues recommendations to ensure that State debt is prudently used and remains affordable.

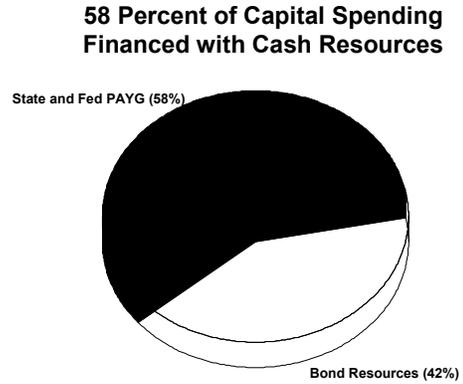
Over the Plan:

- The five-year average annual growth in total State-supported debt outstanding is projected to be 2.3 percent — less than the average annual 3.7 percent growth in personal income.
- Total State debt is projected to decline as a percent of personal income — from about 5.5 percent in 2001-02 to about 5.2 percent in 2006-07.
- The five-year average annual growth in total debt service costs is 2 percent — less than the average 3.7 percent annual growth in All Funds Receipts.



## FINANCING PLAN

- Total debt service costs as a percent of All Funds receipts is projected to decline from 5.1 percent in 2001-02 to about 4.7 percent in 2006-07.
- The portion of capital spending projected to be financed with State and Federal pay-as-you-go (PAYG) resources averages 58 percent from 2002-03 to 2006-07.
- Both the level of new State debt and debt service costs are projected to remain well below the statutory caps through the entire Plan period.



In 1998-99, the Governor proposed the Debt Reduction Reserve Fund (DRRF) as a mechanism to reduce State-supported debt and taxpayer costs by financing bonded capital projects with cash and paying down high cost debt. By the end of 2001-02, \$1 billion in surplus funds will have been used to pay down high cost debt and finance capital projects with cash, saving taxpayers \$1.7 billion in total debt service costs by the end of the Plan.

In 2001-02, the legislature enacted the Governor's proposal to authorize the issuance of lower cost revenue bonds. The revenue bonds, which are supported by the dedication of a portion of State personal income tax receipts, are subject to the caps and limitations imposed by the Debt Reform Act of 2000 and provide added protections to bondholders. The revenue bonds are projected to reduce borrowing costs by improving the marketability and creditworthiness of State-supported obligations, and reduce administrative costs by consolidating the issuance of multiple bonding programs.

The Budget continues to recommend debt levels and costs that are well within the constraints established by the Debt Reform Act of 2000. The Act applies to all new debt issued on and after April 1, 2000 and imposes caps on new debt outstanding as a percent of personal income and new debt service costs as a percent of All Funds receipts. The first statutory calculation of the caps, which was published in the 2001-02 Mid-Year Financial Plan Update, limits debt outstanding and debt service costs as of March 31, 2001 to .75 percent of personal income and .75 percent of All Funds receipts, respectively. As shown below, debt outstanding and debt service costs for 2000-01 were below the phased-in caps imposed by the Act.

Debt Outstanding Cap (\$ in millions)	
New Debt Outstanding	2,524
Personal Income (CY 2000)	655,583
Debt Outstanding (% of PI)	.39%
Cap Imposed by Debt Reform Act	.75%

Debt Service Cap (\$ in millions)	
New Debt Service	75
Government Funds Receipts	83,527
Debt Service (% of Govn't Funds Receipts)	.09%
Cap Imposed by Debt Reform Act	.75%

As shown in the following table, the Executive Budget reflects prudent levels of new debt issuances that maintain strategic capital investments while ensuring that new debt outstanding and new debt service costs continue to remain well below the Act's absolute limits.

**DEBT OUTSTANDING**  
(millions of dollars)

Year	Cap %	Maximum Debt Authorized	Capital Plan Recommendation	Recommendation Below Cap
2001-02	1.25%	8,489	5,159	3,330
2002-03	1.65%	11,342	8,630	2,712
2003-04	1.98%	14,156	11,264	2,892
2004-05	2.32%	17,248	13,717	3,531
2005-06	2.65%	20,618	15,926	4,692
2006-07	2.98%	24,238	17,894	6,344

**DEBT SERVICE COSTS**  
(millions of dollars)

Year	Cap %	Maximum Debt Service Costs Authorized	Capital Plan Recommendation	Recommendation Below Cap
2001-02	1.25%	1,063	306	757
2002-03	1.65%	1,453	724	729
2003-04	1.98%	1,764	1,073	691
2004-05	2.32%	2,155	1,370	785
2005-06	2.65%	2,569	1,609	960
2006-07	2.98%	3,035	1,868	1,167

**THE CAPITAL PLAN CONTINUES TO BUILD UPON RECENT DEBT REFORM ACHIEVEMENTS**

To further improve the State’s debt management practices and control borrowing costs, the Governor’s Executive Budget:

- Resubmits his Constitutional Debt Reform bill to ensure that the statutory provisions of the Debt Reform Act remain in place for generations. The Governor’s bill will make permanent the caps and limitations imposed by the Debt Reform Act, ban “back door” borrowing, and ensure that at least one-half of all new debt is approved by the voters.
- Proposes comprehensive legislation to permit all issuers of State-supported bonds to prudently use a limited amount of variable rate obligations and interest rate exchange agreements. Consistent with rating agency guidelines, this legislation authorizes the use of debt instruments which result in a variable rate exposure of not more than 15 percent of total debt outstanding, places limits on the use of interest rate exchange or similar agreements, and directs the governing boards of authorized issuers to adopt guidelines for interest rate exchange agreements.
- Proposes legislation to ensure that the State’s public authorities can rapidly respond to changing market conditions to take advantage of opportunities to refund high cost State debt.

**FIVE-YEAR CAPITAL PROGRAM AND FINANCING PLAN OVERVIEW**

The Five-Year Capital Program and Financing Plan is submitted with the Executive Budget in accordance with section 22-c of the State Finance Law. It consists of two sections, the Financing Plan and the Capital Program Plan, which provide financing and capital planning information for the current fiscal year and the five-year projection period from 2002-03 through 2006-07. The recommendations reflected in the Plan, including capital disbursements, debt issuances, debt retirements, State-supported debt levels, and debt service costs, are consistent with Article VII legislation which provides specific bonding authorizations and budget bills which provide required debt service appropriations. As required by statute, the Plan will be updated following the enactment of the State Budget.

## FINANCING PLAN

The Financing Plan section provides a summary of agency capital spending by financing source and on a program, agency and issuer basis. It also shows the level of debt issuances, retirements, outstanding State-supported debt and the resultant impact on future debt service costs.

The Capital Program Plan section describes agency capital goals and objectives, including a discussion of recommended projects and changes from prior year plans. Also included in this section are the recommended methods for financing new and ongoing capital programs or initiatives, and agency schedules of projected appropriations, commitments and disbursements. The agency narratives also provide a summary of each agency's capital maintenance efforts.

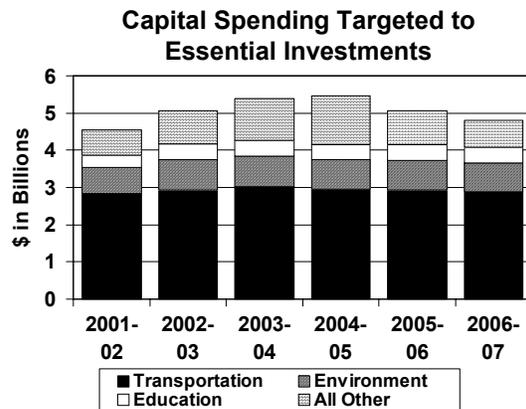
## FINANCING PLAN

This section discusses the financing sources for each agency's capital program. Projected levels of spending by fiscal year are arrayed according to the expected source of funding. These include State and Federal pay-as-you-go resources, and proceeds from general obligation and authority bonds.

The Financing Plan section of the Capital Program and Financing Plan presents the State's current assumptions about future Federal grants, general obligation bond authorizations, the use of State-supported debt, General Fund transfers, and support from other resources. The capital spending and financing receipts presented in this section correspond to the financial projections contained in the Executive Budget. Five-year financial plans for the Capital Projects Funds and the Debt Service Funds are also included to provide the reader with a consolidated summary of all financial activity described in this Plan. Finally, this section includes schedules of bond issuances, retirements, debt outstanding and associated debt service costs for all State-supported debt. This information is provided separately for each program, by issuer.

## SUPPORTING STRATEGIC CAPITAL INVESTMENTS

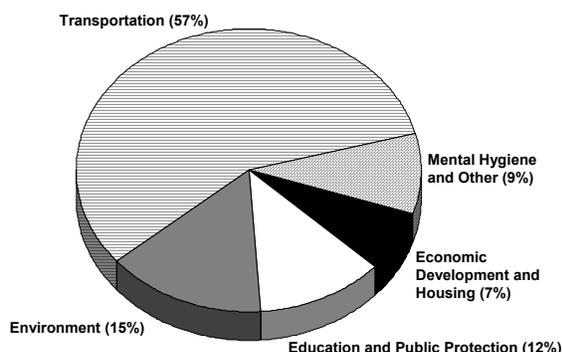
The Five-Year Capital Plan will continue to finance targeted investments that promote commerce, encourage economic development, preserve the environment, and protect the health and safety of New Yorkers. To maintain support for these diverse capital investments, the Executive Budget recommends capital spending during the Plan of \$25.8 billion, or about \$5.2 billion annually, and capital appropriations and reappropriations of about \$42.5 billion (net of transfers). The recommended new appropriations are devoted primarily to the preservation of existing facilities.



Capital Projects Funds spending in 2002-03 is projected at \$5.1 billion, or \$501 million higher than in 2001-02. The change reflects increased spending in the areas of: transportation (\$110 million); the environment (\$100 million); housing and economic development (\$95 million), which includes \$63 million in 2002-03 to support the proposed program for high technology research facilities; education (\$84 million); mental hygiene and public protection (\$30 million); and other (\$82 million), which includes Department of Transportation (DOT) spending for the reconstruction of Route 9A on the lower side of Manhattan which was damaged during the World Trade Center disaster.

Transportation spending, primarily for improvements to the State's highways and bridges, continues to account for the largest share of total spending — 57 percent. Another 15 percent is for environmental purposes, including projects supported by the voter-approved Clean Water/Clean Air Bond Act, approximately 12 percent is projected for education and public protection, and seven percent for economic development and housing. The remaining nine percent will be spent in mental hygiene and other areas.

### Capital Spending for Critical Investments



**CAPITAL SPENDING BY FUNCTION**  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
Transportation	2,826,767	2,936,926	3,030,291	2,951,650	2,923,792	2,879,590
Environment and Recreation						
Clean Water/Clean Air Bonds,						
Environmental Protection Fund and						
Remedial Program Transfer Fund	294,164	399,791	399,164	369,164	369,164	366,163
Federal and Other Programs	413,052	407,025	404,712	433,688	421,394	413,498
Education	332,463	416,555	432,871	401,704	436,737	427,730
Public Protection	201,678	210,700	209,450	220,600	229,550	223,800
Mental Hygiene	180,299	200,896	202,530	181,679	171,741	171,322
Housing and Economic Development	196,619	291,282	342,001	501,001	425,200	260,200
Other	108,690	191,238	375,455	404,560	86,116	72,550
<b>Total</b>	<b>4,553,732</b>	<b>5,054,413</b>	<b>5,396,474</b>	<b>5,464,046</b>	<b>5,063,694</b>	<b>4,814,853</b>

Key spending recommendations included in the Plan are discussed below.

- The State's transportation infrastructure is an essential component of the State's overall commitment to preserving investments that promote commerce and encourage business growth and job creation. The Executive Budget continues to finance construction levels for the 2002-03 Department of Transportation (DOT) Highway and Bridge Construction Program at their highest level of \$1.75 billion. Beginning in 2003-04, the Capital Plan anticipates that Federal aid levels under the 2003 Transportation Act will continue to support these higher levels of transportation spending. When engineering costs and programs for other modes of transportation are added, capital spending for transportation will average \$2.9 billion annually over the Five-Year Capital Plan.
- The DOT Plan continues to support local transportation programs. Funding for the Consolidated Highway Improvement Program (CHIPS) and the Marchiselli Program will be \$316 million in 2002-03 and is projected to total \$1.5 billion over the Capital Plan.
- Clean Water/Clean Air Bond Act appropriations and reappropriations are recommended for the balance of the \$1.75 billion Bond Act approved by the voters in 1996 to finance spending for air and water quality improvements and the restoration of industrial sites. Appropriations and reappropriations from the Environmental Protection Fund (EPF), the Hudson River Park Trust Fund and the Remedial Program Transfer Fund of \$1.6 billion will provide support for important projects that preserve the environment, promote recreation, and continue the cleanup of hazardous substance sites.

## ***FINANCING PLAN***

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- Capital appropriations and reappropriations of over \$1.8 billion are provided for the Department of Correctional Services. Public protection spending will average \$219 million annually over the five years, and will focus on preserving and maintaining existing facilities.
- New appropriations and reappropriations of \$5.2 billion for the State and City Universities of New York will continue to support important health and safety projects, preservation and handicapped access projects, the rehabilitation of existing facilities, the construction of new facilities to meet current academic needs, and the use of technology for instruction, research and community service at the Universities. To respond to the needs of a diverse and growing student population, the Executive Budget includes \$658 million in reappropriations and appropriations to renovate SUNY dormitory facilities. Reappropriations and appropriations of \$177 million will also support the completion of the \$195 million RESCUE program and the J2K Universities program.
- New appropriations and reappropriations of over \$1 billion will continue to facilitate the construction and preservation of the State's low and moderate-income housing stock. The Capital Plan includes average annual spending of almost \$111 million to maintain State support for low and moderate-income rental and home ownership and homeless housing programs.
- New appropriations and reappropriations of more than \$2 billion are recommended for Mental Hygiene projects. Spending will continue to support essential health and safety, rehabilitation and maintenance projects needed to preserve and maintain both State and community-based facilities operated and/or licensed by the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services.
- New appropriations and reappropriations of \$677 million for the Office of General Services will continue plans for the construction, rehabilitation, consolidation and renovation of State office buildings to achieve space efficiencies and reduce operational costs. The Plan projects that spending of \$89 million for the rehabilitation of the Alfred E. Smith State Office Building (AESOB) will continue through 2004-05. In addition, the Plan includes an appropriation of \$21 million in 2002-03 for the Elk Street parking garage in Albany that is to be built in conjunction with AESOB renovations.
- A total of \$250 million in new appropriations for Centers of Excellence and other high technology, biotechnology initiatives will be administered by the Empire State Development Corporation (ESDC) in conjunction with the New York State Office of Science, Technology and Academic Research (NYSTAR). This program will finance projects that create or retain technology-related jobs including the construction and rehabilitation of research facilities, acquisition of business equipment, and development of business incubators and business parks. The Executive Budget provides support for a new Empire Opportunity Fund that will finance major capital infrastructure, construction and other economic development projects that either directly create and retain jobs or build capacity for future job growth in areas outside New York City.
- New appropriations and reappropriations of \$214 million for the Office of Children and Family Services continue to include funding to replace the Harlem Valley Youth Facility.

## FINANCING PLAN

**CAPITAL PROJECTS  
CAPITAL SPENDING BY FINANCING SOURCES  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)**

Financing Source	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
State Pay-As-You-Go	1,210,502	1,346,534	1,332,210	1,172,637	1,101,003	983,227
Federal Funds Pay-As-You-Go	1,462,800	1,565,534	1,816,670	2,009,761	1,795,815	1,801,330
General Obligation Bonds	274,881	266,832	238,070	206,496	178,641	147,944
Authority Bonds	1,605,549	1,875,513	2,009,524	2,075,152	1,988,235	1,882,352
<b>Total</b>	<b>4,553,732</b>	<b>5,054,413</b>	<b>5,396,474</b>	<b>5,464,046</b>	<b>5,063,694</b>	<b>4,814,853</b>

**CAPITAL PROJECTS  
PERCENTAGE MIX OF FINANCING SOURCES  
2001-2002 THROUGH 2006-2007**

Financing Source	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
State Pay-As-You-Go	27	27	25	21	22	20
Federal Funds Pay-As-You-Go	32	31	34	37	35	38
General Obligation Bonds	6	5	4	4	4	3
Authority Bonds	35	37	37	38	39	39
<b>Total</b>	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>

### **DEBT REFORM INITIATIVES ENSURE INVESTMENTS ARE PRUDENTLY FINANCED**

Capital spending in the Plan is financed by State and Federal pay-as-you-go resources, State general obligation bonds, and public authority State-supported bonds. The successful implementation of the Debt Reform Act of 2000 and debt management efforts continue to ensure that a significant portion of total spending for capital investments is financed with pay-as-you-go resources.

The Plan's prudent use of pay-as-you-go resources reduces the State's dependence on bond financing, ensuring that new debt issuances remain well within the caps and limitations imposed by the Debt Reform Act. Over the Plan, the share of capital spending financed with State and Federal pay-as-you-go resources remains at roughly 58 percent annually. The portion of the Plan financed with bond proceeds is projected at 42 percent.

Reducing the State's dependency on bond financing ensures that over the Five-Year Plan, 58 percent of total capital spending will be financed with cash resources — with 23 percent of total capital spending attributable to State pay-as-you-go resources and 35 percent attributable to Federal grants. The percentage of the Plan financed with authority bond proceeds and general obligation bonds is 38 percent and four percent, respectively.

# FINANCING PLAN

**CAPITAL PROJECTS FINANCED BY  
STATE AND FEDERAL PAY-AS-YOU-GO RESOURCES  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)**

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>Transportation</b>						
Department of Transportation	1,986,664	2,012,487	2,033,363	1,970,373	1,950,669	1,850,308
Department of Motor Vehicles	0	14,788	21,870	27,070	20,370	17,370
Thruway Authority	2,000	2,000	2,000	2,000	2,000	2,000
<b>Environment and Recreation</b>						
Department of Environmental Conservation	281,074	386,586	401,904	402,120	428,117	453,117
Office of Parks, Recreation and Historic Preservation	28,911	30,148	29,183	28,936	29,000	27,800
Hudson River Park Trust	20,000	30,000	22,000	20,000	6,000	0
Department of Agriculture and Markets	2,000	2,000	2,000	2,000	2,000	2,000
Energy Research and Development Authority	15,250	13,250	13,900	13,900	15,400	15,400
<b>Public Protection</b>						
Department of Correctional Services	1,500	1,500	0	0	0	0
Division of State Police	2,453	4,600	2,800	2,800	2,800	2,800
Division of Military and Naval Affairs	9,725	16,600	18,650	29,800	38,750	33,000
<b>Education</b>						
State Education Department	3,630	4,630	4,630	4,630	4,630	4,630
City University of New York	9,100	9,100	9,100	9,100	9,100	9,100
State University of New York: Senior Colleges	72,689	87,200	91,306	90,174	89,507	80,500
Community Colleges	3,500	3,500	3,500	3,500	3,500	3,500
<b>Mental Hygiene</b>						
Office of Mental Health	32,644	32,859	35,550	37,318	36,500	33,800
Office of Mental Retardation and Developmental Disabilities	37,755	38,342	41,215	41,634	43,070	44,166
Office of Alcoholism and Substance Abuse Services	6,503	5,749	6,367	6,633	6,864	8,991
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	12,700	14,059	14,575	14,575	14,575	14,575
Office of Temporary and Disability Assistance	7,500	1,750	1,500	0	0	0
Urban Development Corporation	0	10,000	25,000	25,000	15,000	0
Office of Science, Technology, and Academic Research	2,000	0	0	0	0	0
<b>Other</b>						
All States and Agencies World Trade Center	0	40,000	210,000	270,000	0	0
Office of General Services	52,200	52,200	52,200	53,200	56,000	60,000
Office of Children and Family Services	7,289	7,866	5,070	3,835	1,300	1,000
Department of Health	71,146	70,054	89,897	120,500	120,500	120,500
Department of State	69	0	0	0	0	0
Judiciary	5,000	20,800	11,300	3,300	1,166	0
<b>Total State and Federal Pay-As-You-Go Financing</b>	<u>2,673,302</u>	<u>2,912,068</u>	<u>3,148,880</u>	<u>3,182,398</u>	<u>2,896,818</u>	<u>2,784,557</u>

### **STATE PAY-AS-YOU-GO**

State pay-as-you-go financing reflects payments for capital programs on a current basis from current State revenues. Pay-as-you-go resources include: General Fund taxes; other taxes and user fees set aside or dedicated for specific capital programs; repayments from local governments and public authorities for their share of projects; and transfers from other funds, including the General Fund. Over the Five-Year Plan, State pay-as-you-go resources of \$5.9 billion will support 23 percent of total spending.

Approximately \$2.6 billion of State pay-as-you-go financing over the Five-Year Plan is from the Dedicated Highway and Bridge Trust Fund. The Fund receives a significant portion of the petroleum business tax, the motor fuel tax, motor vehicle fees, and all of the highway use tax. Receipts deposited into the Trust Fund are used to finance projects on a pay-as-you-go basis and to pay debt service on Trust Fund and CHIPS bonds. The Plan continues to reflect last year's reclassification of spending for snow and ice removal and related expenses from the General Fund to the Dedicated Highway and Bridge Trust Fund through 2003-04. The Budget includes legislation to ensure that an equivalent increase in Dedicated Fund taxes and fees, including the auto rental tax, will continue to finance these costs with pay-as-you-go resources. Over the Five-Year Plan, approximately \$8 billion in existing taxes and fees will be deposited to the Fund.

Capital spending supported by General Fund receipts is classified as a transfer to the various Capital Projects Funds. The General Fund transfer, which is reflected in total State pay-as-you-go spending, is projected at \$318 million in 2002-03 and will average \$387 million annually over the Plan. The General Fund transfer primarily finances minor rehabilitation projects of facilities operated by the Office of General Services, the Department of Environmental Conservation and the Department of Mental Hygiene. Beginning in 2002-03 and thereafter, annual General Fund transfers of \$69 million to the new Remedial Program Transfer Fund will continue to support the cleanup of hazardous waste sites.

State pay-as-you-go resources derived from statutorily dedicated revenues that finance projects for environmental and recreational purposes are projected to average approximately \$211 million annually. In addition to the \$36 million in miscellaneous receipts from industry fees that are deposited into the Remedial Program Transfer Fund, the Environmental Protection Fund (EPF) will continue to receive annual deposits of real estate transfer taxes (\$112 million) and other miscellaneous receipts (approximately \$16 million annually). The State Park Infrastructure Fund (SPIF), which continues to be supported by park fees and other miscellaneous revenues, will finance about \$22 million annually in improvements to the State's park system.

# FINANCING PLAN

**CAPITAL PROJECTS FINANCED BY  
STATE PAY-AS-YOU-GO RESOURCES  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)**

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>Transportation</b>						
Department of Transportation	746,739	716,493	655,612	469,212	403,754	293,278
Department of Motor Vehicles	0	14,788	21,870	27,070	20,370	17,370
Thruway Authority	2,000	2,000	2,000	2,000	2,000	2,000
<b>Environment and Recreation</b>						
Department of Environmental Conservation	140,124	244,086	259,404	259,620	285,617	310,617
Office of Parks, Recreation and Historic Preservation	26,411	27,592	26,683	26,436	26,500	26,500
Hudson River Park Trust	20,000	30,000	22,000	20,000	6,000	0
Department of Agriculture and Markets	2,000	2,000	2,000	2,000	2,000	2,000
Energy Research and Development Authority	15,250	13,250	13,900	13,900	15,400	15,400
<b>Public Protection</b>						
Department of Correctional Services	1,500	1,500	0	0	0	0
Division of State Police	2,453	4,600	2,800	2,800	2,800	2,800
Division of Military and Naval Affairs	6,300	6,600	9,150	11,200	9,850	7,500
<b>Education</b>						
State Education Department	3,630	4,630	4,630	4,630	4,630	4,630
City University of New York	9,100	9,100	9,100	9,100	9,100	9,100
State University of New York: Senior Colleges	72,689	87,200	91,306	90,174	89,507	80,500
Community Colleges	3,500	3,500	3,500	3,500	3,500	3,500
<b>Mental Hygiene</b>						
Office of Mental Health	32,644	32,859	35,550	37,318	36,500	33,800
Office of Mental Retardation and Developmental Disabilities	37,755	38,342	41,215	41,634	43,070	44,166
Office of Alcoholism and Substance Abuse Services	6,503	5,749	6,367	6,633	6,864	8,991
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	2,700	4,575	4,575	4,575	4,575	4,575
Office of Temporary and Disability Assistance	7,500	1,750	1,500	0	0	0
Urban Development Corporation	0	10,000	25,000	25,000	15,000	0
Office of Science, Technology, and Academic Research	2,000	0	0	0	0	0
<b>Other</b>						
Office of General Services	52,200	52,200	52,200	53,200	56,000	60,000
Office of Children and Family Services	7,289	7,866	5,070	3,835	1,300	1,000
Department of Health	5,146	5,054	25,478	55,500	55,500	55,500
Department of State	69	0	0	0	0	0
Judiciary	5,000	20,800	11,300	3,300	1,166	0
<b>Total State Pay-As-You-Go Financing</b>	<u>1,210,502</u>	<u>1,346,534</u>	<u>1,332,210</u>	<u>1,172,637</u>	<u>1,101,003</u>	<u>983,227</u>

**FEDERAL PAY-AS-YOU-GO**

Federal pay-as-you-go resources support spending financed by grants from the Federal Government, primarily for highways and bridges, drinking water and water pollution control facilities, public protection and housing. Over the Plan, Federal grants account for 35 percent of total capital spending. Federal disbursements are included in the Plan for the reconstruction of Route 9A located on the west side of lower Manhattan as the result of the damage caused by the attacks on the World Trade Center.

The largest components of Federal pay-as-you-go spending are for transportation and the environment (including Federal spending for Department of Health Safe Drinking Water projects), averaging \$1.5 billion and almost \$210 million per year, respectively. Beginning in 2003-04 and thereafter, the Capital Plan anticipates additional Federal aid under the 2003 Transportation Act of approximately \$120 million annually.

**CAPITAL PROJECTS FINANCED BY  
FEDERAL GRANTS PAY-AS-YOU-GO RESOURCES  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)**

	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>2005-2006</u>	<u>2006-2007</u>
<b>Transportation</b>						
Department of Transportation	1,239,925	1,295,994	1,377,751	1,501,161	1,546,915	1,557,030
<b>Environment and Recreation</b>						
Department of Environmental Conservation	140,950	142,500	142,500	142,500	142,500	142,500
Office of Parks, Recreation and Historic Preservation	2,500	2,556	2,500	2,500	2,500	1,300
Department of Health	66,000	65,000	64,419	65,000	65,000	65,000
<b>Public Protection</b>						
Division of Military and Naval Affairs	3,425	10,000	9,500	18,600	28,900	25,500
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	10,000	9,484	10,000	10,000	10,000	10,000
<b>Other</b>						
All States and Agencies World Trade Center	0	40,000	210,000	270,000	0	0
<b>Total Federal Grants Pay-As-You-Go Financing</b>	<u>1,462,800</u>	<u>1,565,534</u>	<u>1,816,670</u>	<u>2,009,761</u>	<u>1,795,815</u>	<u>1,801,330</u>

**GENERAL OBLIGATION BOND FINANCING**

General obligation bond financing of capital projects is accomplished through the issuance of full-faith and credit State bonds that have been authorized by the voters. The Plan assumes the continued implementation of eight previously authorized bond acts (four for transportation and four for environmental and recreational programs). Over the Five-Year Plan, the bulk of general obligation bond financed spending, or \$753 million, supports environmental protection projects approved by the 1996 Clean Water/Clean Air Bond Act. Spending from the voter approved 1988 ACTION bonds for highways and bridges and the 1986 Environmental Quality Bond Act for hazardous waste remediation will average \$48 million over the Plan. Spending authorizations from the remaining five bond acts will be virtually depleted by the end of the Plan.

Spending supported by general obligation bonds totals five percent in 2002-03, primarily from the voter-approved 1996 Clean Water/Clean Air Bond Act and 1986 Environmental Quality Bond Act. Capital spending supported by general obligation bonds declines to three percent by the end of the Plan, reflecting the impact of the spend-down from authorizations other than the Clean Water/Clean Air Bond Act. Overall, this category accounts for four percent of the Plan's capital spending.

## FINANCING PLAN

**CAPITAL PROJECTS FINANCED BY  
GENERAL OBLIGATION BONDS  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)**

	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>2005-2006</u>	<u>2006-2007</u>
<b>Transportation</b>						
Department of Transportation						
Action - 1988	14,000	12,000	10,000	8,000	6,000	4,000
Infrastructure Renewal - 1983	5,000	5,000	5,000	5,000	5,000	5,000
Energy Conservation - 1979	200	200	200	200	200	200
Transportation Capital Facilities - 1967	1,200	800	400	400	400	400
<b>Environment and Recreation</b>						
Department of Environmental Conservation						
Clean Water/Clean Air 1996	118,755	119,382	138,755	138,755	138,755	136,163
EQBA 1986	75,000	70,000	50,000	50,000	24,791	0
EQBA 1972	492	2,130	1,106	1,100	1,100	1,100
Pure Waters 1965	3,725	4,800	800	800	800	800
Office of Parks, Recreation and Historic Preservation						
EQBA 1986	6,100	1,711	1,400	1,832	1,186	281
EQBA 1972	0	400	0	0	0	0
Environmental Facilities Corporation						
Clean Water/Clean Air 1996	292	292	292	292	292	0
Energy Research and Development Authority						
Clean Water/Clean Air 1996	117	117	117	117	117	0
<b>Other</b>						
Department of Health						
Clean Water/Clean Air 1996	50,000	50,000	30,000	0	0	0
<b>Total General Obligation Bond Financing</b>	<u>274,881</u>	<u>266,832</u>	<u>238,070</u>	<u>206,496</u>	<u>178,641</u>	<u>147,944</u>

## AUTHORITY BOND FINANCING

Authority bond-financed capital spending is reimbursed by the proceeds of bonds sold by State public authorities pursuant to contractual agreements with the State. Consistent with the adoption of the Debt Reform Act and the continuation of other debt management efforts, the portion of the Plan financed with authority bond proceeds averages about 38 percent.

The table of authority bond-financed spending includes those capital programs for which spending is included in the State's Financial Plan. While this includes the majority of such financings, certain programs that are financed directly from bond proceeds (such as the Dormitory Authority-financed CUNY program, the Thruway Authority-financed CHIPS Program, and a portion of the Dormitory Authority-financed State Mental Hygiene Program) are not counted by the Comptroller as spending (although they are counted as State-supported debt), since neither the disbursements nor the receipt of bond proceeds are reflected by the Comptroller as activity from State funds or accounts.

The largest component of spending financed by authority bonds is within the Dedicated Highway and Bridge Trust Fund (48 percent). Dedicated Highway and Bridge Trust Fund bonds that are issued to support the five-year DOT Plan will average approximately \$945 million annually over the Plan.

Authority bond-financed spending for non-transportation programs decreases from approximately \$986 million in 2002-03 to about \$882 million in 2006-07. This change primarily reflects the "spend out" of certain education and economic development programs, such as RESCUE (\$79 million) and the Strategic Investment Program (\$76 million).

## FINANCING PLAN

**CAPITAL PROJECTS FINANCED BY  
AUTHORITY BONDS  
2001-2002 THROUGH 2006-2007  
(Thousands of Dollars)**

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>Transportation</b>						
Department of Transportation	817,703	889,651	957,458	938,607	939,153	1,000,312
<b>Environment and Recreation</b>						
Department of Environmental Conservation	28,000	28,000	28,000	28,000	28,000	28,000
Environmental Facilities Corporation	11,500	3,000	0	0	0	0
<b>Public Protection</b>						
Department of Correctional Services	188,000	188,000	188,000	188,000	188,000	188,000
<b>Education</b>						
State University of New York	185,000	215,000	307,500	290,000	330,000	330,000
State Education Department	50,000	79,304	0	0	0	0
Office of Science, Technology and Academic Research	8,544	17,821	16,835	4,300	0	0
<b>Mental Hygiene</b>						
Office of Mental Health	73,420	90,661	89,611	69,397	58,506	57,218
Office of Mental Retardation and Developmental Disabilities	5,073	11,111	8,151	6,216	6,301	6,395
Office of Alcoholism and Substance Abuse Services	24,904	22,174	21,636	20,481	20,500	20,752
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	77,875	78,875	70,875	66,875	65,625	65,625
Office of Temporary and Disability Assistance	23,000	23,000	23,000	25,000	30,000	30,000
Urban Development Corporation	0	77,500	162,500	325,000	300,000	150,000
All State Departments and Agencies Economic Development and Other Purposes	73,544	86,098	44,551	44,551	0	0
<b>Other</b>						
Office of Children and Family Services	34,997	28,318	47,407	39,725	22,150	6,050
Department of Health	3,989	0	0	0	0	0
Office of General Services	0	37,000	44,000	29,000	0	0
<b>Total Authority Bond Financing</b>	<u>1,605,549</u>	<u>1,875,513</u>	<u>2,009,524</u>	<u>2,075,152</u>	<u>1,988,235</u>	<u>1,882,352</u>

## FINANCIAL PLANS

The following table provides an explanation of the receipt, disbursement, transfer and general obligation bond amounts contained in the Plan and how they correspond to the applicable Governmental Funds financial plans. All amounts are reflected on a cash basis in the fiscal year during which the spending or receipt activity occurs. Since capital disbursements have been discussed in previous sections of Appendix II and in the Financial Plan Overview, the following information is provided as a description of the receipt categories which support capital spending. Receipts include taxes, miscellaneous receipts (authority and general obligation bond reimbursements, miscellaneous receipts and other repayments), Federal grants, and transfers from other Governmental Funds.

Taxes dedicated to capital programs are estimated to account for approximately 28 percent of the total receipts supporting capital spending during the Plan. A large portion of the existing petroleum business tax, motor fuel tax, motor vehicle fees, and all of the highway use tax and auto rental tax will finance spending from the Dedicated Highway Bridge and Trust Fund. Over the Five-Year Plan, total annual Dedicated Trust Fund taxes will average approximately \$1.6 billion annually. In addition, \$112 million of annual real estate transfer taxes are deposited to the Environmental Protection Fund.

Miscellaneous receipts include bond proceeds and certain fees. The fees are primarily for environmental or transportation purposes, and are deposited into capital projects funds for specific programs. Authority bond proceeds, which reimburse the State for capital disbursements, are linked directly to spending, although these receipts may not be received in the same fiscal year in which spending activity occurs. For example, some design work or

## **FINANCING PLAN**

site acquisition may occur prior to construction and the sale of bonds. For this Plan, miscellaneous receipts are projected to comprise 35 percent of capital projects funds receipts. A further description of this receipts category is provided in the "Explanation of Receipt Estimates" section of this Appendix.

Federal grants reimburse the State for the Federal share of construction projects. Reimbursements are received primarily for spending on transportation, environment and recreation, housing and public protection projects. Federal grants are anticipated to provide 30 percent of capital projects receipts in this Plan.

The balance of capital project financing, seven percent, is supported by transfers from other funds. The primary transfer in support of capital spending is made from the State's General Fund and is comprised of transfers to the Capital Projects Fund for routine project spending, and to the Remedial Program Transfer Fund to continue the cleanup of hazardous waste sites after the 1986 Environmental Quality Bond Act funds are committed. Over the five years of the Plan, the transfers from the General Fund represent approximately one percent of total State General Fund spending.

### **CAPITAL PROJECTS FUNDS FINANCIAL PLAN PREPARED ON THE CASH BASIS OF ACCOUNTING**

(Thousands of Dollars)

	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>2005-2006</u>	<u>2006-2007</u>
<b>Opening funds balances</b>	<u>(88,902)</u>	<u>(165,072)</u>	<u>(291,774)</u>	<u>(298,365)</u>	<u>(299,865)</u>	<u>(259,736)</u>
<b>Receipts:</b>						
<b>Taxes</b>	1,554,000	1,673,400	1,745,600	1,669,600	1,687,600	1,696,400
<b>Miscellaneous receipts</b>	1,731,226	2,067,041	2,179,284	2,243,377	2,129,088	2,021,011
<b>Federal grants</b>	1,470,730	1,575,824	1,827,600	2,019,251	1,805,365	1,810,880
<b>Total receipts</b>	<u>4,755,956</u>	<u>5,316,265</u>	<u>5,752,484</u>	<u>5,932,228</u>	<u>5,622,053</u>	<u>5,528,291</u>
<b>Disbursements:</b>						
<b>Grants to local governments</b>	856,195	955,688	918,708	1,011,827	943,222	740,883
<b>Capital projects</b>	3,697,537	4,098,725	4,477,766	4,452,219	4,120,472	4,073,970
<b>Total disbursements</b>	<u>4,553,732</u>	<u>5,054,413</u>	<u>5,396,474</u>	<u>5,464,046</u>	<u>5,063,694</u>	<u>4,814,853</u>
<b>Other financing sources (uses):</b>						
<b>Transfers from other funds</b>	253,669	343,131	404,280	428,799	494,762	490,983
<b>Transfers to other funds</b>	(769,063)	(991,685)	(998,281)	(1,097,881)	(1,188,992)	(1,312,178)
<b>Bond and note proceeds</b>	237,000	260,000	231,400	199,400	176,000	151,000
<b>Net other financing sources (uses)</b>	<u>(278,394)</u>	<u>(388,554)</u>	<u>(362,601)</u>	<u>(469,682)</u>	<u>(518,230)</u>	<u>(670,195)</u>
<b>Changes in funds balances</b>	<u>(76,170)</u>	<u>(126,702)</u>	<u>(6,591)</u>	<u>(1,500)</u>	<u>40,129</u>	<u>43,243</u>
<b>Closing funds balances</b>	<u>(165,072)</u>	<u>(291,774)</u>	<u>(298,365)</u>	<u>(299,865)</u>	<u>(259,736)</u>	<u>(216,493)</u>

## DEBT FINANCING

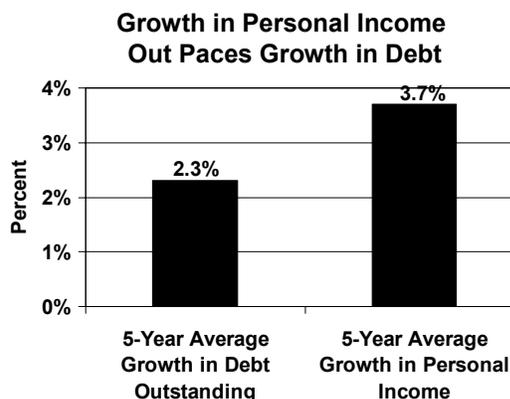
Financing the construction and improvements to long-term capital assets through borrowing is an integral part of the State's Capital Program and Financing Plan. This section describes the impact of bond-financed capital spending on the amount of State-supported debt and debt service expenditures throughout the Plan. Supporting this analysis are separate tables for debt issuances, debt retirements, debt outstanding and debt service.

### GROWTH IN DEBT OUTSTANDING IS REDUCED

The implementation of the debt outstanding caps imposed by the Debt Reform Act of 2000 and the prudent use of available surplus funds deposited to the Debt Reduction Reserve Fund (DRRF) have significantly reduced the growth in State-supported debt. From 1994-95 to 2006-07, the average annual growth in total State-supported debt is projected to be 3.4 percent, or about a two-thirds reduction from the 10.6 percent average annual growth in State debt from 1983-84 to 1994-95.

Capping new debt levels to four percent of personal income ensures that the growth in debt does not outpace the growth in personal income — a measure commonly used by the financial community to assess affordability. Over time, the debt outstanding cap imposed by the Debt Reform Act ensures that New York's total debt burden is reduced to no more than four percent of State personal income. Over the Plan:

- The five-year average annual growth in total debt outstanding of 2.3 percent is significantly less than the projected average annual growth in personal income of 3.7 percent.
- Total debt outstanding as a percent of personal income will decline from 5.5 percent in 2001-02 to 5.2 percent in 2006-07.
- Total debt outstanding will increase from \$37.7 billion in 2001-02 to \$42.3 billion in 2006-07, or by an annual average of 2.3 percent.
- New debt outstanding as a percent of State personal income will be well below the statutory debt reform caps.



Over the Plan period, Emergency Highway bonds issued by the Thruway Authority, Pension bonds issued by the Dormitory Authority, and Empire State Plaza bonds issued by Albany County will be fully retired. By 2006-07, the last year of the Plan, the majority of State supported debt outstanding will be attributable to transportation and education.

### ENSURING DEBT SERVICE COSTS REMAIN AFFORDABLE

The debt service table shows the amount of resources devoted to financing the principal and interest costs on new and currently outstanding State-supported debt. The table includes projected total annual debt service by program and issuer, and includes debt service on general obligation bonds, as well as lease-purchase and contractual obligations issued by State agencies, public authorities, and municipalities through financing agreements with the State.

## FINANCING PLAN

As a result of prudent debt management initiatives, debt service costs will continue to remain affordable over the Plan. The five-year average annual growth in total debt service costs of two percent is less than the average annual growth in All Funds receipts of 3.7 percent.

### DEBT ISSUANCES

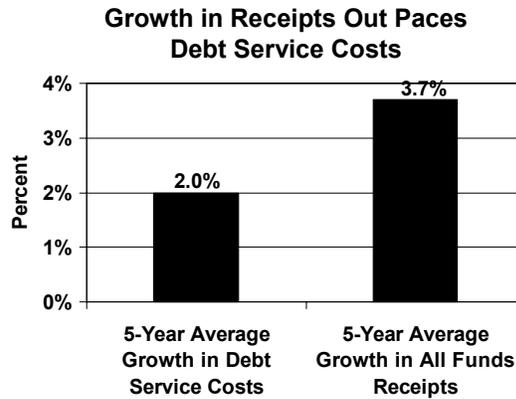
Projected debt issuances will finance strategic capital investments which will continue to support the State's transportation infrastructure, encourage economic development and job creation, improve educational facilities, protect the environment, and maintain correctional and mental hygiene facilities. Over the Five-Year Plan, annual debt issuances will decline from \$3.8 billion in 2002-03 to \$2.8 billion in 2006-07, or by an annual average of about seven percent.

Over the Five-Year Plan, annual issuances will average approximately \$3.1 billion. Revenue bonds, which are supported by a pledge of 25 percent of State personal income receipts, will be issued in lieu of State appropriation-backed bonds. Revenue bonds will reduce borrowing costs by improving the marketability and creditworthiness of State-supported obligations and by permitting the consolidation of multiple bonding programs to reduce administrative costs.

Over the Five-Year Plan, it is expected that revenue bonds will be issued under five functional purposes, as shown below:

- **Education Revenue Bonds Issued by the Dormitory Authority:** To support SUNY Higher Educational Facilities, SUNY Upstate Community Colleges, CUNY Higher Educational Facilities and CUNY Community Colleges, RESCUE and J2K Universities (2002-03 issuance of \$562 million).
- **Environmental Revenue Bonds Issued by the Environmental Facilities Corporation:** To support the State Revolving Fund and Pipeline for Jobs and other environmental programs (2002-03 issuance of \$32 million).
- **Transportation Revenue Bonds Issued by the Thruway Authority:** To support CHIPs and other non-Dedicated Highway transportation purposes (2002-03 issuance of \$323 million).
- **Economic Development and Housing Revenue Bonds Issued by the Empire State Development Corporation, the Dormitory Authority or Housing Finance Agency:** To support Housing, the Strategic Investment Program (SIP), Stadia, the Community Enhancement Facilities Assistance Program (CEFAP), and High Technology. Economic Development Revenue bonds for CEFAP and SIP may also be issued by the Dormitory Authority (2002-03 issuance of \$324 million).
- **State Facilities and Equipment Revenue Bonds Issued by the Empire State Development Corporation:** Correctional Facilities, Youth Facilities, State Office Buildings, Elk Street Parking Garage, and equipment bonds. Certificates of Participation, which are issued by the Comptroller, may also be used to finance equipment needs (2002-03 issuance of \$497 million).

Remaining issuances in 2002-03 will be financed by bonding programs which are supported by other streams of revenues or voter approved general obligation bonds. General obligation bonds will be issued to implement projects financed by the voter-approved Clean Water/Clean Air Bond Act and other prior bond acts, predominantly the 1986 Environmental Quality Bond Act.



Over the Five-Year Plan, Dedicated Highway and Bridge Trust Fund bonds, which are issued by the Thruway Authority and supported by dedicated motor fuel and motor vehicle related taxes, will average \$1 billion annually. In conjunction with additional State and Federal pay-as-you-go resources, these issuances will support construction letting levels of \$1.75 billion annually under the Department of Transportation's Highway and Bridge Construction Program. Dedicated Highway bond issuances account for the largest share of the Plan's debt issuances, or 32 percent.

Dormitory Facilities bonds, which are issued by the Dormitory Authority and supported by dormitory fees and rents charged to the residing population, will average \$77 million annually over the Plan. The bonds will support the expansion and renovation of SUNY dormitory facilities to provide safe housing for a growing student population.

Mental Hygiene bonds, which are issued by the Dormitory Authority and supported by patient revenues, will average \$212 million over the Plan. These issuances will support capital projects to preserve and maintain both State and community-based facilities operated and/or licensed by the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services.

Empire Opportunity Fund bonds will be issued to provide additional resources to support capital projects that facilitate job growth.

The current interest rate environment remains relatively favorable for municipal bond issuers. The Division forecasts that long-term, tax exempt fixed rates on new issuances will range from 5.15 percent to 6.7 percent throughout the Capital Plan period. Short-term tax exempt rates are expected to average about 4.25 percent. Projected debt issuances also reflect debt management strategies that reduce borrowing costs, including the use of shorter-term maturities to coordinate the useful life of assets and the policy of not using capitalized interest on bonds sold for projects which are not revenue-generating facilities.

### **DEBT RETIREMENTS**

The retirements table presents the annual repayment of principal for State-supported debt for each fiscal year within the Plan. It accounts for retirements as of the payment date due to bondholders, rather than the actual date the State makes the cash payment. For example, if principal payments are due on April 1, the bonds are considered outstanding on March 31, even if the State is contractually obligated to make payments to the trustee prior to that date. Retirements from both outstanding State-supported debt and debt expected to be issued during the course of the Plan are included in the retirements table.

The rate at which State-supported debt is retired or paid off has a significant impact on the State's ability to utilize bonds for its capital programs. The Plan will continue to use shorter term maturities for bonds issued to support CEFAP and SIP. In addition, ten-year maturities will continue to be used for taxable programs. These debt management efforts will have a positive impact on the rate at which State-supported debt is retired.

Over the Plan, retirements of State-supported debt are projected to average \$2.2 billion annually. Bonds issued under the Emergency Highway Programs, by Albany County for the Empire State Plaza, and those issued to refinance a pension obligation will be fully retired by 2002-03. Over the Plan period, retirements will increase for many of the State's largest bonding programs, including those for CHIPs, the Dedicated Highway and Bridge Trust Fund, SUNY, CUNY, Correctional Services and Mental Hygiene.

## **FINANCING PLAN**

### **BOND AUTHORIZATIONS**

Legal authorizations for the State to enter into contractual agreements with public authorities are provided in the enabling statutes of each authority. Those statutes generally contain limits, or caps, on the amount of bonds that can be issued for a program. As the bond cap is reached, or additional bondable appropriations are enacted, legislation is also enacted to raise the statutory caps to the level necessary to accommodate bondable capital needs.

Bond caps can provide authorizations to finance a single year's appropriations or can be for multi-year periods. In addition, the authorizations specify whether the cap is on the total bonds sold, including cost of issuance (gross), or only for project costs (net). The bond authorizations included in the Executive Budget reflect:

- Recommended increases in existing bondable appropriations for Correctional Facilities, Youth Facilities, and Housing;
- The reduction in bonding authorization for the Community Enhancement Facilities Assistance Program (CEFAP) from the redirection of \$60 million in bonding authorization to the Governor's proposed \$250 million Empire State Development Corporation program for high technology research and development and from the use of \$28.245 million in DRRF deposits in 2000-01 to finance CEFAP projects with pay-as-you-go resources;
- Bonding authorization for the Empire State Development Corporation to issue high technology bonds;
- Bonding authorization for the Empire State Development Corporation to issue bonds to continue to support the Albany Plan, which includes the reconstruction and renovation of the Alfred E. Smith State Office Building and a new Elk Street parking garage in Albany; and
- Bonding authorization for the Empire State Development Corporation to issue revenue bonds to finance welfare computer systems to augment case management capacity and to comply with Federal requirements, and to finance equipment needs essential to the delivery of services by various State agencies.

**Bond Authorizations**  
(thousands of dollars)

<b>Issuer</b>	<b>Program</b>	<b>Current Cap</b>	<b>Recommended Cap</b>	<b>Type of Cap</b>
Empire State Development Corporation	Correctional Facilities	4,550,693	4,974,693	Gross
Empire State Development Corporation	Youth Facilities	328,515	339,764	Gross
Housing Finance Agency	Housing	1,235,000	1,385,000	Net
Dormitory Authority/ Empire State Development Corporation	Community Enhancement Facilities Assistance Program	425,000	336,755	Net
Empire State Development Corporation	High Technology Research and Business Incubators Initiatives	N/A	250,000	Net
Empire State Development Corporation	Alfred E. Smith Office Building	N/A	89,000	Net
Empire State Development Corporation	Elk Street Parking Garage	N/A	21,000	Net
Empire State Development Corporation	Equipment	N/A	243,780	Gross

# FINANCING PLAN

## PROJECTED STATE-SUPPORTED DEBT OUTSTANDING

2001-2002 THROUGH 2006-2007

(thousands of dollars)

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>GENERAL OBLIGATION</b>	4,167,992	4,036,163	3,920,403	3,790,694	3,638,704	3,451,332
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	4,620,895	4,527,340	4,428,200	4,292,940	4,149,853	4,016,406
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	1,840,399	2,144,619	2,121,205	2,094,941	2,066,320	2,035,364
Dormitory Authority						
Albany County Airport	37,145	35,595	33,965	32,255	30,465	28,585
Thruway Authority:						
Consolidated Local Highway Improvement	2,444,655	2,658,657	2,822,422	2,977,919	3,117,906	3,241,207
Dedicated Highway & Bridge	4,764,640	5,395,260	6,043,636	6,607,793	7,135,571	7,699,385
Education						
Dormitory Authority:						
SUNY Educational Facilities	4,209,919	4,333,192	4,436,755	4,534,249	4,627,989	4,710,876
SUNY Dormitory Facilities	438,850	569,691	652,984	674,328	695,385	716,786
SUNY Upstate Community Colleges	478,028	501,900	524,675	546,368	566,492	585,261
CUNY Educational Facilities	3,241,381	3,284,090	3,323,541	3,355,588	3,377,735	3,390,387
State Education Department	71,575	69,820	67,990	66,065	64,045	61,920
Library for the Blind	17,290	16,670	16,030	15,360	14,655	13,915
SUNY Athletic Facilities	25,200	25,200	25,200	24,270	23,305	22,300
RESCUE	115,880	191,570	174,785	157,236	138,893	119,698
University Facilities (Jobs 2000)	0	26,928	43,314	46,449	45,009	43,484
Judicial Training Institute	15,675	15,165	14,630	14,070	13,485	12,870
Health						
Dormitory Authority/DOH	438,675	428,195	417,155	405,490	393,155	380,110
Mental Hygiene						
Dormitory Authority/MCFFA:	3,657,810	3,751,791	3,848,822	3,876,137	3,877,559	3,874,004
Public Protection						
ESDC:						
Prison Facilities	3,496,766	3,585,129	3,666,751	3,740,752	3,806,721	3,864,156
Youth Facilities	165,880	181,489	219,699	248,692	258,497	250,974
Environment						
Environmental Facilities Corp:						
Riverbank Park	61,120	59,520	57,840	56,085	54,240	52,305
Water Pollution Control	173,954	174,658	171,133	162,012	160,642	157,594
Pilgrim Sewage Treatment	9,000	8,600	8,200	7,800	7,300	6,700
State Park Infrastructure	12,055	11,235	10,370	9,460	8,495	7,475
Fuel Tanks	12,265	9,915	7,515	5,060	2,550	0
Pipeline for Jobs (Jobs 2000)	19,126	20,649	18,795	16,830	14,744	12,530
Energy Res & Dev Authority	66,690	55,160	43,065	30,360	17,005	9,200
ESDC:						
Pine Barrens	13,955	13,370	12,755	12,110	11,435	10,725
State Building/Equipment						
ESDC:						
Empire State Plaza	77,674	65,912	55,108	45,120	36,032	27,638
State Buildings	14,551	14,005	13,416	12,782	12,098	11,361
State Capital Projects	235,295	228,260	220,780	212,835	204,395	195,430
ESDC/DA						
State Buildings	88,815	123,843	163,700	189,297	184,023	178,496
Certificates of Participation	299,085	353,434	272,416	214,232	211,280	210,733
Housing						
Housing Finance Agency	1,065,312	1,122,380	1,167,521	1,210,553	1,253,407	1,295,312
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	316,405	292,220	268,040	242,100	214,280	184,445
ESDC/DA						
University Technology Centers	177,676	167,412	156,813	145,806	134,317	123,197
Onondaga Convention Center	42,880	41,505	40,060	38,525	36,895	35,170
Sports Facilities	126,930	138,095	133,274	128,128	122,681	116,776
Community Enhancement Facilities	243,770	264,602	215,523	165,481	122,320	91,375
Natural Resources Preservation	24,170	19,790	15,195	10,375	5,320	0
Child Care Facilities	30,600	30,600	29,708	28,769	27,779	26,737
High Tech	0	63,750	224,483	231,904	210,878	188,689
Strategic Investment Program	61,200	138,720	158,938	169,582	125,151	78,533
Empire Opportunity Fund	0	0	0	306,000	597,172	719,549
Other State Purposes						
Dormitory Authority						
Pension Refinancing	229,010	78,915	0	0	0	0
<b>Total Other Financing Arrangements</b>	<b>28,861,306</b>	<b>30,711,510</b>	<b>31,918,208</b>	<b>33,069,166</b>	<b>34,027,626</b>	<b>34,791,252</b>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<b>37,650,193</b>	<b>39,275,013</b>	<b>40,266,811</b>	<b>41,152,800</b>	<b>41,816,182</b>	<b>42,258,990</b>

# FINANCING PLAN

## PROJECTED STATE-SUPPORTED DEBT SERVICE

2001-2002 THROUGH 2006-2007

(thousands of dollars)

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>GENERAL OBLIGATION</b>	618,881	595,145	552,609	531,646	524,605	527,325
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	292,858	306,635	339,389	350,950	356,371	356,913
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	154,702	165,000	165,000	165,000	165,000	165,000
Dormitory Authority						
Albany County Airport	3,509	3,512	3,510	3,510	3,510	3,512
Thruway Authority:						
Emergency Highway	48,106	0	0	0	0	0
Consolidated Local Highway Improvement	229,457	258,114	285,027	312,201	339,639	367,250
Dedicated Highway & Bridge	493,204	601,805	700,848	774,717	838,337	933,902
Education						
Dormitory Authority:						
SUNY Educational Facilities	338,103	371,265	344,682	425,843	445,018	461,969
SUNY Dormitory Facilities	49,685	65,917	71,280	65,944	67,415	69,064
SUNY Upstate Community Colleges	33,445	36,346	38,895	41,973	45,209	48,192
CUNY Educational Facilities	318,778	308,171	282,044	359,063	372,754	368,829
State Education Department	5,831	7,917	7,921	7,918	7,919	7,916
Library for the Blind	1,600	1,677	1,673	1,675	1,678	1,678
SUNY Athletic Facilities	1,212	1,220	1,704	2,168	2,168	2,171
RESCUE	8,284	15,273	25,455	25,451	25,454	25,454
University Facilities (Jobs 2000)	0	0	2,563	3,995	4,259	4,213
Judicial Training Institute	1,385	1,399	1,400	1,398	1,400	1,400
Health						
Dormitory Authority/DOH	36,337	36,084	35,915	35,910	35,914	35,913
Mental Hygiene						
Dormitory Authority/MCFFA:	336,016	354,891	367,744	384,168	396,721	407,722
Public Protection						
ESDC:						
Prison Facilities	258,328	289,335	247,489	319,889	334,532	349,344
Youth Facilities	15,364	17,726	20,673	24,584	27,379	28,654
Environment						
Environmental Facilities Corp:						
Riverbank Park	4,836	4,842	4,835	4,839	4,837	4,836
Water Pollution Control	40,665	38,979	43,349	48,751	44,547	42,619
Pilgrim Sewage Treatment	706	695	672	650	724	793
State Park Infrastructure	1,472	1,476	1,476	1,473	1,476	1,476
Fuel Tanks	2,395	2,625	2,576	2,528	2,475	2,402
Pipeline for Jobs (Jobs 2000)	915	2,792	3,196	3,161	3,161	3,161
Energy Res & Dev Authority	14,638	14,749	14,751	14,758	8,496	6,003
ESDC:						
Pine Barrens	1,278	1,281	1,282	1,280	1,281	1,280
State Building/Equipment						
ESDC:						
Empire State Plaza	32,872	34,432	37,247	34,432	34,436	34,435
State Buildings	19,550	20,893	21,045	21,089	21,086	20,681
State Capital Projects	20,122	20,377	20,374	20,373	20,370	20,375
Albany County-ESP	2,077	0	0	0	0	0
ESDC/DA:						
State Buildings	5,969	7,824	11,347	14,604	15,873	15,879
Certificates of Participation	129,113	182,481	179,282	169,785	112,413	110,110
Housing						
Housing Finance Agency	81,494	86,738	83,456	115,744	122,955	127,398
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	41,939	41,964	41,959	41,966	41,965	41,963
ESDC/DA:						
University Technology Centers	19,793	20,213	20,208	20,205	20,205	19,281
Onondaga Convention Center	3,890	3,865	3,863	3,866	3,869	3,866
Sports Facilities	6,972	8,876	12,449	12,272	12,391	12,369
Community Enhancement Facilities	51,880	36,814	60,312	50,556	50,562	17,420
Natural Resource Preservation	5,417	5,284	5,284	5,293	5,315	0
Child Care Facilities	0	2,802	2,529	2,529	2,529	2,529
High Tech	0	0	8,899	32,013	34,143	33,896
Strategic Investment Program	0	14,366	32,371	42,723	53,327	52,916
Empire Opportunity Fund	0	0	0	0	37,785	74,041
Other State Purposes						
Dormitory Authority						
Pension Refinancing	81,336	0	0	0	0	0
Debt Reduction	500,000	0	0	0	0	0
Variable Rate and Other Savings Actions	0	(225,000)	(100,000)	(100,000)	(37,021)	(45,256)
<b>Total Other Financing Arrangements</b>	<b>3,402,676</b>	<b>2,865,017</b>	<b>3,116,584</b>	<b>3,520,294</b>	<b>3,733,505</b>	<b>3,886,654</b>
<b>TOTAL STATE-SUPPORTED DEBT SERVICE</b>	<b>4,314,414</b>	<b>3,766,798</b>	<b>4,008,582</b>	<b>4,402,891</b>	<b>4,614,482</b>	<b>4,770,892</b>

# FINANCING PLAN

## PROJECTED STATE-SUPPORTED BOND ISSUANCES

2001-2002 THROUGH 2006-2007

(thousands of dollars)

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>GENERAL OBLIGATION</b>	237,270	260,000	231,400	199,400	176,000	151,000
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	0	415,700	0	0	0	0
Thruway Authority:						
Consolidated Local Highway Improvement	205,145	322,565	305,141	304,031	304,031	304,031
Dedicated Highway & Bridge	852,500	943,030	1,014,904	994,922	995,502	1,060,331
Education						
Dormitory Authority:						
SUNY Educational Facilities	265,000	255,000	255,000	255,000	255,000	255,000
SUNY Dormitory Facilities	112,360	152,640	106,000	42,400	42,400	42,400
SUNY Upstate Community Colleges	37,100	35,700	35,700	35,700	35,700	35,700
CUNY Educational Facilities	169,600	163,200	163,200	163,200	163,200	163,200
RESCUE	51,000	80,890	0	0	0	0
University Facilities (Jobs 2000)	0	26,928	17,136	4,386	0	0
Mental Hygiene						
Dormitory Authority/MCFFA:	192,386	247,968	259,724	206,469	176,099	171,856
Public Protection						
ESDC:						
Prison Facilities	191,760	191,760	191,760	191,760	191,760	191,760
Youth Facilities	14,790	23,766	48,348	40,596	22,644	6,222
Environment						
Environmental Facilities Corp:						
Water Pollution Control	28,560	28,560	28,560	28,560	28,560	28,560
Pipeline for Jobs (Jobs 2000)	11,730	3,060	0	0	0	0
State Building/Equipment						
ESDC						
State Facilities	0	37,740	43,860	30,600	0	0
Certificates of Participation	0	243,780	102,000	102,000	102,000	102,000
Housing						
Housing Finance Agency	187,269	104,499	96,339	94,299	98,124	98,124
Economic Development						
ESDC/DA						
Sports Facilities	0	15,300	0	0	0	0
Community Enhancement Facilities	43,230	62,727	0	0	0	0
Child Care Facilities	30,600	0	0	0	0	0
High Tech	0	63,750	165,750	25,500	0	0
Strategic Investment Program	61,200	77,520	45,492	45,288	0	0
Empire Opportunity Fund	0	0	0	306,000	306,000	153,000
<b>Total Other Financing Arrangements</b>	<u>2,454,230</u>	<u>3,496,083</u>	<u>2,878,915</u>	<u>2,870,712</u>	<u>2,721,020</u>	<u>2,612,184</u>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<u><u>2,691,500</u></u>	<u><u>3,756,083</u></u>	<u><u>3,110,315</u></u>	<u><u>3,070,112</u></u>	<u><u>2,897,020</u></u>	<u><u>2,763,184</u></u>

# FINANCING PLAN

## PROJECTED STATE-SUPPORTED DEBT RETIREMENTS

2001-2002 THROUGH 2006-2007

(thousands of dollars)

	2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>GENERAL OBLIGATION</b>	415,333	391,829	347,160	329,109	327,990	338,371
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	107,320	93,555	99,140	135,260	143,087	133,446
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	60,035	111,480	23,414	26,264	28,621	30,956
Dormitory Authority						
Albany County Airport	1,475	1,550	1,630	1,710	1,790	1,880
Thruway Authority:						
Emergency Highway	77,845	0	0	0	0	0
Consolidated Local Highway Improvement	94,400	108,563	141,376	148,534	164,045	180,730
Dedicated Highway & Bridge	245,390	312,410	366,529	430,765	467,724	496,517
Education						
Dormitory Authority:						
SUNY Educational Facilities	135,116	131,727	151,437	157,507	161,260	172,113
SUNY Dormitory Facilities	22,400	21,799	22,706	21,057	21,342	20,999
SUNY Upstate Community Colleges	10,891	11,829	12,924	14,007	15,576	16,932
CUNY Educational Facilities	106,840	120,491	123,748	131,154	141,053	150,547
State Education Department	1,670	1,755	1,830	1,925	2,020	2,125
Library for the Blind	590	620	640	670	705	740
SUNY Athletic Facilities	0	0	0	930	965	1,005
RESCUE	0	5,200	16,785	17,549	18,342	19,195
University Facilities (Jobs 2000)	0	0	750	1,251	1,440	1,525
Judicial Training Institute	430	510	535	560	585	615
Health						
Dormitory Authority/DOH	16,075	10,480	11,040	11,665	12,335	13,045
Mental Hygiene						
Dormitory Authority/MCFFA:	145,082	153,987	162,693	179,154	174,677	175,410
Public Protection						
ESDC:						
Prison Facilities	84,736	103,397	110,138	117,758	125,791	134,325
Youth Facilities	6,600	8,157	10,138	11,603	12,838	13,745
Environment						
Environmental Facilities Corp:						
Riverbank Park	1,230	1,600	1,680	1,755	1,845	1,935
Water Pollution Control	31,157	27,866	32,085	37,681	29,930	31,609
Pilgrim Sewage Treatment	400	400	400	400	500	600
State Park Infrastructure	775	820	865	910	965	1,020
Fuel Tanks	2,305	2,350	2,400	2,455	2,510	2,550
Pipeline for Jobs (Jobs 2000)	623	1,537	1,853	1,965	2,087	2,214
Energy Res & Dev Authority	10,965	11,530	12,095	12,705	13,355	7,805
ESDC:						
Pine Barrens	560	585	615	645	675	710
State Building/Equipment						
ESDC:						
Empire State Plaza	12,214	11,762	10,804	9,988	9,088	8,394
State Buildings	507	546	589	634	684	737
State Capital Projects	6,700	7,035	7,480	7,945	8,440	8,965
Albany County-ESP	3,870	0	0	0	0	0
ESDC/DA						
State Buildings	1,595	2,712	4,003	5,004	5,274	5,527
Certificates of Participation	135,940	189,431	183,018	160,183	104,953	102,546
Housing						
Housing Finance Agency	40,308	47,430	51,199	51,267	55,270	56,219
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	24,180	24,185	24,180	25,940	27,820	29,835
ESDC/DA						
University Technology Centers	11,529	10,264	10,599	11,007	11,489	11,120
Onondaga Convention Center	1,305	1,375	1,445	1,535	1,630	1,725
Sports Facilities	3,885	4,135	4,821	5,146	5,447	5,904
Community Enhancement Facilities	32,460	41,895	49,079	50,042	43,161	30,946
Natural Resources Preservation	4,185	4,380	4,595	4,820	5,055	5,320
Child Care Facilities	0	0	892	939	990	1,043
High Tech	0	0	5,017	18,080	21,026	22,189
Strategic Investment Program	0	0	25,274	34,644	44,431	46,618
Empire Opportunity Fund	0	0	0	0	14,828	30,622
Other State Purposes						
Dormitory Authority						
Pension Refinancing	140,445	150,095	78,915	0	0	0
<b>Total Other Financing Arrangements</b>	<b>1,476,713</b>	<b>1,645,879</b>	<b>1,672,216</b>	<b>1,719,754</b>	<b>1,762,561</b>	<b>1,848,558</b>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<b>1,999,366</b>	<b>2,131,263</b>	<b>2,118,517</b>	<b>2,184,122</b>	<b>2,233,638</b>	<b>2,320,376</b>

# FINANCING PLAN

**DEBT SERVICE FUNDS FINANCIAL PLAN**  
**PREPARED ON THE CASH BASIS OF ACCOUNTING**  
**2001-2002 THROUGH 2006-2007**  
 (thousands of dollars)

	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>2005-2006</u>	<u>2006-2007</u>
<b>Opening Fund Balances</b>	421,845	156,704	143,682	131,215	156,753	201,751
<b>Receipts</b>						
Taxes	2,666,400	2,455,100	2,429,600	2,550,300	2,672,700	2,801,300
Miscellaneous Receipts	605,816	626,381	648,650	679,576	722,513	842,116
<b>Subtotal Receipts</b>	<u>3,272,216</u>	<u>3,081,481</u>	<u>3,078,250</u>	<u>3,229,876</u>	<u>3,395,213</u>	<u>3,643,416</u>
<b>Disbursements</b>						
State Operations	6,250	7,000	7,000	7,000	7,000	7,000
Debt Service	4,185,301	3,665,693	3,952,290	4,393,592	4,611,253	4,770,180
<b>Subtotal Disbursements</b>	<u>4,191,551</u>	<u>3,672,693</u>	<u>3,959,290</u>	<u>4,400,592</u>	<u>4,618,253</u>	<u>4,777,180</u>
<b>Other Financing Sources (Uses)</b>						
Transfers From Other Funds	4,799,266	5,057,838	5,197,476	5,649,320	5,881,381	5,985,920
Transfers To Other Funds	(4,145,072)	(4,479,648)	(4,328,903)	(4,453,066)	(4,613,343)	(4,731,882)
<b>Net Other Financing Sources (Uses)</b>	<u>654,194</u>	<u>578,190</u>	<u>868,573</u>	<u>1,196,254</u>	<u>1,268,038</u>	<u>1,254,038</u>
<b>Changes in Fund Balances</b>	<u>(265,141)</u>	<u>(13,022)</u>	<u>(12,467)</u>	<u>25,538</u>	<u>44,998</u>	<u>120,274</u>
<b>Closing Fund Balances</b>	<u>156,704</u>	<u>143,682</u>	<u>131,215</u>	<u>156,753</u>	<u>201,751</u>	<u>322,025</u>

## ***CAPITAL PROGRAM PLAN***

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### ***CAPITAL PROGRAM PLAN***

This section provides a narrative description of the programmatic objectives of agencies over the Five-Year Capital Plan. These agency narratives also highlight accomplishments, new initiatives, and long-term financial requirements.

The accompanying tables provide five years of appropriation, commitment and disbursement projections for each program. Commitment levels in these tables reflect the value of contracts expected to be entered into by each agency in a given year. Each agency narrative compares the Plan's recommended commitment levels with last year's levels. The reappropriation projections reflect the unexpended balance of the original appropriation, and any unused amounts continue to be shown until the project is completed.

This section also includes summary schedules of disbursements, which aggregate the information presented in the individual agency tables. These summary tables eliminate transactions which simply move monies from one fund to another and, therefore, reflect only projections of disbursements for capital projects. This adjustment provides comparability between the Plan's summary of disbursements and the State's Financial Plan.

This section of the Plan is organized programmatically. Each program area begins with a functional overview, followed by each agency's narrative and financial summary tables. The programmatic areas are transportation, environment and recreation, public protection, education, mental hygiene, housing and other.

All State agencies and authorities with State-supported capital programs have capital maintenance systems. Agencies are required to develop five-year maintenance plans, which include an assessment of assets with a replacement value of at least \$5 million, and that reflect an asset's age, condition, condition goals, maintenance activities, and remaining useful life. In addition, every five years, agencies are also required to perform an independent evaluation of their maintenance plans to ensure that the recommended maintenance activities are consistent with current capital needs.

The capital maintenance plan summaries are included in the agency narratives, since the maintenance plans are a critical part of an agency's five-year capital plan. The appropriations reflected in each agency's five-year capital plan reflect a needs-assessment, which will continue to be refined as an agency implements an asset maintenance system.

### **TRANSPORTATION**

New York's diverse transportation system plays a crucial role in our economy. The State's 239,000 lane miles of roads, 19,500 bridges, 4,000 railroad miles, 147 public use airports, 12 major ports and over 70 mass transit systems are among our most valuable resources. These important assets are managed and maintained by a network of State agencies, public authorities, local governments and private entities.

The Executive Budget proposes a 2002-03 through 2006-07 capital program that will improve our transportation facilities and spur our economy with job-producing investments. The program will provide \$15.4 billion for regular Department of Transportation (DOT) programs and additional funds for projects that will repair and reconstruct facilities damaged in the September 11 attack on the World Trade Center. State support of the capital program of the Metropolitan Transportation Authority (MTA) will total more than \$2.4 billion through the Aid to Localities budget during the plan period.

#### **DEPARTMENT OF TRANSPORTATION**

The Department of Transportation is primarily responsible for maintaining and rehabilitating the State's system of highways and bridges, which includes over 40,000 State highway lane miles and over 7,500 bridges. Private contractors perform all major construction and repair work, while DOT provides seasonal maintenance and repair. The Department also oversees and funds programs that help defray local capital expenses associated with road and bridge projects, including the Consolidated Highway Improvement Program (CHIPS) and the Marchiselli Program.

The 2002-03 through 2006-07 Capital Program Plan provides for highway and bridge construction levels that will total \$1.75 billion in each year of the Plan period with an additional \$250 million for construction related to the World Trade Center disaster in 2002-03. This represents the highest construction level ever achieved. Supporting this enhanced construction level, the Plan also provides for regular engineering levels of more than \$620 million annually, with an additional \$50 million in 2002-03 for engineering related to reconstruction of facilities damaged in the World Trade Center attacks.

The Capital Program Plan also provides:

- More than \$1.3 billion for local capital programs, including the CHIPS and Marchiselli programs;
- Continuation of an \$80 million, five-year rail freight and passenger program that will preserve and improve the State's rail system — \$20 million will be appropriated in 2002-03;
- \$15 million annually for the Industrial Access Program which promotes job creation and retention by encouraging business expansion with highway, rail and port projects;
- \$31 million annually for DOT maintenance facilities and equipment; and
- \$8 million annually to help local and State airports match Federal aviation aid.

Preventive maintenance continues to be a key component of the DOT's activities. Since preventive activities extend the life of a road or bridge, they are more cost-effective in the long run than major reconstruction. For highways, the goal of keeping water and other materials away from the base of the highway is accomplished through such activities as crack sealing, pothole repair, joint repair and drainage repair. Painting, washing, joint repair and maintaining drainage are key elements to extending the life of State bridges. A total of \$1.9 billion will be available for the planned preventive maintenance activities over the next five years.

## ***CAPITAL PROGRAM PLAN***

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The Department's maintenance activities are supported by 311 sites around the State which encompass over 700 building types, including 59 maintenance headquarters, 125 maintenance sub-headquarters, 41 salt storage areas, 20 bridge crew facilities, two special crew facilities, a sign shop and 64 other storage and reload sites. The average age of the infrastructure is over 30 years. The total size of the infrastructure is approximately four million gross square feet.

The Department's maintenance facilities plan consists of an annual review of its overall needs and a prioritization of its projects. The program goal is to upgrade and repair its infrastructure based on evaluation of the condition, proposed use and corresponding health, safety and environmental concerns.

### ***TRANSIT PROGRAMS***

Mass transportation systems play an essential role in the State's economic and social networks. More than 25 percent of workers in New York State travel to work via mass transportation. Mass transit systems also alleviate ill effects on air quality by relieving traffic congestion.

The Capital Program will provide \$2.6 billion of State support for the capital programs of transit systems throughout New York. This State assistance includes:

- Approximately \$2.45 billion of State funding for the MTA capital program, to be provided to the Authority via Aid-to-Localities appropriations from the Dedicated Mass Transportation Trust Fund; and
- More than \$150 million of State aid for the capital programs of other transit systems throughout the State, to be used for bus acquisitions, maintenance facility improvements and other projects. In addition, a portion of the non-MTA transit dedicated fund resources will be used to enhance operating aid for these systems.

### ***DEPARTMENT OF MOTOR VEHICLES***

The Department of Motor Vehicles issues driver's licenses and vehicle registrations, promotes highway safety, and collects revenues used for transportation purposes, including capital projects. The five-year plan recommends funding \$101.5 million of the Department's transportation-related cash expenses from the Dedicated Highway and Bridge Trust Fund.

### ***CANALS***

The New York State Canal Corporation maintains, operates, develops and makes capital improvements to the 524-mile navigable waterway which includes 57 locks, numerous dams, reservoirs and water control structures. Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and, in accordance with the State Constitution, are used exclusively for the canals. Maintenance on the canals is conducted on an ongoing basis to ensure that canal facilities operate properly and that public safety is maintained.

# CAPITAL PROGRAM PLAN

**TRANSPORTATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

Program Summary	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Aviation	78,323	8,000	8,000	8,000	8,000	8,000	40,000
Highway Facilities	8,808,040	3,763,523	3,751,436	3,597,857	3,602,369	3,613,803	18,328,988
Maintenance Facilities	60,855	31,000	31,000	31,000	31,000	31,000	155,000
Mass Transportation and Rail Freight	230,259	67,815	67,815	69,815	69,815	69,815	345,075
Ports and Waterways	1,565	0	0	0	0	0	0
Total	<u>9,179,042</u>	<u>3,870,338</u>	<u>3,858,251</u>	<u>3,706,672</u>	<u>3,711,184</u>	<u>3,722,618</u>	<u>18,869,063</u>
<b>Fund Summary</b>							
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	74,442	0	0	0	0	0	0
Capital Projects Fund - Advances	23,734	0	0	0	0	0	0
Capital Projects Fund - Aviation (Bondable)	5,091	0	0	0	0	0	0
Capital Projects Fund - Energy Conservation (Bondable)	2,036	0	0	0	0	0	0
Capital Projects Fund - Infrastructure Renewal (Bondable)	46,485	0	0	0	0	0	0
Dedicated Highway and Bridge Trust Fund	3,041,162	1,649,073	1,432,416	1,269,431	1,269,137	1,276,454	6,896,511
Dedicated Mass Transportation Fund	140,153	47,815	47,815	49,815	49,815	49,815	245,075
Engineering Services Fund	881,895	620,221	625,626	632,862	631,668	635,785	3,146,162
Federal Capital Projects Fund	4,806,971	1,491,000	1,692,000	1,694,000	1,700,000	1,700,000	8,277,000
Fiduciary Funds - Misc. Combined Expendable Trust Fund	109,263	52,000	50,000	50,000	50,000	50,000	252,000
NY Metro Transportation Council Account	21,580	10,229	10,394	10,564	10,564	10,564	52,315
Regional Aviation Fund	26,230	0	0	0	0	0	0
Total	<u>9,179,042</u>	<u>3,870,338</u>	<u>3,858,251</u>	<u>3,706,672</u>	<u>3,711,184</u>	<u>3,722,618</u>	<u>18,869,063</u>

# CAPITAL PROGRAM PLAN

**TRANSPORTATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

**COMMITMENTS**

	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Aviation	8,000	8,000	8,000	8,000	8,000
Highway Facilities	3,763,523	3,751,436	3,597,857	3,602,369	3,613,803
Maintenance Facilities	31,000	31,000	31,000	31,000	31,000
Mass Transportation and Rail Freight	67,815	67,815	69,815	69,815	69,815
<b>Total</b>	<b>3,870,338</b>	<b>3,858,251</b>	<b>3,706,672</b>	<b>3,711,184</b>	<b>3,722,618</b>
<b>Fund Summary</b>					
Dedicated Highway and Bridge Trust Fund	1,649,073	1,432,416	1,269,431	1,269,137	1,276,454
Dedicated Mass Transportation Fund	47,815	47,815	49,815	49,815	49,815
Engineering Services Fund	620,221	625,626	632,862	631,668	635,785
Federal Capital Projects Fund	1,491,000	1,692,000	1,694,000	1,700,000	1,700,000
Fiduciary Funds - Misc. Combined Expendable Trust Fund	52,000	50,000	50,000	50,000	50,000
NY Metro Transportation Council Account	10,229	10,394	10,564	10,564	10,564
<b>Total</b>	<b>3,870,338</b>	<b>3,858,251</b>	<b>3,706,672</b>	<b>3,711,184</b>	<b>3,722,618</b>

**DISBURSEMENTS**

	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Aviation	18,660	17,135	20,684	9,600	8,600	15,071	71,090
Highway Facilities	3,411,946	3,512,426	3,595,202	3,527,171	3,503,087	3,385,860	17,523,746
Maintenance Facilities	35,137	27,508	26,890	29,200	29,760	60,860	174,218
Mass Transportation and Rail Freight	47,769	52,636	57,869	62,317	79,456	118,849	371,127
Ports and Waterways	3	0	0	0	0	0	0
<b>Total</b>	<b>3,513,515</b>	<b>3,609,705</b>	<b>3,700,645</b>	<b>3,628,288</b>	<b>3,620,903</b>	<b>3,580,640</b>	<b>18,140,181</b>
<b>Fund Summary</b>							
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	14,000	12,000	10,000	8,000	6,000	4,000	40,000
Capital Projects Fund - Advances	1,000	500	500	500	500	500	2,500
Capital Projects Fund - Aviation (Bondable)	1,200	800	400	400	400	400	2,400
Capital Projects Fund - Energy Conservation (Bondable)	200	200	200	200	200	200	1,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	5,000	5,000	5,000	5,000	5,000	5,000	25,000
Dedicated Highway and Bridge Trust Fund	1,557,442	1,599,644	1,607,513	1,407,319	1,342,407	1,293,090	7,249,973
Dedicated Mass Transportation Fund	38,789	42,100	44,608	46,917	49,250	49,432	232,307
Engineering Services Fund	633,696	624,927	625,629	638,040	651,228	651,031	3,190,855
Federal Capital Projects Fund	1,247,855	1,306,284	1,388,681	1,510,651	1,556,465	1,566,580	7,328,661
NY Metro Transportation Council Account	8,333	12,250	13,057	11,261	9,453	10,407	56,428
Regional Aviation Fund	6,000	6,000	5,057	0	0	0	11,057
<b>Total</b>	<b>3,513,515</b>	<b>3,609,705</b>	<b>3,700,645</b>	<b>3,628,288</b>	<b>3,620,903</b>	<b>3,580,640</b>	<b>18,140,181</b>

# CAPITAL PROGRAM PLAN

**THRUWAY AUTHORITY, NEW YORK STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

<b>APPROPRIATIONS</b>							
	<b>Reapprop- riations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Canal Development Program	3,160	2,000	2,000	2,000	2,000	2,000	10,000
Total	3,160	2,000	2,000	2,000	2,000	2,000	10,000
<b>Fund Summary</b>							
New York State Canal System Development Fund	3,160	2,000	2,000	2,000	2,000	2,000	10,000
Total	3,160	2,000	2,000	2,000	2,000	2,000	10,000
<b>COMMITMENTS</b>							
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>		
<b>Program Summary</b>							
Canal Development Program	2,000	2,000	2,000	2,000	2,000		
Total	2,000	2,000	2,000	2,000	2,000		
<b>Fund Summary</b>							
New York State Canal System Development Fund	2,000	2,000	2,000	2,000	2,000		
Total	2,000	2,000	2,000	2,000	2,000		
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Canal Development Program	2,000	2,000	2,000	2,000	2,000	2,000	10,000
Total	2,000	2,000	2,000	2,000	2,000	2,000	10,000
<b>Fund Summary</b>							
New York State Canal System Development Fund	2,000	2,000	2,000	2,000	2,000	2,000	10,000
Total	2,000	2,000	2,000	2,000	2,000	2,000	10,000

# **CAPITAL PROGRAM PLAN**

**METROPOLITAN TRANSPORTATION AUTHORITY**  
**SUMMARY OF**  
**PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS**  
**2002-2003 THROUGH 2006-2007**  
 (thousands of dollars)

	APPROPRIATIONS					Total 2002-2007
	Reapprop- riations	2002-2003	2003-2004	2004-2005	2005-2006	
<hr/>						
Program Summary						
Metropolitan Transportation Authority	36,000	0	0	0	0	0
Total	36,000	0	0	0	0	0
<hr/>						
Fund Summary						
Capital Projects Fund - Advances	36,000	0	0	0	0	0
Total	36,000	0	0	0	0	0

# CAPITAL PROGRAM PLAN

**MOTOR VEHICLES, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

<b>APPROPRIATIONS</b>							
	<b>Reapprop- riations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Transportation Support	0	14,788	21,870	27,070	20,370	17,370	101,468
Total	0	14,788	21,870	27,070	20,370	17,370	101,468
<b>Fund Summary</b>							
Dedicated Highway and Bridge Trust Fund	0	14,788	21,870	27,070	20,370	17,370	101,468
Total	0	14,788	21,870	27,070	20,370	17,370	101,468
<b>COMMITMENTS</b>							
		<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Transportation Support		14,788	21,870	27,070	20,370	17,370	
Total		14,788	21,870	27,070	20,370	17,370	
<b>Fund Summary</b>							
Dedicated Highway and Bridge Trust Fund		14,788	21,870	27,070	20,370	17,370	
Total		14,788	21,870	27,070	20,370	17,370	
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Transportation Support	0	14,788	21,870	27,070	20,370	17,370	101,468
Total	0	14,788	21,870	27,070	20,370	17,370	101,468
<b>Fund Summary</b>							
Dedicated Highway and Bridge Trust Fund	0	14,788	21,870	27,070	20,370	17,370	101,468
Total	0	14,788	21,870	27,070	20,370	17,370	101,468

## **CAPITAL PROGRAM PLAN**

### ***ENVIRONMENT AND RECREATION***

The Department of Environmental Conservation (DEC) and the Office of Parks, Recreation and Historic Preservation (OPRHP) are the agencies responsible for preserving and protecting the State's extensive environmental, historic and cultural resources and providing recreational opportunities for its citizens. Each agency is responsible for the development and maintenance of a wide array of capital facilities.

A major focus of DEC over the next five years will be the continued clean up of environmental hazards under both the 1986 Environmental Quality Bond Act as well as the Governor's proposed Superfund Refinancing bill. Additionally, both DEC and OPRHP will continue to implement the Clean Water/Clean Air (CWCA) Bond Act, proposed by the Governor, and endorsed by the voters in November 1996. The Bond Act authorized a total of \$1.75 billion for projects vital to the State's environmental and economic health.

#### ***DEPARTMENT OF ENVIRONMENTAL CONSERVATION***

The Department of Environmental Conservation (DEC) is charged with protecting the State's natural resources. Department responsibilities include cleaning up solid and hazardous waste disposal sites, enforcing air and water quality standards, maintaining hundreds of flood and coastal erosion projects, and stewardship of approximately four million acres of State land. The Department also provides safe opportunities for outdoor recreation including hunting, fishing, camping, hiking and other activities. DEC manages hundreds of facilities including dams, boat launch sites, campgrounds, fish hatcheries, wildlife management areas and a ski area.

DEC's capital program enhances and maintains the infrastructure necessary to provide a safe environment, and an estimated \$617.5 million in capital disbursements will support these activities in 2002-03. DEC's Capital Plan directs resources to projects to ensure compliance with health and safety requirements and State and Federal environmental mandates. In 2002-03, new General Fund appropriations of \$29.7 million are recommended to address these needs, and to maintain and upgrade campgrounds, environmental centers and camps, fish hatcheries and other Department-owned buildings and facilities, maintain flood control structures, and fund shore protection projects for communities threatened by coastal erosion.

The 2002-03 Executive Budget recommends new appropriations totaling \$142.4 million from the CWCA Bond Act for projects to be administered by DEC. Another \$70 million is appropriated to the Department of Health for the Safe Drinking Water Program. The CWCA Bond Act funds such important activities as: water quality improvement projects, landfill closure and recycling projects, brownfields projects, safe drinking water projects and air quality improvement projects. Combined with \$1.5 billion in prior year appropriations, the total authorization of \$1.75 billion will have been made available from the Bond Act by 2002-03.

Another key element of DEC's capital program is the Environmental Protection Fund (EPF), a dedicated fund supported by revenues of approximately \$125 million annually. The Executive Budget includes both a \$125 million deficiency appropriation for 2001-02 as well as new appropriations of \$125 million for 2002-03, to fund a host of critical environmental and recreational activities including: recycling; waterfront revitalization projects; non-point source water pollution control; farmland preservation; open space land acquisition; Hudson River Estuary Management and municipal parks projects; the Hudson River Park project; remediation projects at Onondaga Lake; the restoration and preservation of historic barns; and stewardship projects on State-owned parks and lands. Additional EPF appropriations of \$500 million through 2006-07 will continue funding these important activities.

## ***CAPITAL PROGRAM PLAN***

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The Department's Capital Plan also fully supports the Governor's State Superfund Refinancing Bill that provides for annual pay-as-you-go proposed funding of \$138 million — consisting of \$105 million for the State Superfund and Voluntary Cleanup programs, and \$33 million for the Oil Spill Program. This program will be funded equally by the State and industry, and will maintain the most stringent environmental and public health standards in the nation. The new State Superfund is scheduled to begin in 2002, since the existing 1986 Environmental Quality Bond Act hazardous waste funds of \$1.1 billion are fully committed.

In 2002-03, the level of contract commitments projected in the Department's capital plan is \$603.1 million. This is consistent with decreased appropriations for the final year of the CWCA Bond Act appropriations. Future year commitments are also consistent with appropriation levels recommended over the next five years.

The Department's capital maintenance plan focuses on preservation and preventive maintenance at its various lands, facilities and other structures. The Department manages a vast array of assets that vary in age, condition and useful life, including: approximately four million acres of land; 260 boat launching and fishing access sites; 100 flood control structures; 52 campgrounds; 12 fish hatcheries; one game farm; four environmental education camps; five environmental education centers; one tree nursery; and the Belleayre Mountain ski center. The Department has recently developed a computerized maintenance management system to facilitate planning and preventive maintenance for these extensive resources.

### ***OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION***

The Office of Parks, Recreation and Historic Preservation operates 164 State parks and 35 historic sites that provide a place for visitors to relax and learn about New York's natural, historic and recreational treasures. More than 60 million people visit the State parks each year.

The State's park system is one of the oldest and best developed in the nation, featuring 27 golf courses, 76 developed beaches, 51 swimming pools, and more than 5,000 buildings. Since the majority of facilities at State parks were built more than 50 years ago, a primary component of the capital program is devoted to maintenance and rehabilitation.

For 2002-03, the capital plan supports approximately \$48.3 million in capital disbursements from various sources. For new appropriations, \$29.6 million is recommended for capital projects from the State Park Infrastructure Fund (SPIF), a dedicated fund consisting of revenues generated from day use and camping fees at the parks, as well as other miscellaneous revenues. Support is also provided for the Office's capital program from Fiduciary funds, Federal resources, and the 1986 Environmental Quality Bond Act.

In addition, funding will be available from the EPF and the CWCA Bond Act to improve park facilities and protect the fragile natural resources at State parks. These funds are included in the capital budget.

The Office's five-year capital plan reflects the priority needs of the various parks and historic sites. A total of \$165.9 million, mainly from SPIF, is planned to be spent on projects to improve health and safety and preserve facilities, and includes actions to:

- Restore historic sites;
- Rehabilitate park utility, sanitary, and water systems;
- Improve selected roads and bridges;
- Upgrade public comfort stations and campground wash houses;
- Maintain and improve park buildings, cabins and pool facilities; and
- Develop newly acquired park lands.

## ***CAPITAL PROGRAM PLAN***

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The Office's capital maintenance plan for 2002-03 concentrates investments in preservation and protection of its many facilities. The Office's assets consist of approximately 5,000 buildings which vary in age, condition and useful life including: historic buildings, offices, cabins, comfort stations, maintenance/storage buildings, restaurants, visitor/nature centers, pump houses and toll booths. The Office plans to focus its 2002-03 maintenance efforts primarily on site restoration, roof repair, and exterior construction projects.

Ongoing redevelopment of the Niagara Reservation will continue with the reconstruction of the Observation Tower, road and landscape improvements, new signage, completion of the Niagara Gorge trail, and other transportation improvements.

The level of contract commitments projected in OPRHP's capital plan is approximately \$27 million in 2002-03. Future year commitments reflect projected activity from both new appropriations and prior year appropriations.

### ***ENVIRONMENTAL FACILITIES CORPORATION***

The Environmental Facilities Corporation (EFC) is charged with helping local governments, State agencies and private industry comply with State and Federal environmental laws. EFC responsibilities include administering the Clean Water (CWSRF) and Drinking Water (DWSRF) State Revolving Funds; assisting New York businesses finance environmental projects through the Industrial Finance Program (IFP); and helping municipalities, State agencies, and businesses comply with environmental laws and regulations through the Technical Advisory Services (TAS) program, the Financial Assistance to Business (FAB) program and the Pipeline for Jobs program.

EFC, in conjunction with DEC, administers low-interest financings to recipients for water pollution control facilities via the CWSRF. As of December 4, 2001, the CWSRF has made 891 loans for approximately \$6.2 billion to 387 recipients across the State. The Capital Plan for DEC estimates commitments of \$840 million from Federal and State funds for the CWSRF over five years.

The Drinking Water State Revolving Fund is a program administered by EFC, in conjunction with the Department of Health (DOH), that provides low-interest financings, including grants, to publicly- and privately-owned community water systems and to nonprofit, non-community water systems for the construction of eligible safe drinking water projects. The DWSRF has made 212 loans, 66 State Assistance Payments and 16 Federal Assistance Payments worth approximately \$777 million to 178 recipients across the State since December 4, 2001. DOH's Five-Year Capital Plan anticipates commitments for the DWSRF of \$540 million in Federal and State funding, including \$80 million from the 1996 CWCA Bond Act.

In addition to appropriations to finance costs related to the 1996 Clean Water/Clean Air Bond Act, EFC's Capital Program includes the reappropriation of \$14.5 million for the Pipeline for Jobs program with commitments through 2002-03. This program was created to provide recipients with financial assistance for the planning, design and construction of eligible projects that are intended to create, improve, or extend water supply facilities for economic development.

***HUDSON RIVER PARK TRUST***

The Hudson River Park Trust (the Trust) is responsible for designing, developing, constructing, and maintaining the 550 acre Hudson River Park, which will extend five miles along the Hudson River waterfront from Battery Park City to 59th Street. During 2002-03, the Trust will continue to refine the framework and scope of the Hudson River Park, develop detailed cost estimates, explore alternative sources of funding and continue to oversee project design and construction.

The 2002-03 Executive Budget recommends \$45 million in new appropriations for the Trust for capital costs associated with the planning, design and construction of Park projects. Specifically, \$15 million is provided for Park development from the EPF within DEC. In addition, the Budget provides a State-funded advance appropriation of \$30 million, which will be repaid by New York City as part of its share of Hudson River Park's continued development costs. The level of contract commitments projected in the Trust's capital plan is approximately \$30 million. Additional commitments are reflected in the EPF. Future year commitments reflect projected activity from both new appropriations and current year appropriations.

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
<b>Program Summary</b>							
Administration	1,999	1,000	0	0	0	0	1,000
Air Resources	105,346	14,810	3,000	3,000	3,000	3,000	26,810
Clean Water Clean Air Implementation	6,470	3,219	2,592	2,592	2,592	0	10,995
Clean Water/Clean Air 96	113,728	75,000	0	0	0	0	75,000
Design and Construction Supervision	14	0	0	0	0	0	0
Environment and Recreation	347,366	125,000	125,000	125,000	125,000	125,000	625,000
Environmental Protection and Enhancements	18,567	0	0	0	0	0	0
Fish and Wildlife	10,284	1,025	1,000	1,000	1,000	500	4,525
Lands and Forests	17,704	678	1,000	1,000	1,000	500	4,178
Marine Resources	8,435	1,650	0	0	0	0	1,650
Operations	42,128	16,615	10,000	10,000	35,000	60,000	131,615
Recreation	1,094	2,350	700	700	700	700	5,150
Solid and Hazardous Waste Management	311,454	0	0	0	0	0	0
Solid Waste Management	261,032	123,732	105,650	105,650	105,650	105,675	546,357
Water Resources	969,381	243,477	189,000	189,000	189,000	188,775	999,252
Total	<u>2,215,002</u>	<u>608,556</u>	<u>437,942</u>	<u>437,942</u>	<u>462,942</u>	<u>484,150</u>	<u>2,431,532</u>
<b>Fund Summary</b>							
Capital Projects Fund	168,855	59,060	51,350	51,350	76,350	99,875	337,985
Capital Projects Fund - 1996 CWA (Bondable)	768,029	142,418	0	0	0	0	142,418
Capital Projects Fund - Advances	102,844	2,055	1,000	1,000	1,000	1,275	6,330
Capital Projects Fund - EQBA (Bondable)	55,855	14,804	0	0	0	0	14,804
Capital Projects Fund - EQBA 86 (Bondable)	311,461	0	0	0	0	0	0
Capital Projects Fund - PWBA (Bondable)	28,978	4,900	0	0	0	0	4,900
Clean Air Fund	9,264	3,000	3,000	3,000	3,000	3,000	15,000
Clean Water Clean Air Implementation Fund	6,470	3,219	2,592	2,592	2,592	0	10,995
Enterprise Fund	175	0	0	0	0	0	0
Environmental Protection Fund	365,933	125,000	125,000	125,000	125,000	125,000	625,000
Federal Capital Projects Fund	384,709	149,100	150,000	150,000	150,000	150,000	749,100
Financial Security Fund	1,953	0	0	0	0	0	0
Forest Preserve Expansion Fund	142	0	0	0	0	0	0
Hudson River Habitat Restor. Fund	351	0	0	0	0	0	0
Natural Resource Damages Fund	9,983	0	0	0	0	0	0
Remedial Program Transfer Fund	0	105,000	105,000	105,000	105,000	105,000	525,000
Total	<u>2,215,002</u>	<u>608,556</u>	<u>437,942</u>	<u>437,942</u>	<u>462,942</u>	<u>484,150</u>	<u>2,431,532</u>

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

**COMMITMENTS**

	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>Program Summary</b>					
Administration	1,000	500	500	500	500
Air Resources	3,500	3,500	3,500	3,500	3,000
Clean Water Clean Air Implementation	3,219	2,592	2,592	2,592	0
Clean Water/Clean Air 96	142,400	0	0	0	0
Environment and Recreation	125,000	125,000	125,000	125,000	125,000
Fish and Wildlife	1,025	1,000	1,000	1,000	500
Lands and Forests	678	1,000	1,000	1,000	500
Marine Resources	700	200	200	200	200
Operations	16,615	10,000	10,000	35,000	60,000
Recreation	2,350	700	700	700	700
Solid and Hazardous Waste Management	120,128	105,000	105,000	105,000	105,000
Solid Waste Management	845	650	650	650	650
Water Resources	185,678	181,500	181,500	181,500	181,525
<b>Total</b>	<b>603,138</b>	<b>431,642</b>	<b>431,642</b>	<b>456,642</b>	<b>477,575</b>
<b>Fund Summary</b>					
Capital Projects Fund	59,060	51,350	51,350	76,350	99,875
Capital Projects Fund - 1996 CWA (Bondable)	142,400	0	0	0	0
Capital Projects Fund - Advances	2,055	1,000	1,000	1,000	1,000
Capital Projects Fund - EQBA (Bondable)	14,804	0	0	0	0
Capital Projects Fund - PWBA (Bondable)	4,900	0	0	0	0
Clean Air Fund	3,000	3,000	3,000	3,000	3,000
Clean Water Clean Air Implementation Fund	3,219	2,592	2,592	2,592	0
Environmental Protection Fund	125,000	125,000	125,000	125,000	125,000
Federal Capital Projects Fund	142,500	142,500	142,500	142,500	142,500
Financial Security Fund	200	200	200	200	200
Natural Resource Damages Fund	1,000	1,000	1,000	1,000	1,000
Remedial Program Transfer Fund	105,000	105,000	105,000	105,000	105,000
<b>Total</b>	<b>603,138</b>	<b>431,642</b>	<b>431,642</b>	<b>456,642</b>	<b>477,575</b>

**DISBURSEMENTS**

	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	Total 2002-2007
<b>Program Summary</b>							
Administration	267	400	450	450	500	450	2,250
Air Resources	12,114	22,530	13,261	13,888	21,204	23,000	93,883
Clean Water Clean Air Implementation	2,592	3,219	2,592	2,592	2,592	0	10,995
Clean Water/Clean Air 96	10,000	21,250	29,500	26,250	24,818	27,500	129,318
Environment and Recreation	114,590	115,643	120,486	125,000	125,000	125,000	611,129
Environmental Protection and Enhancements	10,410	9,357	4,514	0	0	0	13,871
Fish and Wildlife	370	700	1,870	1,525	1,900	1,950	7,945
Lands and Forests	307	454	975	1,170	1,267	1,267	5,133
Marine Resources	200	700	450	500	450	450	2,550
Operations	5,807	3,252	10,301	10,250	32,441	60,450	116,694
Recreation	259	79	800	750	850	1,000	3,479
Solid and Hazardous Waste Management	75,000	70,000	50,000	50,000	24,791	0	194,791
Solid Waste Management	58,645	130,268	131,889	127,858	114,614	122,459	627,088
Water Resources	222,486	239,674	259,478	266,543	277,137	258,654	1,301,486
<b>Total</b>	<b>513,047</b>	<b>617,526</b>	<b>626,566</b>	<b>626,776</b>	<b>627,564</b>	<b>622,180</b>	<b>3,120,612</b>
<b>Fund Summary</b>							
Capital Projects Fund	33,100	33,100	48,729	49,000	75,000	100,000	305,829
Capital Projects Fund - 1996 CWA (Bondable)	119,164	119,791	139,164	139,164	139,164	136,163	673,446
Capital Projects Fund - Advances	7,012	7,152	7,450	7,400	7,400	7,400	36,802
Capital Projects Fund - EQBA (Bondable)	492	2,130	1,106	1,100	1,100	1,100	6,536
Capital Projects Fund - EQBA 86 (Bondable)	75,000	70,000	50,000	50,000	24,791	0	194,791
Capital Projects Fund - PWBA (Bondable)	3,725	4,800	800	800	800	800	8,000
Clean Air Fund	3,000	3,000	3,000	3,000	3,000	3,000	15,000
Clean Water Clean Air Implementation Fund	2,592	3,219	2,592	2,592	2,592	0	10,995
Environmental Protection Fund	125,000	125,000	125,000	125,000	125,000	125,000	625,000
Federal Capital Projects Fund	140,950	142,500	142,500	142,500	142,500	142,500	712,500
Financial Security Fund	482	500	200	200	200	200	1,300
Forest Preserve Expansion Fund	30	20	25	20	17	17	99
Natural Resource Damages Fund	2,500	1,314	1,000	1,000	1,000	1,000	5,314
Remedial Program Transfer Fund	0	105,000	105,000	105,000	105,000	105,000	525,000
<b>Total</b>	<b>513,047</b>	<b>617,526</b>	<b>626,566</b>	<b>626,776</b>	<b>627,564</b>	<b>622,180</b>	<b>3,120,612</b>

# CAPITAL PROGRAM PLAN

**PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

Program Summary	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Federal Capital Projects Fund	5,331	5,000	3,000	0	0	0	8,000
Maintenance and Improvement of Existing Facilities	79,562	35,840	31,950	30,050	30,050	30,050	157,940
Natural Heritage Trust	300	0	0	0	0	0	0
Outdoor Recreation	1,013	0	0	0	0	0	0
Parks EQBA	11,866	0	0	0	0	0	0
<b>Total</b>	<b>98,072</b>	<b>40,840</b>	<b>34,950</b>	<b>30,050</b>	<b>30,050</b>	<b>30,050</b>	<b>165,940</b>
<b>Fund Summary</b>							
Capital Projects Fund	300	0	0	0	0	0	0
Capital Projects Fund - EQBA (Bondable)	406	0	0	0	0	0	0
Capital Projects Fund - EQBA 86 (Bondable)	11,460	0	0	0	0	0	0
Federal Capital Projects Fund	5,331	5,000	3,000	0	0	0	8,000
Fiduciary Funds - Misc. Combined Expendable Trust Fund	19,685	5,000	2,000	0	0	0	7,000
Misc. Capital Projects	2,943	1,200	1,000	1,000	1,000	1,000	5,200
Outdoor Recreation Development Bond Fund	230	0	0	0	0	0	0
Parks and Recreation Land Acquisition Bond Fund	783	0	0	0	0	0	0
State Parks Infrastructure Fund	56,934	29,640	28,950	29,050	29,050	29,050	145,740
<b>Total</b>	<b>98,072</b>	<b>40,840</b>	<b>34,950</b>	<b>30,050</b>	<b>30,050</b>	<b>30,050</b>	<b>165,940</b>

Program Summary	COMMITMENTS				
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
Federal Capital Projects Fund	2,500	2,500	1,000	1,000	1,000
Maintenance and Improvement of Existing Facilities	24,400	24,400	23,400	23,300	23,440
<b>Total</b>	<b>26,900</b>	<b>26,900</b>	<b>24,400</b>	<b>24,300</b>	<b>24,440</b>
<b>Fund Summary</b>					
Federal Capital Projects Fund	2,500	2,500	1,000	1,000	1,000
Fiduciary Funds - Misc. Combined Expendable Trust Fund	1,850	2,050	1,050	950	1,100
Misc. Capital Projects	1,200	1,000	1,000	1,000	1,000
State Parks Infrastructure Fund	21,350	21,350	21,350	21,350	21,340
<b>Total</b>	<b>26,900</b>	<b>26,900</b>	<b>24,400</b>	<b>24,300</b>	<b>24,440</b>

Program Summary	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Federal Capital Projects Fund	2,500	2,556	2,500	2,500	2,500	1,300	11,356
Maintenance and Improvement of Existing Facilities	30,411	43,392	31,108	28,436	27,500	26,500	156,936
New Facilities	600	200	0	0	0	0	200
Parks EQBA	6,100	2,111	1,400	1,832	1,186	281	6,810
<b>Total</b>	<b>39,611</b>	<b>48,259</b>	<b>35,008</b>	<b>32,768</b>	<b>31,186</b>	<b>28,081</b>	<b>175,302</b>
<b>Fund Summary</b>							
Capital Projects Fund - EQBA (Bondable)	0	400	0	0	0	0	400
Capital Projects Fund - EQBA 86 (Bondable)	6,100	1,711	1,400	1,832	1,186	281	6,410
Federal Capital Projects Fund	2,500	2,556	2,500	2,500	2,500	1,300	11,356
Fiduciary Funds - Misc. Combined Expendable Trust Fund	4,600	16,000	4,425	2,000	1,000	0	23,425
Misc. Capital Projects	1,249	2,209	1,300	1,050	1,100	1,100	6,759
State Parks Infrastructure Fund	25,162	25,383	25,383	25,386	25,400	25,400	126,952
<b>Total</b>	<b>39,611</b>	<b>48,259</b>	<b>35,008</b>	<b>32,768</b>	<b>31,186</b>	<b>28,081</b>	<b>175,302</b>

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL FACILITIES CORPORATION  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Clean Water - Clean Air Implementation	0	292	292	292	292	0	1,168
Pipeline for Jobs Program	14,500	0	0	0	0	0	0
Total	14,500	292	292	292	292	0	1,168
<b>Fund Summary</b>							
Capital Projects Fund	14,500	0	0	0	0	0	0
Clean Water Clean Air Implementation Fund	0	292	292	292	292	0	1,168
Total	14,500	292	292	292	292	0	1,168

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Clean Water - Clean Air Implementation	292	292	292	292	0
Pipeline for Jobs Program	3,000	0	0	0	0
Total	3,292	292	292	292	0
<b>Fund Summary</b>					
Capital Projects Fund	3,000	0	0	0	0
Clean Water Clean Air Implementation Fund	292	292	292	292	0
Total	3,292	292	292	292	0

	<b>DISBURSEMENTS</b>						
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Clean Water - Clean Air Implementation	292	292	292	292	292	0	1,168
Pipeline for Jobs Program	11,500	3,000	0	0	0	0	3,000
Total	11,792	3,292	292	292	292	0	4,168
<b>Fund Summary</b>							
Capital Projects Fund	11,500	3,000	0	0	0	0	3,000
Clean Water Clean Air Implementation Fund	292	292	292	292	292	0	1,168
Total	11,792	3,292	292	292	292	0	4,168

# CAPITAL PROGRAM PLAN

HUDSON RIVER PARK TRUST  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)

<b>APPROPRIATIONS</b>							
	<b>Reapprop- riations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Regional Development	52,000	30,000	16,000	0	0	0	46,000
Total	52,000	30,000	16,000	0	0	0	46,000
<b>Fund Summary</b>							
Capital Projects Fund - Advances	52,000	30,000	16,000	0	0	0	46,000
Total	52,000	30,000	16,000	0	0	0	46,000
<b>COMMITMENTS</b>							
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>		
<b>Program Summary</b>							
Regional Development	30,000	16,000	0	0	0		
Total	30,000	16,000	0	0	0		
<b>Fund Summary</b>							
Capital Projects Fund - Advances	30,000	16,000	0	0	0		
Total	30,000	16,000	0	0	0		
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Regional Development	20,000	30,000	22,000	20,000	6,000	0	78,000
Total	20,000	30,000	22,000	20,000	6,000	0	78,000
<b>Fund Summary</b>							
Capital Projects Fund - Advances	20,000	30,000	22,000	20,000	6,000	0	78,000
Total	20,000	30,000	22,000	20,000	6,000	0	78,000

**PUBLIC PROTECTION**

The capital planning process provides the Department of Correctional Services (DOCS) a means to ensure adequate bed capacity and to maintain a safe and secure environment in its existing facilities. The Division of State Police (DSP) and the Division of Military and Naval Affairs (DMNA) capital programs ensure that existing facilities continue to be maintained.

**DEPARTMENT OF CORRECTIONAL SERVICES**

The focus of the 2002-03 capital projects recommendation is to preserve and maintain the State's existing prison infrastructure. The capital program ensures that all housing, medical, program, and support space remains functional, safe and secure.

The Department's substantial rehabilitation and preservation requirements are due to several factors, including the age of many of the State's prisons and the changing needs of the inmate population, particularly in the area of health care. New capacity was created during the 1980's, often by converting older institutions from other uses to prisons. The focus of that effort was on security, not upgrading the structural integrity and infrastructure of these very old facilities.

The Department's Preventive Maintenance Program Plan addresses this situation by providing funds for the system repairs and replacements necessary to keep capacity in service. Kitchen, heating, ventilation, hot water, electric and roofing systems are among the major problem areas requiring rehabilitation.

The Capital Asset Maintenance Plan is designed to preserve the useful life of the facilities and infrastructure. In evaluating assets, DOCS has established a rating system to identify the condition of its physical plant as a tool for prioritizing essential rehabilitation projects. The following table identifies the capital asset group, age and condition of DOCS assets:

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Minimum Security	4 to 75 yrs.	15	2	0	17
Medium Security	8 to 100 yrs.	33	4	0	37
Maximum Security	0 to 150 yrs.	14	3	0	17
Support	40 to 60 yrs.	2	0	0	2
	<b>Total</b>	64	9	0	73

The program, consistent with the above objectives, recommends new capital project appropriations of approximately \$1 billion over the next five years, and \$783 million in reappropriations. These appropriations are grouped programmatically, allowing the Department to manage the flow of projects, respond to emergencies and adjust priorities to accommodate changes in facility conditions.

The DOCS Capital program is funded primarily from appropriations made from the Correctional Facilities Capital Improvement Fund, which is reimbursed by proceeds from bonds issued by the Empire State Development Corporation. The five year disbursement projection of \$942 million includes \$927 million for capital rehabilitation and \$15 million for the Health Care Infrastructure Program.

# **CAPITAL PROGRAM PLAN**

## **DIVISION OF MILITARY AND NAVAL AFFAIRS**

The Division of Military and Naval Affairs operates more than 120 facilities statewide which include: more than 60 Army National Guard Armories; 28 operations and maintenance facilities, six Air Guard bases, three aviation support facilities; four training facilities and Camp Smith. The total size of the infrastructure is over five million square feet, and the average age of the infrastructure is over 73 years. According to the National Guard Bureau, New York's infrastructure is the oldest in the nation.

Each year, DMNA prepares a Five Year Comprehensive Capital Maintenance Plan. The highlight of the 2002-07 plan is the recently announced Federal Military Construction program, under which the Federal government will fund approximately 90 percent of the \$98 million cost of replacing or expanding armories, recruitment centers and equipment maintenance facilities over the course of the next five to seven years.

The Division will also continue its emphasis on maintenance and repair programs, technological upgrades, and energy efficiency projects. Infrastructure repair and upgrade projects are prioritized by the condition and proposed use of affected structures and corresponding health, safety and environmental concerns. Addressing the backlog of projects at existing facilities will be made easier by the recent decision to phase out some older, less utilized facilities, to allow for the redirection of resources to facilities that play more critical roles in supporting the Division's primary mission of maintaining its military readiness posture.

## **DIVISION OF STATE POLICE**

The priorities of the Division of State Police Capital Plan are to maintain the safety and integrity of State Police facilities across the State. Total disbursements for the Division's five-year capital plan are estimated at \$15.8 million.

The Capital Asset Maintenance Plan for the facilities of DSP is designed to preserve the useful life of the facilities and infrastructure. Over the past several years, capital initiatives have been focused on the repair and replacement of roofs, windows, access roadways, heating and ventilation systems, electrical systems and necessary improvements to meet health and safety needs.

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Forensic Investigation Center	4 years	1	0	0	1
Troop Headquarters	23-44 years	9	0	0	9
Zone Headquarters	27-51 years	0	2	0	2
Stations	1-33 years	2	0	1	3
	<b>Total</b>	12	2	1	15

The commitments for 2001-02 of \$2.5 million will increase to \$4.6 million in 2002-03 to rehabilitate State Police facilities and related equipment.

# CAPITAL PROGRAM PLAN

**CORRECTIONAL SERVICES, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Maintenance and Improvement of Existing Facilities	503,838	225,000	205,000	205,000	205,000	205,000	1,045,000
Medical Facilities	35,207	0	0	0	0	0	0
UDC Financed and Other New Facility Capacity Expansion	244,164	0	0	0	0	0	0
Total	<u>783,209</u>	<u>225,000</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>	<u>1,045,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	3,000	0	0	0	0	0	0
Correctional Facilities Capital Improvement Fund	767,843	205,000	205,000	205,000	205,000	205,000	1,025,000
Federal Capital Projects Fund	12,366	20,000	0	0	0	0	20,000
Total	<u>783,209</u>	<u>225,000</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>	<u>1,045,000</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Maintenance and Improvement of Existing Facilities	175,000	175,000	175,000	175,000	175,000
Total	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>
<b>Fund Summary</b>					
Correctional Facilities Capital Improvement Fund	175,000	175,000	175,000	175,000	175,000
Total	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>	<u>175,000</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Maintenance and Improvement of Existing Facilities	180,365	174,500	188,000	188,000	188,000	188,000	926,500
Medical Facilities	9,135	15,000	0	0	0	0	15,000
Total	<u>189,500</u>	<u>189,500</u>	<u>188,000</u>	<u>188,000</u>	<u>188,000</u>	<u>188,000</u>	<u>941,500</u>
<b>Fund Summary</b>							
Capital Projects Fund	1,500	1,500	0	0	0	0	1,500
Correctional Facilities Capital Improvement Fund	188,000	188,000	188,000	188,000	188,000	188,000	940,000
Total	<u>189,500</u>	<u>189,500</u>	<u>188,000</u>	<u>188,000</u>	<u>188,000</u>	<u>188,000</u>	<u>941,500</u>

# CAPITAL PROGRAM PLAN

**MILITARY AND NAVAL AFFAIRS, DIVISION OF**  
**SUMMARY OF**  
**PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS**  
**2002-2003 THROUGH 2006-2007**  
(thousands of dollars)

<b>APPROPRIATIONS</b>							
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Design and Construction Supervision	3,500	4,600	5,900	1,800	1,400	1,400	15,100
Maintenance and Improvement of Existing Facilities	13,750	14,700	31,500	76,600	10,300	10,300	143,400
Total	<u>17,250</u>	<u>19,300</u>	<u>37,400</u>	<u>78,400</u>	<u>11,700</u>	<u>11,700</u>	<u>158,500</u>
<b>Fund Summary</b>							
Capital Projects Fund	8,850	8,100	16,600	7,000	7,000	7,000	45,700
Federal Capital Projects Fund	8,400	11,200	20,800	71,400	4,700	4,700	112,800
Total	<u>17,250</u>	<u>19,300</u>	<u>37,400</u>	<u>78,400</u>	<u>11,700</u>	<u>11,700</u>	<u>158,500</u>
<b>COMMITMENTS</b>							
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>		
<b>Program Summary</b>							
Design and Construction Supervision	4,600	5,900	1,800	1,400	1,400		
Maintenance and Improvement of Existing Facilities	14,700	31,500	76,600	10,300	10,300		
Total	<u>19,300</u>	<u>37,400</u>	<u>78,400</u>	<u>11,700</u>	<u>11,700</u>		
<b>Fund Summary</b>							
Capital Projects Fund	8,100	16,600	7,000	7,000	7,000		
Federal Capital Projects Fund	11,200	20,800	71,400	4,700	4,700		
Total	<u>19,300</u>	<u>37,400</u>	<u>78,400</u>	<u>11,700</u>	<u>11,700</u>		
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Design and Construction Supervision	2,454	2,525	3,800	3,000	3,400	1,600	14,325
Maintenance and Improvement of Existing Facilities	7,271	14,075	14,850	26,800	35,350	31,400	122,475
Total	<u>9,725</u>	<u>16,600</u>	<u>18,650</u>	<u>29,800</u>	<u>38,750</u>	<u>33,000</u>	<u>136,800</u>
<b>Fund Summary</b>							
Capital Projects Fund	6,300	6,600	9,150	11,200	9,850	7,500	44,300
Federal Capital Projects Fund	3,425	10,000	9,500	18,600	28,900	25,500	92,500
Total	<u>9,725</u>	<u>16,600</u>	<u>18,650</u>	<u>29,800</u>	<u>38,750</u>	<u>33,000</u>	<u>136,800</u>

# CAPITAL PROGRAM PLAN

**STATE POLICE, DIVISION OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Maintenance and Improvement of Existing Facilities	6,281	4,600	2,800	2,800	2,800	2,800	15,800
New Facilities	467	0	0	0	0	0	0
Total	<u>6,748</u>	<u>4,600</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>15,800</u>
<b>Fund Summary</b>							
Capital Projects Fund	6,748	4,600	2,800	2,800	2,800	2,800	15,800
Total	<u>6,748</u>	<u>4,600</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>15,800</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Maintenance and Improvement of Existing Facilities	4,600	2,800	2,800	2,800	2,800
Total	<u>4,600</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>
<b>Fund Summary</b>					
Capital Projects Fund	4,600	2,800	2,800	2,800	2,800
Total	<u>4,600</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Maintenance and Improvement of Existing Facilities	2,453	4,600	2,800	2,800	2,800	2,800	15,800
Total	<u>2,453</u>	<u>4,600</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>15,800</u>
<b>Fund Summary</b>							
Capital Projects Fund	2,453	4,600	2,800	2,800	2,800	2,800	15,800
Total	<u>2,453</u>	<u>4,600</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>2,800</u>	<u>15,800</u>

# ***CAPITAL PROGRAM PLAN***

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## ***EDUCATION***

Capital planning is vital to the teaching, research and public service missions of both the State University (SUNY) and the City University (CUNY) systems. Capital Plans ensure that facilities are appropriately designed and developed to meet current and future needs and provide a safe and healthy environment for students, faculty and staff. State Education Department (SED) capital programs encompass the rehabilitation of schools for the deaf, the blind and Native Americans, and the Department's various administrative offices.

### ***STATE UNIVERSITY OF NEW YORK***

The State University of New York is the largest public university system in the nation, with 64 campuses (including 30 community colleges) serving nearly 370,000 students. The goal of SUNY's capital program is to provide and preserve the physical infrastructure needed for the education of its students.

The 34 State-operated campuses include more than 2,000 classroom, dormitory, library, laboratory, athletic, and student activity buildings. Nearly 85 percent of SUNY's facilities exceed 20 years of age and are in need of renovation, rehabilitation and repair. Accordingly, SUNY's capital program continues to emphasize the preservation of its existing State-operated facilities. Capital priorities at SUNY's 30 community colleges include major rehabilitation as well as targeted new construction at various campuses to accommodate changing academic needs.

SUNY's capital planning process starts with the development of facility master plans for each campus to identify new construction and rehabilitation projects. For State-operated colleges, these plans are then developed into a system-wide, five-year University Master Capital Plan approved by the SUNY Board of Trustees. For community colleges, master plans are submitted to the local Boards of Trustees. The Trustees then select priority projects for inclusion in SUNY's capital budget request.

In 1998-99, SUNY received appropriation authority for a \$2 billion Multi-Year Capital Investment Program — with \$1.58 billion in State-supported bonding and the remainder funded by hospital and dormitory revenues or local community college sponsors. This long-term capital investment program enables the University to develop a comprehensive plan for revitalizing campuses through: the rehabilitation of existing buildings; construction and adaptation of facilities to meet current academic needs; and the use of technology for instruction, research and community service.

In 2001-02, SUNY initiated a new Five-Year Residence Hall Program to renovate existing facilities and provide selected new facilities for campuses facing increased demand for on-campus student housing.

Major components of the capital program for 2002-03 through 2006-07 include:

- Campus core projects to meet critical health, safety and preservation needs and respond to new and changing academic requirements;
- Technology and campus development projects to respond to new ways of teaching and learning;
- Campus matching projects to leverage private donations;
- System-wide infrastructure projects to address needs such as underground utility projects;
- Campus improvements and quality of life projects to improve the overall appearance of SUNY campuses and their responsiveness to student needs;
- Community college capital projects ranging in scope from replacement of heating and cooling systems to construction of new academic facilities;

## ***CAPITAL PROGRAM PLAN***

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- Residence hall projects for both new and renovated facilities; and
- Supplementation of the State-supported capital program using non-State funds obtained through grants or gifts.

SUNY's Capital Investment Program emphasizes projects that address health and safety, rehabilitation and preservation needs. These include projects to address code violations, meet accreditation standards, provide access for the disabled, preserve the integrity of the capital plant and address deferred maintenance. Given the age of SUNY's physical plant, more than half of the capital funding for senior colleges will continue to be spent on campus core projects and system-wide infrastructure needs. SUNY has the in-house capability to perform building condition surveys. As a result, the "remaining useful life" of SUNY buildings is based on the actual condition of the asset or building components, rather than its age.

For 2002-03, contract commitments are projected to reach \$300 million reflecting activity levels consistent with the awarding of construction contracts for educational facilities. Total disbursements for both the State-operated and community colleges are estimated at almost \$306 million in 2002-03, and are expected to increase to approximately \$402 million in 2003-04 to reflect current commitment levels. Future disbursements are expected to remain relatively flat.

### ***CITY UNIVERSITY OF NEW YORK***

The City University of New York's physical plant is comprised of 11 senior colleges, six community colleges, a graduate center, law school and the Central Administration facility, serving more than 195,000 full-time and part-time students in over 25 million gross square feet of space.

CUNY's capital planning process begins with the development of a facility master plan for each campus which details existing and anticipated facilities necessary to accommodate projected campus enrollment needs. The facility master plans provide the framework for development of the annual capital budget request and the University's Five-Year Capital Plan.

CUNY's rehabilitation projects are identified through building condition surveys, conducted jointly by the Dormitory Authority and CUNY. New construction projects generally reflect the CUNY Board of Trustees' policy to replace leased and temporary facilities with new, university-owned structures.

Major projects at CUNY senior and community colleges are supported by bonds issued by the Dormitory Authority. The State pays the debt service on senior college projects other than for Medgar Evers College. For community colleges and Medgar Evers College, debt service costs are shared with the City of New York. CUNY's minor rehabilitation projects for health, safety and preservation purposes are primarily supported by State General Fund appropriations.

The 1998-99 Budget included CUNY capital appropriations totaling \$1.0 billion for the Governor's Multi-Year Capital Investment Program, which provided for additional facility and infrastructure improvements at City University senior and community colleges consistent with the University's needs and priorities. Funded projects encompass critical health and safety, preservation and handicapped access projects, as well as major renovations and new facilities at a number of CUNY campuses. Language accompanying these capital appropriations specified that amounts to be obligated from subsequent reappropriations were to be pursuant to a plan developed by the Governor. Concomitantly, CUNY's bond cap was increased by \$660 million, for a total bonding authorization of \$3.4 billion, to cover CUNY's planned bond sales for the multi-year period of the Capital Investment Program.

## ***CAPITAL PROGRAM PLAN***

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The collapse of buildings at the World Trade Center on September 11, 2001 caused serious damage to the Borough of Manhattan Community College's (BMCC) Fiterman Hall, a 364,000 gross square foot building which is located across the street from the collapsed Building 7 of the World Trade Center. To accommodate the 250 classes which were housed in Fiterman Hall, CUNY reconfigured and reprogrammed space at other BMCC buildings and also procured trailers to serve as temporary classrooms. CUNY is planning to either rehabilitate Fiterman Hall or construct a new facility to replace the lost classroom space.

For 2002-03, the level of contract commitments projected in the capital plan is continued at \$172 million, consistent with the Multi-Year Capital Investment Program.

### ***STATE EDUCATION DEPARTMENT***

The State Education Department's capital program provides for the maintenance of administrative facilities in Albany, the School for the Blind in Batavia, the School for the Deaf in Rome, and the three Native American schools on the Onondaga, St. Regis Mohawk and Tuscarora reservations.

The 2002-03 Executive Budget recommends the transfer of cultural education functions currently administered by the State Education Department to a newly created New York Institute for Cultural Education (NYICE). Consistent with this recommendation, portions of the capital funding for SED's Cultural Education Center are recommended for transfer to NYICE, effective with the creation of this new Institute on July 1, 2002.

Under the Capital Plan, the 2002-03 Budget includes Capital Projects Fund appropriations of \$9.7 million with disbursements projected to total \$4.6 million. These appropriations include \$5 million in State matching funds to support a \$10 million capital plan for the Cultural Education Center. Under this plan, State funds would be used to match private funds to revamp the collections, exhibits and services at the State Museum, State Archives and the State Library. No new Capital Advance Funds are recommended.

For fiscal year 2002-03, the level of contract commitments projected in the Capital Plan is \$4.6 million. Projects previously funded are being designed and awarded as anticipated.

The Capital Plan will enable the State Education Department and NYICE to maintain and preserve their assets, ensure health and safety of staff, students and the general public, and reduce their dependence on leased space by maximizing the effective use of State-owned buildings.

### ***NEW YORK STATE OFFICE OF SCIENCE, TECHNOLOGY AND ACADEMIC RESEARCH***

NYSTAR will continue to administer a \$95 million capital program to provide funding for construction and rehabilitation of public and private university research facilities. Authorized in the 1999-2000 enacted Budget, this funding supports the construction and rehabilitation of state-of-the-art laboratories, and assists New York State in attracting and retaining high technology-related jobs. The program is financed through bonds issued by the Dormitory Authority.

# CAPITAL PROGRAM PLAN

**STATE UNIVERSITY OF NEW YORK  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

		<b>APPROPRIATIONS</b>					<b>Total</b>	
		<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>2002-2007</b>
<b>Program Summary</b>								
Maintenance and Improvements		1,888,899	155,000	175,000	420,000	440,000	440,000	1,630,000
New Facilities		19,666	0	0	0	0	0	0
Total		<u>1,908,565</u>	<u>155,000</u>	<u>175,000</u>	<u>420,000</u>	<u>440,000</u>	<u>440,000</u>	<u>1,630,000</u>
<b>Fund Summary</b>								
Capital Projects Fund		122,845	0	5,000	30,000	30,000	30,000	95,000
Capital Projects Fund - Advances		1,423,300	0	35,000	330,000	330,000	330,000	1,025,000
State University Capital Projects Fund		114,626	20,000	20,000	20,000	20,000	20,000	100,000
State University Residence Hall Rehabilitation								
Fund		<u>247,794</u>	<u>135,000</u>	<u>115,000</u>	<u>40,000</u>	<u>60,000</u>	<u>60,000</u>	<u>410,000</u>
Total		<u>1,908,565</u>	<u>155,000</u>	<u>175,000</u>	<u>420,000</u>	<u>440,000</u>	<u>440,000</u>	<u>1,630,000</u>

		<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>						
Maintenance and Improvements		300,000	310,000	320,000	330,000	340,000
Total		<u>300,000</u>	<u>310,000</u>	<u>320,000</u>	<u>330,000</u>	<u>340,000</u>
<b>Fund Summary</b>						
Capital Projects Fund		15,000	20,000	20,000	20,000	20,000
Capital Projects Fund - Advances		235,000	240,000	250,000	260,000	270,000
State University Capital Projects Fund		20,000	20,000	20,000	20,000	20,000
State University Residence Hall Rehabilitation						
Fund		<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>
Total		<u>300,000</u>	<u>310,000</u>	<u>320,000</u>	<u>330,000</u>	<u>340,000</u>

		<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>								
Maintenance and Improvements		261,189	305,700	402,306	383,674	423,007	414,000	1,928,687
Total		<u>261,189</u>	<u>305,700</u>	<u>402,306</u>	<u>383,674</u>	<u>423,007</u>	<u>414,000</u>	<u>1,928,687</u>
<b>Fund Summary</b>								
Capital Projects Fund		36,189	36,700	40,806	39,674	39,007	30,000	186,187
Capital Projects Fund - Advances		185,000	215,000	307,500	290,000	330,000	330,000	1,472,500
State University Capital Projects Fund		20,000	24,000	24,000	24,000	24,000	24,000	120,000
State University Residence Hall Rehabilitation								
Fund		<u>20,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>150,000</u>
Total		<u>261,189</u>	<u>305,700</u>	<u>402,306</u>	<u>383,674</u>	<u>423,007</u>	<u>414,000</u>	<u>1,928,687</u>

# CAPITAL PROGRAM PLAN

CITY UNIVERSITY OF NEW YORK  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)

	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
<b>Program Summary</b>							
Maintenance and Improvements	696,959	0	0	115,000	115,000	115,000	345,000
New Facilities	352,459	0	0	90,000	90,000	90,000	270,000
Program Changes and Expansion	4,528	0	0	0	0	0	0
Total	<u>1,053,946</u>	<u>0</u>	<u>0</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>	<u>615,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	66,038	0	0	5,000	5,000	5,000	15,000
Capital Projects Fund - Advances	985,546	0	0	200,000	200,000	200,000	600,000
City University of New York Capital Projects Fund	2,362	0	0	0	0	0	0
Total	<u>1,053,946</u>	<u>0</u>	<u>0</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>	<u>615,000</u>

	COMMITMENTS				
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>Program Summary</b>					
Maintenance and Improvements	108,000	82,000	120,000	120,000	120,000
New Facilities	64,000	90,000	90,000	90,000	90,000
Total	<u>172,000</u>	<u>172,000</u>	<u>210,000</u>	<u>210,000</u>	<u>210,000</u>
<b>Fund Summary</b>					
Capital Projects Fund	12,000	12,000	10,000	10,000	10,000
Capital Projects Fund - Advances	160,000	160,000	200,000	200,000	200,000
Total	<u>172,000</u>	<u>172,000</u>	<u>210,000</u>	<u>210,000</u>	<u>210,000</u>

	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
<b>Program Summary</b>							
Maintenance and Improvements	8,964	8,600	7,850	8,650	9,100	9,100	43,300
Preservation of Facilities	136	0	0	0	0	0	0
Program Changes and Expansion	0	500	1,250	450	0	0	2,200
Total	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>45,500</u>
<b>Fund Summary</b>							
Capital Projects Fund	9,100	9,100	9,100	9,100	9,100	9,100	45,500
Total	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>9,100</u>	<u>45,500</u>

# CAPITAL PROGRAM PLAN

**EDUCATION DEPARTMENT, STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

<b>APPROPRIATIONS</b>							<b>Total</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>2002-2007</b>
<b>Program Summary</b>							
Administration	3,425	900	0	0	0	0	900
Cultural Education Center	20,050	5,295	0	0	0	0	5,295
Education Building	3,465	1,695	3,630	3,630	3,630	3,630	16,215
Rebuild Schools to Uphold Education Program	129,304	0	0	0	0	0	0
School for the Blind	2,210	1,700	0	0	0	0	1,700
School for the Deaf	1,680	175	0	0	0	0	175
Washington Avenue Armory	4,000	0	0	0	0	0	0
Total	<u>164,134</u>	<u>9,765</u>	<u>3,630</u>	<u>3,630</u>	<u>3,630</u>	<u>3,630</u>	<u>24,285</u>
<b>Fund Summary</b>							
Capital Projects Fund	136,944	9,765	3,630	3,630	3,630	3,630	24,285
Capital Projects Fund - Advances	27,190	0	0	0	0	0	0
Total	<u>164,134</u>	<u>9,765</u>	<u>3,630</u>	<u>3,630</u>	<u>3,630</u>	<u>3,630</u>	<u>24,285</u>

<b>COMMITMENTS</b>					
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Cultural Education Center	1,000	1,000	1,000	1,000	1,000
Education Building	3,630	3,630	3,630	3,630	3,630
Total	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>
<b>Fund Summary</b>					
Capital Projects Fund	4,630	4,630	4,630	4,630	4,630
Total	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>

<b>DISBURSEMENTS</b>							<b>Total</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>2002-2007</b>
<b>Program Summary</b>							
Administration	1,622	1,694	1,480	388	0	400	3,962
Cultural Education Center	375	1,450	1,300	1,100	1,000	1,546	6,396
Design and Construction Supervision	0	144	0	0	0	0	144
Education Building	1,018	590	1,615	3,042	3,130	1,761	10,138
Rebuild Schools to Uphold Education Program	50,000	79,304	0	0	0	0	79,304
School for the Blind	365	427	235	100	500	773	2,035
School for the Deaf	250	325	0	0	0	150	475
Total	<u>53,630</u>	<u>83,934</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>102,454</u>
<b>Fund Summary</b>							
Capital Projects Fund	53,630	83,934	4,630	4,630	4,630	4,630	102,454
Total	<u>53,630</u>	<u>83,934</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>4,630</u>	<u>102,454</u>

# CAPITAL PROGRAM PLAN

SCIENCE, TECHNOLOGY AND ACADEMIC RESEARCH, OFFICE OF  
 SUMMARY OF  
 PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
 2002-2003 THROUGH 2006-2007  
 (thousands of dollars)

		APPROPRIATIONS					Total	
		Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	2002-2007
<b>Program Summary</b>								
Research Facilities		49,500	0	0	0	0	0	0
Total		49,500	0	0	0	0	0	0
<b>Fund Summary</b>								
Capital Projects Fund		49,500	0	0	0	0	0	0
Total		49,500	0	0	0	0	0	0
		COMMITMENTS						
		2002-2003	2003-2004	2004-2005	2005-2006	2006-2007		
<b>Program Summary</b>								
Research Facilities		17,821	16,835	4,300	0	0		
Total		17,821	16,835	4,300	0	0		
<b>Fund Summary</b>								
Capital Projects Fund		17,821	16,835	4,300	0	0		
Total		17,821	16,835	4,300	0	0		
		DISBURSEMENTS					Total	
		Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	2002-2007
<b>Program Summary</b>								
Research Facilities		10,544	17,821	16,835	4,300	0	0	38,956
Total		10,544	17,821	16,835	4,300	0	0	38,956
<b>Fund Summary</b>								
Capital Projects Fund		10,544	17,821	16,835	4,300	0	0	38,956
Total		10,544	17,821	16,835	4,300	0	0	38,956

### ***MENTAL HYGIENE***

The Department of Mental Hygiene capital program is administered through the three agencies within the Department — the Office of Mental Health (OMH), the Office of Mental Retardation and Developmental Disabilities (OMRDD), and the Office of Alcoholism and Substance Abuse Services (OASAS).

Capital projects for all Mental Hygiene agencies are supported by the General Fund and bonds issued by the Dormitory Authority. These financing mechanisms support the maintenance and rehabilitation of facilities operated by both the State and not-for-profit agencies, as well as the development of new community services for populations which the State serves.

In an effort to streamline the payment of construction contractors and reduce capital construction costs, since 1999 most bonded State capital projects are funded directly from bond proceeds held by the Dormitory Authority. This funding mechanism eliminates most State spending of bond proceeds from the published tables shown in this document. This accounting treatment has no impact on capital projects for the Mental Hygiene agencies.

#### ***OFFICE OF MENTAL HEALTH***

The Office of Mental Health (OMH) provides high quality services to an ongoing client population of approximately 5,580 on 23 separate, active campuses containing 28 institutions: 17 adult, six children and youth, three forensic and two research facilities. In addition, the Agency helps fund the capital construction of hundreds of community residential sites, various mental health related general hospital projects, including psychiatric inpatient and specialized emergency rooms, and a number of non-residential community programs.

The 2002-03 Capital Plan includes appropriations and disbursements for OMH State-operated institutions that will continue to fund projects necessary to meet health and life safety codes, Joint Commission on Accreditation of Healthcare Organizations (JCAHO) accreditation standards, current Federal Medicaid certification requirements, and other projects which remediate environmental deficiencies, improve energy efficiency, preserve long-term facilities and consolidate campus facilities.

#### ***State Operations***

New 2002-03 appropriations of \$149 million and reappropriations of \$359 million for OMH State-operated institutions support essential rehabilitation projects which preserve patient and staff health and safety and ensure compliance with facility accreditation standards. New appropriations include an additional \$35 million for specific activities needed to improve select facilities.

OMH's maintenance management program has invested over \$1 billion in its capital facilities over the past decade. The benefits of these efforts are evident with 99 percent of OMH buildings in fundamentally sound condition. The focus of capital maintenance planning is to ensure that the investments in the OMH infrastructure are preserved, both to realize its maximum useful life and to prevent costly repairs in the future.

## **CAPITAL PROGRAM PLAN**

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Residential/Hospital Buildings	1-100+	66	43	1	110
Psychiatric Rehabilitation Buildings	1-100+	45	56	0	101
Administrative Support Buildings	1-100+	138	197	2	337
	<b>Total</b>	249	296	3	548*

\* excludes 396 vacant buildings and those with non-OMH tenants.

### ***Aid to Localities***

For OMH community programs, new 2002-03 appropriations of \$13 million and reappropriations of \$162 million will make funds available for the preservation and maintenance of the community infrastructure, and the development of residential beds previously authorized through New York/New York II, Community Mental Health Reinvestment and other housing initiatives, including the \$50 million capital initiative appropriated in the 1999-2000 budget. A total of \$53 million in disbursements, including \$6 million financed from the General Fund, is recommended for 2002-03. This local capital funding will support the development of over 1,000 new Single Room Occupancy and Community Residence beds, including 112 beds for children and youth.

### ***OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES***

The Office of Mental Retardation and Developmental Disabilities (OMRDD) offers services in a variety of settings, ranging from homes and small facilities to large institutional developmental centers. The Five-Year Capital Plan for OMRDD continues to focus primarily on serving consumers in the most appropriate settings, and keeping all programs safe for both consumers and staff.

In support of this goal, the Capital Plan recommends a total of \$464 million in new appropriations over the five-year period. For 2002-03, the Capital Plan recommends new appropriations of \$117 million and reappropriations of \$109 million, for the following:

- Projects necessary to maintain health and safety standards for existing facilities and ensure conformance with all Federal and State certification standards;
- Minor rehabilitation projects for State- and voluntary-operated community facilities;
- Completion of 48 State-operated beds authorized in 2001-02, for the placement of community-ready individuals from institutions, in accordance with OMRDD's *Olmstead* Plan;
- Construction of State-operated community placements for 100 persons under NYS-CARES, with operations projected to begin in 2002-03 and 2003-04; and
- Improvements to secure or specialized treatment units which provide services to persons with severe behavioral challenges.

The bulk of the OMRDD Capital Plan (80 percent) is dedicated to the preservation of State facilities, in order to ensure both quality care for consumers, as well as continued Federal accreditation. Based on a recent assessment of OMRDD facilities by the Dormitory Authority, the following table identifies the capital asset group, age and condition of OMRDD assets:

## **CAPITAL PROGRAM PLAN**

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Institutional	1-75	56	215	107*	378
Community	1-55	984	52**	0	1,036
	<b>Total</b>	1,040	267	107	1,414

\* All 107 buildings are vacant and classified as programmatically obsolete, meaning they are not scheduled for short- or long-term use.

\*\* According to agency estimates, at any point in time approximately five percent of State-operated community homes require minor maintenance work and are, therefore, rated as fair.

The Capital Plan for OMRDD will be financed through a mix of current resources and bond proceeds. Over the five years, nearly 50 percent will be financed on a pay-as-you-go basis. It should be noted, however, that bonded appropriations do not generally support community development or NYS-CARES. Rather, the majority of community development since 1996-97 has been accomplished through private financing rather than the use of State-backed Dormitory Authority bonding. Accordingly, capital costs associated with the majority of not-for-profit community development — including the NYS-CARES initiative — are not reflected in the Five-Year Capital Plan.

### **OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES**

The Office of Alcoholism and Substance Abuse Services (OASAS) supports a network of providers offering a continuum of services to treat and prevent chemical dependency. While the majority of this system is operated by voluntary not-for-profit organizations, the State itself operates 13 Addiction Treatment Centers (ATCs). The Capital Plan recommends new appropriations of \$162 million over the next five years to support projects throughout the system. These projects focus primarily on ensuring the health and safety of the system's clients, and the preservation of both State and voluntary facilities.

Annually, between \$30-35 million is appropriated to support capital projects within a network of community based residential and ambulatory treatment centers, chemical dependence outpatient clinics, and methadone clinics. Reappropriations for 2002-03 provide authorization necessary to complete the development of an additional 100 residential treatment beds for either women with children or adolescents, as provided for in the 2000-01 enacted Budget. In addition, sufficient amounts are included in the Plan to complete the 213 bed initiative enacted as part of the 1997-98 Budget.

In addition, approximately \$2.5 million is appropriated annually to support critical maintenance projects in the State ATCs. While 12 of the 13 State-operated facilities are considered fixed assets of other agencies, OASAS is nevertheless responsible for their maintenance. Eleven of the 13 facilities are located on the grounds of Office of Mental Health Psychiatric Centers while another facility is located at the Department of Corrections Willard Drug Treatment Campus. The following table presents the age and condition of the single OASAS capital asset.

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>		
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>
Kingsboro ATC	6	X		

# CAPITAL PROGRAM PLAN

**MENTAL HEALTH, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Administration	6,625	3,300	3,300	3,300	3,300	3,300	16,500
Design and Construction Supervision	10,538	9,750	9,500	8,500	8,500	8,500	44,750
Maintenance and Improvements of State Facilities	332,661	136,360	177,200	103,200	103,200	103,200	623,160
Non-Bondable Projects	8,000	2,000	2,000	2,000	2,000	2,000	10,000
Voluntary Facilities	161,987	11,470	11,482	11,494	10,506	10,518	55,470
Total	<u>519,811</u>	<u>162,880</u>	<u>203,482</u>	<u>128,494</u>	<u>127,506</u>	<u>127,518</u>	<u>749,880</u>
<b>Fund Summary</b>							
Capital Projects Fund	68,050	37,700	42,000	44,000	43,000	43,000	209,700
Mental Hygiene Capital Improvement Fund	451,761	125,180	161,482	84,494	84,506	84,518	540,180
Total	<u>519,811</u>	<u>162,880</u>	<u>203,482</u>	<u>128,494</u>	<u>127,506</u>	<u>127,518</u>	<u>749,880</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Administration	3,300	3,300	3,300	3,300	3,300
Design and Construction Supervision	9,750	9,500	8,500	8,500	8,500
Maintenance and Improvements of State Facilities	140,360	177,200	103,200	103,200	103,200
Non-Bondable Projects	2,000	2,000	2,000	2,000	2,000
Voluntary Facilities	50,000	30,000	14,000	14,000	14,000
Total	<u>205,410</u>	<u>222,000</u>	<u>131,000</u>	<u>131,000</u>	<u>131,000</u>
<b>Fund Summary</b>					
Capital Projects Fund	38,500	41,500	41,500	45,000	45,000
Mental Hygiene Capital Improvement Fund	166,910	180,500	89,500	86,000	86,000
Total	<u>205,410</u>	<u>222,000</u>	<u>131,000</u>	<u>131,000</u>	<u>131,000</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Administration	3,300	3,300	3,300	3,300	3,300	3,300	16,500
Design and Construction Supervision	1,500	1,500	1,500	1,500	1,500	1,500	7,500
Maintenance and Improvements of State Facilities	64,682	67,282	69,282	70,700	70,700	76,700	354,664
Non-Bondable Projects	3,000	2,000	2,000	2,000	2,000	1,000	9,000
Voluntary Facilities	36,582	51,438	51,079	31,215	19,506	9,518	162,756
Total	<u>109,064</u>	<u>125,520</u>	<u>127,161</u>	<u>108,715</u>	<u>97,006</u>	<u>92,018</u>	<u>550,420</u>
<b>Fund Summary</b>							
Capital Projects Fund	32,644	32,859	35,550	37,318	36,500	33,800	176,027
Mental Hygiene Capital Improvement Fund	76,420	92,661	91,611	71,397	60,506	58,218	374,393
Total	<u>109,064</u>	<u>125,520</u>	<u>127,161</u>	<u>108,715</u>	<u>97,006</u>	<u>92,018</u>	<u>550,420</u>

# CAPITAL PROGRAM PLAN

**MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
<b>Program Summary</b>							
Community Services Program	22,791	23,953	18,886	18,973	19,063	19,164	100,039
Design and Construction Supervision	0	8,000	5,000	5,000	5,000	5,000	28,000
Institutional Services Program	75,654	65,262	43,454	44,078	45,140	46,112	244,046
Non-Bondable Projects	1,000	1,000	1,000	1,000	1,000	1,000	5,000
Voluntary Facilities	9,340	18,398	17,741	16,085	16,952	17,726	86,902
Total	<u>108,785</u>	<u>116,613</u>	<u>86,081</u>	<u>85,136</u>	<u>87,155</u>	<u>89,002</u>	<u>463,987</u>
<b>Fund Summary</b>							
Capital Projects Fund	47,122	43,890	45,151	45,864	47,132	47,933	229,970
Mental Hygiene Capital Improvement Fund	61,663	72,723	40,930	39,272	40,023	41,069	234,017
Total	<u>108,785</u>	<u>116,613</u>	<u>86,081</u>	<u>85,136</u>	<u>87,155</u>	<u>89,002</u>	<u>463,987</u>

	COMMITMENTS				
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
<b>Program Summary</b>					
Community Services Program	16,680	16,613	16,700	16,790	16,876
Design and Construction Supervision	5,000	5,000	5,000	5,000	5,000
Institutional Services Program	43,912	42,454	43,078	44,140	45,239
Non-Bondable Projects	1,000	1,000	1,000	1,000	1,000
Voluntary Facilities	14,228	14,841	15,185	16,052	17,249
Total	<u>80,820</u>	<u>79,908</u>	<u>80,963</u>	<u>82,982</u>	<u>85,364</u>
<b>Fund Summary</b>					
Capital Projects Fund	41,617	42,878	43,591	44,859	46,249
Mental Hygiene Capital Improvement Fund	39,203	37,030	37,372	38,123	39,115
Total	<u>80,820</u>	<u>79,908</u>	<u>80,963</u>	<u>82,982</u>	<u>85,364</u>

	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
<b>Program Summary</b>							
Community Services Program	10,200	10,500	11,000	11,000	12,000	12,400	56,900
Design and Construction Supervision	2,000	8,000	5,000	5,000	5,000	5,000	28,000
Institutional Services Program	17,573	17,355	19,200	18,990	18,800	19,080	93,425
Voluntary Facilities	13,055	13,598	14,166	12,860	13,571	14,081	68,276
Total	<u>42,828</u>	<u>49,453</u>	<u>49,366</u>	<u>47,850</u>	<u>49,371</u>	<u>50,561</u>	<u>246,601</u>
<b>Fund Summary</b>							
Capital Projects Fund	37,755	38,342	41,215	41,634	43,070	44,166	208,427
Mental Hygiene Capital Improvement Fund	5,073	11,111	8,151	6,216	6,301	6,395	38,174
Total	<u>42,828</u>	<u>49,453</u>	<u>49,366</u>	<u>47,850</u>	<u>49,371</u>	<u>50,561</u>	<u>246,601</u>

# **CAPITAL PROGRAM PLAN**

**ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Administration	0	982	1,062	1,094	1,127	1,161	5,426
Community Alcoholism and Substance Abuse Facilities	102,974	23,288	25,000	25,000	25,000	25,000	123,288
Design and Construction Supervision	11,426	3,500	3,500	3,500	3,500	3,500	17,500
Institutional Services Program	19,489	2,500	2,500	2,500	2,500	2,500	12,500
Non-Bondable Projects	750	750	750	750	750	750	3,750
Total	<u>134,639</u>	<u>31,020</u>	<u>32,812</u>	<u>32,844</u>	<u>32,877</u>	<u>32,911</u>	<u>162,464</u>
<b>Fund Summary</b>							
Capital Projects Fund	27,006	6,770	8,562	8,594	8,627	8,661	41,214
Mental Hygiene Capital Improvement Fund	107,633	24,250	24,250	24,250	24,250	24,250	121,250
Total	<u>134,639</u>	<u>31,020</u>	<u>32,812</u>	<u>32,844</u>	<u>32,877</u>	<u>32,911</u>	<u>162,464</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Administration	982	1,062	1,094	1,127	1,161
Community Alcoholism and Substance Abuse Facilities	22,741	22,002	21,897	21,587	20,775
Design and Construction Supervision	3,000	3,610	3,445	3,300	3,248
Institutional Services Program	200	500	475	350	559
Non-Bondable Projects	100	100	100	100	100
Total	<u>27,023</u>	<u>27,274</u>	<u>27,011</u>	<u>26,464</u>	<u>25,843</u>
<b>Fund Summary</b>					
Capital Projects Fund	5,749	6,367	6,633	6,864	8,991
Mental Hygiene Capital Improvement Fund	21,274	20,907	20,378	19,600	16,852
Total	<u>27,023</u>	<u>27,274</u>	<u>27,011</u>	<u>26,464</u>	<u>25,843</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Administration	902	982	1,062	1,094	1,127	1,161	5,426
Community Alcoholism and Substance Abuse Facilities	26,930	23,741	22,602	22,100	22,587	24,775	115,805
Design and Construction Supervision	3,500	3,000	3,610	3,445	3,300	3,248	16,603
Institutional Services Program	75	200	729	475	350	559	2,313
Non-Bondable Projects	100	100	100	100	100	100	500
Total	<u>31,507</u>	<u>28,023</u>	<u>28,103</u>	<u>27,214</u>	<u>27,464</u>	<u>29,843</u>	<u>140,647</u>
<b>Fund Summary</b>							
Capital Projects Fund	6,503	5,749	6,367	6,633	6,864	8,991	34,604
Mental Hygiene Capital Improvement Fund	25,004	22,274	21,736	20,581	20,600	20,852	106,043
Total	<u>31,507</u>	<u>28,023</u>	<u>28,103</u>	<u>27,214</u>	<u>27,464</u>	<u>29,843</u>	<u>140,647</u>

## HOUSING

### **DIVISION OF HOUSING AND COMMUNITY RENEWAL**

The State's housing capital programs provide grants, low-interest loans and technical assistance to facilitate construction and preservation of the State's low- and moderate-income housing stock. State capital funds are combined with Federal funds, low-cost mortgages and available private sector investments to finance activities that, absent the State's involvement, would not be financially feasible.

In addition to developing and maintaining low-cost housing, the housing capital programs foster economic growth across the State by creating additional construction jobs and encouraging new private sector investment in distressed areas.

The State's housing capital appropriations are made to the Division of Housing and Community Renewal (DHCR), the State agency charged with coordinating the State's housing policies and programs. The individual housing programs are implemented through four public benefit corporations: the Housing Trust Fund Corporation (HTFC); the Affordable Housing Corporation (AHC), the Homeless Housing Assistance Corporation (HHAC); and the Housing Finance Agency (HFA). DHCR staff perform the administrative functions generally associated with low-income housing programs as well as overseeing the State's involvement in Federal capital programs. HFA staff provide administrative support for the State programs that generally target moderate-income households.

The Capital Plan recommends \$104.2 million in appropriations in 2002-03 to fund six housing capital programs:

- \$29 million to the Low-Income Housing Trust Fund program to provide grants of up to \$75,000 per unit to construct or renovate low-income apartment projects. The Capital Plan maintains 2002-03 funding levels for this program through 2006-07;
- \$25 million to the Affordable Homeownership Development Program, which provides grants of up to \$25,000 to low- and moderate-income households to assist in the acquisition or renovation of their homes. The Capital Plan maintains 2002-03 funding levels for this program through 2006-07;
- \$30 million to the Homeless Housing Assistance Program (HHAP) which provides grants to not-for-profit corporations and municipalities to expand and improve the supply of permanent, transitional and emergency housing for homeless persons;
- \$12.8 million to the Public Housing Modernization Program to subsidize repairs at 74 State-supervised public housing projects across the State. A total of \$400,000 from this appropriation will be reserved to fund capital activities aimed at reducing illegal drug activities at these projects. The Capital Plan maintains 2002-03 funding levels for this program through 2006-07;
- \$7.0 million for the Homes for Working Families program to continue this award-winning initiative which combines State funds with other available public and private sector moneys, Federal Low Income Tax Credit proceeds and non-State supported bond funds to construct affordable rental housing for low- and moderate-income households; and,
- \$400,000 for the Housing Opportunities Program, which provides grants to low-income elderly homeowners for emergency home repairs. The Capital Plan maintains 2002-03 funding levels for this program through 2006-07.

In addition to State-funded programs, HTFC also awards and disburses Federal moneys pursuant to the U.S. Department of Housing and Urban Development's HOME program. This program provides grants to not-for-profit groups and local governments to partially fund the construction or rehabilitation of low- and moderate-income housing.

## ***CAPITAL PROGRAM PLAN***

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The Executive Budget also includes a reappropriation of \$11.3 million to continue programs funded from the State's Housing Assistance Fund (HAF). The HAF was established in 1988 with a one-time appropriation to fund eight housing construction and development programs serving low- and moderate-income households with specific housing needs.

The 2002-03 Capital Plan contemplates the commitment of State housing funds in the year in which funds are appropriated. Requests for funding from the State's various housing programs are reviewed and evaluated on an annual basis by program staff. These applications are submitted by private and not-for-profit sponsors of proposed low- and moderate-income housing projects. The applications are scored and ranked on a competitive basis and the review process culminates in award notifications for the most effective projects in meeting the State's housing needs.

### ***OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE***

The Office of Temporary and Disability Assistance (OTDA) administers the HHAP which provides grants to not-for-profit corporations and municipalities to expand and improve the supply of permanent, transitional, and emergency housing for homeless persons. To date, over \$482 million in HHAP funding has resulted in more than 450 capital projects statewide, and a projected 9,000 housing units for the homeless. HHAP also links its projects to other State and Federal funding sources to deliver appropriate support services to help tenants move towards greater self-determination and economic self-sufficiency.

The Five-Year Capital Plan for 2002-03 maintains the HHAP appropriation level at \$30 million, including \$5 million for the development of housing for persons with HIV or AIDS. The 2002-03 recommendations also continue a \$14 million initiative begun in SFY 1997-98, to develop over 250 domestic violence shelter beds in New York City.

# CAPITAL PROGRAM PLAN

## HOUSING AND COMMUNITY RENEWAL, DIVISION OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS 2002-2003 THROUGH 2006-2007 (thousands of dollars)

Program Summary	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Affordable Housing Corporation	75,900	25,000	25,000	25,000	25,000	25,000	125,000
Homes for Working Families Program	7,000	7,000	3,000	3,000	3,000	3,000	19,000
Housing Assistance Fund	11,333	0	0	0	0	0	0
Housing Opportunity Program For Elderly	400	400	400	400	400	400	2,000
Housing Program Capital Improvement	19,720	0	0	0	0	0	0
Low Income Housing Trust Fund	92,475	29,000	29,000	29,000	29,000	29,000	145,000
Maintenance and Improvements of Existing Facilities	14,849	0	0	0	0	0	0
New Facilities	39,524	0	10,000	10,000	10,000	10,000	40,000
Public Housing Modernization Program	73,150	12,800	12,800	12,800	12,800	12,800	64,000
State Housing Bond Fund	7,344	0	0	0	0	0	0
<b>Total</b>	<b>341,695</b>	<b>74,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>	<b>395,000</b>
<b>Fund Summary</b>							
Capital Projects Fund	24,945	4,000	4,000	4,000	4,000	4,000	20,000
Federal Capital Projects Fund	38,299	0	10,000	10,000	10,000	10,000	40,000
Housing Assistance Fund	11,333	0	0	0	0	0	0
Housing Program Fund	259,774	70,200	66,200	66,200	66,200	66,200	335,000
State Housing Bond Fund	7,344	0	0	0	0	0	0
<b>Total</b>	<b>341,695</b>	<b>74,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>	<b>395,000</b>

Program Summary	COMMITMENTS				
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
Affordable Housing Corporation	25,000	25,000	25,000	25,000	25,000
Homes for Working Families Program	7,000	3,000	3,000	3,000	3,000
Housing Opportunity Program For Elderly	400	400	400	400	400
Low Income Housing Trust Fund	29,000	29,000	29,000	29,000	29,000
New Facilities	0	10,000	10,000	10,000	10,000
Public Housing Modernization Program	12,800	12,800	12,800	12,800	12,800
<b>Total</b>	<b>74,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>
<b>Fund Summary</b>					
Capital Projects Fund	4,000	4,000	4,000	4,000	4,000
Federal Capital Projects Fund	0	10,000	10,000	10,000	10,000
Housing Program Fund	70,200	66,200	66,200	66,200	66,200
<b>Total</b>	<b>74,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>	<b>80,200</b>

Program Summary	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Affordable Housing Corporation	21,000	25,700	25,000	25,000	25,000	25,000	125,700
Homes for Working Families Program	7,000	7,700	3,000	3,000	3,000	3,000	19,700
Housing Assistance Fund	0	4,000	4,000	0	0	0	8,000
Housing Opportunity Program For Elderly	2,400	400	400	400	400	400	2,000
Housing Program Capital Improvement	575	575	575	575	575	575	2,875
Low Income Housing Trust Fund	36,550	32,550	31,150	30,250	29,000	29,000	151,950
Maintenance and Improvements of Existing Facilities	1,850	1,600	0	0	0	0	1,600
New Facilities	11,125	9,484	10,000	10,000	10,000	10,000	49,484
Public Housing Modernization Program	10,650	11,500	11,900	12,800	12,800	12,800	61,800
<b>Total</b>	<b>91,150</b>	<b>93,509</b>	<b>86,025</b>	<b>82,025</b>	<b>80,775</b>	<b>80,775</b>	<b>423,109</b>
<b>Fund Summary</b>							
Capital Projects Fund	2,700	4,575	4,575	4,575	4,575	4,575	22,875
Federal Capital Projects Fund	10,000	9,484	10,000	10,000	10,000	10,000	49,484
Housing Assistance Fund	0	4,000	4,000	0	0	0	8,000
Housing Program Fund	78,450	75,450	67,450	67,450	66,200	66,200	342,750
<b>Total</b>	<b>91,150</b>	<b>93,509</b>	<b>86,025</b>	<b>82,025</b>	<b>80,775</b>	<b>80,775</b>	<b>423,109</b>

# **CAPITAL PROGRAM PLAN**

**TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Information Management Technology Program	361	0	0	0	0	0	0
Supported Housing Program	136,282	30,000	30,000	30,000	30,000	30,000	150,000
Total	<u>136,643</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>150,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	3,611	0	0	0	0	0	0
Housing Program Fund	133,032	30,000	30,000	30,000	30,000	30,000	150,000
Total	<u>136,643</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>150,000</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Supported Housing Program	30,000	30,000	30,000	30,000	30,000
Total	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>
<b>Fund Summary</b>					
Housing Program Fund	30,000	30,000	30,000	30,000	30,000
Total	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>	<u>30,000</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Supported Housing Program	30,500	24,750	24,500	25,000	30,000	30,000	134,250
Total	<u>30,500</u>	<u>24,750</u>	<u>24,500</u>	<u>25,000</u>	<u>30,000</u>	<u>30,000</u>	<u>134,250</u>
<b>Fund Summary</b>							
Capital Projects Fund	7,500	1,750	1,500	0	0	0	3,250
Housing Program Fund	23,000	23,000	23,000	25,000	30,000	30,000	131,000
Total	<u>30,500</u>	<u>24,750</u>	<u>24,500</u>	<u>25,000</u>	<u>30,000</u>	<u>30,000</u>	<u>134,250</u>

## **OTHER**

### **OFFICE OF GENERAL SERVICES**

The Office of General Services (OGS) is responsible for the operation, maintenance, and renovation of 46 major and 84 ancillary State-owned and operated buildings located throughout the State. The estimated replacement value of these 130 buildings is over \$4.5 billion. The five year capital plan for OGS emphasizes investments in the Office's asset management program, which includes preservation of facilities and preventive maintenance components aimed at reducing the number of emergencies and avoiding costly out year projects. Maintenance activities are undertaken by in-house staff, temporary service and outside contractors to protect existing capital assets, while preventing further deterioration of the infrastructure. The asset management program will fund high priority projects that will preserve the integrity of buildings and maintain a safe and healthy environment for the State's employees and the public. OGS will also have the flexibility to address critical infrastructure needs, while accommodating significant customer-tenant issues.

The Office is also making significant capital investments in office facilities in the Albany area. This effort includes new office buildings for the Department of Environmental Conservation and the Office of the State Comptroller, the new East Garage in downtown Albany, the modernization of the Alfred E. Smith State Office Building, and a new parking garage at Elk Street. Funding is also continued for critical repairs at the State Capitol. These and other investments in the Office's capital plan will result in commitment levels that are consistent with those of prior years, after adjusting for the new building modernization effort.

The OGS preventive maintenance program concentrates on projects intended to bring essential capital assets to a level at which they can achieve their life expectancy at a minimal cost to the State. To accomplish this, OGS has developed a facility condition assessment system that assesses the architectural, structural, mechanical, electrical and site components of each facility. This system identifies resource requirements and assures maintenance adequacy, while allowing managers to define, develop, and prioritize cost estimates for capital repairs and replacement projects.

The preventive maintenance program plan is focused on the goals of maximizing the useful life of facilities, improving the reliability of systems and equipment, and providing the means for determining equipment management and replacement needs. According to industry standards, the average building is constructed to last a minimum of 40 years, while parking lots can last more than 25 years with routine maintenance. However, since many of the assets managed by OGS, such as the State Capitol and the Executive Mansion, are historically significant, their useful life can be considered indefinite. Over the long term, maintenance efforts will continue to enhance facilities by improving the building appearance and upgrading the overall condition as a capital asset. The program is estimated to require \$15.7 million in capital funding in 2002-03 to maintain the current infrastructure.

In evaluating its assets, OGS has established the following rating categories: good, shows normal wear and tear; poor, displays definite deterioration and may have unusable portions; and scrap, is not usable. According to the most recent assessment of assets, 70 percent of the Office's buildings are rated in good condition, with 28 percent being rated in poor condition. The average age of office and support buildings is 35 years.

## **CAPITAL PROGRAM PLAN**

The following table identifies the capital asset group, age and condition of OGS assets.

	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Poor</u>	<u>Unrated</u>	
Empire State Plaza and Downtown Operations	To 120 yrs.	37	0	0	37
Harriman State Office Building Campus	To 40 yrs.	12	14	0	26
Upstate Region	To 70 yrs.	16	16	0	32
Lease/Purchase	To 50 yrs.	5	0	0	5
Downstate Region	To 70 yrs.	7	0	3	10
Parking Services	To 40 yrs.	14	6	0	20
	<b>Total</b>	91	36	3	130

To address the challenges that lie ahead, OGS has developed a strategic plan that will provide for the orderly rehabilitation and renovation of facilities. That plan, coupled with the on-going maintenance efforts, will ensure that OGS addresses the pressing needs of the State's infrastructure.

### ***OFFICE OF CHILDREN AND FAMILY SERVICES***

The Office of Children and Family Services (OCFS) operates 48 residential youth facilities and non-residential programs which serve over 2,000 youth, ages seven to 21. These facilities operate at a variety of security levels which range from secure centers, primarily housing juvenile offenders who were tried as adults, to community-based residences. The OCFS Capital Plan reflects the State's continued commitment to ensure that housing, program and support space remains functional, safe and secure.

The OCFS capital planning process will continue to identify improvements to its facilities to meet health and safety standards, and provide for program enhancements related to population needs. Trends in the agency's capital program have focused on the need to maintain the structural integrity of existing capital assets and to initiate facility modifications necessary to house Juvenile Delinquents and Juvenile Offenders who require a higher level of security. This year's Capital Plan includes funding to design and construct a facility to replace the Harlem Valley Secure facility as well as funding to undertake health and safety, environmental, facility preservation and security projects in existing facilities.

The Capital Plan also includes funding for the Child Care Facilities Development Program, which provides financial assistance for the construction or rehabilitation of child day care centers throughout the State. Additionally, Section 529 of the Social Services Law requires OCFS to maintain the community house within the Tonawanda Indian Reservation. This year's Plan continues funding for improvements to the community house which was built in 1936.

In 2002-03, in collaboration with the Office of General Services, OCFS will continue implementing a long-term capital maintenance program aimed at preserving the useful life of its facilities and infrastructure. Many of the Office's youth facilities are over 30 years old and in "fair" condition, indicating the need for repair and/or improvement. As indicated in the chart

## ***CAPITAL PROGRAM PLAN***

below, OCFS has grouped its assets by facility size, further categorized by age, remaining useful life and condition. The Five-Year Plan for capital maintenance includes \$14.2 million in spending to support maintenance and improvement activities.

The OCFS capital program is funded from the Capital Projects Fund, the Youth Facilities Improvement Fund and the Miscellaneous Capital Projects Fund. Disbursements from the Youth Facilities Improvement Fund are reimbursed by bond proceeds issued by the Empire State Development Corporation. Disbursements for the Child Care Facilities Development Project are reimbursed by bond proceeds issued by the Dormitory Authority.

The five-year recommended disbursements of \$162.7 million include \$78.4 million for the development of youth facility capacity, \$60.6 million for projects related to rehabilitation and improvements of facilities, \$5.9 million for security enhancements, \$11.9 million for environmental compliance projects, \$5 million for the Child Care Facilities Development Program, and \$.9 million for the State and local shares of the Youth Centers Program. For fiscal year 2002-03, the level of contract commitments projected in the capital plan is \$92.8 million, up \$32.5 million from 2001-02 projected levels.

<u>Asset / Asset Group</u>	<u>Age</u>	<u>Remaining Useful Life</u>	<u>Condition</u>			
			<u>Good</u>	<u>Fair</u>	<u>Poor</u>	<u>Obsolete</u>
Brookwood SC	40/5	>10	x			
Goshen SC	40	5-10		x		
MacCormick SC	20	5-10		x		
Allen RC	53	5-10		x		
Bronx RC	70	5-10		x		
Gossett RC	7	>10	x			
Highland RC	40	<5			x	
Industry School	70+	5-10		x		
Lansing RC	60/8	5-10	x	x		
McQueen RC	65	<5			x	
Oatka RC	20/8	5-10		x		
Parker Training	60	>10	x	x		
Pyramid RC	70	<5		x		
Tyron RC	39	5-10		x		
Buffalo	50+	<5				x
New 25 Bed Facilities	8	>10	x			
Old 25 Bed Facilities	40+	5-10		x	x	
All Group Homes	30+	<5		x	x	
Youth Leadership Academy	7	>10	x			
Adirondack Wilderness Challenge	7	>10	x			

### ***DEPARTMENT OF HEALTH***

The focus of the Department of Health's (DOH) capital program is protecting the health and safety of its patients, employees and visitors. An integral part of this plan is to maintain and improve its capital assets which include five health care facilities: Helen Hayes Hospital in West Haverstraw and four veterans' homes in Oxford, St. Albans, Batavia, and the recently opened Montrose Veteran's Home in the Hudson Valley. The Department also maintains the Wadsworth Center for Laboratories and Research which is comprised of three laboratories located in Albany County.

## **CAPITAL PROGRAM PLAN**

In January 1999, pursuant to an operating agreement between the two parties, responsibility for operations of the Roswell Park Cancer Institute was transferred from the Department to the Roswell Park Cancer Institute Corporation. The Corporation is now responsible for the ongoing maintenance of Roswell's capital assets.

For 2002-03, DOH's Capital Program includes both ongoing and new projects to address major capital needs with estimated total disbursements of \$120 million. Planned projects will preclude potentially more costly future capital expenditures while minimizing interruptions in clinical care services and laboratory functions, and will continue DOH's participation in the Safe Drinking Water Program.

The Department continues its participation in implementing the Safe Drinking Water Program, funded by Federal grants and State matching funds. A new \$70 million Bond Act appropriation is recommended for 2002-03, as well as a \$75 million Federal appropriation.

Commitments are estimated at \$574 million over the five-year plan, including \$80 million from the Clean Water/Clean Air (CWCA) Bond Act and \$290 million from Federal funds for safe drinking water projects. The balance reflects planned projects for DOH facilities.

The Capital Plan includes \$27.7 million over the next five years for capital maintenance. The Department's goals are to ensure a safe environment, preserve infrastructure and related equipment and to promote energy efficiency

The Department's capital program is financed by CWCA Bond Act proceeds, Federal grants, Special Revenue funds, and the State's General Fund. Debt Service on outstanding Dormitory Authority bonds will continue to be supported by patient care revenues.

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Helen Hayes Hospital	19-100	4	10	5	19
Wadsworth Center for Laboratories & Research	4-55	6	18	0	24
Veteran's Nursing Homes:					
Oxford	3-100	3	2	2	7
St. Albans	7	1	0	0	1
Batavia	6	1	0	0	1
Montrose	1	1	0	0	1
	<b>Total</b>	16	30	7	53

## **DEPARTMENT OF AGRICULTURE AND MARKETS**

The Department of Agriculture and Markets, in conjunction with the Industrial Exhibit Authority, is responsible for operating the New York State Fair and maintaining its buildings and grounds. The Fairgrounds include 28 major buildings and 96 other structures, the majority of which have a useful life of greater than 10 years and are in good overall condition.

## ***CAPITAL PROGRAM PLAN***

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Various Fair Buildings	4 to 92 yrs.	107	13	4	124

For 2002-03, the capital plan supports approximately \$2 million in capital disbursements and a total of \$600,000 in new appropriations from the General Fund to repair and rehabilitate the Fair's facilities to ensure a safe and enjoyable experience for all Fair patrons and participants. An additional \$4 million will be made available for similar projects through 2006-07.

The 2002-03 Executive Budget also recommends continued spending from Special Revenue funds for the Fair (financed by revenues from public/private partnership agreements and year-round operation of the Fairgrounds) to complete needed improvements at various Fairgrounds buildings.

The Department's capital maintenance plan for the next five years prioritizes those projects that will preserve, rehabilitate and improve Fairgrounds buildings, land and infrastructure for year-round use, and continue to protect the State's investment in the facility. In addition, Fairgrounds structures are upgraded continually to meet more stringent building code requirements, including safety and accessibility for the disabled.

For 2002-03, the level of commitments projected in the Capital Plan is \$2 million. Projects that were previously funded are being designed and awarded as anticipated. Future year commitments are consistent with planned appropriation levels over the next five years.

### ***ECONOMIC DEVELOPMENT***

For 2002-03, the Capital Plan includes \$250 million, supported by bond funds, for Centers of Excellence and other high technology, biotechnology initiatives, which will be administered by the Empire State Development Corporation (ESDC) in conjunction with the New York State Office of Science, Technology and Academic Research (NYSTAR). This program will finance projects that create or retain technology-related jobs including the construction and rehabilitation of research facilities, acquisition of business equipment, and development of business incubators and business parks. The Financial Plan redirects \$60 million of the \$425 million Community Enhancement Facilities Assistance Program (CEFAP) to offset the costs of this new high technology, biotechnology program. NYSTAR will also continue to administer the \$95 million capital facilities program authorized with the 1999-2000 enacted Budget.

The Executive Budget provides support for a new Empire Opportunity Fund that will finance major capital infrastructure, construction and other economic development projects that either directly create and retain jobs or build capacity for future job growth in areas outside New York City.

The Capital Plan continues to include appropriations and reappropriations for environmental, higher education, cultural and economic development projects authorized under prior year's enacted Budgets including: \$225 million for the Strategic Investment Program (SIP) authorized in 2000-01; \$15 million for the construction of a stadium to house the Rochester Rhinos Soccer franchise authorized in 2000-01; \$25 million for the Economic Development and Natural Resources Preservation Program (EDNRP) authorized in 1999-00; and \$336.8 million authorized in 1997-98 for CEFAP which reflects the redirection of \$60

## ***CAPITAL PROGRAM PLAN***

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million for the high technology, biotechnology program and the use of \$28.2 million from the Debt Reduction Reserve Fund. SIP, EDNRP, CEFAP and the stadium project are financed with public authority bonds.

### ***ENERGY RESEARCH AND DEVELOPMENT AUTHORITY***

The Energy Research and Development Authority (ERDA) owns and manages the Western New York Nuclear Service Center at West Valley in Cattaraugus County, the site of a former nuclear fuel reprocessing facility and an inactive low-level radioactive waste disposal area.

The Authority's Capital Plan reflects its continuing role in a joint Federal-State Demonstration Project to treat and dispose of liquid nuclear waste at the Center and decommission the reprocessing facility. The Plan also includes ERDA's on-going maintenance costs at the disposal area to ensure its compliance with environmental laws.

ERDA's Capital Maintenance Plan indicates that the Western New York Service Center is approximately 34 years of age, in good condition, with a remaining useful life that will extend to the conclusion of the decommissioning of the site. The maintenance goal at the site is to preserve the facility in a state of good repair.

The 2002-03 commitment level of \$13.4 million also includes the anticipated cost for ERDA's role in the Clean Water/Clean Air Bond Act.

### ***DEPARTMENT OF STATE***

Beginning in SFY 2002-03, capital projects at the NYS Academy of Fire Sciences in Montour Falls (Schuyler County) will be supported by the Department of Insurance.

### ***JUDICIARY***

The 2002-03 Judiciary request includes reappropriations totaling \$36.7 million. This includes \$33 million for the continued renovation and expansion of the Court of Appeals building and \$3.7 million for expenses associated with improvements to the Appellate Division, Third Judicial Department quarters located within the Justice building in Albany. The improvement projects will be funded entirely by a transfer from the General Fund.

# CAPITAL PROGRAM PLAN

**GENERAL SERVICES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Design and Construction Supervision	19,821	8,000	13,000	14,000	7,000	4,000	46,000
Maintenance and Improvement of Real Property Facilities	69,709	206,000	93,000	144,000	48,000	50,000	541,000
Petroleum Storage Tank	500	0	0	0	0	0	0
Total	<u>90,030</u>	<u>214,000</u>	<u>106,000</u>	<u>158,000</u>	<u>55,000</u>	<u>54,000</u>	<u>587,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	84,551	214,000	106,000	158,000	55,000	54,000	587,000
Capital Projects Fund - Advances	5,479	0	0	0	0	0	0
Total	<u>90,030</u>	<u>214,000</u>	<u>106,000</u>	<u>158,000</u>	<u>55,000</u>	<u>54,000</u>	<u>587,000</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Design and Construction Supervision	20	28	30	25	25
Maintenance and Improvement of Real Property Facilities	46,535	55,392	41,770	25,207	25,200
Total	<u>46,555</u>	<u>55,420</u>	<u>41,800</u>	<u>25,232</u>	<u>25,225</u>
<b>Fund Summary</b>					
Capital Projects Fund	46,555	55,420	41,800	25,232	25,225
Total	<u>46,555</u>	<u>55,420</u>	<u>41,800</u>	<u>25,232</u>	<u>25,225</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Design and Construction Supervision	13,883	8,000	4,813	7,000	2,916	2,915	25,644
Maintenance and Improvement of Real Property Facilities	38,167	81,200	91,387	75,200	53,084	57,085	357,956
Petroleum Storage Tank	150	0	0	0	0	0	0
Total	<u>52,200</u>	<u>89,200</u>	<u>96,200</u>	<u>82,200</u>	<u>56,000</u>	<u>60,000</u>	<u>383,600</u>
<b>Fund Summary</b>							
Capital Projects Fund	52,200	89,200	96,200	82,200	56,000	54,521	378,121
Capital Projects Fund - Advances	0	0	0	0	0	5,479	5,479
Total	<u>52,200</u>	<u>89,200</u>	<u>96,200</u>	<u>82,200</u>	<u>56,000</u>	<u>60,000</u>	<u>383,600</u>

# CAPITAL PROGRAM PLAN

**CHILDREN AND FAMILY SERVICES OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

Program Summary	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Child Care Facilities Development Program	22,000	0	0	0	0	0	0
Design and Construction Supervision	2,000	3,000	1,000	1,000	1,000	1,000	7,000
Executive Direction and Administrative Services	7,956	0	0	0	0	0	0
Maintenance and Improvement of Facilities	28,620	11,410	5,000	5,000	5,000	5,000	31,410
New Construction	9,200	72,800	0	0	0	0	72,800
Program Improvement or Program Change	12,011	0	3,000	3,000	3,000	3,000	12,000
Rehabilitative Services	278	0	0	0	0	0	0
Youth Center	8,317	0	0	0	0	0	0
<b>Total</b>	<b>90,382</b>	<b>87,210</b>	<b>9,000</b>	<b>9,000</b>	<b>9,000</b>	<b>9,000</b>	<b>123,210</b>
<b>Fund Summary</b>							
Capital Projects Fund	38,667	1,935	1,000	1,000	1,000	1,000	5,935
Misc. Capital Projects	7,000	0	0	0	0	0	0
Youth Facilities Improvement Fund	44,715	85,275	8,000	8,000	8,000	8,000	117,275
<b>Total</b>	<b>90,382</b>	<b>87,210</b>	<b>9,000</b>	<b>9,000</b>	<b>9,000</b>	<b>9,000</b>	<b>123,210</b>

Program Summary	COMMITMENTS				
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
Child Care Facilities Development Program	5,000	0	0	0	0
Design and Construction Supervision	2,500	2,000	0	500	500
Executive Direction and Administrative Services	700	0	0	0	0
Maintenance and Improvement of Facilities	15,500	14,000	15,100	0	0
New Construction	63,100	0	0	200	200
Program Improvement or Program Change	6,000	10,000	8,600	400	400
<b>Total</b>	<b>92,800</b>	<b>26,000</b>	<b>23,700</b>	<b>1,100</b>	<b>1,100</b>
<b>Fund Summary</b>					
Capital Projects Fund	7,200	4,000	4,000	1,100	1,100
Youth Facilities Improvement Fund	85,600	22,000	19,700	0	0
<b>Total</b>	<b>92,800</b>	<b>26,000</b>	<b>23,700</b>	<b>1,100</b>	<b>1,100</b>

Program Summary	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Child Care Facilities Development Program	20,497	5,000	0	0	0	0	5,000
Design and Construction Supervision	900	1,000	1,850	2,250	1,250	750	7,100
Executive Direction and Administrative Services	1,526	3,000	2,000	1,500	0	0	6,500
Maintenance and Improvement of Facilities	8,503	14,508	13,286	7,310	6,900	5,000	47,004
New Construction	3,600	3,760	31,840	30,000	12,800	0	78,400
Program Improvement or Program Change	1,919	7,920	3,476	2,500	2,500	1,300	17,696
Rehabilitative Services	241	130	25	0	0	0	155
Youth Center	5,100	866	0	0	0	0	866
<b>Total</b>	<b>42,286</b>	<b>36,184</b>	<b>52,477</b>	<b>43,560</b>	<b>23,450</b>	<b>7,050</b>	<b>162,721</b>
<b>Fund Summary</b>							
Capital Projects Fund	27,286	9,866	3,070	2,335	1,300	1,000	17,571
Misc. Capital Projects	500	3,000	2,000	1,500	0	0	6,500
Youth Facilities Improvement Fund	14,500	23,318	47,407	39,725	22,150	6,050	138,650
<b>Total</b>	<b>42,286</b>	<b>36,184</b>	<b>52,477</b>	<b>43,560</b>	<b>23,450</b>	<b>7,050</b>	<b>162,721</b>

# CAPITAL PROGRAM PLAN

**HEALTH, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

Program Summary	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Design and Construction Supervision	1,078	0	0	0	0	0	0
Laboratories and Research	8,518	4,000	4,000	4,000	8,000	0	20,000
Maintenance and Improvements of Existing Institutions	19,936	7,600	7,600	7,600	15,200	0	38,000
New Institution Construction	20,000	0	0	0	0	0	0
Rehabilitation and Improvements	2,126	0	0	0	0	0	0
Safe Drinking Water - Clean Water/Clean Air 96	10,000	70,000	0	0	0	0	70,000
Water Resources	182,283	75,000	85,000	115,000	115,000	115,000	505,000
<b>Total</b>	<b>243,941</b>	<b>156,600</b>	<b>96,600</b>	<b>126,600</b>	<b>138,200</b>	<b>115,000</b>	<b>633,000</b>
<b>Fund Summary</b>							
Capital Projects Fund	31,658	11,600	31,600	61,600	73,200	50,000	228,000
Capital Projects Fund - 1996 CWA (Bondable)	10,000	70,000	0	0	0	0	70,000
Capital Projects Fund - Advances	20,000	0	0	0	0	0	0
Federal Capital Projects Fund	182,283	75,000	65,000	65,000	65,000	65,000	335,000
<b>Total</b>	<b>243,941</b>	<b>156,600</b>	<b>96,600</b>	<b>126,600</b>	<b>138,200</b>	<b>115,000</b>	<b>633,000</b>

Program Summary	COMMITMENTS				
	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007
Laboratories and Research	2,350	2,370	1,500	1,200	1,500
Maintenance and Improvements of Existing Institutions	3,204	3,700	3,500	2,250	5,000
Rehabilitation and Improvements	4,500	4,000	4,000	2,150	0
Safe Drinking Water - Clean Water/Clean Air 96	50,000	30,000	0	0	0
Water Resources	65,000	80,408	113,555	114,900	79,000
<b>Total</b>	<b>125,054</b>	<b>120,478</b>	<b>122,555</b>	<b>120,500</b>	<b>85,500</b>
<b>Fund Summary</b>					
Batavia Rehabilitation and Improvement	0	0	1,000	0	0
Capital Projects Fund	5,054	25,478	53,555	55,500	55,500
Capital Projects Fund - 1996 CWA (Bondable)	50,000	30,000	0	0	0
Capital Projects Fund - Advances	5,000	0	0	0	0
Federal Capital Projects Fund	65,000	65,000	65,000	65,000	30,000
Helen Hayes Rehabilitation and Improvement	0	0	1,000	0	0
Oxford Rehabilitation and Improvement	0	0	1,000	0	0
St. Albans Rehabilitation and Improvement	0	0	1,000	0	0
<b>Total</b>	<b>125,054</b>	<b>120,478</b>	<b>122,555</b>	<b>120,500</b>	<b>85,500</b>

Program Summary	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Design and Construction Supervision	242	243	234	0	0	0	477
Laboratories and Research	1,498	1,252	1,900	2,000	2,750	1,500	9,402
Maintenance and Improvements of Existing Institutions	2,781	3,171	2,992	4,200	2,750	4,000	17,113
New Institution Construction	3,989	0	0	0	0	0	0
Rehabilitation and Improvements	625	388	352	0	0	0	740
Safe Drinking Water - Clean Water/Clean Air 96	50,000	50,000	30,000	0	0	0	80,000
Water Resources	66,000	65,000	84,419	114,300	115,000	115,000	493,719
<b>Total</b>	<b>125,135</b>	<b>120,054</b>	<b>119,897</b>	<b>120,500</b>	<b>120,500</b>	<b>120,500</b>	<b>601,451</b>
<b>Fund Summary</b>							
Capital Projects Fund	5,146	5,054	25,478	55,500	55,500	55,500	197,032
Capital Projects Fund - 1996 CWA (Bondable)	50,000	50,000	30,000	0	0	0	80,000
Department of Health Facilities Capital Improvement Fund	3,989	0	0	0	0	0	0
Federal Capital Projects Fund	66,000	65,000	64,419	65,000	65,000	65,000	324,419
<b>Total</b>	<b>125,135</b>	<b>120,054</b>	<b>119,897</b>	<b>120,500</b>	<b>120,500</b>	<b>120,500</b>	<b>601,451</b>

# CAPITAL PROGRAM PLAN

**AGRICULTURE AND MARKETS, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

<b>APPROPRIATIONS</b>							
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Design and Construction Supervision	29	0	0	0	0	0	0
State Fair	5,946	2,600	3,000	3,000	3,000	3,000	14,600
Total	<u>5,975</u>	<u>2,600</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>	<u>14,600</u>
<b>Fund Summary</b>							
Capital Projects Fund	2,475	600	1,000	1,000	1,000	1,000	4,600
Misc. Capital Projects	3,500	2,000	2,000	2,000	2,000	2,000	10,000
Total	<u>5,975</u>	<u>2,600</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>	<u>3,000</u>	<u>14,600</u>
<b>COMMITMENTS</b>							
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>		
<b>Program Summary</b>							
State Fair	2,000	2,000	2,000	2,000	2,000		
Total	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>		
<b>Fund Summary</b>							
Capital Projects Fund	1,000	1,000	1,000	1,000	600		
Misc. Capital Projects	1,000	1,000	1,000	1,000	1,400		
Total	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>		
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Design and Construction Supervision	29	0	0	0	0	0	0
State Fair	1,971	2,000	2,000	2,000	2,000	2,000	10,000
Total	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>10,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	1,000	1,000	1,000	1,000	1,000	1,000	5,000
Misc. Capital Projects	1,000	1,000	1,000	1,000	1,000	1,000	5,000
Total	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>2,000</u>	<u>10,000</u>

# CAPITAL PROGRAM PLAN

**EMPIRE STATE DEVELOPMENT CORPORATION  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Economic Development	15,000	275,000	25,000	775,000	0	0	1,075,000
Regional Development	336,755	0	0	0	0	0	0
Total	<u>351,755</u>	<u>275,000</u>	<u>25,000</u>	<u>775,000</u>	<u>0</u>	<u>0</u>	<u>1,075,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	15,000	275,000	25,000	775,000	0	0	1,075,000
Community Enhancement Facilities Assistance Fund	336,755	0	0	0	0	0	0
Total	<u>351,755</u>	<u>275,000</u>	<u>25,000</u>	<u>775,000</u>	<u>0</u>	<u>0</u>	<u>1,075,000</u>

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Economic Development	275,000	25,000	775,000	0	0
Total	<u>275,000</u>	<u>25,000</u>	<u>775,000</u>	<u>0</u>	<u>0</u>
<b>Fund Summary</b>					
Capital Projects Fund	275,000	25,000	775,000	0	0
Total	<u>275,000</u>	<u>25,000</u>	<u>775,000</u>	<u>0</u>	<u>0</u>

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Economic Development	0	87,500	187,500	350,000	315,000	150,000	1,090,000
Total	<u>0</u>	<u>87,500</u>	<u>187,500</u>	<u>350,000</u>	<u>315,000</u>	<u>150,000</u>	<u>1,090,000</u>
<b>Fund Summary</b>							
Capital Projects Fund	0	87,500	187,500	350,000	315,000	150,000	1,090,000
Total	<u>0</u>	<u>87,500</u>	<u>187,500</u>	<u>350,000</u>	<u>315,000</u>	<u>150,000</u>	<u>1,090,000</u>

# CAPITAL PROGRAM PLAN

**MISCELLANEOUS - STRATEGIC INVESTMENT PROGRAM  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

		<b>APPROPRIATIONS</b>					<b>Total</b>
		<b>Reappro-</b>					<b>2002-2007</b>
		<b>priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>							
Strategic Investment Program		225,000	0	0	0	0	0
Total		225,000	0	0	0	0	0
<b>Fund Summary</b>							
Capital Projects Fund		225,000	0	0	0	0	0
Total		225,000	0	0	0	0	0
		<b>COMMITMENTS</b>					
		<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Strategic Investment Program		76,098	44,551	44,551	0	0	
Total		76,098	44,551	44,551	0	0	
<b>Fund Summary</b>							
Capital Projects Fund		76,098	44,551	44,551	0	0	
Total		76,098	44,551	44,551	0	0	
		<b>DISBURSEMENTS</b>					<b>Total</b>
		<b>Estimated</b>					<b>2002-2007</b>
		<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>							
Strategic Investment Program		59,800	76,098	44,551	44,551	0	0
Total		59,800	76,098	44,551	44,551	0	0
<b>Fund Summary</b>							
Capital Projects Fund		59,800	76,098	44,551	44,551	0	0
Total		59,800	76,098	44,551	44,551	0	0

# CAPITAL PROGRAM PLAN

**EC - MISCELLANEOUS STATE AGENCIES  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

<b>APPROPRIATIONS</b>							
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Economic Development	25,000	0	0	0	0	0	0
Total	25,000	0	0	0	0	0	0
<b>Fund Summary</b>							
Capital Projects Fund	25,000	0	0	0	0	0	0
Total	25,000	0	0	0	0	0	0
<b>COMMITMENTS</b>							
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>		
<b>Program Summary</b>							
Economic Development	10,000	0	0	0	0		
Total	10,000	0	0	0	0		
<b>Fund Summary</b>							
Capital Projects Fund	10,000	0	0	0	0		
Total	10,000	0	0	0	0		
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
Economic Development	13,744	10,000	0	0	0	0	10,000
Total	13,744	10,000	0	0	0	0	10,000
<b>Fund Summary</b>							
Capital Projects Fund	13,744	10,000	0	0	0	0	10,000
Total	13,744	10,000	0	0	0	0	10,000

# **CAPITAL PROGRAM PLAN**

**ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reappro- priations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Clean Water - Clean Air Implementation	0	117	117	117	117	0	468
Western New York Nuclear Service Center Program	0	13,250	13,900	13,900	15,400	15,400	71,850
Total	<u>0</u>	<u>13,367</u>	<u>14,017</u>	<u>14,017</u>	<u>15,517</u>	<u>15,400</u>	<u>72,318</u>
<b>Fund Summary</b>							
Capital Projects Fund	0	13,250	13,900	13,900	15,400	15,400	71,850
Clean Water Clean Air Implementation Fund	0	117	117	117	117	0	468
Total	<u>0</u>	<u>13,367</u>	<u>14,017</u>	<u>14,017</u>	<u>15,517</u>	<u>15,400</u>	<u>72,318</u>

	<b>COMMITMENTS</b>					<b>Total 2002-2007</b>
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>						
Clean Water - Clean Air Implementation	117	117	117	117	0	
Western New York Nuclear Service Center Program	13,250	13,900	13,900	15,400	15,400	
Total	<u>13,367</u>	<u>14,017</u>	<u>14,017</u>	<u>15,517</u>	<u>15,400</u>	
<b>Fund Summary</b>						
Capital Projects Fund	13,250	13,900	13,900	15,400	15,400	
Clean Water Clean Air Implementation Fund	117	117	117	117	0	
Total	<u>13,367</u>	<u>14,017</u>	<u>14,017</u>	<u>15,517</u>	<u>15,400</u>	

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Clean Water - Clean Air Implementation	117	117	117	117	117	0	468
Western New York Nuclear Service Center Program	15,250	13,250	13,900	13,900	15,400	15,400	71,850
Total	<u>15,367</u>	<u>13,367</u>	<u>14,017</u>	<u>14,017</u>	<u>15,517</u>	<u>15,400</u>	<u>72,318</u>
<b>Fund Summary</b>							
Capital Projects Fund	15,250	13,250	13,900	13,900	15,400	15,400	71,850
Clean Water Clean Air Implementation Fund	117	117	117	117	117	0	468
Total	<u>15,367</u>	<u>13,367</u>	<u>14,017</u>	<u>14,017</u>	<u>15,517</u>	<u>15,400</u>	<u>72,318</u>

# CAPITAL PROGRAM PLAN

STATE, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)

	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Program Summary							
Design and Construction Supervision	44	0	0	0	0	0	0
Office of Fire Prevention and Control	25	0	0	0	0	0	0
Total	69	0	0	0	0	0	0
Fund Summary							
Capital Projects Fund	69	0	0	0	0	0	0
Total	69	0	0	0	0	0	0

# **CAPITAL PROGRAM PLAN**

**JUDICIARY  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	<b>APPROPRIATIONS</b>						<b>Total 2002-2007</b>
	<b>Reapprop- riations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Courthouse Improvements	36,700	0	0	0	0	0	0
Total	36,700	0	0	0	0	0	0
<b>Fund Summary</b>							
Capital Projects Fund	36,700	0	0	0	0	0	0
Total	36,700	0	0	0	0	0	0

	<b>COMMITMENTS</b>				
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
<b>Program Summary</b>					
Courthouse Improvements	20,800	11,300	3,300	1,166	0
Total	20,800	11,300	3,300	1,166	0
<b>Fund Summary</b>					
Capital Projects Fund	20,800	11,300	3,300	1,166	0
Total	20,800	11,300	3,300	1,166	0

	<b>DISBURSEMENTS</b>						<b>Total 2002-2007</b>
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	
<b>Program Summary</b>							
Courthouse Improvements	5,000	20,800	11,300	3,300	1,166	0	36,566
Total	5,000	20,800	11,300	3,300	1,166	0	36,566
<b>Fund Summary</b>							
Capital Projects Fund	5,000	20,800	11,300	3,300	1,166	0	36,566
Total	5,000	20,800	11,300	3,300	1,166	0	36,566

# CAPITAL PROGRAM PLAN

**WORLD TRADE CENTER  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS, AND DISBURSEMENTS  
2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

<b>APPROPRIATIONS</b>							
	<b>Reapprop- riations</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
World Trade Center	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0
<b>Fund Summary</b>							
Federal Capital Projects Fund	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0
<b>COMMITMENTS</b>							
	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>		
<b>Program Summary</b>							
World Trade Center	300,000	220,000	0	0	0		
Total	300,000	220,000	0	0	0		
<b>Fund Summary</b>							
Federal Capital Projects Fund	300,000	220,000	0	0	0		
Total	300,000	220,000	0	0	0		
<b>DISBURSEMENTS</b>							
	<b>Estimated 2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>	<b>Total 2002-2007</b>
<b>Program Summary</b>							
World Trade Center	0	40,000	210,000	270,000	0	0	520,000
Total	0	40,000	210,000	270,000	0	0	520,000
<b>Fund Summary</b>							
Federal Capital Projects Fund	0	40,000	210,000	270,000	0	0	520,000
Total	0	40,000	210,000	270,000	0	0	520,000

# CAPITAL PROGRAM PLAN

**SUMMARY OF  
PROJECTED APPROPRIATIONS, ALL FUNDS, ALL PROGRAMS  
BY FUND TYPE, AND MAJOR FUND, 2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	APPROPRIATIONS						Total 2002-2007
	Reappro- priations	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Capital Projects Funds Type							
Capital Projects Fund	1,207,365	690,270	357,593	1,212,738	373,139	373,299	3,007,039
Capital Projects Fund - Advances	2,676,093	32,055	52,000	531,000	531,000	531,275	1,677,330
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	74,442	0	0	0	0	0	0
Capital Projects Fund - EQBA (Bondable)	56,261	14,804	0	0	0	0	14,804
Capital Projects Fund - PWBA (Bondable)	28,978	4,900	0	0	0	0	4,900
Capital Projects Fund - Infrastructure Renewal (Bondable)	46,485	0	0	0	0	0	0
Capital Projects Fund - Aviation (Bondable)	5,091	0	0	0	0	0	0
Capital Projects Fund - Energy Conservation (Bondable)	2,036	0	0	0	0	0	0
Capital Projects Fund - EQBA 86 (Bondable)	322,921	0	0	0	0	0	0
Capital Projects Fund - 1996 CWA (Bondable)	778,029	212,418	0	0	0	0	212,418
Dedicated Highway and Bridge Trust Fund	3,041,162	1,663,861	1,454,286	1,296,501	1,289,507	1,293,824	6,997,979
State University Residence Hall Rehabilitation Fund	247,794	135,000	115,000	40,000	60,000	60,000	410,000
New York State Canal System Development Fund	3,160	2,000	2,000	2,000	2,000	2,000	10,000
State Parks Infrastructure Fund	56,934	29,640	28,950	29,050	29,050	29,050	145,740
Environmental Protection Fund	365,933	125,000	125,000	125,000	125,000	125,000	625,000
Federal Capital Projects Fund	5,438,359	1,751,300	1,940,800	1,990,400	1,929,700	1,929,700	9,541,900
Youth Facilities Improvement Fund	44,715	85,275	8,000	8,000	8,000	8,000	117,275
Housing Program Fund	392,806	100,200	96,200	96,200	96,200	96,200	485,000
Engineering Services Fund	881,895	620,221	625,626	632,862	631,668	635,785	3,146,162
Mental Hygiene Capital Improvement Fund	621,057	222,153	226,662	148,016	148,779	149,837	895,447
Correctional Facilities Capital Improvement Fund	767,843	205,000	205,000	205,000	205,000	205,000	1,025,000
Remedial Program Transfer Fund	0	105,000	105,000	105,000	105,000	105,000	525,000
Other Funds	532,180	26,828	26,001	26,001	26,001	23,000	127,831
Eliminations*	(914,366)	(627,599)	(632,377)	(639,613)	(638,419)	(639,535)	(3,177,543)
Type Subtotal	16,677,173	5,398,326	4,735,741	5,808,155	4,921,625	4,927,435	25,791,282
Capital Projects Funds - Bond Proceeds	1,660,256	0	0	0	0	0	0
Fiduciary Fund Type	128,948	57,000	52,000	50,000	50,000	50,000	259,000
Special Revenue Fund Type	170,997	61,044	61,209	63,379	63,379	63,379	312,390
Eliminations*	(1,660,256)	(0)	(0)	(0)	(0)	(0)	(0)
Total (All Fund Types)	16,977,118	5,516,370	4,848,950	5,921,534	5,035,004	5,040,814	26,362,672

\* Reflects eliminations for 'netting out' of transfers between funds which are not capital program disbursements.

# CAPITAL PROGRAM PLAN

**SUMMARY OF  
PROJECTED APPROPRIATIONS, ALL FUNDS, ALL PROGRAMS  
BY FUND TYPE, AND MAJOR FUND, 2002-2003 THROUGH 2006-2007  
(thousands of dollars)**

	DISBURSEMENTS						Total 2002-2007
	Estimated 2001-2002	2002-2003	2003-2004	2004-2005	2005-2006	2006-2007	
Capital Projects Funds Type							
Capital Projects Fund	430,913	592,398	604,256	763,650	676,762	522,983	3,160,049
Capital Projects Fund - Advances	213,012	252,652	337,450	317,900	343,900	343,379	1,595,281
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	14,000	12,000	10,000	8,000	6,000	4,000	40,000
Capital Projects Fund - EQBA (Bondable)	492	2,530	1,106	1,100	1,100	1,100	6,936
Capital Projects Fund - PWBA (Bondable)	3,725	4,800	800	800	800	800	8,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	5,000	5,000	5,000	5,000	5,000	5,000	25,000
Capital Projects Fund - Aviation (Bondable)	1,200	800	400	400	400	400	2,400
Capital Projects Fund - Energy Conservation (Bondable)	200	200	200	200	200	200	1,000
Capital Projects Fund - EQBA 86 (Bondable)	81,100	71,711	51,400	51,832	25,977	281	201,201
Capital Projects Fund - 1996 CWA (Bondable)	169,164	169,791	169,164	139,164	139,164	136,163	753,446
Dedicated Highway and Bridge Trust Fund	1,557,442	1,614,432	1,629,383	1,434,389	1,362,777	1,310,460	7,351,441
State University Residence Hall Rehabilitation Fund	20,000	30,000	30,000	30,000	30,000	30,000	150,000
New York State Canal System Development Fund	2,000	2,000	2,000	2,000	2,000	2,000	10,000
State Parks Infrastructure Fund	25,162	25,383	25,383	25,386	25,400	25,400	126,952
Environmental Protection Fund	125,000	125,000	125,000	125,000	125,000	125,000	625,000
Federal Capital Projects Fund	1,470,730	1,575,824	1,827,600	2,019,251	1,805,365	1,810,880	9,038,920
Youth Facilities Improvement Fund	14,500	23,318	47,407	39,725	22,150	6,050	138,650
Housing Program Fund	101,450	98,450	90,450	92,450	96,200	96,200	473,750
Engineering Services Fund	633,696	624,927	625,629	638,040	651,228	651,031	3,190,855
Mental Hygiene Capital Improvement Fund	106,497	126,046	121,498	98,194	87,407	85,465	518,610
Correctional Facilities Capital Improvement Fund	188,000	188,000	188,000	188,000	188,000	188,000	940,000
Remedial Program Transfer Fund	0	105,000	105,000	105,000	105,000	105,000	525,000
Other Funds	38,751	45,671	41,583	31,771	30,318	27,317	176,660
Eliminations*	(648,302)	(641,520)	(642,235)	(653,206)	(666,454)	(662,256)	(3,265,671)
Type Subtotal	4,553,732	5,054,413	5,396,474	5,464,046	5,063,694	4,814,853	25,793,480
Capital Projects Funds - Bond Proceeds	0	0	0	0	0	0	0
Fiduciary Fund Type	4,600	16,000	4,425	2,000	1,000	0	23,425
Special Revenue Fund Type	50,122	57,350	60,665	61,178	61,703	62,839	303,735
Eliminations*	(0)	(0)	(0)	(0)	(0)	(0)	(0)
Total (All Fund Types)	4,608,454	5,127,763	5,461,564	5,527,224	5,126,397	4,877,692	26,120,640

\* Reflects eliminations for 'netting out' of transfers between funds which are not capital program disbursements.